# 16.2.1 Discontinued Participants

Listing 16.2.1.1 Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

1

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Discontinuation/ Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3012033 Withdrawal of 2020-07-30 AMENDMENT 1 No/ 2020-07-30 (1) Consent by Participant/ SUBJECT REFUSED TO WAIT FOR VACCINATION. LEFT SITE PRIOR TO BEING VACCINATED.

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3032022 2020-07-28 AMENDMENT 1 No/ Withdrawal of Yes/ 2020-08-31 (35) 2020-12-16 (142)Consent by Participant/ SUBJECT WORKS IN HEALTHCARE AND WANTED TO GET THE EUA VACCINE TODAY. SUBJECT WAS UNBLINDED AND DROPPED FROM THE STUDY AT THIS TIME.

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

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3

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|           |                     |             | Completed Study                                   | ·/                                                                                                                                                     |                              | Completed Dosing                                   | /                                                       |
|-----------|---------------------|-------------|---------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------------------|---------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | Date of Study<br>Discontinuation<br>or Completion | n Reason for Study<br>Discontinuation/                                                                                                                 | Date of<br>Death/<br>Autopsy | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/        |
| ID        | Date                | Version     | (Day)                                             | Specified Reason                                                                                                                                       | Performed?                   | (Day)                                              | Specified Reason                                        |
| US3042228 | 2020-08-18          | amendment 2 | No/<br>2020-08-18 (1)                             | Other/<br>SUBJECT WAS<br>RANDOMIZED INTO<br>THE WRONG<br>COHORT. DCF WAS<br>SENT IN, NO<br>RESPONSE WAS<br>RECEIVED.<br>SUBJECT WAS NOT<br>VACCINATED. |                              |                                                    |                                                         |
| US3052296 | 2020-08-24          | amendment 2 | ONGOING                                           |                                                                                                                                                        |                              | No/<br>2020-09-20 (28)                             | Other/<br>PT WAS UNABLE TO<br>RESCHEDULE FOR<br>VISIT 2 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

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4

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
| US3052314     | 2020-08-28                  | AMENDMENT           | 3 ONGOING                                                                     |                    |         | No/<br>2020-09-24 (28)                                                           | Other/<br>SUBJECT HAD<br>INSUFFINIENT NASAL<br>SWAB. PATIENT WAS<br>OUT OF IWINDOW BUT<br>WANTED TO STAY IN<br>STUDY. |

| US3052340 | 2020-09-01 | AMENDMENT | 3 | No/        |     | Other/          |
|-----------|------------|-----------|---|------------|-----|-----------------|
|           |            |           |   | 2020-09-01 | (1) | SUBJECT DID NOT |
|           |            |           |   |            |     | MEET EXCL. #7   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3082013 2020-08-10 AMENDMENT 1 No/ Physician 2020-08-10 (1) Decision/ PI WANTED SUBJECT TO FOLLOW UP WITH PCP FOR MEDICAL HISTORY OF MALARIA. PI DID NOT WANT THE SUBJECT TO RECEIVE FIRST INJECTION WITHOUT APPROVAL FROM PCP.

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) Withdrawal of US3102050 2020-07-29 AMENDMENT 1 No/ 2020-07-29 (1) Consent by Participant/ PARTICIPANT DECIDED NOT TO RECEIVE TREATMENT DESPITE ALREADY BEING RANDOMIZED.

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

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7

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3112118     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-08-05 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED<br>AFTER BEING<br>RANDOMIZED NOT<br>TO PARTICIPATE<br>DUE TO LENGTH OF<br>TRIAL. COULD NOT<br>COMMIT TO 2<br>YEARS. SUBJECT<br>DID NOT RECEIVE<br>IP DRUG DOSING |         |                                                                                 |                                                                           |
| US3132097     | 2020-10-01                  | AMENDMENT           | 3 No/<br>2020-10-01 (1) | Other/<br>SUBJECT WAS<br>NEVER DOSED.<br>UNABLE TO GET<br>BLOOD.                                                                                                                                                                |         |                                                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | /<br>n Reason for Study                                                                                                                                                                         | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                                     | Discontinuation/<br>Specified Reason                                                                                                                                                            | Autopsy           | or Completion                                          | Discontinuation/<br>Specified Reason |
| US3132125     | 2020-10-15      | AMENDMENT           | 4 No/<br>2020-10-15 (1)                             | Withdrawal of<br>Consent by<br>Participant                                                                                                                                                      |                   |                                                        |                                      |
| US3132140     | 2020-10-20      | AMENDMENT           | 4 No/<br>2020-11-11 (23)                            | Other/<br>SUBJECT WAS<br>RANDOMIZED PRIOR<br>TO OBTAINING<br>IMMUNOGENICITY<br>SAMPLE. SUBJECT<br>WAS SCHEDULED TO<br>RETURN FOR BLOOD<br>DRAW BUT STUDY<br>CLOSED. SUBJECT<br>WAS NEVER DOSED. |                   |                                                        |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

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9

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|               |                             |                     | Completed Study         | /                                                                          |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|-------------------------|----------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3172054     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-08-12 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT |         |                                                             |                                                                      |
| JS3172068     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-08-12 (1) | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER<br>INTERESTED     |         |                                                             |                                                                      |
| JS3172449     | 2020-10-21                  | AMENDMENT           | 2 No/<br>2020-10-21 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT |         |                                                             |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------|---------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192773     | 2020-10-09                  | AMENDMENT           | 4 No/<br>2020-10-09 (1) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED<br>TRIAL NOT FOR<br>THEM.    |         |                                                                                 |                                                                           |
| US3202340     | 2020-08-26                  | AMENDMENT :         | 3 No/<br>2020-08-26 (1) | Other/<br>SUBJECT<br>RANDOMIZED IN<br>ERROR, DIDN'T<br>MEET I/E<br>CRITERIA, NEVER<br>DOSED |         |                                                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3212036 2020-08-04 AMENDMENT 1 No/ Withdrawal of 2020-08-14 (8) Consent by Participant/ SUBJECT WITHDREW CONSENT ON 14AUG2020 - ALL ATTEMPTS WERE MADE BY SITE STAFF TO KEEP SUBJECT IN THE STUDY HOWEVER SHE WISHED TO DISCONTINUE DUE TO PERSONAL REASONS.

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3212343 2020-09-02 AMENDMENT 3 No/ Withdrawal of 2020-09-03 (2) Consent by Participant/ SUBJECT WITHDREW CONSENT ON 03SEP2020 DUE TO UNFORESEEN PERSONAL CIRCUMSTANCES, EVERY ATTEMPT WAS MADE BY SITE STAFF TO KEEP THE SUBJECT IN THE STUDY HOWEVER SHE DOES NOT WISH TO CONTINUE FURTHER.

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|               |                 |                     | Completed Study<br>Date of Study |                                                                                                                                                                             | Date of          | Completed Dos<br>Date of Dosir | ng 🛛 Primary Reason :                 |
|---------------|-----------------|---------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------------------|---------------------------------------|
| a 1 1 1       | Informed        |                     |                                  | Reason for Study                                                                                                                                                            |                  | Discontinuati                  |                                       |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                                                                        |                  | or Completior<br>(Day)         | n Discontinuation<br>Specified Reason |
| US3222129     | 2020-08-13      | AMENDMENT           | 2 No/<br>2020-08-13 (1)          | Withdrawal of<br>Consent by<br>Participant/<br>NO DIARY<br>AVAILABLE DAY OF<br>SCREEN WAS HELD<br>ON VACCINATION<br>BUT NEVER<br>RETURNED FOR<br>CONTINUATION IN<br>TRIAL.' |                  |                                |                                       |
| US3222524     | 2020-09-05      | AMENDMENT           | 3 No/<br>2021-03-12 (189         | Death                                                                                                                                                                       | 2021-03-12/<br>N | 'Yes/<br>2020-10-09 (3         | 251                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| -         |            |           | Completed Study | /                  |            | Completed Dosing | /                  |
|-----------|------------|-----------|-----------------|--------------------|------------|------------------|--------------------|
|           |            |           | Date of Study   |                    | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   |           | Discontinuation | n Reason for Study | Death/     | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol  | or Completion   | Discontinuation/   | Autopsy    | or Completion    | Discontinuation/   |
| ID        | Date       | Version   | (Day)           | Specified Reason   | Performed? | (Day)            | Specified Reason   |
|           |            |           |                 |                    |            |                  |                    |
| US3252148 | 2020-08-12 | AMENDMENT | 2 No/           | Withdrawal of      |            |                  |                    |
|           |            |           | 2020-08-12 (1)  | Consent by         |            |                  |                    |
|           |            |           |                 | Participant/       |            |                  |                    |
|           |            |           |                 | PATIENT JUST DID   |            |                  |                    |
|           |            |           |                 | NOT WANT TO BE     |            |                  |                    |
|           |            |           |                 |                    |            |                  |                    |

IN THE STUDY

ANYMORE

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252359     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-08-28 (1) | Physician<br>Decision/<br>AS PER PI<br>PATIENTS BLOOD<br>PRESSURE WAS TOO<br>HIGH AFTER<br>RANDOMIZATION<br>TOOK PLACE. AS<br>PER PI THE<br>PATIENT DID NOT<br>CONTINUE TO<br>DOSING. |         |                                                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|               |                             |                     | Completed Study         | ./                                                                                                      |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|-------------------------|---------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | n Reason for Study<br>Discontinuation/<br>Specified Reason                                              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3272156     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2020-08-25 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WALKED<br>OUT OF CLINIC<br>BEFORE<br>VACCINATION |         |                                                             |                                                                      |
| US3322268     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-09-11 (1) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT NO<br>LONGER<br>INTERESTED IN<br>PARTICIPATING   |         |                                                             |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                        |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3322391     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2020-09-24 (1)  | Withdrawal of<br>Consent by<br>Participant/<br>IP HELD PER<br>PATIENT'S<br>REQUEST; CONSENT<br>WITHDRAWN |         |                                                             |                                                                      |
| US3322423     | 2020-10-12                  | AMENDMENT           | 4 No/<br>2020-10-23 (12) | Other/<br>SUBJECT<br>RANDOMIZED IN<br>ERROR,<br>ENROLLMENT HAD<br>CLOSED, NEVER<br>DOSED                 |         |                                                             |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                         |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| US3352007     | 2020-08-04                  | AMENDMENT 1         | . ONGOING                                                                     |                                                                                                                                 |         | No/<br>2020-08-31 (28)                                                          | Other/<br>SUBJECT REFUSED.TO<br>GET 2ND<br>VACCINATION.<br>SUBJECT STATED SHE<br>DID NOT WANT IT. |
| US3372223     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-08-26 (3)                                                       | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT SAID<br>THAT THEY COULD<br>NO LONGER COMMIT<br>TO THE STUDY<br>TIMELINES |         |                                                                                 |                                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|          |            |             | Completed Study | /                  |            | Completed Dosing | /                  |
|----------|------------|-------------|-----------------|--------------------|------------|------------------|--------------------|
|          |            |             | Date of Study   |                    | Date of    | Date of Dosing   | Primary Reason for |
|          | Informed   |             | Discontinuation | n Reason for Study | Death/     | Discontinuation  | Dosing             |
| Subject  | Consent    | Protocol    | or Completion   | Discontinuation/   | Autopsy    | or Completion    | Discontinuation/   |
| D        | Date       | Version     | (Day)           | Specified Reason   | Performed? | (Day)            | Specified Reason   |
| S3382065 | 2020-08-18 | AMENDMENT 2 | 2 No/           | Physician          |            |                  |                    |
| 00002000 | 2020 00 10 |             | 2020-08-18 (1)  | -                  |            |                  |                    |
|          |            |             | 2020 00 10 (1)  | SUBJECT WAS        |            |                  |                    |
|          |            |             |                 | RANDOMIZED BASED   |            |                  |                    |
|          |            |             |                 | ON HER HEALTH      |            |                  |                    |
|          |            |             |                 | STATUS AND         |            |                  |                    |
|          |            |             |                 | PHYSICAL EXAM      |            |                  |                    |
|          |            |             |                 | BEING              |            |                  |                    |
|          |            |             |                 | UNREMARKABLE;      |            |                  |                    |
|          |            |             |                 | HOWEVER LABS       |            |                  |                    |
|          |            |             |                 | COULD NOT BE       |            |                  |                    |
|          |            |             |                 | DRAWN AND HENCE    |            |                  |                    |
|          |            |             |                 | HAD TO NOT         |            |                  |                    |
|          |            |             |                 | VACCINATE AND TO   |            |                  |                    |
|          |            |             |                 | EARLY TERMINATE    |            |                  |                    |
|          |            |             |                 | THE SUBJECT.       |            |                  |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                       | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3382084     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-08-20 (1)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT SIGNED<br>CONSENT AND WAS<br>RANDOMIZED;<br>HOWEVER, SHE<br>REFUSED TO<br>COMPLETE THE NP<br>SWAB COLLECTION. |         |                                                                                 |                                                                           |
| US3392277     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-21 (99) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE                                                                                                            |         | Yes/<br>2020-10-12 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject   | Informed<br>Consent<br>Date | Protocol<br>Version | or Completion            | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                          | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|-----------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------|---------------------------------------------------------------------------|
| ID        | Dale                        | Version             | (Day)                    | Specified Reason                                                                                                                        | Periormed: | (Day)                                                                  | Specified Reason                                                          |
| US3412093 | 2020-08-01                  | AMENDMENT           | 1 No/<br>2020-10-23 (79) | Other/<br>SUBJECT WAS<br>RANDOMIZED AND<br>NOT DOSED.<br>SUBJECT LEFT THE<br>SITE PRIOR TO<br>THE DOSE AND<br>NEVER RETURNED<br>FOR IT. |            |                                                                        |                                                                           |
| US3482115 | 2020-08-24                  | AMENDMENT :         | 2 No/<br>2020-08-24 (1)  | Other/<br>SUBJECT<br>DISCLOSED<br>EXCLUSIONARY<br>MEDICAL HISTORY<br>AFTER<br>RANDOMIZATION<br>BUT BEFORE<br>DOSING                     |            |                                                                        |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               | Informed        |                     | Completed Study<br>Date of Study | /<br>n Reason for Study                                                                                           | Date of<br>Death/ | Completed Dosing<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                              | Autopsy           | or Completion<br>(Day)                                | Discontinuation/<br>Specified Reason |
| US3482315     | 2020-10-21      | AMENDMENT 4         | No/<br>2020-10-21 (1)            | Other/<br>PT WITHDRAWN<br>BEFORE TREATMENT<br>AFTER<br>DISCUSSIONS WITH<br>PPD ABOUT<br>SUBJECT'S RACE<br>(b) (6) |                   |                                                       |                                      |

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3512243     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2020-09-09 (1)  | Withdrawal of<br>Consent by<br>Participant/<br>PT DECLINED TO<br>GET VACCINE                                                                                                                                        |         |                                                                                  |                                                                           |
| US3522153     | 2020-08-17                  | AMENDMENT           | 1 No/<br>2020-08-27 (11) | Physician<br>Decision/<br>SUBJECT WAS<br>RANDOMIZED<br>BEFORE BEING<br>GIVEN IP. BLOOD<br>PRESSURE WAS TOO<br>LOW TO GIVE IP.<br>SUBJECT WILL<br>COME IN AT<br>ANOTHER TIME AND<br>WILL BE GIVEN<br>NEW SUBJECT ID. |         |                                                                                  |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | ' Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------|
| US3522586     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-09-04 (1) | Withdrawal of<br>Consent by<br>Participant/<br>PT CONSENTED AT<br>11:06 WITHDREW<br>BEFORE BLOOD<br>DRAW. PT PASSED<br>OUT BEFORE BLOOD<br>DRAW, AND WISHED<br>TO BE REMOVED<br>FROM THE STUDY. |         |                                                                                 |                                                                        |
| JS3562206     | 2020-10-01                  | AMENDMENT           | 3 No/<br>2020-10-01 (1) | Withdrawal of<br>Consent by<br>Participant/<br>PT WITHDREW<br>CONSENT ,<br>DECLINED FOR<br>BLOOD DRAW                                                                                           |         |                                                                                 |                                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|               |                             |                     | Completed Study         |                                                                                                                                                       |            | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                            | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| 10            | Date                        | VEISION             | (Day)                   | Specified Reason                                                                                                                                      | rerrormed: | (Day)                                                       | Specified Reason                                                     |
| US3592169     | 2020-08-14                  | AMENDMENT           |                         | Other/<br>)UNBLINDED UNDER<br>AMENDMENT 5<br>ASSIGNED PLACEBO                                                                                         |            | Yes/<br>2020-09-14 (32)                                     |                                                                      |
| US3632148     | 2020-10-21                  | AMENDMENT           | 4 No/<br>2020-10-21 (1) | Lost to Follow-Up                                                                                                                                     | )          |                                                             |                                                                      |
| US3702035     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-09-17 (1) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT FELT<br>TOO ANXIOUS TO<br>CONTINUE WITH<br>STUDY AND<br>RECEIVE VACCINE<br>ADMINISTRATION. |            |                                                             |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study | /                                                                                                                                | Date of           | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------|-------------------|------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                  | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                       | Death/<br>Autopsy | Discontinuation<br>or Completion   | Dosing<br>Discontinuation/<br>Specified Reason |
| US3732345     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-09-15 (1)          | Lost to Follow-Up                                                                                                                | þ                 |                                    |                                                |
| US3802086     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-09-01 (1)          | Other/<br>FOUND TO BE<br>INELIGIBLE AFTER<br>RANDOMIZATION                                                                       |                   |                                    |                                                |
| US3802101     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-09-02 (1)          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>RANDOMIZED IN<br>ERROR, DIDN'T<br>MEET I/E<br>CRITERIA, NEVER<br>DOSED |                   |                                    |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

|           |                     |             | Completed Study                                   | /                                                     |            | Completed Dosing                                   | /                                                                                                                                                                    |
|-----------|---------------------|-------------|---------------------------------------------------|-------------------------------------------------------|------------|----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | Date of Study<br>Discontinuatior<br>or Completion | n Reason for Study<br>Discontinuation/                |            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                     |
| ID        | Date                | Version     | (Day)                                             | Specified Reason                                      | Performed? | (Day)                                              | Specified Reason                                                                                                                                                     |
| US3802222 | 2020-09-11          | AMENDMENT   | 3 No/<br>2020-09-11 (1)                           | Other/<br>SUBJECT DID NOT<br>MEET INCLUSION 3         |            |                                                    |                                                                                                                                                                      |
| US3822099 | 2020-08-12          | AMENDMENT : | 2 No/<br>2021-03-03 (204                          | Other/<br>)SUBJECT IS<br>MOVING OUT OF<br>THE COUNTRY |            | Yes/<br>2020-09-17 (37)                            |                                                                                                                                                                      |
| US3872340 | 2020-08-28          | AMENDMENT : | 2 ONGOING                                         |                                                       |            | No/<br>2020-09-24 (28)                             | Protocol Deviation/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PER MM AND<br>WAS RECOMMENDED TO<br>PI TO SKIP 2 AND<br>MOVE ON TO V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                    |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| US3872355     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-09-24 (28)                                                      | Protocol<br>Deviation/<br>WANTED TO END<br>THIS STUDY<br>BECAUSE HE WAS<br>IN ANOTHER STUDY |         | No/<br>2020-09-23 (27)                                                           | Protocol Deviation/<br>PT SAID HE WANTED<br>TO END , THAT HE<br>WAS IN ANOTHER<br>STUDY |
| US3902112     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2020-09-24 (1)                                                       | Other/<br>COHORT FULL                                                                       |         |                                                                                  |                                                                                         |
| US3932056     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-03-26 (207                                                      | Lost to Follow-Up<br>)                                                                      | )       | Yes/<br>2020-10-06 (36)                                                          |                                                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | /                                                                                           |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|---------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3042027     | 2020-07-29                  | AMENDMENT 1         | L No/<br>2021-03-12 (227                                   | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT MOVED TO<br>PHASE 2<br>CROSSOVER    |         | Yes/<br>2020-08-26 (29)                                     |                                                                      |
| US3042084     | 2020-08-06                  | AMENDMENT 1         | L No/<br>2020-08-21 (1)                                    | Other/<br>SUBJECT WAS A<br>SCREEN FAIL AND<br>WAS<br>INADVERTENTLY<br>RANDOMIZED IN<br>IRT. |         |                                                             |                                                                      |
| US3042198     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-10-15 (63)                                   | Lost to Follow-Up                                                                           | )       | No/<br>2020-09-10 (28)                                      | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3052184     | 2020-08-12                  | AMENDMENT           | 2 ONGOING                |                                                                                                                                                                                     |         | No/<br>2020-09-08 (28)                                                          | Lost to Follow-Up                                                         |
| US3102403     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2020-09-30 (1)  | Withdrawal of<br>Consent by<br>Participant                                                                                                                                          |         |                                                                                 |                                                                           |
| US3142173     | 2020-08-08                  | AMENDMENT           | 2 No/<br>2020-10-30 (84) | Protocol<br>Deviation/<br>PATIENT HAS BEEN<br>NON COMPLIANT<br>WITH VISITS AND<br>INSTRUCTIONS.<br>PATIENT HAS BEEN<br>DISRUPTIVE AND<br>VERBALLY ABUSIVE<br>WITH STAFF<br>MEMBERS. |         | Yes/<br>2020-09-09 (33)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3152002     | 2020-07-27                  | AMENDMENT :         | L No/<br>2020-07-27 (1) | Other/<br>PATIENT HAD<br>STRATIFICATION<br>ERROR AFTER<br>RANDOMIZATION<br>AND HAD TO COME<br>BACK TO BE<br>RESCREENED UNDER<br>US3152062. |         |                                                                                 |                                                                           |
| US3162241     | 2020-09-16                  | AMENDMENT (         | 3 No/<br>2020-09-16 (1) | Other/<br>UNABLE TO<br>COLLECT PROPER<br>AMOUNT OF BLOOD<br>FROM PATIENT.                                                                  |         |                                                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|            |                     |           | Completed Study/        |                                                                            |            | Completed Dosing/                                  |                                                  |
|------------|---------------------|-----------|-------------------------|----------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject    | Informed<br>Consent | Protocol  |                         | n Reason for Study<br>Discontinuation/                                     |            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| [ D        | Date                | Version   | (Day)                   | Specified Reason                                                           | Performed? | (Day)                                              | Specified Reason                                 |
| JS3172125  | 2020-08-14          | AMENDMENT | 2 No/<br>2020-08-14 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT |            |                                                    |                                                  |
| JS3172214  | 2020-08-19          | AMENDMENT | 2 No/<br>2020-08-19 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT |            |                                                    |                                                  |
| IJS3172235 | 2020-08-20          | AMENDMENT | 1 No/<br>2020-08-20 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT |            |                                                    |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3172415     | 2020-10-19                  | AMENDMENT 2         | 2 No/<br>2020-10-19 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>MENTIONED NOT<br>HAVING ENOUGH<br>TIME TO STAY AND<br>COMPLETE STUDY<br>VISIT. SUBJECT<br>STATED HE WILL<br>NOT BE ABLE TO<br>COMPLY WITH<br>STUDY SCHEDULE<br>AND WITHDREW<br>CONSENT. |         |                                                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|         |                    |                  | Completed Study                  | 7/                   |                  | /                                 |                  |
|---------|--------------------|------------------|----------------------------------|----------------------|------------------|-----------------------------------|------------------|
|         |                    |                  | Date of Study                    | Date of              |                  | Date of Dosing Primary Reason for |                  |
|         | Informed           |                  | Discontinuation Reason for Study |                      | Death/           | Discontinuation                   | Dosing           |
| Subject | Consent            | Protocol         | or Completion                    | Discontinuation/     | Autopsy          | or Completion                     | Discontinuation/ |
| ID      | Date Version (Day) | Specified Reason | Performed?                       | (Day) Specified Reas | Specified Reason |                                   |                  |

US3192922 2020-10-23 AMENDMENT 4 No/

No/ Withdrawal of 2020-10-23 (1) Consent by

Consent by Participant/ PATIENT DECIDED TRIAL NOT FOR THEM.

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|           |            |           | Completed Study/ |                  |            | Completed Dosing/ |                    |
|-----------|------------|-----------|------------------|------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study    |                  | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           | Discontinuatior  | Reason for Study | Death/     | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | or Completion    | Discontinuation/ | Autopsy    | or Completion     | Discontinuation/   |
| ID        | Date       | Version   | (Day)            | Specified Reason | Performed? | (Day)             | Specified Reason   |
| IS3202365 | 2020-08-31 | AMENDMENT | 3 No/            | Physician        |            |                   |                    |
|           |            |           | 2020-08-31 (1)   | Decision/        |            |                   |                    |
|           |            |           |                  | RANDOMIZED IN    |            |                   |                    |
|           |            |           |                  | ERROR -DID NOT   |            |                   |                    |
|           |            |           |                  | RECEIVE ANY      |            |                   |                    |
|           |            |           |                  | DOSES OF IPNOT   |            |                   |                    |
|           |            |           |                  | APPROPRIATE FOR  |            |                   |                    |
|           |            |           |                  | PARTICIPATION    |            |                   |                    |
|           |            |           |                  | PER INVESTIGATOR |            |                   |                    |
|           |            |           |                  | DURING EXAMWAS   |            |                   |                    |
|           |            |           |                  | INCOHERENT AND   |            |                   |                    |
|           |            |           |                  | APPEARED TO BE   |            |                   |                    |
|           |            |           |                  | UNDER INFLUENCE  |            |                   |                    |
|           |            |           |                  | DEMONSTRATED     |            |                   |                    |
|           |            |           |                  | INABILITY TO     |            |                   |                    |
|           |            |           |                  | COMPLY           |            |                   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3212254 2020-08-19 AMENDMENT 2 No/ Withdrawal of 2020-09-05 (18) Consent by Participant/ SUBJECT WITHDREW CONSENT ON 05SEP2020 DUE TO UNFORESEEN PERSONAL CIRCUMSTANCES, EVERY ATTEMPT WAS MADE BY SITE STAFF TO KEEP THE SUBJECT IN THE STUDY HOWEVER SHE DOES NOT WISH TO CONTINUE FURTHER.

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                       | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252144     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-08-12 (1)  | Other/<br>SUBJECT IS HIV<br>POSITIVE AND<br>SPONSOR DECIDED<br>TO HOLD OFF<br>SCREENING/DOSING<br>HIV POSITIVE<br>PATIENTS DESPITE<br>AMD 3 APPROVAL. |         |                                                                                 |                                                                           |
| US3262247     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-10-02 (38) | Lost to Follow-Up                                                                                                                                     | 1       | Yes/<br>2020-10-02 (38)                                                         |                                                                           |
| US3262248     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-10-05 (41) | Lost to Follow-Up                                                                                                                                     | )       | Yes/<br>2020-09-28 (34)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | /                                                                                                                                                        |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3272011     | 2020-07-29                  | AMENDMENT 1         | No/<br>2020-07-29 (1)                                      | Other/<br>SUBJECT DID NOT<br>MEET ELIGIBILITY<br>CRITERIA.                                                                                               |         |                                                             |                                                                      |
| US3292081     | 2020-08-08                  | AMENDMENT 1         | L No/<br>2021-03-01 (206                                   | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT BECAME<br>OVERWHELMED WITH<br>THE DEMANDS OF<br>THE STUDY, SUCH<br>AS PHONE CALLS<br>AND EDIARY. |         | Yes/<br>2020-09-03 (27)                                     |                                                                      |
| US3342332     | 2020-10-05                  | AMENDMENT 3         | 3 No/<br>2020-11-16 (32)                                   | Lost to Follow-Up                                                                                                                                        | )       | No/<br>2020-11-12 (28)                                      | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|         |          |          | Completed Study | ·/                                  |            | Completed Dosing/ |                    |  |
|---------|----------|----------|-----------------|-------------------------------------|------------|-------------------|--------------------|--|
|         |          |          | Date of Study   |                                     | Date of    | Date of Dosing    | Primary Reason for |  |
|         | Informed |          | Discontinuatio  | Discontinuation Reason for Study De |            | Discontinuation   | Dosing             |  |
| Subject | Consent  | Protocol | or Completion   | Discontinuation/                    | Autopsy    | or Completion     | Discontinuation/   |  |
| ID      | Date     | Version  | (Day)           | Specified Reason                    | Performed? | (Day)             | Specified Reason   |  |

US3372432 2020-09-17 AMENDMENT 3 No/

No/ Withdrawal of 2020-09-17 (1) Consent by

Participant/ SUBJECT LEFT SITE PRIOR TO VACCINATION

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study        | /                                                                                                                                                                                                                                                                        |            | Completed Dosing       |                                      |
|---------------|-----------------|---------------------|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------|--------------------------------------|
|               | 1               |                     | Date of Study          |                                                                                                                                                                                                                                                                          | Date of    | Date of Dosing         | Primary Reason for                   |
| a 1 i         | Informed        |                     |                        | n Reason for Study                                                                                                                                                                                                                                                       | Death/     | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                     |            | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| 10            | Date            | VELSION             | (Day)                  | Specified Keason                                                                                                                                                                                                                                                         | reriormed: | (Day)                  | Specified Reason                     |
| US3382008     | 2020-08-10      | AMENDMENT 2         | 2020-08-10 (1)         | Physician<br>Decision/<br>SUBJECT WAS<br>RANDOMIZED BUT<br>THEN PI DEEMED<br>HE DOES NOT HAVE<br>A REASONABLE<br>RISK TO CONTRACT<br>COVID-19; HENCE<br>WAS NOT DOSED.<br>AT THAT TIME THE<br>STUDY WAS<br>LOOKING FOR<br>SUBJECT WITH<br>INCREASED OF<br>EXPOSURE ONLY. |            |                        |                                      |
| JS3402300     | 2020-08-08      | AMENDMENT 2         | ONGOING                |                                                                                                                                                                                                                                                                          |            | No/<br>2020-09-04 (28) | Adverse Event/<br>AE #1              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol    |                          | /<br>Reason for Study<br>Discontinuation/                                                         | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|---------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                  | <br>(Day)                                                               | Specified Reason                                      |
| US3562234 | 2020-10-19          | AMENDMENT 4 | 4 No/<br>2020-10-19 (1)  | Withdrawal of<br>Consent by<br>Participant/<br>DECLINED FOR<br>BLOOD DRAW AND<br>WITHDREW CONSENT |                                                                         |                                                       |
| US3602037 | 2020-09-14          | AMENDMENT ( | 3 No/<br>2020-09-25 (12) | Adverse Event/<br>AE #1                                                                           |                                                                         |                                                       |

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For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642173     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-09-11 (1) | Other/<br>PARTICIPANT DID<br>NOT MEET STUDY<br>ELIGIBILITY<br>CRITERIA, WHICH<br>WAS OBSERVED<br>AFTER<br>RANDOMIZATION.<br>NO STUDY PRODUCT<br>WAS GIVEN. |         |                                                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|              |                             |                     | Completed Study         | -/                                                                                                                                                         |         | Completed Dosing                                            | /                                                                    |
|--------------|-----------------------------|---------------------|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                         | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3692054    | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-09-14 (1) | Other/<br>PARTICIPANT WAS<br>SUPPOSED TO BE A<br>SCREEN FAIL AND<br>WAS RANDOMIZED<br>IN ERROR.<br>THEREFORE IS AN<br>EARLY<br>TERMINATION PER<br>SPONSOR. |         |                                                             |                                                                      |
| US3772024    | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-08-06 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT W/C<br>PRIOR TO IP<br>ADMINISTRATION.                                                               |         |                                                             |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3772237     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-09-10 (1)                                                       | Other/<br>SUBJECT<br>WITHDRAWN DUE TO<br>INABILITY TO<br>DRAW BLOOD |         |                                                                                 |                                                                           |
| US3802176     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-09-08 (1)                                                       | Other/<br>SUBJECT COULD<br>NOT STAY FOR<br>DOSING                   |         |                                                                                 |                                                                           |

\* indicates re-screen subjects.

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### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3872177     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-08-13 (1) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DID NOT<br>HAVE THE TIME TO<br>WAIT TO BE SEEN<br>AT THE VISIT AS<br>THE CLINIC WAS<br>BUSY. SUBJECT<br>WITHDREW CONSENT<br>AND DID NOT WISH<br>TO PARTICIPATE. |         |                                                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872296     | 2020-08-25                  | amendment 2         | 2 ONGOING                                                                     |                                                               |         | No/<br>2020-09-21 (28)                                                           | Protocol Deviation/<br>VISIT 2 WAS TOO FAR<br>OOW DUE TO NO SWAB<br>RESULT BACK AND PER<br>EPIP THAT WAS SENT<br>IT WAS RECOMMENDED<br>TO SKIP V2 AND<br>CONDUCT V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872379     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-10-29 (58) | Withdrawal of<br>Consent by<br>Participant/<br>SWAB RESULTS WAS<br>NOT BACK AT V2<br>WAS GOING TO<br>HAVE TO BE<br>RESCHEDULED AND<br>FOR V3 AND SKIP<br>V2 VACCINE ,<br>SUBJECT DECIDED<br>TO WITHDRAW<br>CONSENT |         | No/<br>2020-09-29 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PER MM AND<br>WAS RECOMMENDED TO<br>PI TO SKIP 2 AND<br>MOVE ON TO V3 |
| US3902076     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-09-11 (1)  | Other/<br>SUBJECT COULD<br>NOT GET BLOOD<br>DRAWN                                                                                                                                                                  |         |                                                                                  |                                                                                                                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

|           |            |             | Completed Study                    | 7/                                                                                |            | Completed Dosing | /                  |
|-----------|------------|-------------|------------------------------------|-----------------------------------------------------------------------------------|------------|------------------|--------------------|
|           |            |             | Date of Study                      |                                                                                   | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   | _           |                                    | n Reason for Study                                                                |            | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol    | or Completion                      |                                                                                   |            | or Completion    | Discontinuation/   |
| ID        | Date       | Version     | (Day)                              | Specified Reason                                                                  | Performed? | (Day)            | Specified Reason   |
| US3902113 | 2020-09-25 | AMENDMENT 3 | 3 No/<br>2020-09-25 (1)            | Protocol<br>Deviation/<br>UNABLE TO<br>COMPLETE BLOOD<br>DRAW                     |            |                  |                    |
| US3902114 | 2020-09-25 | amendment 3 | 3 No/<br>2020-09-25 (1)            | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER WANTED<br>TO PARTICIPATE |            |                  |                    |
| US3902131 | 2020-10-16 | AMENDMENT 3 | <sup>3</sup> No/<br>2020-10-16 (1) | Other/<br>UNABLE TO DRAW<br>BLOOD                                                 |            |                  |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Missing; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                          | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3942107     | 2020-09-15                  | AMENDMENT :         | 3 No/<br>2020-09-23 (1)                                                       | Other/<br>UNABLE TO<br>PERFORM<br>VENIPUNCTURE                                                                          |         |                                                                                  |                                                                      |
| US3982070     | 2020-09-01                  | AMENDMENT :         | 3 No/<br>2020-09-01 (1)                                                       | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS<br>RANDOMIZED 01<br>SEP 2020 BUT IP<br>WAS NOT<br>DISPENSED. |         |                                                                                  |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|                  |                 |                     | Completed Study          | /                                                                         |         | Completed Dosing,       | /                                    |
|------------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------|---------|-------------------------|--------------------------------------|
|                  |                 |                     | Date of Study            |                                                                           | Date of | Date of Dosing          | Primary Reason for                   |
| Quality is a set | Informed        | Durational          |                          | Reason for Study                                                          |         | Discontinuation         | Dosing<br>Discontinueties (          |
| Subject<br>ID    | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                      |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason |
| US3002001        | 2020-07-27      | AMENDMENT           | 1 No/<br>2021-02-15 (204 | Lost to Follow-Up<br>)                                                    | )       | Yes/<br>2020-08-25 (30) |                                      |
| US3002012        | 2020-07-27      | AMENDMENT           | - /                      | Other/<br>)GETTING PFIZER<br>VACCINE THROUGH<br>WORK                      |         | Yes/<br>2020-08-27 (32) |                                      |
| US3002016        | 2020-07-28      | AMENDMENT           | 1 No/<br>2021-02-19 (207 | Protocol<br>)Deviation/<br>GOT PFIZER<br>VACCINE AT WORK                  |         | Yes/<br>2020-08-27 (31) |                                      |
| US3002020        | 2020-07-28      | AMENDMENT           | 1 No/<br>2021-03-18 (234 | Protocol<br>)Deviation/<br>PATIENT GOT HIS<br>2ND VACCINE<br>THROUGH WORK |         | Yes/<br>2020-08-25 (29) |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3002031     | 2020-07-28                  | AMENDMENT           | 1 No/<br>2021-02-26 (214 | Lost to Follow-Up<br>)                                               | ò       | No/<br>2020-08-24 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3002046     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2020-11-06 (101 | Serious Adverse<br>)Event/<br>AE # 1                                 |         | No/<br>2020-08-25 (28)                                                           | Serious Adverse<br>Event/<br>AE #1                                        |
| US3002094     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-01-06 (156 | Withdrawal of<br>)Consent by<br>Participant/<br>GETTING EUA          |         | Yes/<br>2020-09-17 (45)                                                          |                                                                           |
| US3002099     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-03-22 (230 | Protocol<br>)Deviation/<br>RECEIVED VACCINE<br>OUTSIDE OF<br>CLINIC. |         | Yes/<br>2020-09-02 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3002102     | 2020-08-05                  | AMENDMENT :         | 1 No/<br>2021-02-12 (192 | Protocol<br>)Deviation/<br>PT RECEIVED<br>OUTSIDE EUA            |         | Yes/<br>2020-09-02 (29)                                     |                                                                      |
| US3002117     | 2020-08-06                  | AMENDMENT :         | 1 No/<br>2021-01-11 (159 | Protocol<br>)Deviation/<br>RECEIVED VACCINE<br>OUTSIDE OF STUDY  |         | Yes/<br>2020-09-03 (29)                                     |                                                                      |
| US3002130     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-17 (133 | Other/<br>)UNBLINDED<br>PATIENT,<br>RECEIVING<br>VACCINE AT WORK |         | Yes/<br>2020-09-04 (29)                                     |                                                                      |
| US3002133     | 2020-08-07                  | AMENDMENT 3         | 1 No/<br>2021-01-04 (151 | Lost to Follow-Up<br>)                                           | )       | Yes/<br>2020-09-04 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |                     |             | Completed Study          | /                                                                                                            |         | Completed Dosing                 |                            |
|-----------|---------------------|-------------|--------------------------|--------------------------------------------------------------------------------------------------------------|---------|----------------------------------|----------------------------|
|           | T a C a sum a sì    |             | Date of Study            |                                                                                                              | Date of | Date of Dosing                   | Primary Reason for         |
| Subject   | Informed<br>Consent | Protocol    |                          | Reason for Study Discontinuation/                                                                            |         | Discontinuation<br>or Completion | Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                             |         | -                                | Specified Reason           |
| US3002136 | 2020-08-10          | AMENDMENT 2 | 2 No/<br>2021-01-18 (162 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>NOT AT STUDY<br>SITE |         | Yes/<br>2020-09-15 (37)          |                            |
| JS3002162 | 2020-08-14          | AMENDMENT 2 | - 1                      | Other/<br>)PT UNBLINDED TO<br>RECEIVE OUTSIDE<br>COVID VACCINE                                               |         | Yes/<br>2020-09-15 (33)          |                            |
| JS3002204 | 2020-08-28          | AMENDMENT : | 3 No/<br>2020-10-27 (61) | Lost to Follow-Up                                                                                            | )       | No/<br>2020-09-24 (28)           | Lost to Follow-Up          |
| US3002224 | 2020-09-01          | AMENDMENT   | 3 No/<br>2021-02-05 (158 | Protocol<br>)Deviation/<br>PT GOT EUA                                                                        |         | Yes/<br>2020-10-05 (35)          |                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                          |         | Completed Dosing                                            | /                                                                                                                                                                                                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                 |
| US3002231     | 2020-09-02                  | AMENDMENT :         | 3 ONGOING                                                  |                                                            |         | No/<br>2020-09-29 (28)                                      | Physician Decision/<br>PATIENT CAME IN FOR<br>2ND VACCINATION BUT<br>HAD COVID LIKE<br>ILLNESS AND<br>COMPLETED ILLNESS<br>VISIT INSTEAD.<br>PATIENT POSITIVE<br>FOR RHINOVIRUS AND<br>STILL HAVING<br>SYMPTOMS ON LAST<br>DAY OF VISIT 2<br>WINDOW. |
| US3002235     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-10-07 (36)                                   | Lost to Follow-U                                           | D       | No/<br>2020-09-29 (28)                                      | Lost to Follow-Up                                                                                                                                                                                                                                    |
| US3002244     | 2020-09-03                  | AMENDMENT 3         | 3 No/<br>2020-12-12 (101                                   | Lost to Follow-Uj<br>)                                     | 0       | Yes/<br>2020-10-06 (34)                                     |                                                                                                                                                                                                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | 7/                                   |         | Completed Dosing       | /                                                                            |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------|---------|------------------------|------------------------------------------------------------------------------|
|               |                 |                     | Date of Study            |                                      | Date of | Date of Dosing         | Primary Reason for                                                           |
|               | Informed        |                     |                          | n Reason for Study                   |         | Discontinuation        | Dosing                                                                       |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason                                         |
| US3002279     | 2020-09-10      | AMENDMENT           | 3 ONGOING                |                                      |         | No/<br>2020-10-07 (28) | Other/<br>DID NOT COMPLETE<br>VISIT 2 VACCINATION<br>DUE TO ILLNESS<br>VISIT |
| US3002302     | 2020-09-15      | AMENDMENT           | 3 ONGOING                |                                      |         | No/<br>2020-10-12 (28) | Due to SARS-CoV-2                                                            |
| US3002319     | 2020-09-24      | AMENDMENT           | 3 No/<br>2020-10-22 (29) | Lost to Follow-U <sub>]</sub><br>)   | þ       | No/<br>2020-10-21 (28) | Lost to Follow-Up                                                            |
| US3002322     | 2020-09-24      | AMENDMENT           | 3 No/<br>2021-03-25 (183 | Lost to Follow-U <sub>l</sub>        | 2       | No/<br>2020-10-21 (28) | Physician Decision/<br>COULD NOT GET<br>PATIENT IN FOR V2<br>WITHIN WINDOW.  |
| US3002325     | 2020-09-24      | AMENDMENT           | 3 No/<br>2020-10-15 (22) | Lost to Follow-U <sub>]</sub><br>)   | þ       | No/<br>2020-10-14 (21) | Lost to Follow-Up                                                            |

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

<sup>\*</sup> indicates re-screen subjects.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3002350     | 2020-10-08                  | AMENDMENT           | 4 No/<br>2020-10-29 (22) | Lost to Follow-Up                                                                                                                                                        | )       | No/<br>2020-10-28 (21)                                                           | Lost to Follow-Up                                                         |
| US3012002     | 2020-07-27                  | AMENDMENT           | 1 No/<br>2021-01-06 (164 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA COVID-19<br>VACCINE ON<br>05-JAN-2021<br>OUTSIDE OF STUDY<br>AND HAS BEEN<br>WITHDRAWN PER<br>SPONSOR<br>INSTRUCTION. |         | Yes/<br>2020-08-21 (26)                                                          |                                                                           |
| US3012008     | 2020-07-27                  | AMENDMENT           | 1 No/<br>2020-12-29 (156 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                                               |         | Yes/<br>2020-08-24 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                              |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| US3012029     | 2020-07-29                  | AMENDMENT 1         | No/<br>2020-12-21 (146                                                        | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>UNDER EUA<br>APPROVAL.<br>WITHDRAWN, PER<br>SPONSOR<br>INSTRUCTION. |         | Yes/<br>2020-08-26 (29)                                                         |                                                                                                        |
| US3012041     | 2020-07-31                  | AMENDMENT 1         | ONGOING                                                                       |                                                                                                                                       |         | No/<br>2020-08-27 (28)                                                          | Physician Decision/<br>SUBJECT HAD<br>ELECTIVE SURGERY.<br>PER PI DECISION,<br>DOSE #2 WAS<br>SKIPPED. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study,<br>Date of Study | ,<br>,                                                                                                                                                        | Date of | Completed Dosing,<br>Date of Dosing | Primary Reason for                   |
|---------------|-----------------|---------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------|--------------------------------------|
|               | Informed        |                     |                                   | Reason for Study                                                                                                                                              |         | Discontinuation                     | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                                                                                          |         | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason |
| US3012065     | 2020-08-06      | AMENDMENT           | 1 No/<br>2021-01-11 (159          | Protocol<br>)Deviation/<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>, WITHDRAWN PER<br>SPONSOR<br>INSTRUCTIONS                                     |         | Yes/<br>2020-09-03 (29)             |                                      |
| US3012072     | 2020-08-06      | AMENDMENT           | 1 No/<br>2021-01-04 (152          | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER COVID-19<br>VACCINE UNDER<br>EUA ON<br>20-DEC-2020 AND<br>WAS WITHDRAWN<br>PER SPONSOR<br>INSTRUCTIONS. |         | Yes/<br>2020-09-04 (30)             |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | ./                                                                                                                                    |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3012073     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-03-05 (212 | Serious Adverse<br>)Event/<br>#1                                                                                                      |         | Yes/<br>2020-09-03 (29)                                     |                                                                      |
| US3012078     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-21 (137 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>UNDER EUA<br>APPROVAL.<br>WITHDRAWN PER<br>SPONSOR<br>INSTRUCTION. |         | Yes/<br>2020-09-04 (29)                                     |                                                                      |
| US3012088     | 2020-08-10                  | AMENDMENT :         | 2 No/<br>2021-03-12 (215 | Lost to Follow-Up                                                                                                                     | )       | Yes/<br>2020-09-08 (30)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3012092     | 2020-08-10                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (141 | Other/<br>) PATIENT<br>REQUESTED<br>UNBLINDING DUE<br>TO MEETING CDC<br>CRITERIA/ACCESS<br>TO EUA VACCINE.<br>RECEIVED PLACEBO<br>DURING STUDY AND<br>WAS WITHDRAWN,<br>PER SPONSOR<br>INSTRUCTIONS. |         | Yes/<br>2020-09-08 (30)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |             | Completed Study | /                 |            | Completed Dosing | /                  |
|-----------|------------|-------------|-----------------|-------------------|------------|------------------|--------------------|
|           |            |             | Date of Study   |                   | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   | _           |                 | Reason for Study  |            | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol    | -               | Discontinuation/  |            | or Completion    | Discontinuation/   |
| ID        | Date       | Version     | (Day)           | Specified Reason  | Performed? | (Day)            | Specified Reason   |
| JS3012105 | 2020-08-11 | AMENDMENT 2 | No/             | Other/            |            | Yes/             |                    |
| 00012100  | 2020 00 11 | AMENDMENT 2 | 2021-01-15 (158 | /                 |            | 2020-09-08 (29)  |                    |
|           |            |             | 2021 01 13 (130 | REQUESTED         |            | 2020 09 00 (29)  |                    |
|           |            |             |                 | UNBLINDING DUE    |            |                  |                    |
|           |            |             |                 | TO APPOINTMENT    |            |                  |                    |
|           |            |             |                 | TO RECEIVE EUA    |            |                  |                    |
|           |            |             |                 | VACCINE. PT DOES  |            |                  |                    |
|           |            |             |                 | NOT CURRENTLY     |            |                  |                    |
|           |            |             |                 | MEET EUA          |            |                  |                    |
|           |            |             |                 | CRITERIA/LOCAL    |            |                  |                    |
|           |            |             |                 | GUIDELINES TO BE  |            |                  |                    |
|           |            |             |                 | UNBLINDED AT      |            |                  |                    |
|           |            |             |                 | SITE. WITHDRAWN   |            |                  |                    |
|           |            |             |                 | FROM STUDY PER    |            |                  |                    |
|           |            |             |                 | SPONSOR           |            |                  |                    |
|           |            |             |                 | INSTRUCTION.      |            |                  |                    |
|           |            |             |                 | 1                 |            |                  |                    |
| JS3012137 | 2020-08-14 | AMENDMENT 2 | 2 No/           | Lost to Follow-Up | )          | Yes/             |                    |
|           |            |             | 2020-11-16 (95) | _                 |            | 2020-09-11 (29)  |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                 |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3012181     | 2020-08-19                  | AMENDMENT 2         | ONGOING                                                                       |                                                                                                                                                                                                 |         | No/<br>2020-09-15 (28)                                                           | Protocol Deviation/<br>RECEIVED A NON<br>TRIAL VACCINE                                                                                                                                    |
| US3012188     | 2020-08-19                  | amendment 2         | No/<br>2020-09-18 (31)                                                        | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WANT<br>TO EXPERIENCE<br>SIDE EFFECTS<br>AGAIN AND IS<br>DISCONTINUING<br>PARTICIPATION<br>FROM STUDY PRIOR<br>TO SECOND<br>VACCINE. |         | No/<br>2020-09-15 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WANT TO<br>EXPERIENCE SIDE<br>EFFECTS AGAIN AND<br>IS DISCONTINUING<br>PARTICIPATION FROM<br>STUDY PRIOR TO<br>SECOND VACCINE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                         |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| US3012194     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2021-01-04 (138 | Protocol<br>Protocol<br>PT RECEIVED<br>COVID-19 VACCINE<br>UNDER EUA<br>OUTSIDE OF<br>STUDY. WITHDRAWN<br>FROM STUDY PER<br>SPONSOR<br>INSTRUCTIONS. |         | No/<br>2020-09-16 (28)                                                          | Protocol Deviation/<br>SUBJECT RECEIVED<br>INFLUENZA VACCINE<br>IN BETWEEN DOSES<br>AND SECOND DOSE<br>COULD NOT BE<br>ACCOMMODATED IN<br>WINDOW. |
| US3012198     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-23 (126 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                           |         | Yes/<br>2020-09-17 (29)                                                         |                                                                                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3012203     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (130 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA COVID-19<br>VACCINE AND WAS<br>WITHDRAWN.                            |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |
| US3012213     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2021-03-01 (189 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT TO BE<br>VACCINATED<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-09-22 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study/                 |                                                                                                                                                                              |                   | Completed Dosing/                 |                                      |
|---------------|-----------------|---------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                                                                                             | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                                                                         |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3012230     | 2020-08-26      | AMENDMENT           | 2 No/<br>2020-12-30 (127         | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA-APPROVED<br>PFIZER VACCINE<br>AT PLACE OF WORK<br>ON 30-DEC-2020<br>AND WAS<br>WITHDRAWN, PER<br>SPONSOR<br>INSTRUCTIONS. |                   | Yes/<br>2020-09-23 (29)           |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3012237 2020-08-27 AMENDMENT 2 No/ Other/ Yes/ 2021-01-07 (134) SUBJECT 2020-09-24 (29) REQUESTED UNBLINDING DUE TO ACCESS TO EUA COVID-19 VACCINE AT WORK. PI UNBLINDED SUBJECT FOR SAFETY AND SUBJECT HAS BEEN WITHDRAWN, PER SPONSOR INSTRUCTIONS.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |             | Completed Study | /                  | Data              | Completed Dosing/                 |                              |
|-----------|------------|-------------|-----------------|--------------------|-------------------|-----------------------------------|------------------------------|
|           | Informed   |             | Date of Study   | n Reason for Study | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol    | or Completion   | -                  |                   | or Completion                     | Discontinuation/             |
| ID        | Date       | Version     | (Day)           | Specified Reason   |                   | (Day)                             | Specified Reason             |
| US3012241 | 2020-08-28 | AMENDMENT 2 | 2 No/           | Other/             |                   | Yes/                              |                              |
| 000012211 | 2020 00 20 |             | 2020-12-28 (123 | /                  |                   | 2020-09-25 (29)                   |                              |
|           |            |             | ·               | REQUESTED          |                   |                                   |                              |
|           |            |             |                 | UNBLINDING DUE     |                   |                                   |                              |
|           |            |             |                 | TO MEETING CDC     |                   |                                   |                              |
|           |            |             |                 | CRITERIA/ACCESS    |                   |                                   |                              |
|           |            |             |                 | TO EUA VACCINE.    |                   |                                   |                              |
|           |            |             |                 | RECEIVED PLACEBO   |                   |                                   |                              |
|           |            |             |                 | DURING STUDY AND   |                   |                                   |                              |
|           |            |             |                 | WAS WITHDRAWN,     |                   |                                   |                              |
|           |            |             |                 | PER SPONSOR        |                   |                                   |                              |
|           |            |             |                 | INSTRUCTIONS.      |                   |                                   |                              |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|             |                             |                     | Completed Study          | /                                                                                                                                                                    |            | Completed Dosing                                            | /                                                                    |
|-------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------|----------------------------------------------------------------------|
| ubject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                           | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| D           | Ducc                        | VCIDION             | (Day)                    | opecifica neuson                                                                                                                                                     | rerrormea. | (Day)                                                       | bpeerried neubon                                                     |
| US3012275   | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-18 (106 | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>PROHIBITED<br>MEDICATION<br>(COVID-19<br>VACCINE) / MEETS<br>CDC CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA |            | Yes/<br>2020-10-02 (29)                                     |                                                                      |
| US3012285   | 2020-09-10                  | AMENDMENT           | 3 No/<br>2021-02-03 (147 | Withdrawal of<br>)Consent by<br>Participant/<br>PT WITHDREW<br>CONSENT, NO<br>LONGER WANTS TO<br>PARTICIPATE.                                                        |            | Yes/<br>2020-10-09 (30)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3012318     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-03-12 (179 | Lost to Follow-Up<br>)                                                                                                                                                                             | )       | Yes/<br>2020-10-13 (29)                                                          |                                                                           |
| US3012322     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-12-28 (99) | Other/<br>PATIENT<br>REQUESTED<br>UNBLINDING DUE<br>TO MEETING CDC<br>CRITERIA/ACCESS<br>TO EUA VACCINE.<br>RECEIVED PLACEBO<br>DURING STUDY AND<br>WAS WITHDRAWN,<br>PER SPONSOR<br>INSTRUCTIONS. |         | Yes/<br>2020-10-19 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     |                          | Reason for Study                                                                                        | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                    | <br>or Completion<br>(Day)                             | Discontinuation/<br>Specified Reason |
| US3012327     | 2020-09-15      | AMENDMENT           | 3 No/<br>2020-12-29 (106 | Other/<br>)REQUESTED<br>UNBLINDING /<br>MEETS CDC<br>REQUIREMENTS TO<br>RECEIVE EUA<br>COVID-19 VACCINE | Yes/<br>2020-10-14 (30)                                |                                      |
| US3022034     | 2020-07-27      | AMENDMENT           | 1 No/<br>2021-01-06 (164 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19                                                       | Yes/<br>2020-08-25 (30)                                |                                      |
| US3022060     | 2020-07-28      | AMENDMENT           | 1 No/<br>2020-12-28 (154 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE!                                            | Yes/<br>2020-08-31 (35)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3022066     | 2020-07-29                  | AMENDMENT :         | 1 No/<br>2021-01-06 (162 | Protocol<br>)Deviation/<br>SUBJECT REPORTS<br>THAT HE RECEVED<br>PFIZER COVID-19<br>VACCINE ON<br>23DEC2020 |         | Yes/<br>2020-09-02 (36)                                                         |                                                                           |
| US3022093     | 2020-07-31                  | AMENDMENT 3         | 1 No/<br>2020-12-31 (154 | Other/<br>)SUBJECT<br>UNBLINDED FOR<br>SAFETY. NOT<br>WILLING TO COME<br>IN AND SIGN<br>UPDATED ICF.        |         | Yes/<br>2020-09-04 (36)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3022097     | 2020-07-31                  | AMENDMENT :         | l No/<br>2020-12-17 (140 | Physician<br>)Decision/<br>DUE TO<br>UNBLINDING FOR<br>COVID-19 VACCINE |         | Yes/<br>2020-10-07 (69)                                                          |                                                                           |
| US3022179     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2020-12-03 (107 | Lost to Follow-Up<br>)                                                  | )       | No/<br>2020-09-15 (28)                                                           | Lost to Follow-Up                                                         |
| US3022180     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-12-22 (125 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                |         | Yes/<br>2020-09-22 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                     |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| US3022215     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-09-30 (42) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES<br>THAT SHE DOESN'T<br>WANT TO<br>PARTICIPATE<br>ANYMORE. |         | No/<br>2020-09-16 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES THAT<br>SHE DOESN'T WANT TO<br>PARTICIPATE IN<br>STUDY ANYMORE. |
| US3022226     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2021-01-19 (153 | Protocol<br>)Deviation/<br>EUA (SAFETY<br>UNBLINDING)<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>ON 19JAN2021             |         | Yes/<br>2020-09-22 (34)                                                         |                                                                                                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study | 7/                                                                                                                   | Date of | Completed Dosing<br>Date of Dosing        | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| S3022243      | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-30 (132         | Other/<br>2)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                           |         | Yes/<br>2020-09-29 (40)                   |                                                |
| JS3022284     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-01-15 (148         | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED<br>SHE DID NOT WANT<br>TO CONTINUE IN<br>STUDY ANYMORE |         | Yes/<br>2020-09-18 (29)                   |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3032009     | 2020-07-28                  | AMENDMENT           | 1 No/<br>2021-01-11 (168 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT TO BE<br>VACCINATED AT<br>THEIR EMPLOYER<br>INSTEAD OF<br>THROUGH THE<br>STUDY. |         | Yes/<br>2020-08-25 (29)                                                          |                                                                      |
| US3032027     | 2020-07-28                  | AMENDMENT           | 1 No/<br>2020-10-11 (76) | Lost to Follow-Up                                                                                                                                           | )       | Yes/<br>2020-08-28 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|                                         |            |             | Completed Study  | /                 |            | Completed Dosing | /                  |
|-----------------------------------------|------------|-------------|------------------|-------------------|------------|------------------|--------------------|
|                                         |            |             | Date of Study    |                   | Date of    | Date of Dosing   | Primary Reason for |
|                                         | Informed   |             |                  | Reason for Study  |            | Discontinuation  | Dosing             |
| Subject                                 | Consent    | Protocol    | -                | Discontinuation/  |            | or Completion    | Discontinuation/   |
| ID                                      | Date       | Version     | (Day)            | Specified Reason  | Performed? | (Day)            | Specified Reason   |
| JS3032029                               | 2020-07-28 | AMENDMENT 1 | L No/            | Other/            |            | Yes/             |                    |
|                                         |            |             | 2020-12-17 (143) | SUBJECT WAS       |            | 2020-08-28 (32)  |                    |
|                                         |            |             |                  | UNBLINDED AND IS  |            |                  |                    |
|                                         |            |             |                  | GOING TO RECEIVE  |            |                  |                    |
|                                         |            |             |                  | EUA DRUG FROM     |            |                  |                    |
|                                         |            |             |                  | EMPLOYER.         |            |                  |                    |
| JS3032034                               | 2020-07-29 | AMENDMENT 1 | L No/            | Withdrawal of     |            | No/              | Withdrawal of      |
|                                         |            |             | 2020-08-26 (29)  | Consent by        |            | 2020-08-25 (28)  | Consent by         |
|                                         |            |             |                  | Participant/      |            |                  | Participant/       |
|                                         |            |             |                  | UNABLE TO COMPLY  |            |                  | UNABLE TO COMPLY   |
|                                         |            |             |                  | WITH STUDY        |            |                  | WITH STUDY         |
|                                         |            |             |                  | PROCEDURES        |            |                  | PROCEDURES         |
| JS3032048                               | 2020-07-30 | AMENDMENT 1 | No/              | Lost to Follow-Up | )          | Yes/             |                    |
| 000000000000000000000000000000000000000 | 2020 07 00 |             | 2020-12-09 (133) | -                 |            | 2020-09-03 (36)  |                    |
|                                         |            |             |                  |                   |            |                  |                    |
| JS3032065                               | 2020-08-03 | AMENDMENT 1 |                  | Lost to Follow-Up | )          | Yes/             |                    |
|                                         |            |             | 2020-12-10 (130) | )                 |            | 2020-09-04 (33)  |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |             | Completed Study | /                                                                  |            | Completed Dosing,      | /                  |
|-----------|------------|-------------|-----------------|--------------------------------------------------------------------|------------|------------------------|--------------------|
|           |            |             | Date of Study   |                                                                    | Date of    | Date of Dosing         | Primary Reason for |
|           | Informed   |             |                 | Reason for Study                                                   |            | Discontinuation        | Dosing             |
| Subject   | Consent    | Protocol    | -               | Discontinuation/                                                   |            | or Completion          | Discontinuation/   |
| ID        | Date       | Version     | (Day)           | Specified Reason                                                   | Performed? | (Day)                  | Specified Reason   |
| US3032141 | 2020-08-07 | AMENDMENT   | 1 No/           | Lost to Follow-Up                                                  | )          | Yes/                   |                    |
|           |            |             | 2021-03-11 (217 |                                                                    |            | 2020-09-08 (33)        |                    |
| US3032153 | 2020-08-08 | AMENDMENT   | 1 No/           | Other/                                                             |            | Yes/                   |                    |
|           |            |             | 2020-12-18 (133 | )SUBJECT MEETS<br>CDC GUIDELINES<br>FOR EUA VACCINE<br>AND SUBJECT |            | 2020-09-09 (33)        |                    |
|           |            |             |                 | WISHED TO BE<br>UNBLINDED,<br>SUBJECT IS<br>WITHDRAWN FROM         |            |                        |                    |
|           |            |             |                 | THE STUDY.                                                         |            |                        |                    |
| US3032171 | 2020-08-10 | AMENDMENT : | 2 ONGOING       |                                                                    |            | No/<br>2020-09-06 (28) | Due to SARS-CoV-2  |
| US3032172 | 2020-08-10 | AMENDMENT   | 2 ONGOING       |                                                                    |            | No/<br>2020-09-06 (28) | Due to SARS-CoV-2  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                       | Autopsy          | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------|------------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3032181     | 2020-08-10                  | AMENDMENT 2         | 2 No/<br>2021-01-20 (164 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DID NOT<br>WISH TO CONTINUE<br>WITH THE STUDY |                  | Yes/<br>2020-09-09 (31)                                                         | -                                                                         |
| US3032204     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-11-16 (95) | Death                                                                                                 | 2020-11-16,<br>N | /Yes/<br>2020-09-14 (32)                                                        |                                                                           |
| US3032240     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2020-10-20 (63) | Lost to Follow-Up                                                                                     | )                | No/<br>2020-09-15 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                                         |         | Completed Dosing        | /                                         |
|---------------|-----------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|---------|-------------------------|-------------------------------------------|
|               |                 |                     | Date of Study            |                                                                                                           | Date of | Date of Dosing          | Primary Reason for                        |
|               | Informed        | _                   |                          | Reason for Study                                                                                          |         | Discontinuation         | Dosing                                    |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                      |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason      |
| US3032257     | 2020-08-19      | AMENDMENT 2         | 2 No/<br>2020-12-21 (125 | Withdrawal of<br>)Consent by<br>Participant/<br>CDC CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA |         | Yes/<br>2020-09-21 (34) |                                           |
| US3032262     | 2020-08-20      | AMENDMENT 2         | 2 No/<br>2020-12-28 (131 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WANTED<br>TO GET VACCINE<br>AT WORK.              |         | Yes/<br>2020-09-21 (33) |                                           |
| US3032277     | 2020-08-20      | AMENDMENT 2         | 2 No/<br>2020-10-01 (43) | Serious Adverse<br>Event/<br>SAE #1                                                                       |         | No/<br>2020-09-16 (28)  | Serious Adverse<br>Event/<br>#1 FOR ROW 1 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3032329     | 2020-08-22                  | AMENDMENT 2         | 2 No/<br>2021-03-10 (201                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WISHES TO<br>BE IN THE STUDY. |         | Yes/<br>2020-09-25 (35)                                                         |                                                                           |
| US3032339     | 2020-08-25                  | AMENDMENT 3         | 3 No/<br>2021-03-15 (203                                                      | Lost to Follow-Up<br>)                                                                             | )       | Yes/<br>2020-09-23 (30)                                                         |                                                                           |
| US3042041     | 2020-07-30                  | AMENDMENT           | l No/<br>2020-09-17 (50)                                                      | Lost to Follow-Up                                                                                  | )       | No/<br>2020-08-26 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study/<br>Date of Study |                                                                                                                                                                         | Date of | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|-----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|------------------------------------|--------------------------------------|
|               | Informed        |                     |                                   | Reason for Study                                                                                                                                                        |         | Discontinuation                    | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                                                                                                    |         | or Completion<br>(Day)             | Discontinuation/<br>Specified Reason |
| US3042043     | 2020-07-30      | AMENDMENT 1         | -                                 | Protocol                                                                                                                                                                |         | Yes/                               | *                                    |
|               |                 |                     | 2020-12-22 (146                   | Deviation/<br>PATIENT RECEIVED<br>NON-STUDY COVID<br>VACCINATION<br>THROUGH EMPLOYER<br>ON 21DEC2020;<br>PATIENT DID NOT<br>CONSULT STUDY<br>TEAM PRIOR TO<br>RECEIVING |         | 2020-08-27 (29)                    |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042045     | 2020-07-31                  | AMENDMENT 1         | L No/<br>2021-01-14 (168 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED COVID<br>VACCINE THROUGH<br>EMPLOYER ON<br>30DEC2020.<br>PATIENT DID NOT<br>CONTACT STUDY<br>TEAM PRIOR TO<br>RECEIVING<br>VACCINE. PATIENT<br>WITHDREW CONSENT<br>WHEN HE NOTIFIED<br>STUDY TEAM OF<br>VACCINE. |         | Yes/<br>2020-09-02 (34)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042051     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2021-02-12 (194 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>COVID VACCINE<br>OUTSIDE OF STUDY                                                                |         | No/<br>2020-08-30 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3042052     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2021-01-21 (172 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT IS OUT<br>OF STATE AND NOT<br>PLANNING ON<br>RETURNING;<br>PATIENT WITHDREW<br>CONSENT. |         | Yes/<br>2020-09-08 (37)                                                         |                                                                           |
| US3042078     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-01-05 (154 | Lost to Follow-Up<br>)                                                                                                                          | )       | Yes/<br>2020-09-09 (36)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                           |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                  | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3042083     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-01-22 (170 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>VACCINE THROUGH<br>EMPLOYER                                                                                  |         | Yes/<br>2020-09-16 (42)                                     |                                                                      |
| US3042102     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-01-11 (159 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>COVID VACCINE<br>OUTSIDE OF<br>STUDY; PATIENT<br>WITHDREW CONSENT<br>WHEN CALLED TO<br>NOTIFY STUDY<br>TEAM. |         | Yes/<br>2020-09-10 (36)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042106     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-30 (146 | Protocol<br>)Deviation/<br>PATIENT WAS<br>ADMINISTERED<br>COVID VACCINE<br>OUTSIDE OF STUDY                    |         | Yes/<br>2020-09-04 (29)                                                          |                                                                           |
| US3042113     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-01-05 (152 | Protocol<br>)Deviation/<br>PATIENT WITHDREW<br>CONSENT AND WAS<br>ADMINISTERED<br>COVID VACCINE AT<br>EMPLOYER |         | Yes/<br>2020-09-09 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042152     | 2020-08-12                  | AMENDMENT 2         | 2 No/<br>2021-01-14 (156 | Withdrawal of<br>) Consent by<br>Participant/<br>WHEN DOING<br>CONVALESCENT<br>PHONE CALL;<br>PATIENT STATED<br>NO LONGER WANTED<br>TO BE A PART OF<br>THE STUDY AND<br>PARTICIPANT<br>WITHDREW<br>CONSENT. |         | Yes/<br>2020-09-16 (36)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042158     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2020-11-05 (86)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT BELIEVES<br>A VACCINE WILL<br>BE AVAILABLE TO<br>THE PUBLIC NEXT<br>MONTH; SUBJECT<br>WITHDREW<br>CONSENT. |         | Yes/<br>2020-09-09 (29)                                                          |                                                                      |
| US3042171     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-11-05 (85)                                                      | Lost to Follow-Up                                                                                                                                                 | )       | No/<br>2020-09-09 (28)                                                           | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                       | <br>Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042187     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2021-01-13 (154 | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT<br>WITHDREW CONSENT<br>TO RECEIVE COVID<br>VACCINE VIA<br>EMPLOYER.                       | Yes/<br>2020-09-10 (29)                                                              |                                                                      |
| US3042197     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-01-11 (151 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>COVID VACCINE<br>OUTSIDE OF STUDY<br>THEN WITHDREW<br>CONSENT WHEN<br>CALLED TO NOTIFY<br>STUDY STAFF | Yes/<br>2020-09-14 (32)                                                              |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                          | -       | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3042209     | 2020-08-14                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                                            |         | No/<br>2020-09-10 (28)                                      | Due to SARS-CoV-2                                                    |
| US3042220     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-01-11 (148 | Protocol<br>)Deviation/<br>PATIENT WAS<br>ADMINISTERED<br>COVID VACCINE AT<br>EMPLOYER WITHOUT<br>NOTIFYING STUDY<br>TEAM; PATIENT<br>WITHDREW<br>CONSENT. |         | Yes/<br>2020-09-14 (29)                                     |                                                                      |
| US3042295     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-09-21 (32) | Adverse Event/<br>AE #1                                                                                                                                    |         | No/<br>2020-09-17 (28)                                      | Adverse Event/<br>AE #1                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3052004     | 2020-07-30                  | AMENDMENT :         | 1 No/<br>2020-12-15 (139 | Other/<br>)SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE THE<br>COVID-19 VACCINE<br>AVAILABLE.                   |         | Yes/<br>2020-08-26 (28)                                                          |                                                                      |
| US3052012     | 2020-07-30                  | AMENDMENT 3         | 1 ONGOING                |                                                                                                                          |         | No/<br>2020-08-26 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3052022     | 2020-07-30                  | AMENDMENT           | - 1                      | Other/<br>)SUBJECT DID NOT<br>MEET EXCLUSION<br>CRITERIA #13<br>HAVING RECEIVED<br>A BLOOD<br>TRANSFUSION 30<br>DEC 2021 |         | Yes/<br>2020-08-25 (27)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                                  |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|----------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                   | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                               |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| JS3052037     | 2020-07-31      | AMENDMENT :         | 1 No/<br>2020-12-15 (138         | Other/<br>)SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE COVID-19<br>VACCINE<br>AVAILABLE. |                   | Yes/<br>2020-08-26 (27)           |                                      |
| JS3052051     | 2020-07-31      | AMENDMENT :         | 1 No/<br>2020-11-25 (118         | Lost to Follow-Up<br>)                                                                             | )                 | No/<br>2020-08-27 (28)            | Lost to Follow-Up                    |
| JS3052062     | 2020-07-31      | AMENDMENT           | 1 No/<br>2020-12-15 (138         | Other/<br>)SUBJECT<br>REQUESTED<br>UNBLINDING TO<br>RECEIVE COVID-19<br>VACCINE<br>AVAILABLE.      |                   | Yes/<br>2020-08-26 (27)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                      |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3052069     | 2020-08-03                  | AMENDMENT :         | L ONGOING                                                                     |                                                                 |         | No/<br>2020-08-30 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>THE PATIENT LEFT<br>HER APPOINTMENT AND<br>WHEN CONTACTED TO<br>RESCHEDULE SHE<br>WITHDREW HER<br>CONSENT. |
| US3052103     | 2020-08-04                  | AMENDMENT :         | L No/<br>2021-01-05 (155                                                      | Protocol<br>)Deviation/<br>EUA VACCINE                          |         | Yes/<br>2020-09-04 (32)                                                          |                                                                                                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                        | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3052109     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-12-15 (132 | Other/<br>)SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE THE<br>COVID-19 VACCINE<br>AVAILABLE. |         | Yes/<br>2020-09-10 (36)                                                          |                                                                           |
| US3052112     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-12-16 (133 | Other/<br>)SUBJECT<br>UNBLINDED DUE TO<br>COVID-19 VACCINE<br>BEING AVAILABLE.                         |         | Yes/<br>2020-09-11 (37)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3052133     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-23 (139 | Withdrawal of<br>)Consent by<br>Participant/<br>PT WAS UNBLINDED<br>23 DEC 2020 AND<br>WITHDREW FROM<br>STUDY AT THAT<br>TIME. |         | Yes/<br>2020-09-11 (36)                                                          |                                                                      |
| US3052134     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-01-14 (161 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>FROM STUDY                                                 |         | Yes/<br>2020-09-11 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3052187     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-12-28 (139 | Withdrawal of<br>)Consent by<br>Participant/<br>PT WITHDRAW FROM<br>STUDY                        |         | Yes/<br>2020-09-10 (30)                                                          |                                                                      |
| US3052226     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-12-17 (126 | Other/<br>)SUBJECT<br>REQUESTED<br>UNBLINDING TO<br>RECEIVE THE<br>COVID-19 VACCINE<br>AVAILABLE |         | Yes/<br>2020-09-11 (29)                                                          |                                                                      |
| US3052251     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-01-18 (154 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT'S<br>DISCRETION                          |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

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96

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                      |         | Completed Dosing/                                           |                                                                      |  |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |  |
| JS3052270     | 2020-08-19                  | AMENDMENT           | 2 ONGOING                |                                                                                                        |         | No/<br>2020-09-15 (28)                                      | Lost to Follow-Up                                                    |  |
| US3052329     | 2020-08-31                  | AMENDMENT           | 2 No/<br>2020-12-16 (108 | Other/<br>)SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE THE<br>COVID-19 VACCINE<br>AVAILABLE. |         | Yes/<br>2020-09-29 (30)                                     |                                                                      |  |
| US3052334     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-16 (107 | Other/<br>)SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE COVID-19<br>VACCINE<br>AVAILABLE.     |         | Yes/<br>2020-10-06 (36)                                     |                                                                      |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                |            | Completed Dosing, | /                  |
|-----------|------------|-----------|-----------------|------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study   |                  | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           | Discontinuation | Reason for Study | Death/     | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | or Completion   | Discontinuation/ | Autopsy    | or Completion     | Discontinuation/   |
| D         | Date       | Version   | (Day)           | Specified Reason | Performed? | (Day)             | Specified Reason   |
| JS3052338 | 2020-09-01 | AMENDMENT | 3 No/           | Other/           |            | Yes/              |                    |
|           | 2020 00 01 | 1         | 2020-12-15 (106 |                  |            | 2020-10-06 (36)   |                    |
|           |            |           |                 | REQUESTED TO BE  |            |                   |                    |
|           |            |           |                 | UNBLINDED TO     |            |                   |                    |
|           |            |           |                 | RECEIVE THE      |            |                   |                    |
|           |            |           |                 | COVID-19 VACCINE |            |                   |                    |
|           |            |           |                 | AVAILABLE.       |            |                   |                    |
| JS3052349 | 2020-09-02 | AMENDMENT | 3 No/           | Protocol         |            | Yes/              |                    |
|           |            |           | 2021-01-16 (137 | )Deviation/      |            | 2020-10-07 (36)   |                    |
|           |            |           |                 | SUBJECT RECEIVED |            |                   |                    |
|           |            |           |                 | MODERNA VACCINE  |            |                   |                    |
|           |            |           |                 | AT JOB WITHOUT   |            |                   |                    |
|           |            |           |                 | BEING UNBLINDED  |            |                   |                    |
|           |            |           |                 | FIRST ON         |            |                   |                    |
|           |            |           |                 | 12/30/2020       |            |                   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                   | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3052358     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-22 (112 | Other/<br>)UNBLINDED FOR<br>ADMINISTRATION<br>OF ALTERNATE<br>VACCINE, PLACEBO<br>GROUP, WITHDRAWN<br>FROM TRIAL. |         | Yes/<br>2020-10-06 (35)                                                          |                                                                      |
| US3052362     | 2020-09-03                  | AMENDMENT           | /                        | Other/<br>)SUBJECT ASKED TO<br>BE UNBLINDED TO<br>RECEIVE THE<br>COVID-19 VACCINE<br>AVAILABLE.                   |         | Yes/<br>2020-10-05 (33)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|-------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3052363     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-15 (104) | Other/<br>SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE THE<br>COVID-19 VACCINE<br>AVAILABLE. |         | Yes/<br>2020-10-05 (33)                                                          |                                                                      |
| US3062172     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2021-02-16 (193) | Withdrawal of<br>Consent by<br>Participant                                                            |         | Yes/<br>2020-09-05 (29)                                                          |                                                                      |
| US3062185     | 2020-08-08                  | AMENDMENT           | - /                       | Other/<br>UN-BLINDED/RANDO<br>MIZED TO PLACEBO<br>GROUP PRIOR TO<br>AMENDMENT 6<br>APPROVAL           |         | Yes/<br>2020-09-05 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                      |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason             | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3062232     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2021-02-09 (180 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT JOINED<br>ARMY |         | Yes/<br>2020-09-11 (29)                                     |                                                                      |
| US3062245     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2021-03-03 (202 | Lost to Follow-Up<br>)                                                 | )       | Yes/<br>2020-09-11 (29)                                     |                                                                      |
| US3062311     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-03-17 (188 | Physician<br>)Decision/<br>EPIP 440811-<br>POOR DATA                   |         | Yes/<br>2020-10-06 (26)                                     |                                                                      |
| US3082004     | 2020-08-06                  | AMENDMENT :         | - /                      | Other/<br>)REQUESTED TO BE<br>UNBLINDED DUE TO<br>EUA                  |         | Yes/<br>2020-09-10 (36)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | Reason for Study                                                                         | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|-----------------------------------------------------|------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                                     | Discontinuation/<br>Specified Reason                                                     | Autopsy           | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| S3082015      | 2020-08-11      | AMENDMENT 2         | 2 No/<br>2021-01-20 (163                            | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND POST<br>EUA/ RECEIVED<br>PFIZER VACCINE |                   | Yes/<br>2020-09-09 (30)                                |                                      |
| IS3082019     | 2020-08-12      | AMENDMENT 2         | 2 No/<br>2020-12-29 (140                            | Other/<br>)REQUEST TO<br>UNBLIND EUA<br>COVID-19 VACCINE                                 |                   | Yes/<br>2020-09-09 (29)                                |                                      |
| S3082025      | 2020-08-13      | AMENDMENT 2         | 2 No/<br>2021-02-18 (190                            | Lost to Follow-Up<br>)                                                                   | )                 | Yes/<br>2020-09-10 (29)                                |                                      |
| S3082029      | 2020-08-14      | AMENDMENT 2         | 2 No/<br>2021-01-04 (144                            |                                                                                          |                   | Yes/<br>2020-09-11 (29)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                                                |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | n Reason for Study                                                                                               | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                             |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3082033     | 2020-08-14      | AMENDMENT           | 2 No/<br>2020-12-21 (130         | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                        |                   | Yes/<br>2020-09-11 (29)           |                                      |
| US3082043     | 2020-08-17      | AMENDMENT           | 2 No/<br>2021-01-11 (148         | Protocol<br>)Deviation/<br>POST-EUA<br>APPROVAL/<br>RECEIVED<br>APPROVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY |                   | Yes/<br>2020-09-14 (29)           |                                      |
| US3082076     | 2020-08-20      | AMENDMENT           | 3 No/<br>2020-12-21 (124         | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                        |                   | Yes/<br>2020-09-23 (35)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3082083     | 2020-08-21                  | AMENDMENT           | 3 No/<br>2021-01-20 (153 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND<br>POST-EUA/RECEIVE<br>D PFIZER |         | Yes/<br>2020-09-25 (36)                                                          |                                                                           |
| US3082102     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-01-08 (137 | Protocol<br>)Deviation/<br>RECIEVED<br>MRNA-1273<br>VACCINE OUTSIDE<br>OF STUDY  |         | Yes/<br>2020-09-23 (30)                                                          |                                                                           |
| US3082107     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-21 (119 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                        |         | Yes/<br>2020-09-25 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |                     |           | Completed Study          | /                                                                                                  |            | Completed Dosing,                                  | /                                                |
|-----------|---------------------|-----------|--------------------------|----------------------------------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  | or Completion            |                                                                                                    | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                   | Performed? | (Day)                                              | Specified Reason                                 |
| US3082111 | 2020-08-26          | AMENDMENT | 3 No/<br>2021-01-25 (153 | Other/<br>)REQUEST TO<br>UNBLIND<br>POST-EUA/RECEIVI<br>NG PFIZER<br>THROUGH WORK                  |            | Yes/<br>2020-09-24 (30)                            |                                                  |
| US3082116 | 2020-08-26          | AMENDMENT | 3 No/<br>2021-01-25 (153 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND<br>POST-EUA/PATIENT<br>RECEIVED PFIZER<br>VACCINE |            | Yes/<br>2020-09-23 (29)                            |                                                  |
| US3082128 | 2020-08-27          | AMENDMENT | 3 No/<br>2021-01-29 (156 | Other/<br>)EUA<br>DISCONTINUATION                                                                  |            | Yes/<br>2020-09-29 (34)                            |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | ,<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3082131     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-21 (116                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE         |         | Yes/<br>2020-09-28 (32)                                                          |                                                                           |
| US3082133     | 2020-08-28                  | AMENDMENT :         | 3 No/<br>2021-01-04 (130                                                      | Other/<br>)REQUEST TO<br>UNBLIND POST<br>COVID-19 VACCINE<br>EUA |         | Yes/<br>2020-10-01 (35)                                                          |                                                                           |
| US3082136     | 2020-08-28                  | AMENDMENT :         | 3 No/<br>2021-01-07 (133                                                      | Other/<br>)REQUEST TO<br>UNBLIND POST EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-28 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                       | <br>Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3082141     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-28 (120 | Protocol<br>)Deviation/<br>POST EUA<br>UNBLINDING/<br>PARTICIPANT<br>RECEIVED PIFZER | Yes/<br>2020-09-29 (30)                                                              |                                                                           |
| US3082152     | 2020-08-29                  | AMENDMENT           | 3 No/<br>2020-12-21 (115 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                            | Yes/<br>2020-10-01 (34)                                                              |                                                                           |
| US3082154     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2021-02-22 (176 | Protocol<br>)Deviation/<br>POST-EUA<br>UNBLINDING/<br>SUBJECT RECEIVED<br>PFIZER     | Yes/<br>2020-10-01 (32)                                                              |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3082167     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-05 (119 | Other/<br>)REQUEST TO<br>UNBLIND POST-EUA                       |         | Yes/<br>2020-10-12 (34)                                                          |                                                                           |
| US3082179     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-01-04 (125 | Other/<br>)REQUEST TO<br>UNBLIND POST EUA<br>COVID-19 VACCINE   |         | Yes/<br>2020-10-01 (30)                                                          |                                                                           |
| US3082198     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-21 (109 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE        |         | Yes/<br>2020-10-08 (35)                                                          |                                                                           |
| US3082201     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-21 (109 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE       |         | Yes/<br>2020-10-05 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                               | Autopsy            | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3082247     | 2020-09-16                  | AMENDMENT (         | 3 No/<br>2021-03-15 (181                                                      | Lost to Follow-Up<br>)                                                                      | )                  | No/<br>2020-10-13 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3082249     | 2020-09-16                  | AMENDMENT 3         | 3 No/<br>2021-01-28 (135                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND<br>POST-EUA/<br>PATIENT RECEIVED<br>PFIZER |                    | Yes/<br>2020-10-15 (30)                                                          |                                                                      |
| US3082269     | 2020-09-21                  | AMENDMENT           | 3 No/<br>2020-10-03 (13)                                                      | Death                                                                                       | 2020-10-03/<br>UNK | ′No/<br>2020-10-03 (13)                                                          | Death                                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |             | Completed Study | ·/                 |            | Completed Dosing | /                  |
|-----------|------------|-------------|-----------------|--------------------|------------|------------------|--------------------|
|           |            |             | Date of Study   |                    | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   |             | Discontinuation | n Reason for Study | Death/     | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol    | or Completion   | Discontinuation/   | Autopsy    | or Completion    | Discontinuation/   |
| ID        | Date       | Version     | (Day)           | Specified Reason   | Performed? | (Day)            | Specified Reason   |
|           |            |             | /               |                    |            | /                |                    |
| JS3092001 | 2020-08-04 | AMENDMENT 1 | - /             | Protocol           |            | Yes/             |                    |
|           |            |             | 2020-12-16 (135 | )Deviation/        |            | 2020-09-01 (29)  |                    |
|           |            |             |                 | SUBJECT RECEIVED   |            |                  |                    |
|           |            |             |                 | EUA VACCINE        |            |                  |                    |
|           |            |             |                 | OUTSIDE OF STUDY   |            |                  |                    |
|           |            |             |                 | PROTOCOL.          |            |                  |                    |
|           |            |             |                 | SUBJECT RECEIVED   |            |                  |                    |
|           |            |             |                 | A NON STUDY        |            |                  |                    |
|           |            |             |                 | COVID VACCINE      |            |                  |                    |
|           |            |             |                 |                    |            |                  |                    |
|           |            |             |                 | PRIOR TO           |            |                  |                    |
|           |            |             |                 | WITHDRAWAL.        |            |                  |                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                         |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3092003     | 2020-08-04                  | AMENDMENT (         | l No/<br>2020-10-09 (67)                                                      | Protocol<br>Deviation/<br>PHYSICIAN<br>DECISION PER NON<br>COMPLIANCE AFTER<br>SEVERAL FAILED<br>CALL ATTEMPTS TO<br>SUBJECT. |         | No/<br>2020-08-31 (28)                                                           | Physician Decision/<br>SUBJECT WITHDREW<br>DUE TO ONLINE<br>SCHOOLING.LATER<br>CALLED SITE TO<br>SCHEDULE NEXT<br>VISIT. AFTER EPIP<br>WAS SUBMITTED<br>SUBJECT NEVER<br>RESPONDED TO SITE<br>CALLS. PHYSICIAN<br>DECISION PER<br>SUBJECT NON<br>COMPLIANCE. |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                 |         | Completed Dosing                                            | /                                                                                                                                                                                                                                                        |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                     |
| US3092018     | 2020-08-05                  | AMENDMENT :         | 1 No/<br>2020-09-24 (51) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DID NOT<br>PROVIDE REASON<br>FOR WITHDRAWAL<br>OF CONSENT. |         | No/<br>2020-09-01 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT RETURNED TO<br>SITE TO RETURN<br>E-DIARY DEVICE AND<br>WITHDREW CONSENT.<br>SITE ATTEMPTED TO<br>CONTACT SUBJECT AS<br>NO REASON AS TO WHY<br>SUBJECT WAS<br>WITHDRAWING FROM<br>STUDY WAS GIVEN. |
| US3092034     | 2020-08-06                  | AMENDMENT (         | l No/<br>2020-11-20 (107 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DECISION                                                  |         | Yes/<br>2020-09-25 (51)                                     |                                                                                                                                                                                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3092041     | 2020-08-06                  | AMENDMENT :         | 1 No/<br>2020-12-28 (145 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>STUDY> SUBJECT<br>RECEIVED A<br>NON_STUDY COVID<br>VACCINE PRIOR TO<br>WITHDRAWAL FROM<br>STUDY. |         | Yes/<br>2020-09-08 (34)                                                          |                                                                           |
| US3092051     | 2020-08-07                  | AMENDMENT :         | 1 No/<br>2020-09-28 (53) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>DECISION,<br>WITHDREW CONSENT                                                                                       |         | Yes/<br>2020-09-16 (41)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3092059     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-10-23 (78) | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO WORK<br>SCHEDULE                           |         | No/<br>2020-09-03 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO WORK<br>SCHEDULE    |
| US3092062     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-03-01 (207 | Other/<br>)SUBJECT<br>DISCONTINUED.<br>UNBLINDED- IN<br>PERU AND HAS<br>ACCESS TO<br>RECEIVE EUA |         | Yes/<br>2020-09-04 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3092085     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2021-03-04 (209 | Protocol<br>)Deviation/<br>DISCONTINUED DUE<br>TO RECEIVING<br>VACCINE OUTSIDE<br>OF PROTOCOL.<br>SUBJECT RECEIVED<br>A NON-STUDY<br>COVID VACCINE<br>PRIOR TO<br>WITHDRAWAL FROM<br>THE STUDY. |         | Yes/<br>2020-09-12 (36)                                                          |                                                                      |
| US3092104     | 2020-08-11                  | AMENDMENT           | 2 No/<br>2021-01-04 (147 | Other/<br>)SUBJECT<br>UNBLINDED UNDER<br>A5 AND PRIOR TO<br>PDV                                                                                                                                 |         | Yes/<br>2020-09-09 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3092109 2020-08-11 AMENDMENT 2 No/ Withdrawal of No/ Withdrawal of 2020-10-30 (81) Consent by 2020-09-07 (28) Consent by Participant/ Participant/ SUBJECT SUBJECT DISCONTINUED DISCONTINUED FROM FROM STUDY STUDY TREATMENT AND TREATMENT AND DID NOT WANT TO DID NOT WANT TO CONTINUE IN ANY CONTINUE IN ANY SAFETY FOLLOW UP SAFETY FOLLOW UP PROCEDURES. PROCEDURES.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                           |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| US3092123     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-11-20 (101 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DIDN'T<br>FEEL LIKE HE<br>RECEIVED THE<br>VACCINE AND<br>WANTS TO WAIT<br>FOR APPROVED<br>VACCINE. |         | No/<br>2020-09-08 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DIDN'T FEEL<br>LIKE HE RECEIVED<br>THE VACCINE AND<br>WANTS TO WAIT FOR<br>APPROVED VACCINE. |
| US3092138     | 2020-08-15                  | AMENDMENT           | 2 No/<br>2021-01-13 (152 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECISION                                                                                            |         | Yes/<br>2020-09-18 (35)                                                         |                                                                                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3092162     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-03-02 (196 | Withdrawal of<br>Oconsent by<br>Participant/<br>SUBJECT DECISION                                              |         | Yes/<br>2020-09-21 (34)                                                          | •                                                                         |
| US3092164     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-02-10 (176 | Protocol<br>5) Deviation/<br>DISCONTINUED-<br>UNBLINDED PRIOR<br>TO PROTOCOL<br>AMENDMENT 6,<br>RECEIVED EUA. |         | Yes/<br>2020-09-16 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                                                                       |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3092165     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-12-24 (128 | Protocol<br>)Deviation/<br>SUBJECT MEETS<br>CDC ELIGIBILITY<br>CRITERIA AND HAS<br>ACCESS TO<br>RECEIVE<br>NON-STUDY<br>COVID-19 VACCINE<br>UNDER EUA.<br>SUBJECT<br>UNBLINDED PRIOR<br>TO AMENDMENT 6. |         | Yes/<br>2020-09-21 (34)                                     |                                                                      |
| US3092188     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-03-01 (193 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DECISION                                                                                                                                        |         | Yes/<br>2020-09-25 (36)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                          |         | Completed Dosing                                            | /                                                                                                                          |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                       |
| JS3092198     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-09 (108                                   | Lost to Follow-Up                                          | )       | No/<br>2020-09-20 (28)                                      | Lost to Follow-Up                                                                                                          |
| US3092233     | 2020-08-26                  | AMENDMENT 3         | 3 ONGOING                                                  |                                                            |         | No/<br>2020-09-22 (28)                                      | Other/<br>SUBJECT DID NOT<br>RECEIVE DOSE 2 DUE<br>TO ILLNESSNESS<br>VISIT. SUBJECT<br>AGREES TO CONTINUE<br>IN THE STUDY. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | /<br>Reason for Study                                                                                                                                                                      | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                                                                                                                       |                   | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3092277     | 2020-08-29      | AMENDMENT           | 3 No/<br>2021-01-12 (137                            | Protocol<br>)Deviation/<br>PROTOCOL<br>DEVIATION:<br>SUBJECT TOOK A<br>VACCINE OUTSIDE<br>OF THE STUDY.<br>SUBJECT TOOK<br>NON-STUDY<br>VACCINCE PRIOR<br>TO WITHDRAWAL<br>FROM THE SYUDY. |                   | Yes/<br>2020-10-02 (35)                                |                                      |
| US3092283     | 2020-09-01      | AMENDMENT           | 3 No/<br>2020-12-24 (115                            | Other/<br>)SUBJECT<br>UNBLINDED UNDER<br>ADMENMENT 5 AND<br>PRIOR TO PDV.                                                                                                                  |                   | Yes/<br>2020-10-01 (31)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Discontinuation/ Subject Consent or Completion Discontinuation/ Autopsy or Completion Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3092287 2020-09-02 AMENDMENT 3 ONGOING No/ Other/ 2020-09-29 (28) SUBJECT REQUIRED TO RECEIVE FLU VACCINE FOR MEDICAL SCHOOL WITHIN 14 DAYS OF DOSE 2. (THIS WAS UNKNOWN AT SCREENING) SUBJECT D/C DOSING AND DID NOT RECEIVE DOSE 2, BUT WILL CONTINUE IN THE STUDY. US3092290 2020-09-02 AMENDMENT 3 No/ Withdrawal of Yes/ 2021-03-25 (205) Consent by 2020-09-29 (28) Participant/ SUBJECT DECISION/ WITHDREW

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3092306     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-24 (105 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>AT WORK(SUBJECT<br>RECEIVED A<br>NON-STUDY COVID<br>VACCINE PRIOR TO<br>WITHDRAWAL FROM<br>STUDY.) |         | Yes/<br>2020-10-09 (29)                                                         |                                                                           |
| US3102012     | 2020-07-28                  | AMENDMENT           | - /                      | Other/<br>)SUBJECT INFORMED<br>SITE THAT SHE<br>HAS MOVED OUT OF<br>STATE. WISHED TO<br>WITHDRAW FROM<br>STUDY AND BE<br>UNBLINDED.                                   |         | Yes/<br>2020-08-24 (28)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3102026     | 2020-07-28                  | AMENDMENT           | 1 No/<br>2020-12-23 (149 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE.                                   |         | Yes/<br>2020-08-25 (29)                                                          |                                                                      |
| US3102031     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2020-10-19 (83) | Lost to Follow-Up                                                                                               | )       | Yes/<br>2020-08-25 (28)                                                          |                                                                      |
| US3102041     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-01-16 (172 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE<br>OF PFIZER<br>RECEIVED<br>18DEC2020 |         | Yes/<br>2020-08-26 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                   | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| US3102065     | 2020-07-30                  | AMENDMENT 1         | L No/<br>2021-01-20 (175                                                      | Protocol<br>)Deviation/<br>RECEIVED MODERNA<br>COVID-19 VACCINE<br>OUTSIDE OF THE<br>STUDY.                                     |                                            | Yes/<br>2020-08-26 (28)                                                          |                                                                                                                     |
| US3102071     | 2020-07-30                  | AMENDMENT 1         | L No/<br>2020-08-31 (33)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WANTS TO<br>BE UNBLINDED<br>SINCE HE CAN<br>RECEIVE COVID-19<br>AT WORK. |                                            | No/<br>2020-08-26 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT DOES<br>NOT WANT TO DO<br>ANOTHER NP SWAB AT<br>VISIT 2. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102077     | 2020-08-03                  | AMENDMENT 3         | l No/<br>2021-01-16 (167 | Protocol<br>Deviation/<br>SUBJECT WAS<br>CONTACTED TO<br>SCHEDULE<br>UNBLINDING VISIT<br>AND INFORMED<br>SITE THEY HAD A<br>NON-STUDY EUA<br>VACCINE<br>ADMINISTERED<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-08-28 (26)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102090     | 2020-08-03                  | AMENDMENT :         | 1 No/<br>2021-01-07 (158 | Protocol<br>)Deviation/<br>NON-STUDY<br>EUA-VACCINE<br>ADMINISTERED<br>OUTSIDE OF STUDY                      |         | Yes/<br>2020-09-01 (30)                                                          |                                                                           |
| US3102151     | 2020-08-10                  | AMENDMENT :         | 2 No/<br>2021-01-21 (165 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE,<br>RECEIVED PFIZER<br>VACCINE. |         | Yes/<br>2020-09-04 (26)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          |                                                                                            | _       | Completed Dosing,       |                                      |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|-------------------------|--------------------------------------|
|               | <b>T C</b> 1    |                     | Date of Study            |                                                                                            | Date of | Date of Dosing          | Primary Reason for                   |
|               | Informed        |                     |                          | Reason for Study                                                                           |         | Discontinuation         | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                       |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason |
| S3102158      | 2020-08-10      | AMENDMENT           | 2 No/<br>2021-02-01 (176 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLINDED,<br>RECEIVED PFIZER<br>COVID-19 VACCINE |         | Yes/<br>2020-09-04 (26) |                                      |
| S3102161      | 2020-08-10      | AMENDMENT           | 2 No/<br>2021-02-22 (197 | Lost to Follow-Up<br>)                                                                     | )       | Yes/<br>2020-09-08 (30) |                                      |
| S3102162      | 2020-08-10      | AMENDMENT           | 2 No/<br>2021-02-22 (197 | Lost to Follow-Up<br>)                                                                     | )       | Yes/<br>2020-09-11 (33) |                                      |
| JS3102167     | 2020-08-11      | AMENDMENT           | 2 No/<br>2021-01-16 (159 |                                                                                            |         | Yes/<br>2020-09-09 (30) |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        | Duchasel            |                          | n Reason for Study                                                                                                                      | Completed Dosing<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                    | or Completion<br>(Day)                                | Discontinuation/<br>Specified Reason |
| US3102172     | 2020-08-11      | AMENDMENT 2         | 2 No/<br>2021-02-19 (193 | Protocol<br>)Deviation/<br>RECEIVED THE<br>PFIZER COVID-19<br>VACCINE VIA<br>WORK.                                                      | Yes/<br>2020-09-08 (29)                               |                                      |
| US3102180     | 2020-08-11      | AMENDMENT 2         | 2 No/<br>2020-12-28 (140 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER EUA<br>COVID-19 VACCINE<br>AND THEN<br>REQUESTED TO BE<br>UNBLINDED FROM<br>STUDY | Yes/<br>2020-09-11 (32)                               |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | //<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102181     | 2020-08-11                  | AMENDMENT :         | 2 No/<br>2021-01-05 (148 | Withdrawal of<br>B) Consent by<br>Participant/<br>SUBJECT WAS<br>CALLED 05JAN2021<br>TO SCHEDULE<br>UNBLINDING<br>VISIT. THEY<br>STATED THEY<br>WANTED TO BE<br>UNBLINDED IN<br>ORDER TO RECEIVE<br>THE PFIZER<br>VACCINE. SUBJECT<br>WITHDREW FROM<br>STUDY<br>COMPLETELY. |         | Yes/<br>2020-09-08 (29)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3102182     | 2020-08-11                  | AMENDMENT           | 2 No/<br>2021-02-18 (192 | Protocol<br>)Deviation/<br>RECEIVED MODERNA<br>COVID-19 VACCINE<br>THROUGH WORK                                  |         | Yes/<br>2020-09-08 (29)                                                          |                                                                      |
| US3102193     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-01-21 (163 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE.<br>RECEIVED THE<br>PFIZER VACCINE. |         | Yes/<br>2020-09-09 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3102198     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-01-05 (145 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                 |         | Yes/<br>2020-09-10 (28)                                                          |                                                                      |
| US3102201     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-12-29 (138 | Withdrawal of<br>)Consent by<br>Participant/<br>REQUEST FOR<br>UNBLINDING,<br>PLANS TO RECEIVE<br>EUA COVID-19<br>VACCINE |         | Yes/<br>2020-09-10 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason            | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102209     |                             | AMENDMENT           | -                        | Protocol                                                                   | reriormed. | Yes/                                                                            |                                                                           |
|               |                             |                     | 2021-01-18 (154          | )Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE              |            | 2020-09-14 (28)                                                                 |                                                                           |
| US3102212     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-02-11 (178 | Protocol<br>)Deviation/<br>RECEIVED MODERNA<br>VACCINE OUTSIDE<br>OF STUDY |            | Yes/<br>2020-09-14 (28)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102225     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-12-23 (127 | Withdrawal of<br>)Consent by<br>Participant/<br>REQUEST TO<br>WITHDRAW FROM<br>STUDY IN ORDER<br>TO RECEIVE EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-15 (28)                                                         |                                                                           |
| US3102231     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-01-09 (144 | Withdrawal of<br>)Consent by<br>Participant/<br>PER SUBJECTS<br>REQUEST TO<br>WITHDRAW FROM<br>STUDY.                               |         | Yes/<br>2020-09-16 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102285     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-03-02 (187 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND-<br>RECEIVED VACCINE<br>OUTSIDE OF THE<br>STUDY |         | Yes/<br>2020-09-24 (28)                                                          |                                                                           |
| US3102307     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-29 (120 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                        |         | Yes/<br>2020-10-02 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3102310     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-23 (114 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND-/ EUA<br>COVID-19<br>VACCINE.<br>SCHEDULED TO<br>RECEIVE THE<br>PFIZER VACCINE. |         | Yes/<br>2020-09-29 (29)                                                          |                                                                      |
| US3102315     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-03-03 (183 | Protocol<br>)Deviation/<br>RECEIVED MODERNA<br>VACCINE UNDER<br>EUA                                                              |         | Yes/<br>2020-09-30 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  | or Completion            | Reason for Study<br>Discontinuation/                                                                                                                   | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                 |
|-----------|---------------------|-----------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                       | Performed? | (Day)                                                                  | Specified Reason                                                                                                                                 |
| US3102353 | 2020-09-14          | AMENDMENT | 3 No/<br>2021-01-16 (125 | Protocol<br>)Deviation/<br>PROTOCOL<br>DEVIATION<br>RECEIVED MODERNA<br>VACCINE OUT SIDE<br>OF STUDY ON<br>07JAN2021                                   |            | Yes/<br>2020-10-09 (26)                                                |                                                                                                                                                  |
| US3102354 | 2020-09-14          | AMENDMENT | 3 No/<br>2020-10-05 (22) | Withdrawal of<br>Consent by<br>Participant/<br>STATED SHE<br>WANTED TO<br>WITHDRAW FROM<br>THE STUDY AND<br>SITED FAMILY<br>COMMITMENTS AND<br>ISSUES. |            | No/<br>2020-10-04 (21)                                                 | Withdrawal of<br>Consent by<br>Participant/<br>STATED SHE WANTED<br>TO WITHDRAW FROM<br>THE STUDY AND SITED<br>FAMILY COMMITMENTS<br>AND ISSUES. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3102388     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2021-03-04 (168 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>A COVID-19<br>VACCINATION AT<br>WORK.                                                   |         | Yes/<br>2020-10-16 (29)                                                          |                                                                      |
| JS3102395     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2021-02-22 (152 | Lost to Follow-Up<br>)                                                                                                                 | )       | Yes/<br>2020-10-19 (26)                                                          |                                                                      |
| JS3102401     | 2020-09-28                  | AMENDMENT           | 3 No/<br>2021-02-02 (128 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE. SUBJECT<br>RECEIVED PFIZER<br>VACCINE WHILE<br>ON-STUDY. |         | Yes/<br>2020-10-26 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                      |         | Completed Dosing                                            | /                                                                                                                                                   |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                               | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                |
| US3102404     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2020-10-27 (28) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED<br>HE WISHED TO<br>WITHDRAW CONSENT<br>DUE TO LENGTH IT<br>TOOK TO TRAVEL<br>TO THE SITE |         | No/<br>2020-10-26 (27)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED HE<br>WISHED TO WITHDRAW<br>CONSENT DUE TO<br>LENGTH IT TOOK TO<br>TRAVEL TO THE SITE |
| US3102416     | 2020-10-08                  | AMENDMENT           | 4 No/<br>2020-12-23 (77) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT FROM<br>STUDY, STATED<br>PERSONAL REASONS                                   |         | Yes/<br>2020-11-09 (33)                                     |                                                                                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  | or Completion            | Reason for Study<br>Discontinuation/                                                                                                  | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                      | Performed? | (Day)                                                                   | Specified Reason                                 |
| US3112034 | 2020-07-30          | AMENDMENT | 1 No/<br>2020-12-28 (152 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                             |            | Yes/<br>2020-08-27 (29)                                                 |                                                  |
| US3112035 | 2020-07-30          | AMENDMENT | 1 No/<br>2020-10-09 (72) | Lost to Follow-Up                                                                                                                     | )          | Yes/<br>2020-08-26 (28)                                                 |                                                  |
| US3112051 | 2020-07-31          | AMENDMENT | 1 No/<br>2021-01-27 (181 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT AS THEY<br>PREFERRED TO<br>RECEIVE THE<br>PFIZER VACCINE. |            | Yes/<br>2020-08-26 (27)                                                 |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3112054     | 2020-07-31                  | AMENDMENT (         | l No/<br>2020-12-16 (139 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                 |         | Yes/<br>2020-08-28 (29)                                                          |                                                                           |
| US3112069     | 2020-08-03                  | AMENDMENT :         | 1 No/<br>2020-12-23 (143 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-09-01 (30)                                                          |                                                                           |
| US3112092     | 2020-08-04                  | AMENDMENT (         | 1 No/<br>2020-12-30 (149 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-04 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3112096     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-28 (147                                                      | Protocol<br>)Deviation/<br>REQUEST OT<br>UNBLIND/ EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-01 (29)                                                         |                                                                           |
| US3112098*    | 2020-07-30                  | AMENDMENT           | 1 No/<br>2020-12-17 (136                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/EAU<br>COVID-19 VACCINE  |         | Yes/<br>2020-09-01 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3112221     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-02-09 (182 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT DECISION<br>TO WITHDRAW<br>CONSENT AS<br>CONTINUED<br>PARTICIPATION/VA<br>CCINATION WILL<br>BE COMPLICATED<br>WITH UPCOMING<br>MOVE TO RECEIVE<br>TREATMENT OF<br>RECENT<br>COLORECTAL<br>CANCER<br>DIAGNOSIS. |         | Yes/<br>2020-09-08 (28)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                                               |            | Completed Dosing/ |                    |
|-----------|------------|-----------|-----------------|-------------------------------------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study   |                                                 | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           | Discontinuatior | Reason for Study                                | Death/     | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | or Completion   | Discontinuation/                                | Autopsy    | or Completion     | Discontinuation/   |
| ID        | Date       | Version   | (Day)           | Specified Reason                                | Performed? | (Day)             | Specified Reason   |
| US3112223 | 2020-08-13 | AMENDMENT | 2 No/           | Other/                                          |            | Yes/              |                    |
|           |            |           | 2021-01-14 (155 | )REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE |            | 2020-09-23 (42)   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3112252 2020-08-14 AMENDMENT 2 No/ Withdrawal of No/ Withdrawal of 2020-09-30 (48) Consent by 2020-09-10 (28) Consent by Participant/ Participant/ PT HAS AGREED TO PT RESPONDED TO WITHDRAW CONSENT MISSED APPTS DUE TO UPCOMING THROUGH CALL, TOTAL KNEE AGREED TO WITHDRAW REPLACEMENT CONSENT DUE TO CITING POTENTIAL UPCOMING TOTAL KNEE INABILITY TO REPLACEMENT SURGERY COMPLY FULLY CITING POTENTIAL WITH STUDY INABILITY TO COMPLY FULLY WITH STUDY PROCEDURES. PT HAS ALSO PROCEDURES. DEMONSTRATED TENDENCY OF NON-COMPLIANCE.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3112258     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2021-01-14 (154                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID -19<br>VACCINE |         | Yes/<br>2020-09-15 (33)                                                          |                                                                           |
| US3112266     | 2020-08-14                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                              |         | No/<br>2020-09-10 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3112267     | 2020-08-14                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                              |         | No/<br>2020-09-10 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3112268     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-09-04 (22)                                                      | Lost to Follow-Up                                            | )       | No/<br>2020-09-03 (21)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3112284     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-01-13 (150 | Withdrawal of<br>)Consent by<br>Participant/<br>REQUEST TO<br>UNBLIND/ EVA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-14 (29)                                                          |                                                                      |
| US3112292     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-12-21 (126 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                     |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3112304     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-03-02 (197 | Lost to Follow-Up<br>)                                                                         | )       | Yes/<br>2020-09-15 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                                          |         | Completed Dosing,                                           | /                                                                                                                   |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------------------------|---------|-------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                |
| US3112413     | 2020-08-27                  | AMENDMENT           | 3 ONGOING                                                  |                                                                            |         | No/<br>2020-09-23 (28)                                      | Physician Decision/<br>2ND DOSE NOT<br>ADVISED PER PI<br>DISCRETION DUE TO<br>SYNCOPAL EPISODE<br>AFTER BLOOD DRAW. |
| US3112423     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-22 (148                                   | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID 19 VACCINE |         | Yes/<br>2020-09-28 (32)                                     |                                                                                                                     |
| US3112424     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-23 (118                                   | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-09-25 (29)                                     |                                                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3112429     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2021-01-13 (136                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-29 (30)                                                          |                                                                      |
| US3112450     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-22 (110                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                   |         | Yes/<br>2020-10-02 (29)                                                          |                                                                      |
| US3122012     | 2020-07-27                  | AMENDMENT           | 1 No/<br>2021-02-09 (198                                                      | Lost to Follow-Ug<br>)                                                     | )       | Yes/<br>2020-08-26 (31)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3122055     | 2020-07-28                  | AMENDMENT :         | l No/<br>2020-12-18 (144 | Other/<br>)UNBLINDED:<br>PLACEBO-<br>AUTOMATICALLY<br>WITHDRAW FROM<br>STUDY                       |         | Yes/<br>2020-08-25 (29)                                                          |                                                                      |
| US3122069     | 2020-07-28                  | AMENDMENT :         | - 1                      | Other/<br>)UNBLINDED: STUDY<br>OUTCOME WAS<br>PLACEBO-<br>AUTOMATICALLY<br>WITHDRAW FROM<br>STUDY. |         | Yes/<br>2020-09-16 (51)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3122088     | 2020-07-28                  | AMENDMENT :         | 1 No/<br>2020-12-16 (142 | Other/<br>)UNBLINDED:<br>PLACEBO-<br>AUTOMATICALLY<br>WITHDRAW FROM<br>STUDY                       |         | Yes/<br>2020-08-26 (30)                                                          |                                                                           |
| US3122105     | 2020-07-29                  | AMENDMENT :         | - /                      | Other/<br>OUNBLINDED: STUDY<br>OUTCOME WAS<br>PLACEBO-<br>AUTOMATICALLY<br>WITHDRAW FROM<br>STUDY. |         | Yes/<br>2020-08-25 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |     | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----|----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3122106     | 2020-07-29                  | AMENDMENT :         | - 1 | Other/<br>)UNBLINDED: STUDY<br>OUTCOME WAS<br>PLACEBO-<br>AUTOMATICALLY<br>WITHDRAW FROM<br>STUDY. |         | Yes/<br>2020-08-25 (28)                                                          |                                                                           |
| US3122110     | 2020-07-29                  | AMENDMENT :         | - 1 | Other/<br>)UNBLINDED: STUDY<br>OUTCOME WAS<br>PLACEBO-<br>AUTOMATICALLY<br>WITHDRAW FROM<br>STUDY. |         | Yes/<br>2020-08-27 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |                     |           | Completed Study/         |                                                                                |            | Completed Dosing/                                  |                                                  |
|-----------|---------------------|-----------|--------------------------|--------------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  |                          | Reason for Study<br>Discontinuation/                                           |            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                               | Performed? | (Day)                                              | Specified Reason                                 |
| US3122116 | 2020-07-29          | AMENDMENT | 1 No/<br>2020-11-24 (119 | Other/<br>)SUBJECT LEFT<br>COUNTRY AND WILL<br>NOT RETURN UNTIL<br>SUMMER 2021 |            | Yes/<br>2020-08-27 (30)                            |                                                  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3122127 2020-07-29 AMENDMENT 1 No/ Withdrawal of No/ Withdrawal of 2020-09-14 (48) Consent by 2020-08-25 (28) Consent by Participant/ Participant/ PATIENT LEFT PATIENT LEFT SITE SITE AT DAY 29 AT DAY 29 PRIOR TO PRIOR TO IP IP ADMINISTRATION. ADMINISTRATION. CALLED SITE ON CALLED SITE ON 14-SEP-2020 TO SAY 14-SEP-2020 TO THEY NO LONGER SAY THEY NO WISHED TO LONGER WISHED TO PARTICIPATE IN THE PARTICIPATE IN STUDY AND WITHDREW THE STUDY AND CONSENT. WITHDREW CONSENT.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3122143     | 2020-09-01                  | AMENDMENT 3         | 3 No/<br>2021-01-19 (141 | Withdrawal of<br>)Consent by<br>Participant/<br>SCHEDULED FOR<br>UNBLINDING ON<br>12JAN BUT WAS NO<br>SHOW. CONTACT<br>ATTEMPTED 18JAN<br>& 19JAN. CONTACT<br>WAS ESTABLISHED<br>PARTICIPANT<br>WITHDREW CONSENT<br>DUE TO NEWS<br>REPORT THEY SAW<br>FROM DAILY MAIL. |         | Yes/<br>2020-09-29 (29)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3132005     | 2020-08-07                  | AMENDMENT :         | 1 No/<br>2020-12-22 (138  | Other/<br>)SUBJECT IS A<br>PHYSICIAN<br>SCHEDULED FOR<br>VACCINE<br>12/26/2020<br>UNWILLING TO<br>WAIT UNTIL<br>AMENDMENT IS<br>APPROVED |         | Yes/<br>2020-09-08 (33)                                                          |                                                                           |
| US3132017     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2021-01-15 (155) | Protocol<br>)Deviation/<br>PROTOCOL<br>DEVIATION,<br>RECEIVED EUA<br>PFIZER VAX                                                          |         | Yes/<br>2020-09-18 (36)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuatior | /<br>n Reason for Study                                                                         | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | ,<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                                     | Discontinuation/<br>Specified Reason                                                            | Autopsy           | or Completion                                          | Discontinuation/<br>Specified Reason |
| JS3132018     | 2020-08-14      | AMENDMENT           | 2 No/<br>2021-01-13 (153                            | Protocol<br>)Deviation/<br>PROTOCOL<br>DEVIATION<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF STUDY |                   | Yes/<br>2020-09-14 (32)                                |                                      |
| S3132030      | 2020-08-27      | AMENDMENT           | 3 No/<br>2020-12-29 (125                            | Other/<br>)EUA SCHEDULED<br>FOR PFIZER<br>VACCINE                                               |                   | Yes/<br>2020-09-25 (30)                                |                                      |
| JS3132039     | 2020-09-03      | AMENDMENT           | 3 No/<br>2020-12-24 (113                            | Protocol<br>)Deviation/<br>EUA VACCINE<br>RECEIVED<br>(PFIZER)                                  |                   | Yes/<br>2020-10-01 (29)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3132043     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2021-02-18 (162 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE EUA                                                                |         | Yes/<br>2020-10-14 (35)                                                          |                                                                           |
| US3132046     | 2020-09-14                  | AMENDMENT           | 2 No/<br>2021-01-28 (137 | Physician<br>)Decision/<br>PI NOT<br>COMFORTABLE WITH<br>CONTINUING<br>PATIENT IN STUDY<br>DUE TO MENTAL<br>INSTABILITY. |         | Yes/<br>2020-10-12 (29)                                                          |                                                                           |
| US3132047     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-30 (108 | Other/<br>)PT UNBLINDED<br>PRIOR TO<br>AMENDMENT 6.                                                                      |         | Yes/<br>2020-10-12 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3132049     | 2020-09-14                  | AMENDMENT           | /                                                                             | Other/<br>)UNWILLINGLY TO<br>WAIT FOR<br>AMENDMENT WAS<br>UNBLINDED     |         | Yes/<br>2020-10-12 (29)                                                         |                                                                           |
| US3132053     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-01-04 (112                                                      | Other/<br>2)UNBLINDED<br>PLACEBO GROUP.<br>PT IS GETTING<br>EAU VACCINE |         | Yes/<br>2020-10-16 (32)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                                                               |                   | Completed Dosing,                 | /                                    |
|---------------|-----------------|---------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                                                | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                            |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3132057     | 2020-09-16      | AMENDMENT           | 3 No/<br>2020-12-22 (98)         | Other/<br>SUBJECT IS A<br>PHYSICIAN AND<br>SCHEDULED FOR<br>VACCINE TODAY.<br>UNWILLING TO<br>WAIT FOR<br>AMENDMENT<br>APPROVAL |                   | Yes/<br>2020-10-14 (29)           |                                      |
| JS3132058     | 2020-09-16      | AMENDMENT           | 3 No/<br>2021-01-22 (129         | Lost to Follow-Up<br>)                                                                                                          | )                 | Yes/<br>2020-10-21 (36)           |                                      |
| US3132059     | 2020-09-16      | AMENDMENT           | 3 No/<br>2020-12-18 (94)         | Other/<br>RECEIVING EUA<br>AND WAS<br>UNBLINDED                                                                                 |                   | Yes/<br>2020-10-14 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3132061     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-12-21 (97) | Other/<br>MEET CDC<br>GUIDELINES FROM<br>TIER 1                                                    |         | Yes/<br>2020-10-13 (28)                                                          |                                                                           |
| US3132071     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2021-01-26 (132 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>THE STUDY                            |         | Yes/<br>2020-10-15 (29)                                                          |                                                                           |
| US3132090     | 2020-09-25                  | AMENDMENT           | 3 No/<br>2021-01-05 (103 | Other/<br>)OFFERED EUA<br>VACCINE.<br>REQUESTED TO<br>UNBLIND.<br>RECEIVED PLACEBO<br>IN THE STUDY |         | Yes/<br>2020-10-26 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study,          | /                                                                                                               |   | Completed Dosing,                 |                                      |
|---------------|-----------------|---------------------|---------------------------|-----------------------------------------------------------------------------------------------------------------|---|-----------------------------------|--------------------------------------|
|               | Informed        |                     |                           | Reason for Study                                                                                                |   | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)    | Discontinuation/<br>Specified Reason                                                                            |   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3132134     | 2020-10-19      | AMENDMENT           | 4 No/<br>2020-12-29 (72)  | Protocol<br>Deviation/<br>PROTOCOL<br>DEVIATION<br>RECEIVED PFIZER<br>EUA                                       |   | Yes/<br>2020-11-20 (33)           |                                      |
| US3132142     | 2020-10-20      | AMENDMENT           | 4 No/<br>2021-01-12 (85)  | Protocol<br>Deviation/<br>PROTOCOL<br>DEVIATION<br>SCHEDULED TO<br>RECEIVED EUA<br>PFIZER VACCINE<br>12/23/2020 |   | Yes/<br>2020-11-17 (29)           |                                      |
| US3142013     | 2020-07-30      | AMENDMENT           | 1 No/<br>2021-02-26 (212) | Lost to Follow-Up<br>)                                                                                          | ) | Yes/<br>2020-09-29 (62)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3142104     | 2020-08-05                  | AMENDMENT           | 2 ONGOING                |                                                                                                                                |         | No/<br>2020-09-01 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3142127     | 2020-08-06                  | AMENDMENT           | 2 No/<br>2021-01-07 (155 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT SEEKING<br>VACCINATION<br>THROUGH EMPLOYER                             |         | Yes/<br>2020-09-04 (30)                                                         |                                                                           |
| US3142128     | 2020-08-06                  | AMENDMENT           | 2 No/<br>2021-01-14 (162 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EAU APPROVED<br>PFIZER VACCINE<br>IN EARLY<br>JANUARY,<br>PROTOCOL<br>DEVIATION |         | Yes/<br>2020-09-04 (30)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3142161     | 2020-08-08                  | AMENDMENT :         | 1 No/<br>2021-02-24 (201 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT RECEIVED<br>OUTSIDE MODERNA<br>VACCINE AND<br>WITHDREW CONSENT<br>AT UNBLINDING<br>VISIT. |         | Yes/<br>2020-09-09 (33)                                                          |                                                                           |
| US3142218     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2021-02-01 (173 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EAU APPROVED<br>VACCINE PRIOR TO<br>DECISION VISIT                                                 |         | Yes/<br>2020-09-15 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                       |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3142219     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2021-02-01 (173 | Protocol<br>)Deviation/<br>PATIENT RECEIVE<br>EAU APPROVED<br>VACCINE<br>04JAN2020 PRIOR<br>TO STUDY<br>DISCONTINUATION |         | Yes/<br>2020-09-15 (34)                                     |                                                                      |
| US3142234     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-03-08 (203 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>PRIOR TO<br>UNBLINDING           |         | Yes/<br>2020-09-14 (28)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol    | or Completion            | Reason for Study<br>Discontinuation/                                                                     | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|----------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                         | Performed? | (Day)                                                                   | Specified Reason                                 |
| US3142238 | 2020-08-19          | AMENDMENT 2 | 2 No/<br>2021-01-07 (142 | Withdrawal of<br>)Consent by<br>Participant/<br>WISHED TO SEEK<br>VACCINATION<br>OUTSIDE OF THE<br>TRIAL |            | Yes/<br>2020-09-17 (30)                                                 |                                                  |
| US3142267 | 2020-09-04          | AMENDMENT 3 | 3 No/<br>2021-03-17 (195 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE COVID<br>VACCINES X 2                             |            | Yes/<br>2020-09-30 (27)                                                 |                                                  |
| US3142274 | 2020-09-21          | AMENDMENT ( | 3 No/<br>2021-01-12 (114 | Protocol Deviatio<br>)                                                                                   | n          | Yes/<br>2020-10-20 (30)                                                 |                                                  |
| US3152011 | 2020-07-28          | AMENDMENT 2 | l No/<br>2021-02-10 (198 | Lost to Follow-Up<br>)                                                                                   | )          | Yes/<br>2020-08-26 (30)                                                 |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3152012     | 2020-07-28                  | AMENDMENT 1         | . No/<br>2020-12-22 (148 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF THE<br>STUDY WITHOUT<br>NOTIFYING THE<br>SITE. |         | Yes/<br>2020-08-25 (29)                                                         |                                                                           |
| US3152025     | 2020-07-29                  | AMENDMENT 1         | ONGOING                  |                                                                                                                          |         | No/<br>2020-08-25 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3152054     | 2020-07-30                  | AMENDMENT 1         | . No/<br>2020-12-30 (154 | Protocol<br>) Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                              |         | Yes/<br>2020-09-02 (35)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study | /                                                                           | Date of           | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|-----------------------------------------------------------------------------|-------------------|-------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                  | Reason for Study<br>Discontinuation/<br>Specified Reason                    | Death/<br>Autopsy | Discontinuation<br>or Completion    | Dosing<br>Discontinuation/<br>Specified Reason |
| US3152079     | 2020-08-04                  | AMENDMENT :         | 1 No/<br>2020-12-21 (140         | Protocol<br>)Deviation/<br>REQUESTED<br>UNBLINDING/ EUA<br>COVID-19 VACCINE |                   | Yes/<br>2020-09-01 (29)             |                                                |
| US3152116     | 2020-08-07                  | AMENDMENT :         | 1 No/<br>2020-12-17 (133         | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE  |                   | Yes/<br>2020-09-09 (34)             |                                                |
| US3152117     | 2020-08-07                  | AMENDMENT 3         | 1 No/<br>2021-03-25 (231         | Lost to Follow-Up<br>)                                                      | )                 | Yes/<br>2020-09-10 (35)             |                                                |
| US3152124     | 2020-08-10                  | AMENDMENT 2         | 2 No/<br>2020-09-08 (30)         | Lost to Follow-Up                                                           | )                 | Yes/<br>2020-09-08 (30)             |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                             | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3152132     | 2020-08-10                  | AMENDMENT           | 2 No/<br>2021-01-29 (173) | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA COVID-19<br>VACCINE OUTSIDE<br>OF THE STUDY<br>PRIOR TO<br>UNBLINDING. |         | Yes/<br>2020-09-09 (31)                                                          |                                                                      |
| US3152156     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-12-22 (132) | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                |         | Yes/<br>2020-09-11 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3152188     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2021-01-11 (151                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                  |         | Yes/<br>2020-09-16 (34)                                                          |                                                                      |
| US3152194     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2021-01-15 (155                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>AN EUA OUTSIDE<br>OF STUDY ON<br>16DEC2020. |         | Yes/<br>2020-09-11 (29)                                                          |                                                                      |
| US3152217     | 2020-08-14                  | AMENDMENT :         | - /                                                                           | Other/<br>SUBJECT MOVING<br>TO CA<br>UNEXPECTEDLY                                          |         | Yes/<br>2020-09-11 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |                     |             | Completed Study          |                                                                                                                                                                           |            | Completed Dosing                                   |                                                  |
|-----------|---------------------|-------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | or Completion            | Reason for Study<br>Discontinuation/                                                                                                                                      | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                          | Performed? | (Day)                                              | Specified Reason                                 |
| US3152223 | 2020-08-14          | AMENDMENT : | 2 No/<br>2021-01-07 (147 | Protocol<br>)Deviation/<br>SUBJECT CHOSE TO<br>DISCONTINUE<br>PARTICIPATION ON<br>EUA CONSENT<br>ADDENDUM<br>24DEC2020 DUE TO<br>RECEIPT OF<br>PFIZER COVID-19<br>VACCINE |            | Yes/<br>2020-09-18 (36)                            |                                                  |
| US3152271 | 2020-08-15          | AMENDMENT : | 2 No/<br>2021-01-20 (159 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY                                                                                       |            | Yes/<br>2020-09-15 (32)                            |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                      | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3152283     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2020-11-05 (81) | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT<br>DUE TO BELIEVING<br>HE HAD RECEIVED<br>PLACEBO. |         | Yes/<br>2020-09-16 (31)                                                          |                                                                      |
| US3152293     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-12-21 (126 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                          |         | Yes/<br>2020-09-22 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                   |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| US3152297     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-02-17 (184                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA- COVID<br>VACCINE OUTSIDE<br>STUDY                             |         | Yes/<br>2020-09-16 (30)                                                          |                                                                                                        |
| US3152319     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-09-22 (34)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT<br>DUE TO REFUSAL<br>TO DO NASAL<br>COVID TESTING |         | No/<br>2020-09-16 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW DUE TO<br>REFUSAL TO DO NASAL<br>COVID TESTING |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3152334     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-21 (124                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |
| US3152362     | 2020-08-21                  | AMENDMENT           | 3 No/<br>2020-12-22 (124                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-09-24 (35)                                                          |                                                                      |
| US3152389     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-17 (113                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-30 (35)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                 |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| US3152402     | 2020-09-09                  | AMENDMENT 3         | 3 No/<br>2020-12-23 (106                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                    |         | Yes/<br>2020-10-08 (30)                                                          |                                                                                      |
| US3152410     | 2020-09-28                  | AMENDMENT 3         | 3 No/<br>2020-10-05 (8)                                                       | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT BELIEVED<br>HE RECEIVED<br>PLACEBO AND DID<br>NOT WANT TO<br>CONTINUE |         | No/<br>2020-10-04 (7)                                                            | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT THINKS HE<br>RECEIVED PLACEBO |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3152412     | 2020-09-28                  | AMENDMENT           | 3 No/<br>2021-01-15 (110                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF STUDY<br>AND UNBLINDING,<br>CONSENT PROCESS |         | Yes/<br>2020-10-26 (29)                                                          |                                                                           |
| US3152414     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-12-18 (81)                                                      | Protocol<br>Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                             |         | Yes/<br>2020-10-29 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                          | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3152452     | 2020-10-07                  | AMENDMENT           | 4 No/<br>2021-01-11 (97) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-11-04 (29)                                                          |                                                                      |
| US3152471     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2020-12-22 (71) | Protocol<br>Deviation/<br>REQUEST FOR<br>UNBLINDING/ EUA<br>COVID-19 VACCINE           |         | Yes/<br>2020-11-10 (29)                                                          |                                                                      |
| US3152489     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2020-12-23 (70) | Protocol<br>Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE               |         | Yes/<br>2020-11-12 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| US3152512     | 2020-10-20                  | AMENDMENT 4         | 4 No/<br>2021-02-10 (114                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                                                |         | Yes/<br>2020-11-20 (32)                                                          |                                                                                                                                                     |
| US3152516     | 2020-10-21                  | AMENDMENT 4         | 4 No/<br>2020-11-25 (36)                                                      | Protocol<br>Deviation/<br>SUBJECT<br>NON-COMPLIANT<br>WITH STUDY<br>PROCEDURES.<br>ASSESSED BY PI<br>TO BE UNABLE TO<br>COMPLY WITH<br>STUDY PROCEDURES |         | No/<br>2020-11-17 (28)                                                           | Physician Decision/<br>SUBJECT<br>NON-COMPLIANT WITH<br>STUDY PROCEDURES.<br>ASSESSED BY PI TO<br>BE UNABLE TO COMPLY<br>WITH STUDY<br>PROCEDURES . |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                 |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3152544     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2020-10-29 (8)                                                       | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT IS<br>MOVING OUT OF<br>THE AREA AND<br>UNABLE TO<br>COMPLETE FOLLOW<br>UP CALLS OR<br>VISITS. SUBJECT<br>DID NOT WANT TO<br>CONTACTED AFTER<br>THE 290CT2020 SO<br>CONSENT<br>WITHDRAWN. |         | No/<br>2020-10-28 (7)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT WAS<br>RELOCATING AND<br>WANTED TO WITHDREW<br>CONSENT AND ASKED<br>NOT TO BE CONTACTED<br>FOR ANY FURTHER<br>SAFETY CALLS AND<br>WILL NOT BE SHOWING<br>UP FOR ANY OTHER IN<br>OFFICE VISITS. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3162001 2020-07-27 AMENDMENT 1 No/ Protocol Yes/ 2021-02-01 (190) Deviation/ 2020-08-24 (29) SUBJECT REVEALED ON 01FEB2021 THAT HE HAD ENROLLED IN THE ASTRA ZENECA STUDY ON 04SEP2020 AND WAS STILL ENROLLED IN THAT STUDY

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3162035     | 2020-07-31                  | AMENDMENT :         | 1 No/<br>2021-01-25 (179 | Protocol<br>Protocol<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE.<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>OUTSIDE STUDY ON<br>07JAN2021 |         | Yes/<br>2020-08-28 (29)                                                         |                                                                           |
| US3162065     | 2020-08-06                  | AMENDMENT :         | 1 No/<br>2021-03-02 (209 | Withdrawal of<br>OConsent by<br>Participant/<br>SUBJECT VERBALLY<br>COMMUNICATED NOT<br>TO CONTACT HER<br>AGAIN.                                   |         | Yes/<br>2020-09-03 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|             |                             |                     | Completed Study<br>Date of Study | /                                                                            | Date of    | Completed Dosing,<br>Date of Dosing       | /<br>Primary Reason for                        |
|-------------|-----------------------------|---------------------|----------------------------------|------------------------------------------------------------------------------|------------|-------------------------------------------|------------------------------------------------|
| ubject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | Reason for Study<br>Discontinuation/<br>Specified Reason                     | Autopsy    | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| S3162120    | 2020-08-13                  |                     |                                  | Protocol                                                                     | Ferrormed: | Yes/<br>2020-09-14 (33)                   | Specified Reason                               |
| s3162165    | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-30 (133         | Protocol<br>)Deviation/<br>REQUEST TO BE<br>UNBLIND/ EUA<br>COVID-19 VACCINE |            | Yes/<br>2020-09-16 (28)                   |                                                |
| S3162185    | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-25 (123         | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID 19<br>VACCINE. |            | Yes/<br>2020-09-22 (29)                   |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                       |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3162195     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-31 (127 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>UNBLINDED UNDER<br>AMENDMENT 5 AND<br>RECEIVED EUA<br>VACCINE                 |         | Yes/<br>2020-09-21 (26)                                     |                                                                      |
| US3162235     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-21 (98) | Protocol<br>Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE<br>RECEIVED BEFORE<br>STUDY<br>DISCONTINUATION |         | Yes/<br>2020-10-13 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3162243 2020-09-16 AMENDMENT 3 No/ Withdrawal of No/ Withdrawal of 2020-10-14 (29) Consent by 2020-10-13 (28) Consent by Participant/ Participant/ SUBJECT NO SUBJECT NO LONGER LONGER WISHES TO WISHES TO PARTICIPATE IN PARTICIPATE IN THE THE STUDY. THEY STUDY. THEY STATED STATED THEY DID THEY DID NOT HAVE NOT HAVE TIME TO TIME TO DEVOTE TO DEVOTE TO STUDY STUDY PARTICIPATION PARTICIPATION AND MAKING IT TO THE VISITS. AND AND MAKING IT TO THAT THEY LIVED A THE VISITS. AND THAT THEY LIVED DISTANCE AWAY. A DISTANCE AWAY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3162255     | 2020-09-25                  | AMENDMENT :         | 3 No/<br>2021-03-01 (158 | Protocol<br>)Deviation/<br>RECEIVED OUTSIDE<br>VACCINE        |         | Yes/<br>2020-10-23 (29)                                                          |                                                                           |
| US3162262     | 2020-10-05                  | AMENDMENT           | 3 No/<br>2020-12-17 (74) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE  |         | Yes/<br>2020-11-02 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                 | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3162269     | 2020-10-09                  | AMENDMENT 3         | 2021-02-04 (119 | Withdrawal of<br>Participant/<br>THE SUBJECT<br>STATED THE<br>() (0) HOUR LONG<br>DRIVE WAS TOO<br>FAR AND DIDN'T<br>WANT TO MAKE THE<br>DRIVE ANY<br>LONGER. ALSO<br>WANTED TO GET<br>THE VACCINE<br>OUTSIDE THE<br>STUDY |         | Yes/<br>2020-11-06 (29)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason            |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| US3162304     | 2020-10-23                  | AMENDMENT 4         | No/<br>2021-01-16 (86)                                                        | Protocol<br>Deviation/<br>SUBJECT TOOK<br>MODERNA VACCINE<br>AT WORK                    |         | Yes/<br>2020-11-20 (29)                                                          |                                                                                      |
| US3172002     | 2020-08-07                  | AMENDMENT 1         | No/<br>2020-09-24 (49)                                                        | Lost to Follow-Up                                                                       | )       | No/<br>2020-09-03 (28)                                                           | Lost to Follow-Up                                                                    |
| US3172009     | 2020-08-07                  | AMENDMENT 1         | . No/<br>2020-10-23 (78)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT IN<br>PERSON |         | No/<br>2020-09-03 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT IN PERSON |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                         |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| US3172015     | 2020-08-10                  | AMENDMENT (         | l No/<br>2020-09-15 (37) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NOT<br>BEING COMPLIANT<br>WITH INCLUSION<br>#3 |         | Yes/<br>2020-09-04 (26)                                                         |                                                                                                   |
| US3172022     | 2020-08-10                  | AMENDMENT 1         | l ONGOING                |                                                                                                       |         | No/<br>2020-09-06 (28)                                                          | Other/<br>MISSED VISIT                                                                            |
| US3172027     | 2020-08-11                  | AMENDMENT :         | l No/<br>2020-09-08 (29) | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER<br>INTERESTED IN<br>STUDY<br>PARTICIPATION.  |         | No/<br>2020-09-07 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER<br>INTERESTED IN STUDY<br>PARTICIPATION. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                           |         | Completed Dosing/       | /                                          |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------|---------|-------------------------|--------------------------------------------|
|               |                 |                     | Date of Study            |                                             | Date of | Date of Dosing          | Primary Reason for                         |
|               | Informed        |                     |                          | Reason for Study                            |         | Discontinuation         | Dosing                                     |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason        |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason       |
| JS3172033     | 2020-08-11      | AMENDMENT           | 1 No/<br>2021-02-11 (185 | Withdrawal of<br>)Consent by<br>Participant |         | Yes/<br>2020-09-08 (29) |                                            |
| JS3172052     | 2020-08-11      | AMENDMENT           | - /                      | Other/<br>SUBJECT MOVING<br>TO CALIFORNIA   |         | No/<br>2020-09-07 (28)  | Other/<br>SUBJECT MOVING TO<br>CALIFORNIA. |
| JS3172053     | 2020-08-11      | AMENDMENT           | - /                      | Other/<br>SUBJECT MOVING<br>TO CALIFORNIA   |         | No/<br>2020-09-07 (28)  | Other/<br>SUBJECT MOVING TO<br>CALIFORNIA  |
| JS3172069     | 2020-08-12      | AMENDMENT           | 1 ONGOING                |                                             |         | No/<br>2020-09-08 (28)  | Serious Adverse<br>Event/<br>SAE #1        |
| US3172093     | 2020-08-13      | AMENDMENT           | 1 No/<br>2021-02-24 (196 | Withdrawal of<br>)Consent by<br>Participant |         | Yes/<br>2020-09-10 (29) |                                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason             |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| US3172150     | 2020-08-17                  | AMENDMENT :         | 1 ONGOING                                                                     |                                                                                          |         | No/<br>2020-09-13 (28)                                                           | Other/<br>SUBJECT RECEIVED<br>2ND DOSE ON<br>09MAR2021 DURING<br>OPEN LABEL DAY29     |
| US3172165     | 2020-08-18                  | AMENDMENT :         | 1 No/<br>2020-09-15 (29)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED<br>TO WITHDRAW<br>CONSENT |         | No/<br>2020-09-14 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED TO<br>WITHDRAW CONSENT |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | ·/                                                                                                                       |         | Completed Dosing                                            | /                                                                                                                                                                            |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                         |
| US3172177     | 2020-08-18                  | AMENDMENT :         | l No/<br>2020-11-30 (105                                   | Withdrawal of<br>) Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>BUSY WORK<br>SCHEDULE IN<br>TEXAS |         | No/<br>2020-09-14 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CANNOT<br>CONTINUE IN TRIAL.<br>SUBJECT DECIDED TO<br>WITHDRAW CONSENT<br>DUE TO BUSY<br>SCHEDULE AT WORK I<br>TEXAS. |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                              |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| US3172178     | 2020-08-18                  | AMENDMENT :         | l No/<br>2020-10-01 (45) | Withdrawal of<br>Consent by<br>Participant/<br>SPOKE TO DOCTOR<br>ABOUT HEART<br>CONDITION AND<br>DOES NOT WANT TO<br>CONTINUE IN<br>STUDY. |         | No/<br>2020-09-14 (28)                                                          | Other/<br>MISSED VISIT.                                                                                                |
| US3172184     | 2020-08-18                  | AMENDMENT :         | 1 ONGOING                |                                                                                                                                             |         | No/<br>2020-09-14 (28)                                                          | Other/<br>SUBJECT DECLINED<br>2ND DOSE, SUBJECT<br>AGREED TO CONTINUE<br>IN TRIAL BUT DOES<br>NOT WANT THE<br>VACCINE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                                                       |         | Completed Dosing        | /                                    |
|---------------|-----------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|-------------------------|--------------------------------------|
|               |                 |                     | Date of Study            |                                                                                                                         | Date of | Date of Dosing          | Primary Reason for                   |
|               | Informed        |                     |                          | Reason for Study                                                                                                        |         | Discontinuation         | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                    |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason |
| US3172193     | 2020-08-18      | AMENDMENT :         | 2 No/<br>2021-01-21 (157 | Protocol<br>)Deviation/<br>SUBJECT<br>DISCONTINUED<br>FROM STUDY DUE<br>TO RECEIVING<br>VACCINE IN A<br>DIFFERENT SITE. |         | Yes/<br>2020-09-15 (29) |                                      |
| US3172199     | 2020-08-19      | AMENDMENT           | 1 No/<br>2021-03-11 (205 | Protocol<br>)Deviation/<br>SUBJECT HAD<br>RECEIVED VACCINE<br>FROM PRIMARY<br>DOCTOR. WITHDREW<br>CONSENT               |         | Yes/<br>2020-09-16 (29) |                                      |
| US3172203     | 2020-08-19      | AMENDMENT           | 1 No/<br>2021-01-26 (161 | Lost to Follow-Up<br>)                                                                                                  | )       | Yes/<br>2020-09-16 (29) |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3172205     | 2020-08-19                  | AMENDMENT 1         | No/<br>2021-01-08 (143                                                        | Withdrawal of<br>)Consent by<br>Participant/<br>NO SPECIFIC<br>REASON GIVEN BY<br>SUBJECT, JUST<br>WANTED TO BE<br>REMOVED FROM<br>STUDY |         | Yes/<br>2020-09-16 (29)                                                          |                                                                      |
| US3172215     | 2020-08-19                  | AMENDMENT 2         | No/<br>2020-11-25 (99)                                                        | Lost to Follow-Up                                                                                                                        | )       | No/<br>2020-09-15 (28)                                                           | Other/<br>MISSED VISIT DAY 29                                        |
| US3172218     | 2020-08-19                  | AMENDMENT 1         | No/<br>2020-10-08 (51)                                                        | Lost to Follow-Up                                                                                                                        | )       | No/<br>2020-09-15 (28)                                                           | Other/<br>MISSED VISIT                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3172222     | 2020-08-19                  | AMENDMENT :         | l No/<br>2021-03-09 (203                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO LONER<br>WANTS TO<br>PARTICIPANT IN<br>STUDY, ASKED TO<br>BE REMOVED. |         | No/<br>2020-09-15 (28)                                                          | Adverse Event/<br>SUBJECT WITH<br>ONGOING AE OF LEFT<br>FOREARM / HAND<br>PARESTHESIAS AND<br>NEW AE OF LEFT ARM<br>PAIN IS DECLINING<br>VACCINATION DESPITE<br>EXPLANATION GIVEN<br>OF NO RELATIONSHIP<br>WITH IP. |
| US3172240     | 2020-08-20                  | AMENDMENT 3         | l No/<br>2021-02-25 (190                                                      | Withdrawal of<br>)Consent by<br>Participant                                                                                      |         | Yes/<br>2020-09-18 (30)                                                         |                                                                                                                                                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3172241     | 2020-08-20                  | AMENDMENT :         |                          | Withdrawal of                                                   |         | No/<br>2020-09-16 (28)                                                          | Other/<br>SKIPPED VISIT                                                   |
| US3172247     | 2020-08-20                  | AMENDMENT 3         | L No/<br>2020-10-20 (62) | Lost to Follow-Up                                               | )       | No/<br>2020-09-16 (28)                                                          | Other/<br>MISSED VISIT.                                                   |
| US3172255     | 2020-08-20                  | AMENDMENT 3         | L ONGOING                |                                                                 |         | No/<br>2020-09-16 (28)                                                          | Other/<br>MISSED VISIT                                                    |
| US3172260     | 2020-08-21                  | AMENDMENT           | L ONGOING                |                                                                 |         | No/<br>2020-09-17 (28)                                                          | Other/<br>MISSED VISIT                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                        | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                         |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| US3172273     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-09-30 (41) | Other/<br>MOVED TO (b) (6)<br>TEXAS                                                  |         | No/<br>2020-09-17 (28)                                                           | Other/<br>MOVED TO (b) (6)<br>TEXAS                                                                                                          |
| US3172279     | 2020-08-21                  | AMENDMENT 2         | /                        | Other/<br>LEAVING OUT OF<br>TOWN AND NO<br>LONGER<br>INTERESTED IN<br>PARTICIPATING. |         | No/<br>2020-09-17 (28)                                                           | Other/<br>PENDING SUBJECT<br>COVID SWAB TEST<br>RESULTS FROM<br>SCREENING VISIT.<br>TEST LAB RESULTS<br>FROM COVID TEST WAS<br>NOT RECEIVED. |
| US3172294     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-10-13 (51) | Lost to Follow-Up                                                                    | )       | No/<br>2020-09-20 (28)                                                           | Other/<br>MISSED VISIT                                                                                                                       |
| US3172308     | 2020-08-25                  | AMENDMENT 3         | 3 No/<br>2020-09-30 (37) | Lost to Follow-Up                                                                    | )       | Yes/<br>2020-09-21 (28)                                                          |                                                                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                            |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3172319     | 2020-08-25                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                       |         | No/<br>2020-09-21 (28)                                                          | Other/<br>SUBJECT DECLINED<br>2ND DOSE, SUBJECT<br>AGREED TO CONTINUE<br>IN TRIAL BUT DOES<br>NOT WANT THE<br>VACCINE DUE TO<br>SYMPTOMS REPORTED<br>AFTER 1ST DOSE. |
| US3172320     | 2020-08-25                  | AMENDMENT (         | 3 No/<br>2021-03-15 (203                                                      | Other/<br>)MOVED OUT OF<br>TOWN, WITHDREW<br>CONSENT. |         | No/<br>2020-09-21 (28)                                                          | Other/<br>SUBJECT DECLINED<br>SECOND DOSE DUE TO<br>SOLICITED ADVERSE<br>REACTION SYMPTOMS<br>PRESENTED AT DAY 1<br>DOSE VACCINATION                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason             |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| US3172335     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-10-02 (39)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED<br>TO WITHDRAW<br>CONSENT |         | No/<br>2020-09-21 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED TO<br>WITHDRAW CONSENT |
| US3172349     | 2020-08-26                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                          |         | No/<br>2020-09-22 (28)                                                           | Pregnancy                                                                             |
| US3172372     | 2020-08-27                  | AMENDMENT 3         | 3 No/<br>2020-09-24 (29)                                                      | Lost to Follow-Up                                                                        | )       | No/<br>2020-09-23 (28)                                                           | Other/<br>SUBJECT DID NOT<br>COME TO THE VISIT.                                       |
| US3172376     | 2020-10-16                  | AMENDMENT 2         | 2 No/<br>2021-03-16 (152                                                      | Withdrawal of<br>)Consent by<br>Participant                                              |         | Yes/<br>2020-11-16 (32)                                                          |                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                |            | Completed Dosing, | /                  |
|-----------|------------|-----------|-----------------|------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study   |                  | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           |                 | Reason for Study |            | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | -               | Discontinuation/ |            | or Completion     | Discontinuation/   |
| ID        | Date       | Version   | (Day)           | Specified Reason | Performed? | (Day)             | Specified Reason   |
| US3172384 | 2020-10-16 | AMENDMENT | 2 No/           | Protocol         |            | No/               | Other/             |
| 0001/2001 | 2020 10 10 |           | 2020-10-20 (5)  | Deviation/       |            | 2020-10-19 (4)    | EXCLUSION # 10     |
|           |            |           | (0)             | EXCLUSION # 10   |            | (_,               | "                  |
|           |            |           |                 |                  |            |                   |                    |
| US3172397 | 2020-10-19 | AMENDMENT | /               | Withdrawal of    |            | Yes/              |                    |
|           |            |           | 2021-01-25 (99) |                  |            | 2020-11-17 (30)   |                    |
|           |            |           |                 | Participant      |            |                   |                    |
| US3172403 | 2020-10-19 | AMENDMENT | 2 No/           | Withdrawal of    |            | No/               | Other/             |
| 0001/2100 | 2020 10 19 |           | 2020-11-16 (28) |                  |            | 2020-11-15 (27)   | INCLUSION #3       |
|           |            |           | 2020 11 10 (20) | Participant/     |            | 2020 11 10 (27)   | 1101001011 10      |
|           |            |           |                 | SUBJECT STATED   |            |                   |                    |
|           |            |           |                 | DID NOT WANT TO  |            |                   |                    |
|           |            |           |                 | BE IN THE STUDY  |            |                   |                    |
|           |            |           | 0 /             |                  |            | (                 |                    |
| US3172409 | 2020-10-19 | AMENDMENT | ,               | Withdrawal of    |            | No/               | Withdrawal of      |
|           |            |           | 2020-11-24 (37) |                  |            | 2020-11-15 (28)   | Consent by         |
|           |            |           |                 | Participant/     |            |                   | Participant/       |
|           |            |           |                 | DOES NOT WANT TO |            |                   | DOES NOT WANT TO   |
|           |            |           |                 | CONTINUE.        |            |                   | CONTINUE.          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                   |                   | Completed Dosing                  |                                                                                  |
|---------------|-----------------|---------------------|----------------------------------|-------------------------------------------------------------------------------------|-------------------|-----------------------------------|----------------------------------------------------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | n Reason for Study                                                                  | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                     |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           |                                                                                     | Autopsy           | or Completion                     | Discontinuation/<br>Specified Reason                                             |
| US3172439     | 2020-10-20      | AMENDMENT :         | 2 No/<br>2020-11-24 (36)         | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO<br>CONTINUE DUE TO<br>WORK |                   | No/<br>2020-11-16 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO CONTINUE<br>DUE TO WORK |
| US3172460     | 2020-10-21      | AMENDMENT :         | 2 ONGOING                        |                                                                                     |                   | No/<br>2020-11-17 (28)            | Other/<br>MISSED VISIT                                                           |
| US3172468     | 2020-10-21      | AMENDMENT :         | 2 ONGOING                        |                                                                                     |                   | No/<br>2020-11-17 (28)            | Other/<br>MISSED VISIT                                                           |
| US3172482     | 2020-10-22      | AMENDMENT           | 3 ONGOING                        |                                                                                     |                   | No/<br>2020-11-18 (28)            | Other/<br>SUBJECT MISSED<br>VISIT                                                |
| US3172486     | 2020-10-23      | AMENDMENT :         | 2 ONGOING                        |                                                                                     |                   | No/<br>2020-11-19 (28)            | Other/<br>MISSED VISIT                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3172497     | 2020-10-23                  | AMENDMENT           | 3 ONGOING                |                                                                                                                    |         | No/<br>2020-11-19 (28)                                                           | Other/<br>MISSED VISIT                                               |
| US3172498     | 2020-10-23                  | AMENDMENT           | 3 ONGOING                |                                                                                                                    |         | No/<br>2020-11-19 (28)                                                           | Other/<br>MISSED VISIT                                               |
| JS3182060     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-01-19 (175 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE                                         |         | Yes/<br>2020-08-25 (28)                                                          |                                                                      |
| JS3182081     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-03-15 (229 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>TRANSPORTATION<br>CHALLENGES |         | Yes/<br>2020-09-01 (34)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy      | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------|--------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3182127     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2020-10-26 (85) | Lost to Follow-Up                                                      | <sup>D</sup> | Yes/<br>2020-08-31 (29)                                                          |                                                                           |
| US3182151     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-03-24 (233 | Pregnancy<br>)                                                         |              | Yes/<br>2020-09-01 (29)                                                          |                                                                           |
| US3182188     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-01-07 (155 | Protocol<br>)Deviation/<br>RECEIPT OF<br>PFIZER COVID<br>VACCINE       |              | Yes/<br>2020-09-03 (29)                                                          |                                                                           |
| US3182210     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-01-07 (155 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER COVID<br>VACCINE |              | Yes/<br>2020-09-03 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3182227     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-03-02 (208) | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT CHOICE<br>TO WITHDRAWAL            |         | Yes/<br>2020-09-08 (33)                                                          |                                                                      |
| US3182230     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-02-11 (189  | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE                 |         | Yes/<br>2020-09-14 (39)                                                          |                                                                      |
| JS3182243     | 2020-08-10                  | AMENDMENT           | 2 No/<br>2021-01-19 (163) | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF THE<br>STUDY |         | Yes/<br>2020-09-11 (33)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study |                                                                                    | Date of | Completed Dosing,<br>Date of Dosing       | Primary Reason for                             |
|---------------|-----------------------------|---------------------|----------------------------------|------------------------------------------------------------------------------------|---------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | Reason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| JS3182292     | 2020-08-11                  | AMENDMENT           | 2 ONGOING                        |                                                                                    |         | No/<br>2020-09-07 (28)                    | Due to SARS-CoV-2                              |
| JS3182322     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2021-02-18 (190         | Lost to Follow-Up<br>)                                                             | 0       | Yes/<br>2020-09-14 (33)                   |                                                |
| JS3192008     | 2020-08-01                  | AMENDMENT           | 1 No/<br>2020-12-19 (141         | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                       |         | Yes/<br>2020-08-29 (29)                   |                                                |
| JS3192019     | 2020-08-02                  | AMENDMENT           | 1 No/<br>2021-02-06 (189         | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL. |         | Yes/<br>2020-08-29 (28)                   |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol    | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | /<br>Reason for Study<br>Discontinuation/                                                 | Date of<br>Death/<br>Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                          |
|-----------|---------------------|-------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version     | (Day)                                                                | Specified Reason                                                                          | Performed?                   | (Day)                                                                   | Specified Reason                                                                                                                                          |
| US3192039 | 2020-08-03          | AMENDMENT 1 | No/<br>2021-02-20 (202                                               | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.        |                              | Yes/<br>2020-08-31 (29)                                                 |                                                                                                                                                           |
| US3192078 | 2020-08-06          | AMENDMENT 1 | No/<br>2020-09-16 (42)                                               | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED<br>TO DISCONTINUE<br>TRIAL |                              | No/<br>2020-09-02 (28)                                                  | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED TO<br>WITHDRAWAL<br>PARTICIPATION,<br>STATED SHE DOESNT<br>THINK THIS TRIAL IS<br>FOR HER. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                       |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| US3192090     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-08-31 (26) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED<br>SITE AND TRIAL<br>NOT FOR HER |         | No/<br>2020-08-30 (25)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED<br>SITE AND TRIAL NOT<br>FOR HER |
| US3192094     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-02-20 (198 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.              |         | Yes/<br>2020-09-04 (29)                                                          |                                                                                                 |
| US3192106     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-18 (134 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                                    |         | Yes/<br>2020-09-04 (29)                                                          |                                                                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3192109     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-09-03 (28)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED<br>TO WITHDRAWAL<br>ICF, STATED HE<br>FELT HE RECEIVED<br>PLACEBO AND<br>THERE'S NO NEED<br>FOR HIM TO<br>CONTINUE. |         | No/<br>2020-09-02 (27)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED TO<br>WITHDRAWAL ICF,<br>STATED HE FELT HE<br>RECEIVED PLACEBO<br>AND THERE'S NO NEED<br>FOR HIM TO<br>CONTINUE. |
| US3192117     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2020-12-18 (133                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                                                                                                                       |         | Yes/<br>2020-09-19 (43)                                                         |                                                                                                                                                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192129     | 2020-08-08                  | AMENDMENT (         | 1 No/<br>2021-01-03 (149 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-05 (29)                                                          |                                                                           |
| US3192153     | 2020-08-10                  | AMENDMENT :         | 1 No/<br>2021-01-31 (175 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.        |         | Yes/<br>2020-09-10 (32)                                                          |                                                                           |
| US3192169     | 2020-08-10                  | AMENDMENT (         | l No/<br>2021-01-03 (147 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF TRIAL.                   |         | Yes/<br>2020-09-04 (26)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192172     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2021-01-06 (150 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF TRIAL.                    |         | Yes/<br>2020-09-15 (37)                                                          |                                                                      |
| US3192202     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-12-18 (129 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.         |         | Yes/<br>2020-09-10 (30)                                                          |                                                                      |
| US3192225     | 2020-08-13                  | AMENDMENT           | 1 No/<br>2021-02-20 (192 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-15 (34)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                     | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192228     | 2020-08-13                  | AMENDMENT           | 1 No/<br>2021-01-13 (154 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL. |         | Yes/<br>2020-09-15 (34)                                                          |                                                                      |
| US3192235     | 2020-08-14                  | AMENDMENT           | 1 No/<br>2021-01-05 (145 | Protocol<br>)Deviation/<br>PATENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.  |         | Yes/<br>2020-09-24 (42)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192249     | 2020-08-14                  | AMENDMENT           | 1 No/<br>2021-02-20 (191 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL.  |         | Yes/<br>2020-09-11 (29)                                                          |                                                                      |
| US3192256     | 2020-08-14                  | AMENDMENT           | 1 No/<br>2020-12-18 (127 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                               |         | Yes/<br>2020-09-11 (29)                                                          |                                                                      |
| US3192263     | 2020-08-15                  | AMENDMENT           | 1 No/<br>2021-02-20 (190 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-11 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192268     | 2020-08-15                  | AMENDMENT :         | 1 No/<br>2021-01-10 (149 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>EUA COVID-19<br>VACCINE.                    |         | Yes/<br>2020-09-12 (29)                                                          |                                                                           |
| US3192272     | 2020-08-15                  | AMENDMENT 3         | 1 No/<br>2020-12-22 (130 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-12 (29)                                                          |                                                                           |
| US3192273     | 2020-08-15                  | AMENDMENT (         | l No/<br>2020-12-19 (127 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                              |         | Yes/<br>2020-09-16 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192275     | 2020-08-15                  | AMENDMENT           | 1 No/<br>2021-02-26 (196 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL.  |         | Yes/<br>2020-09-12 (29)                                                          |                                                                      |
| JS3192284     | 2020-08-15                  | AMENDMENT           | 1 No/<br>2020-12-22 (130 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                               |         | Yes/<br>2020-09-25 (42)                                                          |                                                                      |
| US3192294     | 2020-08-16                  | AMENDMENT           | 1 No/<br>2021-03-08 (205 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-12 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                          |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| US3192308     | 2020-08-16                  | AMENDMENT (         | l No/<br>2021-01-05 (133                                                      | Protocol<br>)Deviation/<br>RECEIVED MODERNA<br>EUA COVID-19<br>VACCINE OUTSIDE<br>OF TRIAL |         | Yes/<br>2020-09-20 (26)                                                         |                                                                                                    |
| US3192314     | 2020-08-17                  | AMENDMENT (         | l No/<br>2020-10-19 (55)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>FROM THE STUDY          |         | No/<br>2020-09-22 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WANTED TO<br>BE WITHDRAWN FROM<br>THE STUDY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192316     | 2020-08-17                  | AMENDMENT :         | 1 No/<br>2021-02-06 (169 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT DECIDED<br>TO WITHDRAW<br>CONSENT AFTER<br>UNBLINDING |         | Yes/<br>2020-09-18 (28)                                                          |                                                                      |
| US3192321     | 2020-08-17                  | AMENDMENT :         | 1 No/<br>2021-02-20 (184 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL.                    |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192331     | 2020-08-18                  | AMENDMENT :         | 1 No/<br>2021-02-20 (182 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-24 (33)                                                          |                                                                      |
| US3192344     | 2020-08-18                  | AMENDMENT :         | 1 No/<br>2021-01-31 (163 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL.  |         | Yes/<br>2020-09-19 (29)                                                          |                                                                      |
| US3192347     | 2020-08-19                  | AMENDMENT :         | 1 No/<br>2021-02-23 (182 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF TRIAL.                    |         | Yes/<br>2020-09-23 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                           | <br>Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192364     | 2020-08-19                  | AMENDMENT :         | 2 No/<br>2021-01-29 (162 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL. | Yes/<br>2020-09-18 (29)                                                              |                                                                           |
| US3192370     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2020-12-16 (119 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE.                             | Yes/<br>2020-09-20 (32)                                                              |                                                                           |
| US3192372     | 2020-08-20                  | AMENDMENT           | 1 No/<br>2020-12-16 (119 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                              | Yes/<br>2020-09-20 (32)                                                              |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192384     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-17 (120                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE. |         | Yes/<br>2020-09-21 (33)                                                          |                                                                           |
| US3192406     | 2020-08-22                  | AMENDMENT           | 2 No/<br>2020-12-16 (117                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE. |         | Yes/<br>2020-09-16 (26)                                                          |                                                                           |
| US3192435     | 2020-08-23                  | AMENDMENT           | 2 No/<br>2020-12-23 (123                                                      | Other/<br>)REQUEST TO<br>UNBLIND-EUA<br>COVID-19<br>VACCINE. |         | Yes/<br>2020-09-19 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192442     | 2020-08-23                  | AMENDMENT           | 2 No/<br>2020-12-17 (117                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE. |         | Yes/<br>2020-09-20 (29)                                                          |                                                                           |
| US3192449     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-16 (115                                                      | Other/<br>DREQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE. |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                               |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| US3192461     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-10-22 (60) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DID NOT<br>WANT TO<br>PARTICIPATE ANY<br>LONG IN THE<br>TRIAL      |         | No/<br>2020-09-20 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT STATED THAT<br>HE DID NOT WANT TO<br>PARTICIPATE WITH<br>THE TRIAL ANY<br>LONGER |
| US3192487     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2021-03-15 (202 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT STATED<br>HE NO LONGER<br>WANTED TO BE A<br>PART OF THE<br>TRIAL. |         | Yes/<br>2020-09-23 (29)                                                         |                                                                                                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192488     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-12-16 (113 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                               |         | Yes/<br>2020-09-23 (29)                                                         |                                                                           |
| US3192492     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2021-02-01 (160 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-24 (30)                                                         |                                                                           |
| US3192509     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-01-29 (157 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-23 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | '<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192522     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-18 (114 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                                                                     |         | Yes/<br>2020-09-24 (29)                                                          |                                                                           |
| US3192531     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-19 (115 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>DUE TO BEING A<br>HEALTHCARE<br>WORKER AND WAS<br>EARLY TERMED. |         | Yes/<br>2020-09-26 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192554     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-10-06 (40) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT STATED<br>SHE HAS MOVED<br>AWAY AND NO<br>LONGER WILL BE<br>ABLE TO ME<br>APPTS. |         | No/<br>2020-09-24 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PT HAS MOVED AWAY          |
| US3192555     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-23 (118 | Protocol<br>)Deviation/<br>PATENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.                                                       |         | Yes/<br>2020-09-25 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study/         |                                                                                          |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                               | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|               |                             |                     | · •                      | *                                                                                        |         | · • • •                                                     | -1                                                                   |
| US3192562     | 2020-08-28                  | AMENDMENT           | 3 NO/<br>2020-12-22 (117 | Protocol<br>)Deviation/<br>PATIENT DUE TO<br>RECEIVE EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-25 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study |                                                                                                                                                                                                                                                                    | Date of     | Completed Dosing<br>Date of Dosing        | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                         | Autopsy     | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| JS3192569     | 2020-08-29                  | AMENDMENT           | -                                | Adverse Event/<br>)SUBJECT HAS<br>PANCREATIC AND<br>LIVER CANCER. HE<br>STATED THAT HE<br>WOULD LIKE TO<br>WITHDRAW FROM<br>THE STUDY<br>BECAUSE HE IS<br>UNABLE TO MAKE<br>IT TO<br>APPOINTMENTS<br>GOING FORWARD<br>DUE TO NOT<br>FEELING WELL.#3<br>ROW 3 AND 4 | 2021-02-17, |                                           |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                          | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                              |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| US3192591     | 2020-08-29                  | AMENDMENT 3         | 3 No/<br>2021-02-02 (158 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.                                     |         | Yes/<br>2020-09-26 (29)                                                          |                                                                                                                   |
| US3192603     | 2020-08-31                  | AMENDMENT 3         | 3 No/<br>2020-09-30 (31) | Adverse Event/<br>PATIENT HAD AE<br>OF WORSENING OF<br>HYPERTENSION AND<br>DECIDED TO<br>WITHDRAWAL FROM<br>STUDY AE#1 |         | No/<br>2020-09-27 (28)                                                           | Adverse Event/<br>PATIENT HAD AE OF<br>WORSENING OF<br>HYPERTENSION AND<br>DECIDED TO<br>WITHDRAWAL FROM<br>STUDY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                                                                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3192651     | 2020-09-02                  | AMENDMENT           | /                                                                             | Other/<br>UNABLE TO REACH<br>SUBJECT AFTER<br>MULTIPLE<br>ATTEMPTS OVER 3<br>MONTHS. AFTER<br>FINALLY REACHING<br>HIM, SUBJECT<br>STATES HE MOVED<br>AND CAN'T MAKE<br>THE APPOINTMENTS<br>AND WOULD LIKE<br>TO WITHDRAW |         | No/<br>2020-09-29 (28)                                                           | Other/<br>MULTIPLE ATTEMPTS<br>TO REACH SUBJECT<br>OVER THE COURSE OF<br>3 MONTHS. AFTER<br>FINALLY REACHING<br>SUBJECT, HE STATES<br>HE HAS MOVED OUT OF<br>TOWN AND WONT MAKE<br>IT TO ANY<br>APPOINTMENTS AND<br>WOULD LIKE TO<br>WITHDRAW. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192656     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-02-20 (171                                                      | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |
| US3192660     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-02-20 (171                                                      | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192664     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-02-20 (171 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF THE TRIAL.                          |         | Yes/<br>2020-10-02 (30)                                                         |                                                                           |
| US3192666     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-22 (111 | Protocol<br>) Deviation/<br>PATIENT<br>REQUESTED<br>UNBLINDING AND<br>WILL RECEIVE<br>PFIZER VACCINE<br>OUTSIDE OF TRIAL |         | Yes/<br>2020-10-02 (30)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192693     | 2020-09-05                  | AMENDMENT           | 3 No/<br>2020-12-17 (104 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                                                                      |         | Yes/<br>2020-10-03 (29)                                                         |                                                                           |
| US3192706     | 2020-09-05                  | AMENDMENT           | 3 No/<br>2021-02-12 (161 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>ICF, NON<br>COMPLIANT WITH<br>E-DIARY ENTRIES<br>AND CHOSE NOT TO<br>CONTINUE |         | Yes/<br>2020-10-03 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| US3192723     | 2020-10-02                  | AMENDMENT           | 4 No/<br>2021-03-02 (152 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.       |         | Yes/<br>2020-10-30 (29)                                                          |                                                                                     |
| US3192726     | 2020-10-03                  | AMENDMENT           | 4 No/<br>2020-12-23 (82) | Protocol<br>Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-10-30 (28)                                                          |                                                                                     |
| US3192730     | 2020-10-04                  | AMENDMENT           | 4 ONGOING                |                                                                                          |         | No/<br>2020-10-31 (28)                                                           | Adverse Event/<br>PATIENT DECLINED<br>DOSE 2 DUE TO<br>WORSENING OF<br>TACHYCARDIA. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192733     | 2020-10-04                  | AMENDMENT           | 4 No/<br>2021-02-06 (126 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL. |         | No/<br>2020-10-31 (28)                                                           | Other/<br>PATIENT DECLINED<br>2ND INJECTION                          |
| US3192744     | 2020-10-05                  | AMENDMENT           | 4 No/<br>2021-03-17 (164 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>2ND DOSE OUTSIDE<br>OF TRIAL.       |         | Yes/<br>2020-11-05 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                              |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3192749     | 2020-10-07                  | AMENDMENT           | 4 No/<br>2021-01-18 (104 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>ICF, STATED SHE<br>IS POSITIVE IP<br>ALLOCATED TO HER<br>WAS PLACEBO.<br>DECIDED THIS<br>STUDY ISN'T FOR<br>HER, AND SHE NO<br>LONGER WOULD<br>LIKE TO BE PART<br>OF IT. ALSO<br>STATED ITS<br>DIFFICULT TO DO<br>EDIARY. |         | No/<br>2020-11-03 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>ICF, STATED SHE IS<br>POSITIVE IP<br>ALLOCATED TO HER<br>WAS PLACEBO.<br>DECIDED THIS STUDY<br>ISN'T FOR HER, AND<br>SHE NO LONGER WOULD<br>LIKE TO BE PART OF<br>IT. ALSO STATED ITS<br>DIFFICULT TO DO<br>EDIARY. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192758     | 2020-10-08                  | AMENDMENT           | 4 No/<br>2021-02-20 (136 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-11-12 (36)                                                          |                                                                      |
| US3192772*    | 2020-10-09                  | AMENDMENT           | - /                      | Other/<br>)PATIENT RECEIVED<br>EUA ANTIBODY<br>INFUSION OUTSIDE<br>OF TRIAL.              |         | Yes/<br>2020-12-01 (54)                                                          |                                                                      |
| US3192807     | 2020-10-14                  | AMENDMENT           | 4 No/<br>2021-01-31 (110 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-11-11 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192815     | 2020-10-14                  | AMENDMENT           | 4 No/<br>2021-02-20 (130 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL.  |         | Yes/<br>2020-11-11 (29)                                                          |                                                                      |
| US3192818     | 2020-10-14                  | AMENDMENT           | 4 No/<br>2020-12-17 (65) | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.                                |         | Yes/<br>2020-11-11 (29)                                                          |                                                                      |
| US3192834     | 2020-10-16                  | AMENDMENT           | 4 No/<br>2021-02-20 (128 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-11-13 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192835     | 2020-10-16                  | AMENDMENT           | 4 No/<br>2021-02-20 (128 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL.       |         | Yes/<br>2020-11-13 (29)                                                          |                                                                           |
| US3192845     | 2020-10-16                  | AMENDMENT           | 4 No/<br>2021-03-11 (147 | Other/<br>)PATIENT IS<br>CONSTANTLY<br>NON-COMPLIANT<br>WITH E-DIARIES<br>AND VISIT<br>SCHEDULE. |         | Yes/<br>2020-11-16 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192847     | 2020-10-18                  | AMENDMENT           | 4 No/<br>2021-02-20 (126 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF THE TRIAL. |         | Yes/<br>2020-11-18 (32)                                                          |                                                                      |
| US3192852     | 2020-10-18                  | AMENDMENT ·         | 4 No/<br>2020-12-18 (62) | Protocol<br>Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL.       |         | Yes/<br>2020-11-16 (30)                                                          |                                                                      |
| US3192861     | 2020-10-19                  | AMENDMENT           | 4 ONGOING                |                                                                                                |         | No/<br>2020-11-15 (28)                                                           | Other/<br>PATIENT WAS A<br>CONTINUOUS NO SHOW<br>FOR D29 VISIT.      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3192898     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2021-02-04 (106 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>ICF, STATED WITH<br>FAMILY PROBLEMS<br>SHE JUST CANNOT<br>COMMIT TO THE<br>STUDY. |         | Yes/<br>2020-11-20 (30)                                                          |                                                                      |
| US3202002     | 2020-08-04                  | AMENDMENT :         | 1 No/<br>2020-12-18 (137 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                            |         | Yes/<br>2020-09-02 (30)                                                          |                                                                      |
| US3202008     | 2020-08-05                  | AMENDMENT :         | 1 No/<br>2020-12-21 (139 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                            |         | Yes/<br>2020-09-01 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3202021     | 2020-08-05                  | AMENDMENT :         | 1 No/<br>2021-02-03 (183 | Protocol<br>) Deviation/<br>SUBJECT<br>DISCLOSED<br>RECEIPT OF<br>NON-STUDY EUA<br>VACCINE PRIOR TO<br>DECISION VISIT.<br>SUBJECT SIGNED |         | Yes/<br>2020-09-02 (29)                                                          |                                                                           |
|               |                             |                     |                          | ICF INDICATING<br>UNBLINDING<br>REQUEST BUT<br>WITHDRAWAL FROM<br>STUDY                                                                  |         |                                                                                  |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3202064     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-01-05 (152 | Protocol<br>)Deviation/<br>SUBJECT REFUSED<br>TO COMPLY WITH<br>STUDY PROCEDURES<br>INCLUDING LAB<br>SAMPLE<br>COLLECTIONS |         | Yes/<br>2020-09-10 (35)                                                          |                                                                      |
| US3202071     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2020-12-21 (136 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                 |         | Yes/<br>2020-09-14 (38)                                                          |                                                                      |
| US3202113     | 2020-08-10                  | AMENDMENT           | 2 No/<br>2020-12-21 (127 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                 |         | Yes/<br>2020-09-14 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                               | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                           |
|---------------|-----------------------------|---------------------|-------------------------------|------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| US3202116     | 2020-08-10                  | AMENDMENT 2         | -<br>L No/<br>2020-12-16 (129 | Other/                                                                                                                 |         | Yes/<br>2020-09-22 (44)                                                         |                                                                                                                                     |
| US3202134     | 2020-08-11                  | AMENDMENT 2         |                               | Other/<br>)SUBJECT WITHDREW<br>TO RECEIVE<br>NON-STUDY<br>VACCINE                                                      |         | Yes/<br>2020-09-11 (32)                                                         |                                                                                                                                     |
| US3202148     | 2020-08-12                  | AMENDMENT 2         | 2 No/<br>2020-10-01 (51)      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>CONVINCED HE GOT<br>PLACEBO SO HE<br>REFUSED TO COME<br>BACK |         | No/<br>2020-09-08 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT FROM STUDY<br>AND REFUSED TO<br>CONTINUE W/<br>FOLLOWUP. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                        | Reason for Study<br>Discontinuation/<br>Specified Reason   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                      |
|---------------|-----------------------------|---------------------|------------------------|------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| US3202152     | 2020-08-12                  | AMENDMENT 2         | No/<br>2020-12-17 (128 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-09 (29)                                                          |                                                                                                                                                |
| US3202164     | 2020-08-13                  | amendment 2         | ONGOING                |                                                            |         | No/<br>2020-09-09 (28)                                                           | Other/<br>SUBJECT'S INCREASED<br>WORKLOAD AND<br>SCHEDULE PREVENTED<br>HER FROM KEEPING<br>APPOINTMENTS-<br>DECLINED ANY FUTURE<br>SITE VISITS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3202193     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2021-02-01 (172 | Other/<br>)SUBJECT<br>UNBLINDED UNDER<br>AMENDMENT 5 AND<br>DROPPED BECAUSE<br>HE WISHED TO<br>RECEIVE THE<br>PFIZER EUA<br>COVID-19 VACCINE<br>THROUGH EMPLOYER |         | Yes/<br>2020-09-11 (29)                                                          |                                                                           |
| JS3202219     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-02-18 (186 | Lost to Follow-Up<br>)                                                                                                                                           | )       | Yes/<br>2020-09-18 (33)                                                          |                                                                           |
| JS3202228     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-21 (127 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                                       |         | Yes/<br>2020-09-24 (39)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Tinfournad                  |                     | Completed Study/<br>Date of Study<br>Discontinuation Reason for Study |                                                            | Date of | Completed Dosing<br>Date of Dosing<br>Discontinuation | Primary Reason for                             |
|---------------|-----------------------------|---------------------|-----------------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                                                | -                                                          | Autopsy | or Completion                                         | Dosing<br>Discontinuation/<br>Specified Reason |
| US3202234     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (122                                              | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-17 (31)                               |                                                |
| US3202240     | 2020-08-18                  | AMENDMENT 2         | - 1                                                                   | Other/<br>)WISH TO RECEIVE<br>VACCINE THROUGH<br>EMPLOYER  |         | Yes/<br>2020-09-15 (29)                               |                                                |
| US3202245     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-10-01 (45)                                              | Lost to Follow-Up                                          | >       | No/<br>2020-09-14 (28)                                | Lost to Follow-Up                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                                              |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                     | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3202267     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2021-02-22 (187 | Protocol<br>)Deviation/<br>CHOSE TO GET<br>DOSED WITH<br>PFIZER COVID-19<br>VACCINE ON<br>25JAN2021, WHICH<br>SITE LEARNED OF<br>DURING SAFETY<br>CALL DAY 179 ON<br>22FEB2021 |         | Yes/<br>2020-09-17 (29)                                     |                                                                      |
| US3202275     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-18 (121 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                                                     |         | Yes/<br>2020-09-22 (34)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3202292     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-18 (120                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-22 (33)                                                          |                                                                           |
| US3202311     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-23 (121                                                      | Other/<br>)UNBLINDED TO<br>RECEIVE<br>NON-STUDY EUA<br>VACCINE |         | Yes/<br>2020-09-24 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3202314 2020-08-25 AMENDMENT 3 No/ Protocol Yes/ 2021-01-19 (148) Deviation/ 2020-09-22 (29) NONCOMPLIANT W PROTOCOL RESTRICTION SUBJECT IN SCREENING PERIOD FOR ANOTHER CLINICAL TRIAL AT ANOTHER FACILITY IN ANOTHER STATE. HAS NOT YET RECEIVED THE IP FOR THE OTHER STUDY BUT WAS SCHEDULED

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3202317     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-18 (116 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-21 (28)                                                          |                                                                           |
| US3202325     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-17 (114 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-25 (31)                                                          |                                                                           |
| US3202356     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-23 (118 | Other/<br>)WISHED TO<br>RECEIVE<br>NON-STUDY EUA<br>VACCINE     |         | Yes/<br>2020-09-25 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3202361     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-17 (112                                                      | Other/<br>2)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                       |         | Yes/<br>2020-10-16 (50)                                                         |                                                                           |
| US3202362     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-30 (125                                                      | Other/<br>)WISHED TO BE<br>UNBLINDED AND<br>RECEIVE<br>NON-MODERNA EUA<br>VACCINE |         | Yes/<br>2020-09-25 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3202364     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-09-30 (31)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT REPORTS<br>THAT HE KNOWS HE<br>GOT PLACEBO SO<br>HE DOESN'T WANT<br>TO TO CONTINUE<br>IN STUDY. HE GOT<br>AN ANTIBODY TEST<br>LAST WEEK THAT<br>WAS NEGATIVE AND<br>DID NOT WISH TO<br>DISCUSS<br>CONTINUING |         | No/<br>2020-09-27 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT REPORTS<br>THAT HE KNOWS HE<br>GOT PLACEBO SO HE<br>DOESN'T WANT TO TO<br>CONTINUE IN STUDY.<br>HE GOT AN ANTIBODY<br>TEST LAST WEEK THAT<br>WAS NEGATIVE AND<br>DID NOT WISH TO<br>DISCUSS CONTINUING |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |           | Completed Study/                 |                    |                   | Completed Dosing/                 |                              |  |
|-----------|------------|-----------|----------------------------------|--------------------|-------------------|-----------------------------------|------------------------------|--|
|           | Informed   |           | Date of Study<br>Discontinuation | n Reason for Study | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |  |
| Subject   | Consent    | Protocol  | or Completion                    | Discontinuation/   | Autopsy           | or Completion                     | Discontinuation/             |  |
| ID        | Date       | Version   | (Day)                            | Specified Reason   | Performed?        | (Day)                             | Specified Reason             |  |
|           |            |           | o                                |                    |                   | (                                 |                              |  |
| US3202381 | 2020-09-02 | AMENDMENT | 3 No/                            | Other/             |                   | Yes/                              |                              |  |
|           |            |           | 2020-12-16 (106                  | )REQUEST TO        |                   | 2020-09-30 (29)                   |                              |  |
|           |            |           |                                  | UNBLIND / EUA      |                   |                                   |                              |  |
|           |            |           |                                  | COVID-19 VACCINE   |                   |                                   |                              |  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3212071     | 2020-08-06                  | AMENDMENT 1         | L No/<br>2021-03-17 (224 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>CONTACTED SITE<br>TO INFORM THAT<br>THEY ARE NO<br>LONGER<br>INTERESTED IN<br>CONTINUING WITH<br>THE STUDY DUE TO<br>NOT ABLE TO<br>OBTAIN THE<br>ANTIBODY TEST<br>RESULTS FROM THE<br>SPONSOR/SITE. |         | Yes/<br>2020-09-03 (29)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                         |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3212097     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2021-01-06 (150 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID VACCINE<br>FROM OUTSIDE THE<br>STUDY |         | Yes/<br>2020-09-08 (30)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                          |                                            | Completed Dosing,                                           | /                                                                                                                                                                                                                                                  |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Date of<br>Death/<br>Autopsy<br>Performed? | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                               |
| US3212112     | 2020-08-10                  | AMENDMENT 2         | ONGOING                                                    |                                                            |                                            | No/<br>2020-09-06 (28)                                      | Other/<br>PARTICIPANT MISSED<br>VACCINE 2 WINDOW<br>DUE TO TRANSFER TO<br>US363. VACCINE<br>WINDOW CLOSED ON<br>SEPT 14.<br>PARTICIPANT STARTED<br>WITH COVID SYMPTOMS<br>ON 140CT2020 AND<br>TESTED POSITIVE<br>OUTSIDE OF STUDY ON<br>160CT2020. |
| US3212120     | 2020-08-11                  | AMENDMENT 2         | No/<br>2020-11-18 (100                                     | Lost to Follow-Up<br>)                                     | )                                          | No/<br>2020-09-07 (28)                                      | Lost to Follow-Up                                                                                                                                                                                                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date. Program Path: \\wilbtia\wilbtia01\Moderna MODMRNA1273P301\_U\BLA\TLF\116020101.sas 23JUN2021 16:31

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255

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject   | Informed<br>Consent | Protocol    | or Completion            | n Reason for Study<br>Discontinuation/                                                                                                                                                                                       | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                                                                             | Performed? | (Day)                                                                  | Specified Reason                                 |
| US3212150 | 2020-08-12          | AMENDMENT 2 | 2 No/<br>2021-03-16 (217 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WILL BE<br>RECEIVING<br>OUTSIDE<br>VACCINATION DUE<br>TO SPONSOR NO<br>LONGER PROVIDING<br>OPEN LABEL<br>VACCINATIONS, AS<br>IT IS PAST THE<br>DEADLINE<br>PROVIDED. |            | Yes/<br>2020-09-15 (35)                                                |                                                  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | "/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3212167     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2021-01-14 (155 | Protocol<br>) Deviation/<br>SUBJECT NOTED<br>SHE RECEIVED<br>VACCINE IN<br>COMMUNITY ON<br>05JAN2021<br>THROUGH HER<br>PLACE OF<br>EMPLOYMENT. |         | Yes/<br>2020-09-10 (29)                                                         |                                                                           |
| US3212169     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-12-30 (140 | Protocol<br>) Deviation/<br>RECEIVED COVID<br>VACCINE FROM<br>OUTSIDE THE<br>STUDY ON<br>29DEC2020                                             |         | Yes/<br>2020-09-16 (35)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3212170     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-12-21 (131 | Protocol<br>)Deviation/<br>SUBJECT<br>DISCONTINUED<br>FROM TRIAL ON<br>21DEC2020 AS HE<br>RECEIVED PFIZER<br>VACCINATION. |         | Yes/<br>2020-09-15 (34)                                                          |                                                                      |
| US3212192     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2020-12-18 (124 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE ON<br>18DEC2020                                                     |         | Yes/<br>2020-09-21 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3212198 2020-08-17 AMENDMENT 2 No/ Withdrawal of No/ Withdrawal of 2020-09-12 (26) Consent by 2020-09-11 (25) Consent by Participant/ Participant/ SUBJECT CALLED SUBJECT CALLED TO TO WITHDRAW WITHDRAW CONSENT ON CONSENT ON 12SEP2020 DUE TO 12SEP2020 DUE TO PERSONAL PERSONAL CIRCUMSTANCES, ALL CIRCUMSTANCES, ATTEMPTS WERE MADE ALL ATTEMPTS BY SITE STAFF TO WERE MADE BY KEEP SUBJECT IN THE SITE STAFF TO STUDY, HOWEVER SHE KEEP SUBJECT IN WISHED TO THE STUDY, DISCONTINUE FROM HOWEVER SHE THE STUDY. WISHED TO DISCONTINUE FROM THE STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject   | Informed<br>Consent | Protocol  |                          | /<br>n Reason for Study<br>Discontinuation/                                                                                       |            | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                  | Performed? | (Day)                                                                   | Specified Reason                                      |
| US3212209 | 2020-08-17          | AMENDMENT | 2 No/<br>2021-03-18 (214 | Other/<br>)SUBJECT<br>DISCONTINUED<br>FROM THE STUDY<br>BASED ON<br>RELOCATION TO<br>CHINA DURING THE<br>DURATION OF THE<br>STUDY |            | Yes/<br>2020-09-16 (31)                                                 |                                                       |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |   | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3212212     | 2020-08-18                  | AMENDMENT :         | - | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>CONTACTED SITE<br>TO WITHDRAW FROM<br>THE STUDY, ALL<br>POSSIBLE<br>ATTEMPTS WERE<br>MADE TO KEEP THE<br>SUBJECT IN THE<br>STUDY AND AN EOS<br>STUDY VISIT WAS<br>OFFERED AS WELL. |         | Yes/<br>2020-09-17 (31)                                                         | -<br>-                                                                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

Primary Reason for

Discontinuation/

Specified Reason

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Discontinuation Dosing Informed Discontinuation Reason for Study Death/ Consent Subject Protocol or Completion Discontinuation/ Autopsy or Completion Date Version Specified Reason Performed? (Day) ID (Day)

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | ( - 1)         |                  |                 | 1                   |
|-----------|------------|-----------|----------------|------------------|-----------------|---------------------|
| US3212225 | 2020-08-18 | AMENDMENT | 2 No/          | Withdrawal of    | No/             | Withdrawal of       |
|           |            |           | 2020-09-15 (29 | ) Consent by     | 2020-09-14 (28) | Consent by          |
|           |            |           |                | Participant/     |                 | Participant/        |
|           |            |           |                | SUBJECT DENIED   |                 | SUBJECT DENIED      |
|           |            |           |                | COMING IN FOR AN |                 | COMING IN FOR AN    |
|           |            |           |                | ILLNESS VISIT ON |                 | ILLNESS VISIT ON    |
|           |            |           |                | 11SEP2020 AND    |                 | 11SEP2020 AND       |
|           |            |           |                | WITHDREW CONSENT |                 | WITHDREW CONSENT ON |
|           |            |           |                | ON 15SEP2020.    |                 | 15SEP2020. ALL      |
|           |            |           |                | ALL ATTEMPTS     |                 | ATTEMPTS WERE MADE  |
|           |            |           |                | WERE MADE TO     |                 | TO KEEP THE SUBJECT |
|           |            |           |                | KEEP THE SUBJECT |                 | IN THE STUDY,       |
|           |            |           |                | IN THE STUDY,    |                 | HOWEVER HE DID NOT  |
|           |            |           |                | HOWEVER HE DID   |                 | WISH TO CONTINUE.   |
|           |            |           |                | NOT WISH TO      |                 |                     |
|           |            |           |                | CONTINUE.        |                 |                     |

<sup>\*</sup> indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol    |                          | /<br>n Reason for Study<br>Discontinuation/                                                       | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|---------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                  | <br>-                                                                   | Specified Reason                                      |
| US3212230 | 2020-08-18          | AMENDMENT 2 | 2 No/<br>2020-12-16 (121 | Protocol<br>)Deviation/<br>RECEIVED THE<br>PFIZER VACCINE<br>ON 16DEC2020<br>THROUGH HIS<br>WORK. | Yes/<br>2020-09-14 (28)                                                 |                                                       |
| US3212257 | 2020-08-19          | AMENDMENT 2 | 2 No/<br>2020-12-30 (132 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE ON<br>30DEC2020<br>THROUGH HER<br>WORK.     | Yes/<br>2020-09-23 (34)                                                 |                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                 |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3212262     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-31 (134 | Protocol<br>)Deviation/<br>RECEIVED THE<br>PFIZER VACCINE<br>ON 31DEC2020<br>THROUGH HIS<br>WORK. |         | Yes/<br>2020-09-17 (29)                                     |                                                                      |
| JS3212289     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-18 (117 | Protocol<br>)Deviation/<br>RECEIVED THE<br>PFIZER VACCINE<br>ON 18DEC2020<br>THROUGH HER<br>WORK. |         | Yes/<br>2020-09-24 (32)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                 |            | Completed Dosing, |                    |
|-----------|------------|-----------|-----------------|-------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study   |                   | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   | _         |                 | Reason for Study  |            | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | -               | Discontinuation/  |            | or Completion     | Discontinuation/   |
| ID        | Date       | Version   | (Day)           | Specified Reason  | Performed? | (Day)             | Specified Reason   |
| S3212297  | 2020-08-25 | AMENDMENT | 3 No/           | Protocol          |            | Yes/              |                    |
| 00212201  | 2020 00 25 |           | 2021-03-19 (207 |                   |            | 2020-09-30 (37)   |                    |
|           |            |           | 2021 05 15 (207 | LATE ENTRY:       |            | 2020 09 30 (37)   |                    |
|           |            |           |                 | SUBJECT RECEIVED  |            |                   |                    |
|           |            |           |                 | MODERNA VACCINE   |            |                   |                    |
|           |            |           |                 | IN THE COMMUNITY  |            |                   |                    |
|           |            |           |                 | UNJAN2021 AND     |            |                   |                    |
|           |            |           |                 | WAS NOTIFIED      |            |                   |                    |
|           |            |           |                 | THIS CONSTITUTED  |            |                   |                    |
|           |            |           |                 | DISCONTINUATION   |            |                   |                    |
|           |            |           |                 | FROM THE TRIAL,   |            |                   |                    |
|           |            |           |                 | SUBJECT IS        |            |                   |                    |
|           |            |           |                 | WITHDRAWN FROM    |            |                   |                    |
|           |            |           |                 | THE STUDY.        |            |                   |                    |
|           |            |           |                 | THE STOPL.        |            |                   |                    |
| JS3212342 | 2020-09-02 | AMENDMENT | 3 No/           | Lost to Follow-Up | )          | Yes/              |                    |
|           |            |           | 2020-10-06 (35) | -                 |            | 2020-09-29 (28)   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3212397     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-01-08 (120 | Protocol<br>)Deviation/<br>VACCINATED OUT<br>OF STUDY                                                                         |         | Yes/<br>2020-10-12 (32)                                                         |                                                                           |
| US3222001     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-03-13 (220 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>VACCINE IN THE<br>COMMUNITY.                                                   |         | Yes/<br>2020-09-07 (33)                                                         |                                                                           |
| US3222006     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-01-08 (156 | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>AT OVIEDO L MALL<br>ON 8/JAN/2021<br>AND SECOND<br>1/29/2021. |         | Yes/<br>2020-09-03 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study          | /                                                                                                  | Date of | Completed Dosing<br>Date of Dosing        | /<br>Primary Reason for                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------|----------------------------------------------------------------------------------------------------|---------|-------------------------------------------|---------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                                           | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason                |
| US3222011     | 2020-08-06                  | AMENDMENT (         | -<br>1 No/<br>2020-12-28 (145             | Protocol<br>)Deviation/<br>PT RECEIVED<br>PFIZER VACCINE<br>AT HIS WORK<br>PLACE ON<br>26/DEC/2020 |         | Yes/<br>2020-09-03 (29)                   |                                                               |
| US3222015     | 2020-08-06                  | AMENDMENT 1         | l No/<br>2020-09-12 (38)                  | Withdrawal of<br>Consent by<br>Participant/<br>PT W/D CONSENT                                      |         | No/<br>2020-09-02 (28)                    | Withdrawal of<br>Consent by<br>Participant/<br>PT W/D CONSENT |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222018     | 2020-08-06                  | AMENDMENT 1         | l No/<br>2021-01-07 (155 | Protocol<br>)Deviation/<br>THE PATIENT<br>RECEIVED THE<br>VACCINE FROM HER<br>PLACE OF<br>EMPLOYMENT.        |         | Yes/<br>2020-09-07 (33)                                                          |                                                                           |
| US3222029     | 2020-08-07                  | AMENDMENT 1         | l No/<br>2021-01-04 (151 | Other/<br>)EMERGENCY USE<br>AUTHORIZATION<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY FROM<br>HER JOB |         | Yes/<br>2020-09-04 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason   |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| US3222031     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-09-06 (31) | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WANT<br>FURTHER AES. |         | No/<br>2020-09-03 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>DOES NOT WANT<br>FURTHER AES |
| US3222033     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-02-19 (197 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE<br>AT WORK.       |         | Yes/<br>2020-09-04 (29)                                                          |                                                                             |
| US3222042     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2020-12-28 (143 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>ON 21/DEC/2020 |         | Yes/<br>2020-09-02 (26)                                                          |                                                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3222088     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-09-04 (25) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT BECAUSE<br>SHE DID NOT WANT<br>TO CONTINUE<br>WITHOUT HER<br>HUSBAND WHOM<br>ALSO WITHDREW<br>CONSENT. |         | No/<br>2020-09-03 (24)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT BECAUSE SHE<br>DID NOT WANT TO<br>CONTINUE WITHOUT<br>HER HUSBAND WHOM<br>ALSO WITHDREW<br>CONSENT. |
| US3222098     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2021-01-06 (148 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE<br>12/28/2020 FROM<br>WORK                                                                                                 |         | Yes/<br>2020-09-11 (31)                                                         |                                                                                                                                                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                         |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| US3222102     | 2020-08-12                  | AMENDMENT :         | - /                      | Other/<br>THE SUBJECT IS<br>WITHDRAWING<br>CONSENT FROM<br>TRIAL AND MOVING<br>OUT OF STATE. |         | No/<br>2020-08-24 (13)                                                           | Other/<br>THE SUBJECT IS<br>WITHDRAWING CONSENT<br>FROM TRIAL AND<br>MOVING OUT OF<br>STATE. |
| US3222104     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-09-16 (36) | Withdrawal of<br>Consent by<br>Participant/<br>PT W/C DUE TO<br>DISTANCE                     |         | Yes/<br>2020-09-09 (29)                                                          |                                                                                              |
| US3222145     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-12-01 (110 | Lost to Follow-Up<br>)                                                                       | )       | Yes/<br>2020-09-11 (29)                                                          |                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  |                          | Reason for Study<br>Discontinuation/                                                            | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|--------------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                | -                                                                       | Specified Reason                                 |
| JS3222163 | 2020-08-15          | AMENDMENT | 2 No/<br>2020-12-15 (123 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WILL<br>RECEIVE EUA<br>VACCINE AT WORK. | Yes/<br>2020-09-12 (29)                                                 |                                                  |
| JS3222168 | 2020-08-15          | AMENDMENT | 2 No/<br>2021-02-10 (180 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE AT HER<br>PLACE OF WORK.                 | Yes/<br>2020-09-12 (29)                                                 |                                                  |
| JS3222185 | 2020-08-17          | AMENDMENT | 2 No/<br>2021-01-27 (164 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE IN THE<br>COMMUNITY ON<br>01/07/21       | Yes/<br>2020-09-16 (31)                                                 |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3222195     | 2020-08-17                  | AMENDMENT           |                          | Other/<br>)SUBJECT RECEIVED<br>VACCINE IN<br>COMMUNITY                                                                                                                                                             |         | Yes/<br>2020-09-16 (31)                                                          |                                                                      |
| US3222199     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-03-03 (198 | Protocol<br>) Deviation/<br>SUBJECT CALLED<br>CLINIC ON<br>03/MAR/2021 AND<br>SAID THEY<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>THE TRIAL BUT<br>COULDNT REMEMBER<br>WHEN IN JANUARY<br>2021 HE TOOK THE<br>VACCINE. |         | Yes/<br>2020-09-17 (31)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3222206     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-12-31 (136 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>OUTSIDE THE<br>CLINICAL TRIAL. |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3222224     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-12-29 (133 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>FROM EMPLOYER                |         | Yes/<br>2020-09-18 (31)                                                          |                                                                      |
| US3222229     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-09-24 (37) | Withdrawal of<br>Consent by<br>Participant/<br>PT WITHDREW<br>CONSENT                           |         | Yes/<br>2020-09-17 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | /<br>n Reason for Study                                                                                                                                                          | Date of<br>Death/ | Completed Dosing<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                                                                                                             |                   | or Completion<br>(Day)                                | Discontinuation/<br>Specified Reason |
| US3222238     | 2020-08-20      | AMENDMENT 2         | 2 No/<br>2020-12-17 (120                            | Withdrawal of<br>)Consent by<br>Participant/<br>PT WAS UNBLINDED<br>PER EAU REQUEST.<br>HER JOB WAS<br>OFFERING VACCINE<br>NEED TO GET THE<br>VACCINE OR NOT<br>BE ABLE TO WORK. |                   | Yes/<br>2020-09-24 (36)                               |                                      |
| US3222247     | 2020-08-20      | AMENDMENT 2         | 2 No/<br>2021-01-21 (150                            | Protocol<br>)Deviation/<br>SUBJECT OBTAINED<br>COVID 19 VACCINE<br>OUTSIDE TRIAL                                                                                                 |                   | Yes/<br>2020-09-24 (31)                               |                                      |
| US3222249     | 2020-08-20      | AMENDMENT 2         | 2 No/<br>2020-09-26 (38)                            | Lost to Follow-Up                                                                                                                                                                | )                 | No/<br>2020-09-16 (28)                                | Lost to Follow-Up                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason            |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| US3222259     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2021-01-14 (147                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>OUTSIDE THE<br>TRIAL.    |         | Yes/<br>2020-09-17 (28)                                                          |                                                                                      |
| US3222271     | 2020-08-22                  | AMENDMENT 2         | 2 No/<br>2020-09-12 (22)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>CANNOT COMPLY<br>WITH VISIT<br>SCHEDULE |         | No/<br>2020-09-11 (21)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>CANNOT COMPLY WITH<br>VISIT SCHEDULE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason      |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| US3222275     | 2020-08-22                  | AMENDMENT :         | 2 No/<br>2021-03-10 (201 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATES<br>SHE WANTS TO BE<br>DROPPED SHE CAN<br>GET VACCINE FROM<br>HER PCP |         | Yes/<br>2020-09-19 (29)                                                          |                                                                                |
| US3222288     | 2020-08-23                  | AMENDMENT :         | 2 No/<br>2020-10-19 (58) | Withdrawal of<br>Consent by<br>Participant/<br>CONFLICT WITH<br>VISIT SCHEDULED                                                     |         | No/<br>2020-09-19 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>CONFLICT WITH VISIT<br>SCHEDULE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222305     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-29 (128 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF THE STUDY ON<br>28/DEC/2020.        |         | Yes/<br>2020-09-29 (37)                                                          |                                                                           |
| US3222326     | 2020-08-25                  | AMENDMENT           | 2 No/<br>2020-12-21 (119 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE PFIZER<br>VACCINE AT THEIR<br>PLACE OF<br>EMPLOYMENT. |         | Yes/<br>2020-09-22 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222343     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-15 (112 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WANTED<br>TO BE UNBLINDED<br>AND IF ON<br>PLACEBO HE<br>WANTED TO BE<br>WITHDRAWN FROM<br>THE TRIAL. |         | Yes/<br>2020-09-24 (30)                                                         |                                                                           |
| US3222344     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-01-21 (149 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>IN SEMINOLE<br>COUNTY<br>20/JAN/2021                                                      |         | Yes/<br>2020-09-25 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3222361     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-31 (158 | Protocol<br>)Deviation/<br>RECEIVED VACCINE<br>JAN 7 AT PUBLIX               |         | Yes/<br>2020-09-25 (30)                                                          |                                                                      |
| US3222363     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-23 (119 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>THE STUDY. |         | Yes/<br>2020-09-24 (29)                                                          |                                                                      |
| US3222376     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-02 (97) | Lost to Follow-Up                                                            | )       | Yes/<br>2020-09-25 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222424     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-11-07 (69) | Withdrawal of<br>Consent by<br>Participant/<br>STUDY<br>DISCONTINUATION<br>DUE TO PERSONAL<br>CONFLICT. |         | No/<br>2020-09-27 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3222432     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-29 (151 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID 19 VACCINE<br>UNDER EUA<br>OUTSIDE THE<br>TRIAL.   |         | Yes/<br>2020-10-01 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason             |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| US3222434     | 2020-09-01                  | AMENDMENT (         | 3 No/<br>2020-11-07 (68) | Withdrawal of<br>Consent by<br>Participant/<br>AFTER MULTIPLE<br>ATTEMPTS TO<br>CONTACT SUBJECT<br>RECEPTIONIST GOT<br>A CALL AND SHE<br>JUST ISN'T<br>INTERESTED ANY<br>LONGER. |         | Yes/<br>2020-10-01 (31)                                                          |                                                                                       |
| US3222435     | 2020-09-01                  | AMENDMENT 3         | 3 No/<br>2020-10-01 (31) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED<br>NO LONGER<br>INTERESTED                                                                                         |         | No/<br>2020-09-28 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED NO<br>LONGER INTERESTED |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                        | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | '<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| US3222443     | 2020-09-02                  | AMENDMENT 3         | 3 No/<br>2021-02-19 (171                                                      | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE ON<br>04/JAN/2021 |         | Yes/<br>2020-09-30 (29)                                                          |                                                                                                                            |
| US3222464     | 2020-09-03                  | AMENDMENT 3         | 3 No/<br>2020-10-26 (54)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>WORK CONFLICT            |         | No/<br>2020-09-30 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO SCHEDULING<br>CONFLICT WITH WORK<br>UNABLE TO CONTINUE<br>THE TRIAL. |
| US3222466     | 2020-09-03                  | AMENDMENT 3         | 3 No/<br>2020-10-26 (54)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SCHEDULING<br>CONFLICT   |         | No/<br>2020-09-30 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SCHEDULING<br>CONFLICT                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| JS3222472     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-01-26 (146 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT RECEIVED<br>VACCINE OUT IN<br>COMMUNITY |         | Yes/<br>2020-10-03 (31)                                                          |                                                                           |
| JS3222475     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-28 (117 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE IN<br>COMMUNITY                           |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |
| JS3222493     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-01-21 (140 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID 19 VACCINE<br>OUTSIDE OF<br>TRIAL.         |         | Yes/<br>2020-10-06 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222550     | 2020-09-07                  | AMENDMENT :         | 2 No/<br>2020-12-30 (115 | Protocol<br>)Deviation/<br>THIS SUBJECT<br>RECEIVED HIS<br>VACCINE AT PLACE<br>OF<br>EMPLOYMENT-MANDA<br>TORY 12/23/2020. |         | Yes/<br>2020-10-04 (28)                                                         |                                                                           |
| US3222580     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-02 (83) | 1                                                                                                                         | )       | No/<br>2020-10-08 (28)                                                          | Lost to Follow-Up                                                         |
| US3222585     | 2020-09-12                  | AMENDMENT           | 3 No/<br>2020-12-02 (82) | Lost to Follow-Up                                                                                                         | )       | No/<br>2020-10-09 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222615     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2020-12-15 (90) | Other/<br>EMERGENCY USE<br>AUTHORIZATION.<br>HE RECEIVED<br>VACCINE AT WORK                        |         | Yes/<br>2020-10-20 (34)                                                          |                                                                           |
| US3222628     | 2020-09-22                  | AMENDMENT           | 3 No/<br>2020-11-07 (47) | Other/<br>PT MOVED TO<br>HAWAII FOR WORK<br>AND CANNOT<br>CONTINUE STUDY<br>DUE TO<br>TRANSPORTION |         | Yes/<br>2020-10-17 (26)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3222632     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2020-12-21 (89) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>AT WORK ON<br>21/DEC/2020.                   |         | Yes/<br>2020-10-23 (30)                                                          |                                                                      |
| US3222655     | 2020-10-01                  | AMENDMENT           | 3 No/<br>2021-02-01 (124 | Protocol<br>)Deviation/<br>SUBJECT IS<br>PATHOLOGIST AND<br>WAS VACCINATED<br>AT HER PLACE OF<br>EMPLOYMENT. |         | Yes/<br>2020-10-29 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject   | Informed<br>Consent | Protocol  |                                     | n Reason for Study<br>Discontinuation/                                                                                                                                                                                                                                                | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version   | (Day)                               | Specified Reason                                                                                                                                                                                                                                                                      | Performed? | (Day)                                                                  | Specified Reason                                 |
| US3222657 | 2020-10-01          | AMENDMENT | <sup>3</sup> No/<br>2021-02-05 (128 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATES<br>HE IS ABLE TO<br>GET VACCINATED<br>THROUGH THE<br>COMMUNITY AND<br>WILL MAKE<br>ARRANGEMENTS TO<br>DO SO. STATES HE<br>WANTS TO BE<br>DROPPED. HE IS<br>UNABLE TO TRAVEL<br>DUE TO HEALTH<br>AND LENGTH OF<br>TIME. |            | Yes/<br>2020-10-30 (30)                                                |                                                  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |               | Completed Study | /                 |             | Completed Dosing,                 |                              |
|-----------|------------|---------------|-----------------|-------------------|-------------|-----------------------------------|------------------------------|
|           | Informed   |               | Date of Study   | Reason for Study  | Date of     | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol      |                 | Discontinuation/  |             | or Completion                     | Discontinuation/             |
| ID        | Date       | Version       | (Day)           | Specified Reason  |             |                                   | Specified Reason             |
|           |            |               | ·               | -                 |             | · • •                             | <u>.</u>                     |
| JS3222661 | 2020-10-02 | AMENDMENT     | 3 No/           | Lost to Follow-Up | )           | Yes/                              |                              |
|           |            |               | 2021-02-23 (145 | )                 |             | 2020-11-06 (36)                   |                              |
| JS3232048 | 2020-08-14 | AMENDMENT     | 2 No/           | Death             | 2020-12-12/ | Yes/                              |                              |
| 000202010 | 2020 00 11 | 1111110111111 | 2020-12-12 (114 |                   | N           | 2020-09-18 (29)                   |                              |
|           |            |               |                 | ,                 |             | ( - ,                             |                              |
| JS3232068 | 2020-08-19 | AMENDMENT     | 2 No/           | Protocol          |             | Yes/                              |                              |
|           |            |               | 2021-01-05 (140 | )Deviation/       |             | 2020-09-23 (36)                   |                              |
|           |            |               |                 | PATIENT RECEIVED  |             |                                   |                              |
|           |            |               |                 | NON-STUDY EUA     |             |                                   |                              |
|           |            |               |                 | VACCINE OUTSIDE   |             |                                   |                              |
|           |            |               |                 | OF STUDY          |             |                                   |                              |
| JS3232132 | 2020-08-31 | AMENDMENT     | 3 No/           | Protocol          |             | Yes/                              |                              |
|           |            |               | 2021-03-12 (194 | )Deviation/       |             | 2020-09-28 (29)                   |                              |
|           |            |               |                 | PATIENT RECEIVED  |             |                                   |                              |
|           |            |               |                 | NON-STUDY EUA     |             |                                   |                              |
|           |            |               |                 | VACCINE OUTSIDE   |             |                                   |                              |
|           |            |               |                 | OF STUDY          |             |                                   |                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3232140     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-01-07 (128 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>NON-STUDY EUA<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-09-30 (29)                                                          |                                                                           |
| US3232141     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-01-06 (127 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>NON-STUDY EUA<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-09-30 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                               | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3232158     | 2020-09-10                  | amendment 3         | <sup>3</sup> No/<br>2021-01-08 (121                                           | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>NON-STUDY EUA<br>VACCINE OUTSIDE<br>OF STUDY    |                                            | Yes/<br>2020-10-17 (38)                                                         |                                                                           |
| US3232182     | 2020-09-19                  | AMENDMENT 3         | <sup>3</sup> No/<br>2021-02-18 (153                                           | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA VACCINE<br>OUTSIDE OF STUDY<br>FACILITY |                                            | Yes/<br>2020-10-20 (32)                                                         |                                                                           |
| US3242002     | 2020-08-06                  | AMENDMENT 1         | . ONGOING                                                                     |                                                                                                |                                            | No/<br>2020-09-02 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study<br>Date of Study | /                                                                                                                                    | Date of          | Completed Dosing.<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------------------------|--------------------------------------|
|               | Informed        |                     | -                                | Reason for Study                                                                                                                     |                  | Discontinuation                     | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                                                 | Autopsy          | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason |
| US3242019     | 2020-08-07      | AMENDMENT           | 1 No/<br>2020-12-30 (146         | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISH TO<br>RECEIVE VACCINE<br>FROM EMPLOYER                                  |                  | Yes/<br>2020-09-08 (33)             |                                      |
| JS3242089     | 2020-08-14      | AMENDMENT           | 2 No/<br>2021-03-04 (203         | Death<br>)                                                                                                                           | 2021-03-04/<br>Y | ′Yes/<br>2020-09-11 (29)            |                                      |
| US3242091     | 2020-08-14      | AMENDMENT           | 2 No/<br>2020-12-31 (140         | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT CHOOSES<br>TO WITHDRAW IN<br>ORDER TO RECEIVE<br>PFIZER VACCINE<br>UNDER EAU |                  | Yes/<br>2020-09-15 (33)             |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                   | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3242115     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-11-27 (103 | Lost to Follow-Up<br>)                                                          | )       | Yes/<br>2020-09-15 (30)                                                          |                                                                      |
| US3242182     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-12-30 (132 | Withdrawal of<br>)Consent by<br>Participant/<br>WANTED TO BE<br>UNBLINDED       |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |
| US3242195     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (116 | Withdrawal of<br>)Consent by<br>Participant/<br>TO RECEIVE EUA<br>COVID VACCINE |         | Yes/<br>2020-09-18 (26)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Quality at    | Informed        | Duchosel            |                          | Reason for Study                                                                         |                       | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|--------------------------|------------------------------------------------------------------------------------------|-----------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                     | Autopsy<br>Performed? | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3242225     | 2020-08-26      | AMENDMENT 3         | 3 No/<br>2020-12-21 (118 | Withdrawal of<br>)Consent by<br>Participant/<br>TO UNBLIND AND<br>RECEIVE EUA<br>VACCINE |                       | Yes/<br>2020-09-23 (29)                                |                                      |
| US3242255     | 2020-08-31      | AMENDMENT 3         | 3 No/<br>2021-01-19 (142 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINATION<br>AT ANOTHER<br>LOCATION |                       | Yes/<br>2020-09-28 (29)                                |                                      |
| US3242258     | 2020-08-31      | AMENDMENT 3         | 3 ONGOING                |                                                                                          |                       | No/<br>2020-09-27 (28)                                 | Adverse Event/<br>AE #2              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3242350     | 2020-09-18                  | AMENDMENT 3         | 3 No/<br>2021-03-05 (169                                                      | Other/<br>)MOVED OUT OF<br>STATE                                |         | Yes/<br>2020-10-20 (33)                                                          |                                                                           |
| US3242400     | 2020-09-30                  | AMENDMENT 3         | 3 No/<br>2021-01-27 (120                                                      | Other/<br>)MOVING OUT OF<br>STATE                               |         | Yes/<br>2020-10-28 (29)                                                          |                                                                           |
| US3242413*    | 2020-10-06                  | AMENDMENT 4         | 4 No/<br>2021-02-03 (121                                                      | Other/<br>)SUBJECT IN<br>MILITARY AND<br>LEAVING STATE          |         | Yes/<br>2020-11-03 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3252009     | 2020-08-03                  | AMENDMENT 1         | L No/<br>2021-01-13 (164 | Other/<br>)PATIENT<br>REQUESTED TO BE<br>UNBLINDED FROM<br>STUDY DUE TO<br>MOVING TO A<br>DIFFERENT STATE,<br>MINNESOTA.<br>PATIENT STATES<br>SHE CAN NO<br>LONGER MAKE SITE<br>VISITS. |         | No/<br>2020-08-30 (28)                                                           | Pregnancy                                                            |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252013     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2021-01-12 (163 | Protocol<br>) Deviation/<br>POST EUA<br>UNBLINDING,<br>PATIENT RECEIVED<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>STUDY. |         | Yes/<br>2020-09-01 (30)                                                         |                                                                           |
| US3252015     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2021-01-29 (180 | Protocol<br>) Deviation/<br>POST EUA<br>UNBLINDING,<br>PATIENT GOT<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>STUDY.      |         | Yes/<br>2020-08-29 (27)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Baseline SARS-CoV-2 Status: Negative; Treatment Group: Pl |
|-----------------------------------------------------------|
|-----------------------------------------------------------|

|               |                             |                     | Completed Study                                           | 7/                                                         |         | Completed Dosing/                                           |                                                                      |  |
|---------------|-----------------------------|---------------------|-----------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatio<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |  |
| US3252020     | 2020-08-04                  | AMENDMENT           | L ONGOING                                                 |                                                            |         | No/<br>2020-08-31 (28)                                      | Due to SARS-CoV-2                                                    |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252039     | 2020-08-05                  | AMENDMENT :         | 1 No/<br>2021-02-01 (181 | Protocol<br>) Deviation/<br>PATIENT CALLED<br>STATING HE HAD<br>ALREADY RECEIVED<br>THE MODERNA<br>VACCINE<br>ELSEWHERE.<br>PATIENT STATES<br>HE RECEIVED THE<br>FIRST DOSE ON<br>23-DEC-2020 AND<br>SECOND DOSE ON<br>22-JAN-2021.<br>PATIENT WAS<br>UNBLINDED TODAY<br>01-FE |         | Yes/<br>2020-09-02 (29)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                 |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                          | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3252057     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-12-18 (135 | Other/<br>)SUBJECT OPTED<br>TO GET PFIZER<br>VACCINE OUTSIDE<br>OF THE STUDY AND<br>AS PER PROTOCOL<br>NO LONGER IN THE<br>STUDY. |         | Yes/<br>2020-09-01 (27)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                                                                         |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                  | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3252062     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-03-17 (224 | Protocol<br>)Deviation/<br>PATIENT<br>CONTACTED US TO<br>NOTIFY US THAT<br>SHE RECEIVED THE<br>MODERNA MRNA<br>VACCINE OUTSIDE<br>OF THE STUDY ON<br>11-MAR-2021. DUE<br>TO THIS SHE WAS<br>DISCONTINUED. |         | Yes/<br>2020-09-03 (29)                                     |                                                                      |
| US3252077     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-29 (145 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE.                                                                                                                                             |         | Yes/<br>2020-09-03 (28)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252131     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-03-09 (210 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>A EUA VACCINE<br>OUTSIDE OF THE<br>STUDY. |         | Yes/<br>2020-09-08 (28)                                                          |                                                                           |
| US3252132     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-03-08 (209 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>APPROVED<br>VACCINE, PFIZER                   |         | Yes/<br>2020-09-09 (29)                                                          |                                                                           |
| US3252134     | 2020-08-12                  | AMENDMENT           |                          | Other/<br>)UNBLINDING DUE<br>TO EUA APPROVED<br>COVID VACCINE.                           |         | Yes/<br>2020-09-09 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3252147     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2021-01-04 (146 | Other/<br>)PATIENT<br>UNBLINDING FOR<br>EUA OF COVID<br>VACCINE              |         | Yes/<br>2020-09-08 (28)                                                          |                                                                      |
| US3252155     | 2020-08-13                  | AMENDMENT :         | 2 No/<br>2021-03-13 (213 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>A VACCINE OUT OF<br>THE STUDY |         | Yes/<br>2020-09-12 (31)                                                          |                                                                      |
| US3252162     | 2020-08-13                  | AMENDMENT :         | - 1                      | Other/<br>)POST UNBLINDING<br>EUA APPROVED<br>VACCINE.                       |         | Yes/<br>2020-09-10 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252196     | 2020-08-15                  | AMENDMENT           | - 1                      | Other/<br>)OTHER- REQUEST<br>TO UNBLIND/ EUA<br>COVID-19 VACCINE                                                                                                                                                                  |         | Yes/<br>2020-09-15 (32)                                                         |                                                                           |
| US3252212     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2021-02-02 (170 | Protocol<br>) Deviation/<br>PATIENT<br>CONTACTED US<br>LETTING US KNOW<br>THAT HE HAD<br>RECEIVED THE EUA<br>APPROVED VACCINE<br>PFIZER 2 MONTHS<br>AGO. PATIENT WAS<br>UNBLINDED AT<br>THIS TIME AND<br>RECEIVED THE<br>PLACEBO. |         | Yes/<br>2020-09-16 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3252226     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-12-31 (136 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE.                               |         | Yes/<br>2020-09-17 (31)                                                          |                                                                      |
| US3252234     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-03-08 (203 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>STUDY ON<br>25-DEC-2020 |         | Yes/<br>2020-09-14 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study<br>Date of Study |                                                                                                       | Date of | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|-------------------------------------------------------------------------------------------------------|---------|-------------------------------------|--------------------------------------|
|               | Informed        |                     | -                                | Reason for Study                                                                                      |         | Discontinuation                     | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                  | Autopsy | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason |
| US3252242     | 2020-08-19      | AMENDMENT           | 2 No/<br>2021-01-22 (157         | Protocol<br>)Deviation/<br>POST UNBLINDING<br>EUA PATIENT<br>RECEIVED VACCINE<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-09-15 (28)             |                                      |
| US3252256     | 2020-08-19      | AMENDMENT           | 2 No/<br>2021-03-06 (200         | Protocol<br>)Deviation/<br>POST EUA<br>UNBLINDING-<br>PATIENT GOT<br>PFIZER VACCINE.                  |         | Yes/<br>2020-09-16 (29)             |                                      |
| US3252264     | 2020-08-20      | AMENDMENT           |                                  | Other/<br>)UNBLINDED DUE TO<br>APPROVED EUA<br>COVID VACCINE.                                         |         | Yes/<br>2020-09-21 (33)             |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                              | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3252267     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2020-12-18 (121                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.  |         | Yes/<br>2020-09-18 (30)                                                          |                                                                      |
| US3252276     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2021-02-25 (189                                                      | Lost to Follow-Up<br>)                                        | 0       | Yes/<br>2020-09-23 (34)                                                          |                                                                      |
| US3252283     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2020-12-23 (125                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE. |         | Yes/<br>2020-09-17 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3252293     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2021-03-08 (200 | Protocol<br>)Deviation/<br>POST EUA<br>UNBLINDING-<br>PATIENT GOT<br>PFIZER VACCINE.                                                                                 |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |
| US3252297     | 2020-08-22                  | AMENDMENT :         |                          | Other/<br>)PATIENT WILL BE<br>DISCONTINUED<br>FROM STUDY.<br>STATES HE IS<br>MOVING TO<br>NEBRASKA ON<br>3/11/21 AND CAN<br>NO LONGER COME<br>IN FOR SITE<br>VISITS. |         | Yes/<br>2020-09-19 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study,         | /                                                                                                                                                                                                                                                                            |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                     | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3252321     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2021-03-26 (215 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT IS A<br>PHYSICIAN AND<br>WILL GET THE<br>PFIZER VACCINE<br>OUTSIDE OF THE<br>STUDY. SUBJECT<br>WAS ALSO HAVING<br>DIFFICULTY<br>MAKING HIS<br>APPOINTMENTS DUE<br>TO HIS WORK<br>SCHEDULE. HE<br>WITHDREW<br>CONSENT. |         | Yes/<br>2020-09-26 (34)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252333     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-22 (120 | Protocol<br>Poviation/<br>REQUEST TO<br>UNBLIND/ PATIENT<br>REPORTED THAT HE<br>GOT PFIZER<br>VACCINE TODAY<br>(22-DEC-2020)<br>DURING HIS D199<br>M4 SAFETY CALL. |         | Yes/<br>2020-09-22 (29)                                                          |                                                                           |
| US3252340     | 2020-08-26                  | AMENDMENT           | - /                      | Other/<br>)REQUEST UNBLIND/<br>EUA COVID-19<br>VACCINE.                                                                                                            |         | Yes/<br>2020-09-23 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | 7/                                                            |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3252363     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-28 (123 | Other/<br>DREQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE. |         | Yes/<br>2020-09-24 (28)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3252370 2020-08-28 AMENDMENT 3 No/ Withdrawal of No/ Other/ 2020-10-02 (36) Consent by 2020-09-24 (28) PATIENT CANCELLED Participant/ THE VISIT. PATIENT HAD HER SECOND VISIT SCHEDULED FOR 09/25/2020 AND DID NOT COME. PRIOR TO HER SECOND VISIT, PATIENT WAS CALLED AS A REMINDER TO COME TO HER VISIT HOWEVER DID NOT ANSWER. SHE WAS CALLED MULTIPLE

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason        | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|-----------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252376 | 2020-08-29                  | AMENDMENT (         |                          | Protocol                                                               |                                            | Yes/<br>2020-09-26 (29)                                                          |                                                                           |
| US3252397 | 2020-08-31                  | AMENDMENT 3         | 3 No/<br>2020-09-10 (11) | Lost to Follow-Up                                                      | )                                          | No/<br>2020-09-09 (10)                                                           | Lost to Follow-Up                                                         |
| US3252400 | 2020-09-01                  | AMENDMENT (         | 3 No/<br>2021-03-09 (190 | Protocol<br>)Deviation/<br>POST EUA-<br>PATIENT GOT<br>PFIZER VACCINE. |                                            | Yes/<br>2020-10-01 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                              |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3252427     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-01-08 (127                                   | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>OUTSIDE VACCINE |         | Yes/<br>2020-10-02 (29)                                     |                                                                      |
| US3252428     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-17 (105                                   | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.   |         | Yes/<br>2020-10-02 (29)                                     |                                                                      |
| US3252434     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-18 (106                                   | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE.   |         | Yes/<br>2020-10-02 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               | Informed        |                     | -                                   |                                                                                                                                                                                                                      | Date of<br>Death/ | Completed Dosing<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                     | Discontinuation/<br>Specified Reason                                                                                                                                                                                 | Autopsy           | or Completion<br>(Day)                                | Discontinuation/<br>Specified Reason |
| US3252445     | 2020-09-09      | AMENDMENT 3         | <sup>3</sup> No/<br>2021-03-05 (178 | Protocol<br>PATIENT GOT AN<br>EUA VACCINE<br>OUTSIDE OF<br>STUDY. PATIENT<br>WAS UNBLINDED<br>AND RECEIVED<br>PLACEBO. PATIENT<br>WAS NOTIFIED HE<br>WOULD BE<br>DISCONTINUED AND<br>HE VERBALIZED<br>UNDERSTANDING. |                   | Yes/<br>2020-10-09 (31)                               |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252478     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2021-02-23 (163 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>A MODERNA<br>VACCINE OUTSIDE<br>OF THE STUDY.         |         | Yes/<br>2020-10-13 (30)                                                          |                                                                           |
| US3252486     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-02-08 (147 | Protocol<br>)Deviation/<br>POST EUA<br>UNBLINDING-PATIE<br>NT GOT MODERNA<br>OUTSIDE OF THE<br>STUDY |         | Yes/<br>2020-10-10 (26)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252533     | 2020-09-22                  | AMENDMENT           | 3 No/<br>2020-12-16 (86) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT MOVED<br>OUT OF TOWN AND<br>WANTED TO<br>WITHDRAWAL FROM<br>STUDY |         | Yes/<br>2020-10-22 (31)                                                          |                                                                           |
| US3252539     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2021-03-05 (164 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>OUTSIDE MODERNA<br>VACCINE                                                |         | Yes/<br>2020-10-20 (28)                                                          |                                                                           |
| US3252542     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2021-03-09 (167 | Other/<br>)POST EUA<br>UNBLINDING                                                                                        |         | Yes/<br>2020-10-21 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study<br>Date of Study | /                                                                                                                                 | Date of | Completed Dosing,<br>Date of Dosing | Primary Reason for                                                  |
|---------------|-----------------|---------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------|---------------------------------------------------------------------|
|               | Informed        |                     | -                                | Reason for Study                                                                                                                  | Death/  | Discontinuation                     | Dosing                                                              |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                              |         | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason                                |
| US3252547     | 2020-09-24      | AMENDMENT           | 3 No/<br>2021-03-11 (169         | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>AN OUTSIDE EUA<br>APPROVED VACCINE<br>AND WITHDREW<br>CONSENT AFTER<br>UNBLINDING. |         | Yes/<br>2020-10-22 (29)             |                                                                     |
| US3252553     | 2020-09-24      | AMENDMENT 2         | 2 ONGOING                        |                                                                                                                                   |         | No/<br>2020-10-21 (28)              | Adverse Event/<br>PATIENT<br>DISCONTINUED DUE TC<br>AE# 9,8,7,6,5,4 |
| US3252554     | 2020-09-24      | AMENDMENT           | 3 No/<br>2020-12-23 (91)         | Other/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE.                                                                      |         | Yes/<br>2020-10-28 (35)             |                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252568     | 2020-09-28                  | AMENDMENT (         | <sup>3</sup> No/<br>2021-03-08 (162 | Protocol<br>) Deviation/<br>POST EUA<br>UNBLINDING,<br>PATIENT RECEIVED<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>STUDY. |         | Yes/<br>2020-10-30 (33)                                                         |                                                                           |
| US3252577     | 2020-09-28                  | AMENDMENT (         | 3 No/<br>2021-01-28 (123            | Protocol<br>)Deviation/<br>POST EUA<br>UNBLINDING,<br>PATIENT GOT<br>MODERNA OUTSIDE<br>OF THE STUDY.                  |         | Yes/<br>2020-10-26 (29)                                                         |                                                                           |
| US3252583     | 2020-09-30                  | AMENDMENT (         | 3 ONGOING                           |                                                                                                                        |         | No/<br>2020-10-27 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                          | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3252585     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2020-12-17 (79) | Other/<br>EUA COVID<br>VACCINE- PATIENT<br>GOT PFIZER.                                  |         | Yes/<br>2020-10-29 (30)                                                          |                                                                      |
| JS3252599     | 2020-10-02                  | AMENDMENT           | 3 ONGOING                |                                                                                         |         | No/<br>2020-10-29 (28)                                                           | Due to SARS-CoV-2                                                    |
| JS3252600     | 2020-10-02                  | AMENDMENT           | 3 No/<br>2021-03-09 (159 | Other/<br>)POST EUA-<br>PATIENT GOT EUA<br>APPROVED VACCINE<br>OUTSIDE OF THE<br>STUDY. |         | Yes/<br>2020-10-30 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  | or Completion            | Reason for Study<br>Discontinuation/                                                                               | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|--------------------------|--------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                   | Performed? | (Day)                                                                  | Specified Reason                                 |
| US3252612 | 2020-10-03          | AMENDMENT | 3 No/<br>2021-03-10 (159 | Protocol<br>)Deviation/<br>THE SUBJECT<br>RECEIVED 2ND<br>DOSE OUTSIDE OF<br>THE STUDY AND<br>WAS<br>DISCONTINUED. |            | Yes/<br>2020-10-30 (28)                                                |                                                  |
| US3252619 | 2020-10-05          | AMENDMENT | 3 No/<br>2020-11-30 (57) | Lost to Follow-Up                                                                                                  | )          | Yes/<br>2020-11-02 (29)                                                |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252620     | 2020-10-05                  | AMENDMENT           | 3 No/<br>2021-01-24 (112 | Protocol<br>)Deviation/<br>PATIENT LET US<br>KNOW THAT HE<br>ENDED UP GETTING<br>THE MODERNA<br>VACCINE OUTSIDE<br>OF THE STUDY.<br>THE PATIENT HAS<br>BEEN<br>DISCONTINUED<br>FROM THE STUDY. |         | Yes/<br>2020-11-02 (29)                                                          |                                                                           |
| US3252627     | 2020-10-06                  | AMENDMENT           | 4 No/<br>2020-12-18 (74) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19<br>VACCINE.                                                                                                                                  |         | Yes/<br>2020-11-03 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | -/                                                                                                                 |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | n Reason for Study                                                                                                 | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                               | Autopsy           | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3252630     | 2020-10-06      | AMENDMENT           | 4 No/<br>2021-01-18 (105         | Protocol<br>) Deviation/<br>PATIENT WITHDREW<br>CONSENT DUE TO<br>RECEIVING THE<br>MODERNA VACCINE<br>FROM HIS PCP |                   | Yes/<br>2020-11-03 (29)           |                                      |
| US3252634     | 2020-10-06      | AMENDMENT           | 4 No/<br>2021-03-05 (151         | Protocol<br>) Deviation/<br>POST EUA-<br>PATIENT RECEIVED<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>STUDY.           |                   | Yes/<br>2020-11-03 (29)           |                                      |
| US3252638     | 2020-10-07      | AMENDMENT ·         | 4 ONGOING                        |                                                                                                                    |                   | No/<br>2020-11-03 (28)            | Adverse Event/<br>AE #1              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3252650 2020-10-10 AMENDMENT 3 No/ Withdrawal of No/ Withdrawal of 2020-11-14 (32) Consent by 2020-11-10 (28) Consent by Participant/ Participant/ SUBJECT REPORTED NO LONGER WANTED TO THAT SHE AND HER PARTICIPATE IN THE HUSBAND TRIAL AND WOULD (US3252652) NO LIKE TO WITHDRAW LONGER WANTED TO CONSENT. PARTICIPATE IN THE TRIAL AND

> WOULD LIKE TO WITHDRAW CONSENT

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3252652 2020-10-10 AMENDMENT 4 No/ Withdrawal of No/ Withdrawal of 2020-11-13 (31) Consent by 2020-11-10 (28) Consent by Participant/ Participant/ ON 13-NOV-2020 ON 13-NOV-2020 WE WE WERE MADE WERE MADE AWARE AWARE THAT THAT PATIENT NO PATIENT NO LONGER WOULD LIKE LONGER WOULD TO PARTICIPATE IN LIKE TO THE TRIAL AND WOULD PARTICIPATE IN LIKE TO WITHDRAW THE TRIAL AND CONSENT. WOULD LIKE TO WITHDRAW CONSENT.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3252657     | 2020-10-12                  | AMENDMENT           | 4 No/<br>2021-01-22 (103 | Protocol<br>)Deviation/<br>PATIENT WAS<br>DISCONTINUED ON<br>22-JAN-2021 DUE<br>TO RECENTLY<br>HAVING RECEIVED<br>THE PFIZER<br>VACCINE. |         | Yes/<br>2020-11-10 (30)                                                          |                                                                           |
| US3252660     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2020-12-18 (67) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19<br>VACCINE.                                                                            |         | Yes/<br>2020-11-10 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | 7/                                                                                     |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3252662     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2021-03-12 (151 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE<br>ON MARCH 1,<br>2021. |         | Yes/<br>2020-11-10 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3252666 2020-10-14 AMENDMENT 4 No/ Protocol Yes/ 2021-01-09 (88) Deviation/ 2020-11-19 (37) PATIENT GOT PFIZER VACCINE ON 28-DEC-2020 AND CAME IN TO CLINIC 09-JAN-2020 SIGNED CONSENT SAYING HE WANTED TO WITHDRAW FROM THE STUDY AND THAT IS WHEN HE LET US KNOW HE RECEIVED ANOTHER VACCINE.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| ubject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|-------------|-----------------------------|---------------------|---------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| JS3252672   | 2020-10-15                  | AMENDMENT           | 4 No/<br>2021-03-12 (149) | Protocol<br>Deviation/<br>POST EUA-<br>PATIENT GOT<br>OUTSIDE OF STUDY<br>VACCINE. |         | Yes/<br>2020-11-12 (29)                                                          |                                                                      |
| JS3252680   | 2020-10-16                  | AMENDMENT           | 4 No/<br>2021-01-12 (89)  | Other/<br>REQUEST<br>UNBLINDING, WILL<br>RECEIVE VACCINE<br>UNDER EUA              |         | Yes/<br>2020-11-13 (29)                                                          |                                                                      |
| JS3252702   | 2020-10-23                  | AMENDMENT           | 3 No/<br>2021-03-05 (134) | Protocol<br>Deviation/<br>PATIENT<br>NON-COMPLIANT<br>WITH STUDY<br>PROCEDURES     |         | Yes/<br>2020-11-24 (33)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study,          | /                                                                                                                                        |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3252704     | 2020-10-23                  | AMENDMENT           | 4 No/<br>2021-03-06 (135) | Protocol<br>Deviation/<br>PATIENT DECIDED<br>TO GET VACCINE<br>OUTSIDE OF THE<br>STUDY                                                   |         | Yes/<br>2020-11-19 (28)                                     |                                                                      |
| US3262011     | 2020-07-28                  | AMENDMENT           | 1 No/<br>2021-01-21 (178) | Protocol<br>Deviation/<br>PATIENT DOSED<br>WITH VACCINE<br>OUTSIDE OF THE<br>STUDY. AN EPIP<br>WAS SUBMITTED.<br>THEY DOSED<br>1/19/2021 |         | Yes/<br>2020-09-04 (39)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3262020     | 2020-07-28                  | AMENDMENT (         | l No/<br>2021-02-01 (189                                                      | Protocol<br>)Deviation/<br>PATIENT DOSED<br>WITH VACCINE<br>OUTSIDE OF THE<br>STUDY |         | Yes/<br>2020-08-25 (29)                                                          |                                                                           |
| US3262024     | 2020-07-28                  | AMENDMENT :         | 1 No/<br>2020-12-23 (149                                                      | Other/<br>)SUBJECT WAS<br>HOSTILE TOWARD<br>STAFF                                   |         | Yes/<br>2020-08-26 (30)                                                          |                                                                           |
| US3262025     | 2020-07-28                  | AMENDMENT (         | l No/<br>2020-12-23 (149                                                      | Physician<br>)Decision/<br>SPOUSE IS<br>ABUSIVE TOWARDS<br>THE STAFF                |         | Yes/<br>2020-08-26 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3262031     | 2020-07-29                  | AMENDMENT :         | Protocol                                                        |         | Yes/<br>2020-08-27 (30)                                                          | L                                                                         |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3262042     | 2020-07-29                  | AMENDMENT 1         | L No/<br>2021-01-20 (176 | Other/<br>) PATIENT WOULD<br>NOT REPLY TO ANY<br>OF OUR CALLS,<br>AND THEY WERE A<br>NO SHOW FOR DAY<br>57. THEY CALLED<br>1/20/21 ASKING<br>TO BE IN THE<br>STUDY, AND GAVE<br>NO REASON FOR<br>BEING<br>NON-RESPONSIVE. |         | Yes/<br>2020-08-31 (34)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study<br>Date of Study | /                                                                                                                                                                         | Date of               | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------------|--------------------------------------|
|               | Informed        |                     | Discontinuation                  | Reason for Study                                                                                                                                                          | Death/                | Discontinuation                     | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                                                                      | Autopsy<br>Performed? | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason |
| US3262067     | 2020-08-03      | amendment 1         | No/<br>2020-10-01 (60)           | Withdrawal of<br>Consent by<br>Participant/<br>PER SUBJECT<br>STATED IT WAS<br>TIME CONSUMING<br>WITH HIS<br>SCHEDULE NO<br>LONGER WANTED TO<br>PARTICIPATE WITH<br>TRIAL |                       | Yes/<br>2020-09-01 (30)             |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                         |   | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3262075     | 2020-08-03                  | AMENDMENT :         | - 1                      | Other/<br>)UPON CONDUCTING<br>CONFIRMATION OF<br>APPT BEING<br>SCHEDULED FOR 11<br>MAR 2021 FOR<br>SECOND DOSE<br>SUBJECT STATED<br>HE HAD RECEIVED<br>SECOND DOSE FROM<br>PCP THEREFORE<br>SUBJECT HAS BEEN<br>ET'D - |   | Yes/<br>2020-09-01 (30)                                                          |                                                                           |
| US3262082     | 2020-08-04                  | AMENDMENT 2         | 1 No/<br>2020-09-04 (32) | Lost to Follow-Up                                                                                                                                                                                                      | ) | No/<br>2020-08-31 (28)                                                           | Lost to Follow-Up                                                         |
| US3262091     | 2020-08-04                  | AMENDMENT 2         | 1 ONGOING                |                                                                                                                                                                                                                        |   | No/<br>2020-08-31 (28)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study        | /                                     |     | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|------------------------|---------------------------------------|-----|-----------------------------------|--------------------------------------|
|               | Informed        |                     |                        | Reason for Study                      |     | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason  | 1 1 | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3262118     | 2020-08-06      | AMENDMENT           | l No/                  | Protocol                              |     | Yes/                              |                                      |
|               |                 |                     | 2021-01-25 (173        | · · · · · · · · · · · · · · · · · · · |     | 2020-09-04 (30)                   |                                      |
|               |                 |                     |                        | PATIENT RECEIVED<br>OUTSIDE           |     |                                   |                                      |
|               |                 |                     |                        | VACCINATION                           |     |                                   |                                      |
|               |                 |                     |                        | AGAINST OUR<br>ADVICE                 |     |                                   |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3262121 2020-08-06 AMENDMENT 1 No/ Withdrawal of No/ Withdrawal of 2020-08-28 (22) Consent by 2020-08-27 (21) Consent by Participant/ Participant/ SUBJECT NO SUBJECT NO LONGER LONGER WANTED TO WANTED TO CONTINUE DUE TO SUBJECT CONTINUE DUE TO STATING " I KNOW I SUBJECT STATING " I KNOW I GOT GOT THE PLACEBO" I THE PLACEBO" I DONT WANT TO DONT WANT TO CONTINUE WITH TRIAL CONTINUE WITH TRIAL

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Discontinuation/ Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3262122 2020-08-07 AMENDMENT 1 No/ Withdrawal of No/ Withdrawal of 2020-09-03 (28) Consent by 2020-09-02 (27) Consent by Participant/ Participant/ SUBJECT STATED " SUBJECT STATED " I I KNOW I KNOW I RECEIVED THE RECEIVED THE PLACEBO" AND NO PLACEBO" AND NO LONGER WANT TO LONGER WANT TO CONTINUE WITH THE CONTINUE WITH TRIAL THE TRIAL

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                                                                          |                   | Completed Dosing,                 | /                                    |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                                                           | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                                                       | Autopsy           | or Completion                     | Discontinuation/<br>Specified Reason |
| US3262136     | 2020-08-08      | AMENDMENT 2         | ,                                | Other/<br>)PATIENT WANTED<br>TO BE UNBLINDED,<br>KNOWING IT WOULD<br>REMOVE HER FROM<br>THE STUDY. AN<br>EPIP WAS<br>SUBMITTED AS<br>WELL. |                   | Yes/<br>2020-09-04 (28)           |                                      |
| US3262165     | 2020-08-11      | AMENDMENT 2         | 2 No/<br>2020-09-01 (22)         | Lost to Follow-Up                                                                                                                          | )                 | No/<br>2020-08-31 (21)            | Lost to Follow-Up                    |
| JS3262186     | 2020-08-15      | AMENDMENT 2         | 2 No/<br>2020-10-05 (52)         | Lost to Follow-Up                                                                                                                          | )                 | Yes/<br>2020-09-21 (38)           |                                      |
| JS3262196     | 2020-08-17      | AMENDMENT 2         | 2 No/<br>2020-10-02 (47)         | Lost to Follow-Up                                                                                                                          | )                 | No/<br>2020-09-13 (28)            | Lost to Follow-Up                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                |         | Completed Dosing,                                           | /                                                                                                |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                             |
| US3262210     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-09-23 (36) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DID NOT<br>WANT TO CONTINUE<br>WITH TRIAL |         | No/<br>2020-09-15 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DID NOT<br>WANT TO CONTINUE<br>WITH TRIAL |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject   | Informed<br>Consent | Protocol    |                          | /<br>n Reason for Study<br>Discontinuation/                                                                                                                                                                                              | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                                                                                         | <br>(Day)                                                               | Specified Reason                                      |
| JS3262213 | 2020-08-19          | AMENDMENT 2 | 2 No/<br>2020-12-01 (105 | Withdrawal of<br>)Consent by<br>Participant/<br>PER PI STATED<br>SUBJECTS VOICED<br>CONCERNS<br>REGARDING<br>TRAVELING FOR<br>(b)(6) HRS WHILE<br>FAMILY MEMBERS<br>WERE PLACED IN<br>HOSPITAL AND NOT<br>WANTING TO LEAVE<br>THEIR SIDE | Yes/<br>2020-09-17 (30)                                                 |                                                       |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                                                                                                      |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                               | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3262214     | 2020-08-19                  | AMENDMENT :         | 2 No/<br>2020-12-01 (105 | Withdrawal of<br>)Consent by<br>Participant/<br>PER PI STATED<br>SUBJECTS VOICED<br>CONCERNS<br>REGARDING<br>TRAVELING FOR<br>FOUR HRS WHILE<br>FAMILY MEMBERS<br>WERE PLACED IN<br>HOSPITAL AND NOT<br>WANTING TO LEAVE<br>THEIR SIDE |         | Yes/<br>2020-09-17 (30)                                     |                                                                      |
| US3262221     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-26 (219 | Withdrawal of<br>)Consent by<br>Participant                                                                                                                                                                                            |         | Yes/<br>2020-09-21 (33)                                     |                                                                      |

<sup>\*</sup> indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                |            | Completed Dosing/ | /                  |
|-----------|------------|-----------|-----------------|------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study   |                  | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           |                 | Reason for Study |            | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | -               |                  |            | or Completion     | Discontinuation/   |
| ID        | Date       | Version   | (Day)           | Specified Reason | Performed? | (Day)             | Specified Reason   |
| JS3262246 | 2020-08-26 | AMENDMENT | 3 No/           | Other/           |            | Yes/              |                    |
|           |            |           | 2021-03-15 (202 | /                |            | 2020-09-30 (36)   |                    |
|           |            |           |                 | STATE            |            |                   |                    |
| JS3262257 | 2020-08-31 | AMENDMENT | 2 No/           | Withdrawal of    |            | Yes/              |                    |
| 00202207  | 2020 00 31 |           | 2020-11-16 (78) |                  |            | 2020-10-20 (51)   |                    |
|           |            |           | 2020 22 20 (70) | Participant/     |            | 2020 10 20 (01)   |                    |
|           |            |           |                 | SUBJECT WITHDREW |            |                   |                    |
|           |            |           |                 | CONSENT NO       |            |                   |                    |
|           |            |           |                 | LONGER           |            |                   |                    |
|           |            |           |                 | INTERESTED IN    |            |                   |                    |
|           |            |           |                 | PARTICIPATING    |            |                   |                    |
| S3272005  | 2020-07-29 | AMENDMENT | 1 ONGOING       |                  |            | No/               | Other/             |
|           |            |           |                 |                  |            | 2020-08-25 (28)   | SUBJECT TAKING     |
|           |            |           |                 |                  |            |                   | EXCLUSIONARY       |
|           |            |           |                 |                  |            |                   | MEDICATION. SUBJE  |
|           |            |           |                 |                  |            |                   | ONLY IN SAFETY     |
|           |            |           |                 |                  |            |                   | FOLLOWUP           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3272023     | 2020-07-31                  | AMENDMENT Í         | L No/<br>2020-12-24 (147                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT IS<br>DENTIST AND<br>REQUESTED TO BE<br>UNBLINDED AS HE<br>HAS ACCESS TO<br>COVID-19<br>VACCINE. |         | Yes/<br>2020-08-28 (29)                                                         |                                                                           |
| US3272025     | 2020-07-31                  | AMENDMENT           | L No/<br>2020-09-22 (54)                                                      | Lost to Follow-Up                                                                                                                                        | )       | No/<br>2020-08-27 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                             |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3272026     | 2020-08-01                  | AMENDMENT (         | l No/<br>2021-03-25 (237 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>NON-STUDY<br>VACCINE OUTSIDE<br>OF STUDY. PER<br>SPONSOR SUBJECT<br>IS TO BE<br>DISCONTINUED. |         | Yes/<br>2020-09-08 (39)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3272031 2020-08-01 AMENDMENT 1 No/ Withdrawal of No/ Other/ 2021-01-20 (173)Consent by 2020-08-28 (28) SUBJECT UNDERGOING Participant/ CANCER TREATMENT. SUBJECT NO SUBJECT STILL IN LONGER WISHES TO STUDY FOR SAFETY BE IN STUDY FOLLOWUP CAME IN FOR UNBLINDING APPOINTMENT AND SIGNED NEW CONSENT THAT SHE NO LONGER WISHED TO CONTINUE IN STUDY AND WAS UNBLINDED AS WELL.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                                                         | Autopsy            | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3272055     | 2020-08-05                  | AMENDMENT 1         | L No/<br>2021-02-15 (195                                                      | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>NON-STUDY<br>VACCINE AT WORK<br>PRIOR TO<br>WITHDRAWAL FROM<br>THE STUDY PER<br>SPONSOR SUBJECT<br>NO ABLE TO<br>CONTINUE IN THE<br>STUDY. |                    | Yes/<br>2020-09-03 (30)                                                         |                                                                           |
| US3272070     | 2020-08-06                  | AMENDMENT 1         | L No/<br>2020-10-01 (57)                                                      | Death                                                                                                                                                                                      | 2020-10-01/<br>UNK | ′Yes/<br>2020-09-03 (29)                                                        |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3272073     | 2020-08-07                  | AMENDMENT 1         | L No/<br>2021-02-15 (193 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WISHED TO<br>BE IN STUDY AND<br>EPIP WAS SENT TO<br>UNBLIND OVER<br>PHONE AND<br>SUBJECT WAS<br>UNBLINDED OVER<br>PHONE AND WANTED<br>VACCINATED<br>ELSEWHERE |         | Yes/<br>2020-09-04 (29)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3272082     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-23 (139 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>UNBLINDED DUE TO<br>WANTING TO<br>RECEIVE COVID<br>VACCINE AT PLACE<br>OF EMPLOYEMENT. |         | Yes/<br>2020-09-04 (29)                                                         |                                                                      |
| US3272100     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2021-02-18 (192 | Protocol<br>)Deviation/<br>SUBJECT RECIEVED<br>NON-STUDY<br>COVID-19 VACCINE<br>AND WANTED TO<br>WITHDRAW FROM<br>THE STUDY.                      |         | Yes/<br>2020-09-09 (30)                                                         |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             | Complete                                                 | ed Study/                      |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|----------------------------------------------------------|--------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Date of<br>Discont:<br>Protocol or Comp<br>Version (Day) | inuation Reason for Study      | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3272114     | 2020-08-12                  | AMENDMENT 2 No/<br>2020-10-                              | Lost to Follow-Up<br>-27 (77)  | þ       | No/<br>2020-09-08 (28)                                      | Lost to Follow-Up                                                    |
| US3272158     | 2020-08-15                  |                                                          | Lost to Follow-Up<br>-30 (108) | þ       | Yes/<br>2020-09-17 (34)                                     |                                                                      |
| US3272159     | 2020-08-15                  | AMENDMENT 2 No/<br>2020-10-                              | Lost to Follow-Up<br>-27 (74)  | p       | No/<br>2020-09-11 (28)                                      | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                                             |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                      | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3272192     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (127 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WANTED<br>TO GET<br>VACCINATED AT<br>CLINIC WHERE SHE<br>WORKS. SHE SEES<br>PATIENTS AND<br>WANTS TO BE<br>PROTECTED. |         | Yes/<br>2020-09-16 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3272201     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-11-06 (79) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WISH TO CONTINUE<br>IN STUDY AND<br>DOESN'T SPECIFY<br>WHY |         | Yes/<br>2020-09-18 (30)                                                         |                                                                           |
| US3272225     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-01-12 (145 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT ASKED TO<br>LEAVE STUDY<br>AFTER BEING UN<br>BLINDED                  |         | Yes/<br>2020-09-18 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3272324     | 2020-08-23                  | AMENDMENT :         | 2 No/<br>2021-01-14 (145 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT TO END<br>STUDY<br>PARTICIPATION. |         | Yes/<br>2020-09-25 (34)                                                          |                                                                           |
| US3272375     | 2020-09-28                  | AMENDMENT           | 3 No/<br>2021-02-10 (136 | Lost to Follow-Up                                                                                             | )       | Yes/<br>2020-10-30 (33)                                                          |                                                                           |
| US3282022     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-01-04 (151 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                      |         | Yes/<br>2020-09-02 (27)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                       |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| US3282031     | 2020-08-11                  | AMENDMENT           | 2 No/<br>2020-08-26 (16) | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WISH<br>TO CONTINUE, NO<br>FURTHER DETAILS<br>GIVEN. |         | No/<br>2020-08-25 (15)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WISH TO<br>CONTINUE, NO<br>FURTHER DETAILS<br>GIVEN. |
| US3282060     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-01-05 (141 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                        |         | Yes/<br>2020-09-17 (31)                                                         |                                                                                                                 |
| US3282065     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-01-13 (148 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY                             |         | Yes/<br>2020-09-16 (29)                                                         |                                                                                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3282071     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-21 (124) | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID19 EUA<br>VACCINE                                                    |         | Yes/<br>2020-09-22 (34)                                                          |                                                                      |
| US3282080     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-21 (119  | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                 |         | Yes/<br>2020-09-28 (35)                                                          |                                                                      |
| US3292010     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-30 (149  | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY WHEN<br>ORIGINALLY<br>ASSIGNED TO<br>PLACEBO. |         | Yes/<br>2020-09-01 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292024     | 2020-08-04                  | AMENDMENT :         | l No/<br>2021-01-04 (154 | Physician<br>) Decision/<br>THEIR<br>NEUROLOGIST<br>SUGGESTED THEY<br>BE UNBLINDED.<br>SUBJECT WAS<br>UNBLINDED AND<br>DROPPED FROM<br>STUDY. |         | Yes/<br>2020-09-01 (29)                                                         |                                                                           |
| US3292026     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-21 (140 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER COVID-19<br>VACCINE                                                                     |         | Yes/<br>2020-09-01 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292027     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-16 (135 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>PFIZER COVID-19<br>VACCINE                                                                       |         | Yes/<br>2020-09-01 (29)                                                          |                                                                           |
| US3292028     | 2020-08-04                  | AMENDMENT           | - /                      | Other/<br>)SUBJECT RECEIVED<br>PLACEBO AND WAS<br>UNBLINDED BEFORE<br>AMENDMENT 6.<br>SUBJECT WITHDREW<br>TO RECEIVE NON<br>STUDY EUA<br>VACCINE |         | Yes/<br>2020-09-03 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3292038     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-11-03 (90) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>VERBALIZED<br>WITHDRAWAL. |         | Yes/<br>2020-09-03 (29)                                                          |                                                                      |
| US3292045     | 2020-08-06                  | AMENDMENT           | - /                      | Other/<br>)SUBJECT RECEIVED<br>PLACEBO AND WAS<br>UNBLINDED BEFORE<br>AMENDMENT 6.  |         | Yes/<br>2020-09-03 (29)                                                          |                                                                      |
| US3292053     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2021-01-13 (159 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY          |         | Yes/<br>2020-09-05 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| JS3292054     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2020-12-23 (138                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER CONVID-19<br>VACCINE.             |         | Yes/<br>2020-09-05 (29)                                                         |                                                                           |
| JS3292058     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2021-01-29 (175                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>OUTSIDE OF STUDY      |         | Yes/<br>2020-09-03 (27)                                                         |                                                                           |
| JS3292063     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2021-01-04 (150                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-09-05 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  |                          | n Reason for Study<br>Discontinuation/                                                |            | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|--------------------------|---------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                      | Performed? | (Day)                                                                  | Specified Reason                                      |
| JS3292070 | 2020-08-08          | AMENDMENT | - 1                      | Other/<br>)SUBJECT RECEIVED<br>PLACEBO AND WAS<br>UNBLINDED BEFORE<br>AMENDMENT 6.    |            | Yes/<br>2020-09-05 (29)                                                |                                                       |
| JS3292092 | 2020-08-08          | AMENDMENT | 1 No/<br>2020-12-23 (138 | Other/<br>SUBJECT<br>SCHEDULED FOR<br>MODERNA COVID-19<br>VACCINE OUTSIDE<br>OF STUDY |            | Yes/<br>2020-09-05 (29)                                                |                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3292100 2020-08-08 AMENDMENT 1 No/ Protocol Yes/ 2020-09-03 (27) 2021-01-06 (152) Deviation/ SUBJECT DEMANDED TO BE UNBLINDED EARLY. EPIP SUBMITTED AND APPROVED. PT RECEIVED PLACEBO. DROPPED FROM TRIAL AS PER SPONSOR INSTRUCTIONS PRIOR TO RECEIVING OUTSIDE VACCINATION.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292110     | 2020-08-11                  | AMENDMENT :         | 2 No/<br>2020-12-28 (140 | Protocol<br>)Deviation/<br>SUBJECT<br>UNBLINDED BEFORE<br>AMENDMENT 6 TO<br>RECEIVE PFIZER<br>COVID-19 VACCINE |         | Yes/<br>2020-09-10 (31)                                                          |                                                                           |
| US3292125     | 2020-08-11                  | AMENDMENT :         | 2 No/<br>2021-01-06 (149 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>TOZINAMERAN                                                     |         | Yes/<br>2020-09-08 (29)                                                          |                                                                           |
| US3292134     | 2020-08-11                  | AMENDMENT :         | ,                        | Other/<br>)SUBJECT RECEIVED<br>PLACEBO AND WAS<br>UNBLINDED BEFORE<br>AMENDMENT 6.                             |         | Yes/<br>2020-09-08 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3292144 2020-08-13 AMENDMENT 2 No/ Withdrawal of No/ Withdrawal of 2020-09-17 (36) Consent by 2020-09-09 (28) Consent by Participant/ Participant/ PT NO LONGER PT NO LONGER WANTS WANTS TO TO PARTICIPATE DUE PARTICIPATE DUE TO NOT HAVING ANY TO NOT HAVING TIME TO COMPLETE ANY TIME TO STUDY PROCEDURES. COMPLETE STUDY VERBALLY WITHDREW PROCEDURES. CONSENT. VERBALLY WITHDREW CONSENT.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study                  | /                                                                                                                                                                                   |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                                                                                                    | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                                                                                |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3292148     | 2020-08-13      | AMENDMENT           | 2 No/<br>2020-12-29 (139         | Protocol<br>)Deviation/<br>SUBJECT WAS<br>UNBLINDED BY PI<br>AND FOUND TO<br>HAVE RECEIVED<br>PLACEBO. AS PER<br>SPONSOR, PATIENT<br>WAS NOTIFIED AND<br>REMOVED FROM THE<br>TRIAL. |                   | Yes/<br>2020-09-15 (34)           |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study | /                                                                                                                                                                                        | Date of           | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for                                                                                                                                                            |
|---------------|-----------------------------|---------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                  | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                 | Death/<br>Autopsy | Discontinuation<br>or Completion   | Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                     |
| US3292159     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-09-28 (47)         | Withdrawal of<br>Consent by<br>Participant/<br>PT REPORTS<br>FAMILY MEMBER<br>FALLING ILL,<br>UNABLE TO COMMIT<br>TO STUDY<br>PROCEDURES AND<br>VISITS. VERBALLY<br>WITHDREW<br>CONSENT. |                   | No/<br>2020-09-09 (28)             | Withdrawal of<br>Consent by<br>Participant/<br>PT REPORTS FAMILY<br>MEMBER FALLING ILL,<br>UNABLE TO COMMIT TO<br>STUDY PROCEDURES<br>AND VISITS.<br>VERBALLY WITHDREW<br>CONSENT. |
| US3292164     | 2020-08-13                  | AMENDMENT           | - 1                              | Other/<br>)SUBJECT RECEIVED<br>PLACEBO AND WAS<br>UNBLINDED BEFORE<br>AMENDMENT 6.                                                                                                       |                   | Yes/<br>2020-09-15 (34)            |                                                                                                                                                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292170     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-12-21 (131 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PLACEBO AND WAS<br>UNBLINDED BEFORE<br>AMENDMENT 6.                              |         | Yes/<br>2020-09-12 (31)                                                          |                                                                           |
| US3292176     | 2020-08-15                  | AMENDMENT :         | 2 No/<br>2021-01-06 (145 | Protocol<br>) Deviation/<br>SUBJECT<br>REQUESTED AND<br>WAS GRANTED<br>UNBLINDING<br>BEFORE VISIT AND<br>SIGNING OF NEW<br>ICF. |         | Yes/<br>2020-09-12 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3292192     | 2020-08-15                  | AMENDMENT 2         | 2 No/<br>2020-09-25 (42)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>CONCERN OF<br>RECEIVING<br>PLACEBO |         | No/<br>2020-09-11 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>CONCERN OF<br>RECEIVING PLACEBO,<br>OPTIONS TO STAY IN<br>THE STUDY WERE<br>DISCUSSED WITH<br>SUBJECT, BUT HE WAS<br>ADAMANT WITH<br>DECISION. |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3292194     | 2020-08-15                  | AMENDMENT           | 2 No/<br>2020-12-21 (129 | Other/<br>)SUBJECT<br>REQUESTED<br>UNBLINDED BEFORE<br>AMENDMENT 6 AND<br>WAS FOUND TO<br>HAVE RECEIVED<br>PLACEBO. |         | Yes/<br>2020-09-12 (29)                                                          |                                                                      |
| US3292205     | 2020-08-18                  | AMENDMENT           | - /                      | Other/<br>)SUBJECT RECEIVED<br>PLACEBO AND WAS<br>UNBLINDED BEFORE<br>AMENDMENT 6.                                  |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3292211     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-12-28 (133 | Other/<br>)SUBJECT WILL<br>RECEIVE<br>COMIRNATY.                                                                    |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292212     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-12-18 (123 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COMIRNATY.                                                                         |         | Yes/<br>2020-09-15 (29)                                                          |                                                                           |
| US3292229     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-01-04 (140 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WISHED<br>TO RECEIVE<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>PROTOCOL. |         | Yes/<br>2020-09-15 (29)                                                          |                                                                           |
| US3292247     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2021-02-01 (166 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY                                                        |         | Yes/<br>2020-09-17 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Cubicat       | Informed<br>Consent | Protocol  |                          | /<br>nReason for Study<br>Discontinuation/                                                                                                                                              | Completed Dosing<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|---------------|---------------------|-----------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------|
| Subject<br>ID | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                                                        | <br>or Completion<br>(Day)                            | Specified Reason                                      |
| JS3292248     | 2020-08-20          | AMENDMENT | 2 No/<br>2021-01-04 (138 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MRNA-1273<br>OUTSIDE OF<br>STUDY.                                                                                                        | Yes/<br>2020-09-17 (29)                               |                                                       |
| US3292258     | 2020-08-20          | AMENDMENT | 2 No/<br>2021-01-04 (138 | Other/<br>)SUBJECT<br>UNBLINDED W/<br>SPONSOR APPROVAL<br>(EPIP). RECEIVED<br>PLACEBO. DROPPED<br>AS PER SPONSOR<br>4JAN21. DROPPED<br>PRIOR TO<br>RECEIVING<br>OUTSIDE<br>VACCINATION. | Yes/<br>2020-09-17 (29)                               |                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                       | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292268     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-01-11 (144 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MRNA-1273<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-09-18 (29)                                                         |                                                                           |
| US3292273     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-17 (119 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COMIRNATY.                        |         | Yes/<br>2020-09-19 (30)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | ,<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3292289     | 2020-08-25                  | AMENDMENT           | /                        | Other/<br>)SUBJECT RECEIVED<br>PLACEBO AND WAS<br>UNBLINDED;<br>SUBJECT<br>DISCONTINUED AS<br>THEY WERE TO<br>RECEIVE NON<br>STUDY EUA<br>VACCINE |         | Yes/<br>2020-09-22 (29)                                                         |                                                                      |
| JS3292293     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-01-11 (140 | Protocol<br>)Deviation/<br>SUBJECT REFUSED<br>TO RECEIVE NASAL<br>SWAB. SUBJECT<br>WAS UNBLINDED<br>AND HAD RECEIVED<br>PLACEBO.                  |         | Yes/<br>2020-09-22 (29)                                                         |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292329     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-04 (131 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHED<br>TO RECEIVE<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>PROTOCOL.<br>SUBJECT<br>UNBLINDED AND<br>RECEIVED<br>PLACEBO. |         | Yes/<br>2020-09-24 (29)                                                          |                                                                           |
| US3292335     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-05 (132 | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>COMIRNATY.                                                                                                                              |         | Yes/<br>2020-09-25 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | 7/                                                                                           |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|               |                             |                     |                          | -1                                                                                           |         | ( /                                                         | 1                                                                    |
| JS3292346     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-09 (129 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY                  |         | Yes/<br>2020-10-01 (29)                                     |                                                                      |
| JS3292358     | 2020-08-29                  | AMENDMENT           | 3 No/<br>2020-12-21 (115 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>WITHOUT<br>INFORMING STAFF |         | Yes/<br>2020-09-26 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292360     | 2020-08-29                  | AMENDMENT :         | 3 No/<br>2020-12-23 (117 | Other/<br>)SUBJECT WAS<br>UNBLINDED W/<br>SPONSOR APPROVAL<br>(EPIP)<br>23DEC2020.SHE<br>WAS SCHEDULED TO<br>RECEIVE VACCINE<br>THROUGH EMPLOYER<br>ON 24DEC2020.<br>SUBJECT RECEIVED<br>PLACEBO AND WAS<br>DROPPED<br>23DEC2020 AS PER<br>SPONSOR. |         | Yes/<br>2020-09-29 (32)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292361     | 2020-08-29                  | AMENDMENT           | 3 No/<br>2020-12-21 (115 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WANTED<br>TO RECEIVE EUA<br>VACCINE OUTSIDE<br>OF STUDY. |         | Yes/<br>2020-09-29 (32)                                                          |                                                                           |
| US3292374     | 2020-08-29                  | AMENDMENT           | 3 No/<br>2021-01-21 (146 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY.                                      |         | Yes/<br>2020-09-26 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3292379 2020-08-29 AMENDMENT 3 No/ Other/ Yes/ 2020-09-26 (29) 2021-01-15 (140) PATIENT INSTRUCTED STAFF TO UNBLIND OVER THE PHONE BECAUSE HE DID NOT WANT TO RETURN TO CLINIC TO UNBLIND. SPONSOR NOTIFIED AND APPROVED. SUBJECT DROPPED AFTER UNBLINDING TO PLACEBO, AS PER SPONSOR.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                   | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3292394     | 2020-09-15                  | AMENDMENT (         | 3 No/<br>2020-12-21 (98) | Other/<br>SUBJECT<br>UNBLINDED PRIOR<br>TO AMENDMENT 6;<br>RECEIVED<br>PLACEBO. |         | Yes/<br>2020-10-13 (29)                                                          |                                                                      |
| US3292405     | 2020-09-22                  | AMENDMENT (         | 3 No/<br>2020-12-23 (93) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>COMIRNATY.                        |         | Yes/<br>2020-10-20 (29)                                                          |                                                                      |
| US3292414     | 2020-09-24                  | AMENDMENT (         | 3 No/<br>2020-12-17 (85) | Lost to Follow-Up                                                               | )       | Yes/<br>2020-10-22 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3292416     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2020-12-29 (97)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT IN ORDER<br>TO RECEIVE<br>COMIRNATY. |         | Yes/<br>2020-10-22 (29)                                                          |                                                                      |
| US3292446     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2021-01-08 (79)                                                      | Other/<br>SUBJECT<br>UNBLINDED AND<br>HAD RECEIVED<br>PLACEBO.                                                  |         | Yes/<br>2020-11-23 (33)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3302009     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2020-10-05 (64) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>DUE TO WORK<br>SCHEDULE<br>CONFLICT |         | Yes/<br>2020-09-02 (31)                                                          |                                                                      |
| US3302032     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-01-08 (158 | Protocol<br>)Deviation/<br>PT UNBLINDED<br>BECAUSE SHE<br>ALREADY RECEIVED<br>PFIZER VACCINE.          |         | Yes/<br>2020-08-31 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3302055     | 2020-08-05                  | AMENDMENT :         | l No/<br>2021-03-02 (210 | Withdrawal of<br>OConsent by<br>Participant/<br>SUBJECT WISHED<br>TO NOT CONTINUE<br>ON STUDY                                        |         | Yes/<br>2020-09-02 (29)                                                          |                                                                           |
| US3302065     | 2020-08-06                  | AMENDMENT :         | l No/<br>2020-12-29 (146 | Withdrawal of<br>) Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UNBLINDED<br>DUE TO BEING<br>ELIGIBLE FOR<br>PFIZER VACCINE |         | Yes/<br>2020-09-02 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3302094     | 2020-08-07                  | AMENDMENT :         | 1 No/<br>2021-01-05 (152 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UNBLINDED<br>DUE TO BEING<br>ELIGIBLE FOR<br>PFIZER VACCINE |         | Yes/<br>2020-09-04 (29)                                                          |                                                                      |
| US3302100     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-29 (124 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UNBLINDED<br>DUE TO BEING<br>ELIGIBLE FOR<br>PFIZER VACCINE |         | Yes/<br>2020-09-28 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3302102     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-29 (145 | Protocol<br>)Deviation/<br>SUBJECT TOOK<br>PFIZER VACCINE<br>PRIOR TO<br>UNBLINDING. PER<br>EPIP SUBJECT WAS<br>TO BE UNBLINDED |         | Yes/<br>2020-09-04 (29)                                                          |                                                                           |
| US3302103     | 2020-08-07                  | AMENDMENT :         |                          | Other/<br>)PATIENT WITHDREW<br>CONSENT FROM<br>STUDY AS HE IS<br>ON ACTIVE DUTY<br>WITH THE<br>D<br>(b) (6)                     |         | Yes/<br>2020-09-02 (27)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | 7/                                                                                                                                   |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                           | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3302107     | 2020-08-08                  | AMENDMENT 1         | . No/<br>2020-12-21 (136                                   | Withdrawal of<br>5)Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UNBLINDED<br>DUE TO BEING<br>ELIGIBLE FOR<br>PFIZER VACCINE |         | Yes/<br>2020-09-02 (26)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |           | Completed Study | 7/                      |            | Completed Dosing |                    |
|-----------|------------|-----------|-----------------|-------------------------|------------|------------------|--------------------|
|           |            |           | Date of Study   |                         | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   |           |                 | n Reason for Study      |            | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol  | or Completion   | Discontinuation/        |            | or Completion    | Discontinuation/   |
| ID        | Date       | Version   | (Day)           | Specified Reason        | Performed? | (Day)            | Specified Reason   |
| US3302108 | 2020-08-08 | AMENDMENT | 1 No/           | Withdrawal of           |            | Yes/             |                    |
| 055502100 | 2020-00-00 | AMENDMENI | 2021-01-21 (167 |                         |            | 2020-09-04 (28)  |                    |
|           |            |           | 2021-01-21 (107 |                         |            | 2020-09-04 (28)  |                    |
|           |            |           |                 | Participant/<br>SUBJECT |            |                  |                    |
|           |            |           |                 |                         |            |                  |                    |
|           |            |           |                 | PRESENTED TO            |            |                  |                    |
|           |            |           |                 | SITE FOR THE            |            |                  |                    |
|           |            |           |                 | OPEN LABEL VISIT        |            |                  |                    |
|           |            |           |                 | 0. SUBJECT              |            |                  |                    |
|           |            |           |                 | SIGNED THE NEW          |            |                  |                    |
|           |            |           |                 | CONSENT STATING         |            |                  |                    |
|           |            |           |                 | THAT SHE DIDN'T         |            |                  |                    |
|           |            |           |                 | WANT TO CONTINUE        |            |                  |                    |
|           |            |           |                 | TO PARTICIPATE          |            |                  |                    |
|           |            |           |                 | IN THE STUDY BUT        |            |                  |                    |
|           |            |           |                 | DID HOWEVER WANT        |            |                  |                    |
|           |            |           |                 | TO BE UNBLINDED.        |            |                  |                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3302152     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-12-18 (129 | Protocol<br>)Deviation/<br>SUBJECT TOOK<br>PFIZER VACCINE<br>PRIOR TO<br>UNBLINDING. |         | Yes/<br>2020-09-09 (29)                                                         |                                                                           |
| US3302163     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-11-04 (85) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECISION                      |         | Yes/<br>2020-09-09 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3302173     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2021-02-11 (184 | Withdrawal of<br>)Consent by<br>Participant/<br>WITHDREW<br>CONSENT; PATIENT<br>WISHED TO NO<br>LONGER<br>PARTICIPATE IN<br>THE STUDY. |         | Yes/<br>2020-09-10 (30)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |                     |             | Completed Study          | /                                                                                                                                                                                      |     | Completed Dosing                                   | /                                                |
|-----------|---------------------|-------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    |                          | Reason for Study<br>Discontinuation/                                                                                                                                                   |     | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                                       | 1 1 | (Day)                                              | Specified Reason                                 |
| US3302177 | 2020-08-12          | AMENDMENT 1 | L No/<br>2021-03-09 (210 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT CALLED<br>THE SITE AND<br>WITHDREW<br>CONSENT. SUBJECT<br>CONFIRMED SHE NO<br>LONGER WANTED TO<br>PARTICIPATE IN<br>THE STUDY. |     | Yes/<br>2020-09-08 (28)                            |                                                  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                            |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| US3302179     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-09-21 (40) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES<br>HE IS NO LONGER<br>ABLE TO<br>PARTICIPATE IN<br>TRIAL. |         | No/<br>2020-09-09 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES HE<br>IS NO LONGER ABLE<br>TO PARTICIPATE IN<br>TRIAL. |
| US3302223     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2020-10-06 (51) | Lost to Follow-Up                                                                                                       | )       | Yes/<br>2020-09-14 (29)                                                          |                                                                                                                      |
| US3302246     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-02-16 (183 | Withdrawal of<br>)Consent by<br>Participant/<br>WITHDREW FROM<br>STUDY ON<br>2/16/2021                                  |         | Yes/<br>2020-09-15 (29)                                                          |                                                                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3302325     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-23 (125 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UNBLINDED<br>DUE TO BEING<br>ELIGIBLE FOR<br>PFIZER VACCINE |         | Yes/<br>2020-09-22 (33)                                                          |                                                                      |
| US3302367     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-26 (124 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UNBLINDED<br>DUE TO BEING<br>ELIGIBLE FOR<br>PFIZER VACCINE |         | Yes/<br>2020-09-24 (31)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study          | 1                                                                                                                                   |                    | Completed Dosing,        | /                  |
|-----------|------------|-----------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------------|--------------------|
|           |            |           | Date of Study            |                                                                                                                                     | Date of            | Date of Dosing           | Primary Reason for |
|           | Informed   |           |                          | n Reason for Study                                                                                                                  |                    | Discontinuation          | Dosing             |
| Subject   | Consent    | Protocol  | -                        | Discontinuation/                                                                                                                    |                    | or Completion            | Discontinuation/   |
| ID        | Date       | Version   | (Day)                    | Specified Reason                                                                                                                    | Performed?         | (Day)                    | Specified Reason   |
| JS3302383 | 2020-08-26 | AMENDMENT | 3 No/<br>2020-10-19 (55) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITH<br>DREW CONSENT<br>AFTER COMPLETING<br>ILLNESS VISITS.                  |                    | No/<br>2020-09-22 (28)   | Due to SARS-CoV-2  |
| JS3302395 | 2020-08-27 | AMENDMENT | 3 No/<br>2021-01-04 (131 | Death<br>)                                                                                                                          | 2021-01-04/<br>UNK | ′Yes/<br>2020-09-24 (29) |                    |
| JS3302433 | 2020-08-28 | AMENDMENT | 3 No/<br>2020-12-21 (116 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UNBLINDED<br>DUE TO BEING<br>ELIGIBLE FOR<br>PFIZER VACCINE |                    | Yes/<br>2020-09-23 (27)  |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3302442     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-14 (140                                                      | Protocol<br>)Deviation/<br>SUBJECT REPORTED<br>THAT HE RECEIVED<br>THE PFIZER<br>VACCINE |         | Yes/<br>2020-09-28 (32)                                                         |                                                                           |
| US3302446     | 2020-08-31                  | AMENDMENT           | /                                                                             | Other/<br>SUBJECT MOVING<br>STATES                                                       |         | No/<br>2020-09-27 (28)                                                          | Other/<br>SUBJECT WITHDREW<br>DUE TO MOVING TO A<br>DIFFERENT STATE.      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                  |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3302483     | 2020-10-23                  | AMENDMENT           | 4 No/<br>2020-12-26 (65) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UNBLINDED<br>DUE TO BEING<br>ELIGIBLE FOR<br>PFIZER VACCINE |         | Yes/<br>2020-11-20 (29)                                     |                                                                      |
| US3312060     | 2020-08-13                  | AMENDMENT :         | 2 No/<br>2021-01-13 (154 | Protocol<br>)Deviation/<br>UNBLINDED DUE TO<br>RECEIPT OF EAU<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY                              |         | Yes/<br>2020-09-10 (29)                                     |                                                                      |
| US3312068     | 2020-08-13                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                    |         | No/<br>2020-09-09 (28)                                      | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                         |                   | Completed Dosing,                 |                                      |
|---------------|-----------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study            | Reason for Study                                                                          | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                          | Discontinuation/<br>Specified Reason                                                      | Autopsy           | or Completion                     | Discontinuation/<br>Specified Reason |
| US3312073     | 2020-08-13      | AMENDMENT 2         | 2 No/<br>2020-12-22 (132 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                 |                   | Yes/<br>2020-09-16 (35)           |                                      |
| JS3312093     | 2020-08-14      | AMENDMENT 2         | 2 No/<br>2021-03-23 (222 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECTS WORK<br>SITUATION HAS<br>CHANGED |                   | Yes/<br>2020-09-11 (29)           |                                      |
| JS3312095     | 2020-08-17      | AMENDMENT 2         | 2 No/<br>2021-03-10 (206 | Other/<br>)COVID 19 EUA<br>VACCINE OUTSIDE<br>OF STUDY                                    |                   | Yes/<br>2020-09-21 (36)           |                                      |
| JS3312097     | 2020-08-17      | AMENDMENT 2         | 2 No/<br>2020-09-08 (23) | Lost to Follow-Up                                                                         | )                 | No/<br>2020-09-07 (22)            | Lost to Follow-Up                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312101     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-01-13 (150 | Protocol<br>)Deviation/<br>UNBLINDED DUE TO<br>RECEIPT OF EAU<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY |         | Yes/<br>2020-09-14 (29)                                                         |                                                                           |
| US3312123     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-01-13 (149 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                             |         | Yes/<br>2020-09-15 (29)                                                         |                                                                           |
| US3312194     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-03-15 (208 | Lost to Follow-Up<br>)                                                                                | )       | Yes/<br>2020-09-17 (29)                                                         |                                                                           |
| US3312201     | 2020-08-20                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                       |         | No/<br>2020-09-16 (28)                                                          | Adverse Event/<br>AE#1                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312212     | 2020-08-21                  | AMENDMENT 2         | 2 ONGOING                |                                                                  |         | No/<br>2020-09-17 (28)                                                           | Serious Adverse<br>Event/<br>SAE#1                                        |
| US3312228     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (116 | Other/<br>5)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE       |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | 7/                                                                                                                                                                                    |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | n Reason for Study                                                                                                                                                                    | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                                                                                                  | Autopsy           | or Completion                     | Discontinuation/<br>Specified Reason |
| US3312256     | 2020-08-25      | AMENDMENT           | /                                | Other/<br>)SUBJECT WITHDREW<br>CONSENT, HE IS<br>RELOCATING ON<br>3/20/21. HE<br>DECLINED OFFER<br>TO TRANSFER TO<br>ANOTHER SITE OR<br>CONTINUE IN<br>STUDY WITH P/C<br>ONLY VISITS. |                   | Yes/<br>2020-09-22 (29)           |                                      |
| US3312308     | 2020-08-26      | AMENDMENT           | 3 No/<br>2020-12-21 (118         | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                                                                                             |                   | Yes/<br>2020-09-23 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312312     | 2020-08-26                  | AMENDMENT (         | 3 No/<br>2020-12-16 (113                                                      | Other/<br>)REQUEST TO<br>UNBLIND-EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-22 (28)                                                          |                                                                           |
| US3312334     | 2020-08-27                  | AMENDMENT (         | 3 No/<br>2020-12-29 (125                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID- 19<br>VACCINE  |         | Yes/<br>2020-09-25 (30)                                                          |                                                                           |
| US3312366     | 2020-08-28                  | AMENDMENT (         | 3 No/<br>2021-01-13 (139                                                      | Other/<br>)REQUEST TO<br>UNBLIND-EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-25 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312381     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-23 (118 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                    |         | Yes/<br>2020-09-25 (29)                                                          |                                                                           |
| US3312398     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-23 (115 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID- 19<br>VACCINE |         | Yes/<br>2020-09-28 (29)                                                          |                                                                           |
| US3312447     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-17 (107 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID- 19<br>VACCINE                 |         | Yes/<br>2020-09-30 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312467     | 2020-09-02                  | AMENDMENT           | · •                                                                           | Other/                                                    |         | Yes/<br>2020-10-02 (31)                                                         |                                                                           |
| US3312470     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-09-23 (22)                                                      | Lost to Follow-Up                                         | )       | No/<br>2020-09-22 (21)                                                          | Lost to Follow-Up                                                         |
| US3312501     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-22 (110                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-02 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3312513     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-03-13 (191 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT IS NO<br>LONGER<br>INTERESTED IN<br>CONTINUING WITH<br>THE STUDY |         | Yes/<br>2020-10-02 (29)                                                          |                                                                      |
| US3312526     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2021-01-29 (144 | Protocol<br>)Deviation/<br>UNBLINDED DUE TO<br>RECEIPT OF EAU<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY                    |         | Yes/<br>2020-10-12 (35)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                     | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3312527     | 2020-09-08                  | AMENDMENT 3         | 3 No/<br>2020-12-22 (106 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                          |                                            | Yes/<br>2020-10-06 (29)                                                          |                                                                      |
| US3312539     | 2020-09-08                  | AMENDMENT 3         | 3 No/<br>2021-02-26 (172 | Protocol<br>)Deviation/<br>DUE TO RECEIPT<br>OF PFIZER EAU<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY |                                            | Yes/<br>2020-10-06 (29)                                                          |                                                                      |
| US3312548     | 2020-09-08                  | AMENDMENT 3         | 3 ONGOING                |                                                                                                    |                                            | No/<br>2020-10-05 (28)                                                           | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312564     | 2020-09-09                  | AMENDMENT 3         | 3 No/<br>2021-01-05 (119                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VAQCCINE |         | Yes/<br>2020-10-07 (29)                                                         |                                                                           |
| US3312573     | 2020-09-09                  | AMENDMENT 3         | <sup>3</sup> No/<br>2020-10-31 (53)                                           | Lost to Follow-Up                                            | )       | Yes/<br>2020-10-07 (29)                                                         |                                                                           |
| US3312587     | 2020-09-09                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                              |         | No/<br>2020-10-06 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3312592     | 2020-09-10                  | AMENDMENT 3         | 3 No/<br>2020-12-21 (103                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-10-08 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                                             |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                              | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                          |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3312605     | 2020-09-10      | AMENDMENT           | 3 No/<br>2020-11-16 (68)         | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WISHES TO<br>PARTICIPATE IN<br>THE TRIAL. |                   | Yes/<br>2020-10-08 (29)           |                                      |
| US3322006     | 2020-08-14      | AMENDMENT 2         | 2 ONGOING                        |                                                                                                               |                   | No/<br>2020-09-13 (28)            | Due to SARS-CoV-2                    |
| US3322013     | 2020-08-17      | AMENDMENT 2         | 2 No/<br>2020-09-14 (29)         | Lost to Follow-Up                                                                                             | )                 | No/<br>2020-09-13 (28)            | Lost to Follow-Up                    |
| US3322027     | 2020-08-18      | AMENDMENT 2         | 2 No/<br>2020-12-22 (127         | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                    |                   | Yes/<br>2020-09-15 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |             | Completed Study                  | 7/                 |                   | Completed Dosing                  | /                            |
|-----------|------------|-------------|----------------------------------|--------------------|-------------------|-----------------------------------|------------------------------|
|           | Informed   |             | Date of Study<br>Discontinuation | n Reason for Study | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol    | or Completion                    | Discontinuation/   | Autopsy           | or Completion                     | Discontinuation/             |
| ID        | Date       | Version     | (Day)                            | Specified Reason   | Performed?        | (Day)                             | Specified Reason             |
|           |            |             |                                  |                    |                   |                                   |                              |
| US3322037 | 2020-08-18 | AMENDMENT 2 | 2 No/                            | Other/             |                   | Yes/                              |                              |
|           |            |             | 2020-12-22 (110                  | )REQUEST TO        |                   | 2020-10-09 (36)                   |                              |
|           |            |             |                                  | UNBLIND / EUA      |                   |                                   |                              |
|           |            |             |                                  | COVID-19 VACCINE   |                   |                                   |                              |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3322041     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2021-03-12 (206 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>CONTACTED AND<br>CONFIRMED THAT<br>HE DOES NOT WANT<br>TO CONTINUE IN<br>THE STUDY AND<br>WOULD LIKE TO<br>WITHDRAW<br>CONSENT. SITE<br>WILL PROCEED TO<br>DISCONTINUE AS<br>SUBJECT WITHDREW<br>CONSENT. |         | Yes/<br>2020-09-16 (29)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3322047     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-08 (201 | Protocol<br>)Deviation/<br>RECEIVED THE<br>MODERNA VACCINE<br>OUTSIDE THE<br>STUDY, RECEIPT<br>OF AN EUA<br>VACCINE                                           |         | Yes/<br>2020-09-17 (29)                                                         |                                                                           |
| US3322055     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-02-21 (186 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>CONTACTED BY<br>SITE AND SHE<br>INFORMED US THAT<br>SHE RECEIVED THE<br>PFIZER VACCINE A<br>COUPLE OF WEEKS<br>AGO. |         | Yes/<br>2020-09-17 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3322061     | 2020-08-20                  | AMENDMENT           | 2 ONGOING                |                                                                                                                                             |         | No/<br>2020-09-17 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3322071     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-02-10 (174 | Protocol<br>Protocol<br>SUBJECT REPORTED<br>RECEIVING THE<br>FIRST DOSE OF<br>MODERNA VACCINE<br>ON 3-FEB-2021,<br>OUTSIDE OF THE<br>STUDY. |         | Yes/<br>2020-09-16 (27)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                           |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3322073     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-01-07 (140 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>CONTACTED BY<br>SITE, RECEIVED<br>THE EUA<br>MODERNA VACCINE<br>ON 24 DEC 2020<br>FROM HIS PLACE<br>OF EMPLOYMENT |         | Yes/<br>2020-09-18 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject   | Informed<br>Consent | Protocol    |                          | 7/<br>n Reason for Study<br>Discontinuation/                                                                                                                                                                               | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                                                                           | <br>(Day)                                                              | Specified Reason                                      |
| JS3322074 | 2020-08-21          | AMENDMENT : | 2 No/<br>2021-03-19 (211 | Withdrawal of<br>) Consent by<br>Participant/<br>SUBJECT<br>CONTACTED SITE<br>TO EXPRESS HE IS<br>NO LONGER<br>INTERESTED TO<br>CONTINUE HIS<br>PARTICIPATION IN<br>THE STUDY AND<br>WOULD LIKE TO<br>WITHDRAW<br>CONSENT. | Yes/<br>2020-09-18 (29)                                                |                                                       |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study |                                                          |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|-----------------|----------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                 | Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3322081     | 2020-08-24                  | AMENDMENT           | 2 No/           | Protocol                                                 |         | Yes/                                                        | *                                                                    |
|               |                             |                     | 2020-12-28 (127 |                                                          |         | 2020-09-21 (29)                                             |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study        | /                                    |         | Completed Dosing       | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|---------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of | Date of Dosing         | Primary Reason for                   |
|               | Informed        |                     | Discontinuation        | Reason for Study                     | Death/  | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| JS3322082     | 2020-08-24      | AMENDMENT           | 2 No/                  | Withdrawal of                        |         | Yes/                   |                                      |
|               |                 |                     | 2020-11-09 (78)        | Consent by                           |         | 2020-09-21 (29)        |                                      |
|               |                 |                     |                        | Participant/                         |         |                        |                                      |
|               |                 |                     |                        | ON 09 NOV 2020,                      |         |                        |                                      |
|               |                 |                     |                        | SITE REACHED OUT                     |         |                        |                                      |
|               |                 |                     |                        | TO SUBJECT TO                        |         |                        |                                      |
|               |                 |                     |                        | RE-SCHEDULE HIS                      |         |                        |                                      |
|               |                 |                     |                        | APPOINTMENT,                         |         |                        |                                      |
|               |                 |                     |                        | EXPRESSED HE IS                      |         |                        |                                      |
|               |                 |                     |                        | NO LONGER                            |         |                        |                                      |
|               |                 |                     |                        | INTERESTED TO                        |         |                        |                                      |
|               |                 |                     |                        | CONTINUE HIS                         |         |                        |                                      |
|               |                 |                     |                        | PARTICIPATION IN                     |         |                        |                                      |
|               |                 |                     |                        | THE                                  |         |                        |                                      |
|               |                 |                     |                        | STUDY.DISCONTINU                     |         |                        |                                      |
|               |                 |                     |                        | ED AS SUBJECT                        |         |                        |                                      |
|               |                 |                     |                        | WITHDREW                             |         |                        |                                      |
|               |                 |                     |                        | CONSENT.                             |         |                        |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| US3322098     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-12-21 (119                                                      | Protocol<br>)Deviation/<br>EUA COVID 19<br>VACCINE OUTSIDE<br>THE STUDY                        |         | Yes/<br>2020-09-24 (31)                                                          |                                                                                                       |
| US3322111     | 2020-08-26                  | AMENDMENT :         | 2 No/<br>2020-09-23 (29)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT<br>DUE TO PERSONAL<br>REASONS. |         | No/<br>2020-09-22 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>PERSONAL REASONS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |                 | Completed Study | /                  |            | Completed Dosing | /                  |
|-----------|------------|-----------------|-----------------|--------------------|------------|------------------|--------------------|
|           |            |                 | Date of Study   |                    | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   |                 | Discontinuatior | n Reason for Study | Death/     | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol        | or Completion   | Discontinuation/   |            | or Completion    | Discontinuation/   |
| D         | Date       | Version         | (Day)           | Specified Reason   | Performed? | (Day)            | Specified Reason   |
| JS3322118 | 2020-08-27 | AMENDMENT       | 3 No/           | Protocol           |            | Yes/             |                    |
|           | 2020 00 27 | 111111111111111 | 2021-01-21 (148 |                    |            | 2020-09-24 (29)  |                    |
|           |            |                 |                 | SUBJECT HAS        |            |                  |                    |
|           |            |                 |                 | DISCONTINUED       |            |                  |                    |
|           |            |                 |                 | FROM THE STUDY,    |            |                  |                    |
|           |            |                 |                 | DUE TO RECEIVING   |            |                  |                    |
|           |            |                 |                 | PFIZER COVID       |            |                  |                    |
|           |            |                 |                 | VACCINE ON         |            |                  |                    |
|           |            |                 |                 | 11-JAN-2021        |            |                  |                    |
|           |            |                 |                 | THROUGH HIS        |            |                  |                    |
|           |            |                 |                 | EMPLOYER.          |            |                  |                    |
| JS3322135 | 2020-08-29 | AMENDMENT       | 3 No/           | Protocol           |            | Yes/             |                    |
|           |            |                 | 2021-01-15 (140 | )Deviation/        |            | 2020-10-01 (34)  |                    |
|           |            |                 |                 | EUA COVID-19       |            |                  |                    |
|           |            |                 |                 | VACCINE            |            |                  |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study | /                                                          | Date of            | Completed Dosing,<br>Date of Dosing       | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|------------------------------------------------------------|--------------------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                  | Reason for Study<br>Discontinuation/<br>Specified Reason   | Death/<br>Autopsy  | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| US3322143     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-21 (113         | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |                    | Yes/<br>2020-09-28 (29)                   |                                                |
| US3322175     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-18 (140         | Protocol<br>)Deviation/<br>EUA VACCINE                     |                    | Yes/<br>2020-09-29 (29)                   |                                                |
| US3322199     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-11-16 (76)         | Death                                                      | 2020-11-16/<br>UNK | 'Yes/<br>2020-10-01 (30)                  |                                                |
| US3322204     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-01-18 (138         | Protocol<br>)Deviation/<br>EUA COVID-19<br>VACCINE         |                    | Yes/<br>2020-10-01 (29)                   |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3322240 2020-09-06 AMENDMENT 3 No/ Protocol Yes/ 2021-03-23 (197) Deviation/ 2020-10-06 (29) SUBJECT INFORMED SITE THAT HE RECEIVED THE PFIZER VACCINE ON 18 MAR 2021. THE SUBJECT IS WITHDRAWN FROM THE MODERNA STUDY GIVEN HIS RECEIPT OF AN EUA VACCINE.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study,         | /                                                                                                                                                                                                                                                                                           |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3322256     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2021-01-23 (138 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CAME IN<br>FOR<br>PARTICIPATION<br>DECISION VISIT<br>AND EXPRESSED<br>SHE IS NO LONGER<br>INTERESTED TO<br>CONTINUE HER<br>PARTICIPATION IN<br>THE STUDY. SITE<br>WILL PROCEED TO<br>DISCONTINUED AS<br>SUBJECT WITHDREW<br>CONSENT. |         | Yes/<br>2020-10-06 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3322258     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2021-01-07 (122 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE FROM<br>PLACE OF<br>EMPLOYMENT                                                                                                             |         | Yes/<br>2020-10-09 (32)                                                          |                                                                           |
| US3322262     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2021-01-14 (129 | Protocol<br>)Deviation/<br>EUA VACCINE:<br>SUBJECT STATED<br>THAT SHE<br>RECEIVED THE<br>FIRST DOSE OF<br>PFIZER VACCINE<br>ON 18 DEC 2020<br>AND SECOND DOSE<br>OF PFIZER ON 08<br>JAN 2021. |         | Yes/<br>2020-10-06 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                          |                       | Completed Dosing/                 | 1                                                         |
|---------------|-----------------|---------------------|----------------------------------|------------------------------------------------------------|-----------------------|-----------------------------------|-----------------------------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                           | Date of<br>Death/     | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                              |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                       | Autopsy<br>Performed? | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                      |
| US3322277     | 2020-09-09      | AMENDMENT 3         | 8 No/<br>2020-12-16 (99)         | Other/<br>REQUEST TO<br>UN-BLIND / EUA<br>COVID-19 VACCINE |                       | Yes/<br>2020-10-07 (29)           |                                                           |
| US3322294     | 2020-09-10      | AMENDMENT 3         | No/<br>2021-01-12 (125           | Protocol<br>)Deviation/<br>EUA COVID-19<br>VACCINE         |                       | Yes/<br>2020-10-05 (26)           |                                                           |
| US3322313     | 2020-09-11      | AMENDMENT 3         | 3 ONGOING                        |                                                            |                       | No/<br>2020-10-08 (28)            | Other/<br>SUBJECT WILL SKIP<br>DAY 29 AND DAY 57<br>VISIT |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3322316     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-21 (102 | Protocol<br>)Deviation/<br>EUA COVID 19<br>VACCINE OUTSIDE<br>THE STUDY    |         | Yes/<br>2020-10-09 (29)                                                          |                                                                           |
| US3322318     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-22 (103 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-14 (34)                                                          |                                                                           |
| US3322338     | 2020-09-12                  | AMENDMENT           | 3 No/<br>2020-12-21 (101 | Protocol<br>)Deviation/<br>EUA COVID 19<br>VACCINE OUTSIDE<br>THE STUDY    |         | Yes/<br>2020-10-14 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

Dosing

Primary Reason for

Discontinuation/

Specified Reason

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|         |          | -        |                 | -                  |            |                   |
|---------|----------|----------|-----------------|--------------------|------------|-------------------|
|         |          |          | Completed Study | <u>/</u> /         |            | Completed Dosing/ |
|         |          |          | Date of Study   |                    | Date of    | Date of Dosing    |
|         | Informed |          | Discontinuatio  | n Reason for Study | Death/     | Discontinuation   |
| Subject | Consent  | Protocol | or Completion   | Discontinuation/   | Autopsy    | or Completion     |
| ID      | Date     | Version  | (Day)           | Specified Reason   | Performed? | (Day)             |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| US3322410 2020-10-02 AMENDMENT 4 No/ Protocol<br>2021-03-07 (152)Deviation/<br>RECEIPT OF AN<br>EUA VACCINE | Yes/<br>2020-11-11 (36) |
|-------------------------------------------------------------------------------------------------------------|-------------------------|
|-------------------------------------------------------------------------------------------------------------|-------------------------|

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                |            | Completed Dosing | /                   |
|-----------|------------|-----------|-----------------|------------------|------------|------------------|---------------------|
|           |            |           | Date of Study   |                  | Date of    | Date of Dosing   | Primary Reason for  |
|           | Informed   |           | Discontinuation | Reason for Study | Death/     | Discontinuation  | Dosing              |
| Subject   | Consent    | Protocol  | or Completion   | Discontinuation/ | Autopsy    | or Completion    | Discontinuation/    |
| ID        | Date       | Version   | (Day)           | Specified Reason | Performed? | (Day)            | Specified Reason    |
| US3322435 | 2020-10-15 | AMENDMENT | 4 No/           | Withdrawal of    |            | No/              | Withdrawal of       |
| 000022100 | 2020 20 20 |           | 2020-11-19 (36) |                  |            | 2020-11-11 (28)  | Consent by          |
|           |            |           |                 | Participant/     |            |                  | Participant/        |
|           |            |           |                 | MISSED D29       |            |                  | MISSED D29, SITE    |
|           |            |           |                 | VISIT, SITE      |            |                  | REACHED OUT TO      |
|           |            |           |                 | REACHED OUT TO   |            |                  | SUBJECT ONE MORE    |
|           |            |           |                 | SUBJECT ONE MORE |            |                  | TIME TO RE-SCHEDULE |
|           |            |           |                 | TIME TO          |            |                  | HER APPOINTMENT AND |
|           |            |           |                 | RE-SCHEDULE HER  |            |                  | SUBJECT EXPRESSED   |
|           |            |           |                 | APPOINTMENT AND  |            |                  | SHE IS NO LONGER    |
|           |            |           |                 | SUBJECT          |            |                  | INTERESTED TO       |
|           |            |           |                 | EXPRESSED SHE IS |            |                  | CONTINUE HER        |
|           |            |           |                 | NO LONGER        |            |                  | PARTICIPATION IN    |
|           |            |           |                 | INTERESTED TO    |            |                  | THE STUDY.          |
|           |            |           |                 | CONTINUE HER     |            |                  |                     |
|           |            |           |                 | PARTICIPATION IN |            |                  |                     |
|           |            |           |                 | THE STUDY.       |            |                  |                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3332004     | 2020-08-04                  | AMENDMENT           |                          | Other/                                                              |         | Yes/<br>2020-09-01 (29)                                                          | 1                                                                    |
| US3332007     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-17 (136 | Other/<br>)REQUEST TO<br>UNBLIND/EUA                                |         | Yes/<br>2020-09-08 (36)                                                          |                                                                      |
| US3332025     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-30 (146 | Protocol<br>)Deviation/<br>RECEIPT OF<br>NON-STUDY COVID<br>VACCINE |         | Yes/<br>2020-09-11 (36)                                                          |                                                                      |
| US3332032     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-17 (133 | Other/<br>)REQUEST TO<br>UNBLIND/EUA                                |         | Yes/<br>2020-09-04 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3332042     | 2020-08-11                  | AMENDMENT           | - /                      | Other/<br>)EUA- EMERGENCY<br>USE<br>AUTHORIZATION              |         | Yes/<br>2020-09-15 (36)                                                          |                                                                           |
| US3332050     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-12-31 (141 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-10 (29)                                                          |                                                                           |
| US3332058     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-01-11 (144 | Other/<br>)REQUEST TO<br>UNBLIND, EUA                          |         | Yes/<br>2020-09-21 (32)                                                          |                                                                           |
| US3332066     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-31 (127 | Other/<br>)REQUEST TO<br>UNBLIND, EUA                          |         | Yes/<br>2020-09-21 (26)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study,<br>Date of Study | /                                                                                     | Date of | Completed Dosing/<br>Date of Dosing       | ′<br>Primary Reason for                                          |
|---------------|-----------------------------|---------------------|-----------------------------------|---------------------------------------------------------------------------------------|---------|-------------------------------------------|------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                   | Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason                   |
| JS3332067     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-31 (126)         | Other/<br>)REQUEST TO<br>UNBLIND, EUA                                                 |         | Yes/<br>2020-09-22 (26)                   |                                                                  |
| JS3332068     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-03-16 (201)         | Lost to Follow-Up<br>)                                                                |         | Yes/<br>2020-09-22 (26)                   |                                                                  |
| JS3332092     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2020-10-20 (28)          | Withdrawal of<br>Consent by<br>Participant/<br>DOESN'T HAVE<br>TIME                   |         | No/<br>2020-10-19 (27)                    | Withdrawal of<br>Consent by<br>Participant/<br>DOESN'T HAVE TIME |
| JS3332097     | 2020-10-12                  | AMENDMENT           | 4 No/<br>2020-11-12 (32)          | Withdrawal of<br>Consent by<br>Participant/<br>DOESN'T HAVE<br>TIME FOR THE<br>STUDY. |         | Yes/<br>2020-11-06 (26)                   |                                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | or Completion                     | n Reason for Study                                                     | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----------------------------------|------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3342002     | 2020-08-10                  |                     | (Day)<br>1 No/<br>2021-01-29 (173 | Protocol                                                               | Periormed: | (Day)<br>Yes/<br>2020-09-08 (30)                                                | Specified Reason                                                          |
| US3342004     | 2020-08-10                  | AMENDMENT           |                                   | Other/<br>UNBLINDED PRIOR<br>TO AMENDMENT 6<br>AND RECEIVED<br>PLACEBO |            | Yes/<br>2020-09-09 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |             | Completed Study | /                 |            | Completed Dosing, | /                  |
|-----------|------------|-------------|-----------------|-------------------|------------|-------------------|--------------------|
|           |            |             | Date of Study   |                   | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |             |                 | Reason for Study  |            | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol    | -               | Discontinuation/  |            | or Completion     | Discontinuation/   |
| ID        | Date       | Version     | (Day)           | Specified Reason  | Performed? | (Day)             | Specified Reason   |
| US3342012 | 2020-08-10 | AMENDMENT 2 | 2 No/           | Other/            |            | Yes/              |                    |
|           |            |             | 2020-12-17 (130 |                   |            | 2020-09-04 (26)   |                    |
|           |            |             |                 | UNBLIND/EUA       |            | (,                |                    |
|           |            |             |                 | COVID-19 VACCINE  |            |                   |                    |
|           |            |             |                 |                   |            |                   |                    |
| US3342019 | 2020-08-11 | AMENDMENT 2 | 2 No/           | Protocol          |            | Yes/              |                    |
|           |            |             | 2021-01-26 (169 | )Deviation/       |            | 2020-09-08 (29)   |                    |
|           |            |             |                 | SUBJECT RECEIVED  |            |                   |                    |
|           |            |             |                 | COVID-19 VACCINE  |            |                   |                    |
|           |            |             |                 | OUTSIDE STUDY ON  |            |                   |                    |
|           |            |             |                 | 21DEC2020         |            |                   |                    |
|           |            |             |                 | (PFIZER PRODUCT   |            |                   |                    |
|           |            |             |                 | OFFERED BY        |            |                   |                    |
|           |            |             |                 | EMPLOYER, WORKS   |            |                   |                    |
|           |            |             |                 | AS HCW).          |            |                   |                    |
| US3342037 | 2020-08-12 | AMENDMENT 2 | 2 No/           | Lost to Follow-Up | )          | Yes/              |                    |
|           |            |             | 2021-02-09 (182 | -                 |            | 2020-09-15 (35)   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3342043 2020-08-12 AMENDMENT 2 No/ Withdrawal of No/ Physician Decision/ 2020-09-17 (37) Consent by 2020-09-08 (28) PI WITHDREW SUBJECT Participant/ FROM DOSING DUE TO SUBJECT WITHDREW NUMEROUS HEALTH CONSENT FROM PROBLEMS STUDY WHEN PI INFORMED SUBJECT THAT HE WOULDNOT RECEIVE 2ND DOSE

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study |                                                          | Date of | Completed Dosing<br>Date of Dosing        | Primary Reason for                             |
|---------------|-----------------------------|---------------------|----------------------------------|----------------------------------------------------------|---------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| US3342046     | 2020-08-13                  | AMENDMENT 2         | -                                | Protocol                                                 |         | Yes/<br>2020-09-16 (35)                   | -<br>-                                         |
| US3342060     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-12-16 (125         | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-16 (34)                   |                                                |
| US3342066     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-10-05 (53)         | Lost to Follow-Up                                        | )       | No/<br>2020-09-10 (28)                    | Lost to Follow-Up                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3342067     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-12-21 (130                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                   |         | Yes/<br>2020-09-15 (33)                                                          |                                                                           |
| US3342083     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2021-01-12 (149                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE STUDY ON<br>29DEC2020<br>(MODERNA<br>MRNA-1273) |         | Yes/<br>2020-09-17 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3342087     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2021-01-22 (159 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE STUDY ON<br>28DEC2020<br>(MODERNA PRODUCT<br>OFFERED BY<br>EMPLOYER, WORKS<br>AS HCW). |         | Yes/<br>2020-09-16 (31)                                                         |                                                                           |
| US3342088     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2021-01-22 (159 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF SITE<br>(MODERNA PRODUCT<br>MRNA-1273)                                              |         | No/<br>2020-09-13 (28)                                                          | Serious Adverse<br>Event/<br>AE #1                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3342105     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (128 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                                                         |         | Yes/<br>2020-09-16 (30)                                                          |                                                                           |
| US3342142     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2021-01-19 (149 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE STUDY ON<br>12JAN2021<br>(PFIZER PRODUCT<br>OFFERED BY<br>EMPLOYER, WORKS<br>AS HCW). |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3342157     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2021-01-15 (138 | Protocol<br>)Deviation/<br>RECEIVED<br>MRNA-1273<br>OUTSIDE OF STUDY<br>ON 30DEC2020 |         | Yes/<br>2020-10-02 (33)                                                          |                                                                           |
| US3342162     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-11-23 (84) | Lost to Follow-Up                                                                    | )       | Yes/<br>2020-09-29 (29)                                                          |                                                                           |
| US3342179     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-21 (110 | Other/<br>)REQUEST TO<br>UNBLIND? EUA<br>COVID-19 VACCINE                            |         | Yes/<br>2020-10-02 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3342183     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-01-05 (125 | Other/<br>)UNBLINDED AND<br>RECEIVED<br>PLACEBO, GETTING<br>VACCINE OUTSIDE<br>OF STUDY                           |         | Yes/<br>2020-10-02 (30)                                                          |                                                                           |
| US3342204     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2021-02-10 (156 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>SECOND DOSE OF<br>MRNA-1273<br>OUTSIDE OF STUDY<br>SITE. PD NOTED. |         | Yes/<br>2020-10-26 (49)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason     |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| US3342209     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-10-16 (39) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CHOSE TO<br>WITHDRAW FROM<br>STUDY                                                                                          |         | No/<br>2020-10-05 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CHOSE TO<br>EXIT STUDY |
| US3342218     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-01-22 (136 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE STUDY ON<br>30DEC2020<br>(PFIZER PRODUCT<br>OFFERED BY<br>EMPLOYER, WORKS<br>AS EMERGENCY<br>RESPONSE). |         | Yes/<br>2020-10-14 (36)                                                          |                                                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                                                                                      |                  | Completed Dosing/                 | /                                    |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     |                          | n Reason for Study                                                                                                                                     |                  | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                                   |                  | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3342221     | 2020-09-09      | AMENDMENT :         | 3 No/<br>2020-12-28 (111 | Other/<br>)SUBJECT WAS<br>UNBLINDED BEFORE<br>AMENDMENT 6 AND<br>RECEIVED<br>PLACEBO.<br>SUBJECT WAS A RN<br>AND WAS OFFERED<br>THE PFIZER<br>VACCINE. |                  | Yes/<br>2020-10-12 (34)           |                                      |
| US3342253     | 2020-09-11      | AMENDMENT (         | 3 ONGOING                |                                                                                                                                                        |                  | No/<br>2020-10-08 (28)            | Adverse Event/<br>AE #1              |
| US3342256     | 2020-09-14      | AMENDMENT (         | 3 No/<br>2020-09-20 (7)  | Death                                                                                                                                                  | 2020-09-20/<br>Y | ′No/<br>2020-09-20 (7)            | Death                                |
| US3342259     | 2020-09-14      | AMENDMENT (         | 3 No/<br>2020-10-16 (33) | Adverse Event/<br>AE #2                                                                                                                                |                  | No/<br>2020-10-11 (28)            | Adverse Event/<br>AE #2              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3342275     | 2020-09-21                  | AMENDMENT           |                          | Other/<br>UNBLINDED PRIOR<br>TO AMENDMENT 6<br>AND RECEIVED<br>PLACEBO                                                                                                 |         | Yes/<br>2020-11-03 (44)                                                          |                                                                           |
| US3342285     | 2020-09-26                  | AMENDMENT           | 3 No/<br>2021-01-22 (119 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE STUDY ON<br>05JAN2021<br>(MODERNA<br>MRNA-1273;<br>SUBJECT IS IN<br>PHASE 1B OF<br>ROLLOUT) |         | Yes/<br>2020-10-21 (26)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|              |                             |                     | Completed Study          | /                                                                                                                                                                                                                                                           |         | Completed Dosing                                            | /                                                                    |
|--------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3342323    | 2020-10-02                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                                                                                                                                             |         | No/<br>2020-11-11 (28)                                      | Due to SARS-CoV-2                                                    |
| US3342334    | 2020-10-05                  | AMENDMENT           | 3 No/<br>2021-03-16 (155 | Withdrawal of<br>)Consent by<br>Participant/<br>SPOKE TO PT BY<br>PHONE WHO<br>REPORTS SHE<br>WOULD LIKE TO<br>WITHDRAW HER<br>CONSENT FOR THE<br>TIME TIME AS SHE<br>HAS CHANGED HER<br>MIND AND WOULD<br>NO LONGER LIKE<br>TO PARTICIPATE<br>IN RESEARCH. |         | Yes/<br>2020-11-12 (31)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                           |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3342343     | 2020-10-06                  | AMENDMENT           | 4 ONGOING                |                                                                                                                                             |         | No/<br>2020-11-08 (28)                                      | Adverse Event/<br>AE#2                                               |
| US3342358     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2020-12-07 (56) | Lost to Follow-Up                                                                                                                           | )       | Yes/<br>2020-11-10 (29)                                     |                                                                      |
| US3342359     | 2020-10-14                  | AMENDMENT           | 4 No/<br>2020-12-09 (57) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT MOVED<br>OUT OF THE AREA<br>AND DOES NOT<br>HAVE TIME TO<br>CONTINUE IN THE<br>STUDY |         | Yes/<br>2020-11-11 (29)                                     |                                                                      |
| US3342362     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2021-01-19 (97) | Lost to Follow-Up                                                                                                                           | )       | Yes/<br>2020-11-24 (41)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3352003     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-03-16 (225 | Protocol<br>)Deviation/<br>SUBJECT HAS BEEN<br>DISCONTINUED DUE<br>TO RECEIVING<br>ANOTHER COVID-19<br>VACCINE OUTSIDE<br>OF THE TRIAL. |         | Yes/<br>2020-09-01 (29)                                                          |                                                                      |
| US3352006     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-17 (136 | Other/<br>)REQUEST RO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                                |         | Yes/<br>2020-09-01 (29)                                                          |                                                                      |
| US3352008     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-15 (134 | Other/<br>)REQUEST TO<br>UNBLIND/EUACOVID<br>-19 VACCINE                                                                                |         | Yes/<br>2020-09-01 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy      | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------|--------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3352013     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-09-02 (30)                                                      | Lost to Follow-Up<br>)                                           | <sup>D</sup> | No/<br>2020-08-31 (28)                                                           | Lost to Follow-Up                                                         |
| US3352021     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-12-30 (147                                                      | Other/<br>7)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE      |              | Yes/<br>2020-09-01 (27)                                                          |                                                                           |
| US3352023     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-12-22 (139                                                      | Other/<br>9)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE       |              | Yes/<br>2020-09-01 (27)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study<br>Date of Study | /                                                                                                                              | Date of | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|------------------------------------|--------------------------------------|
|               | Informed        |                     | -                                | Reason for Study                                                                                                               |         | Discontinuation                    | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                                           | Autopsy | or Completion<br>(Day)             | Discontinuation/<br>Specified Reason |
| JS3352035     | 2020-08-06      | AMENDMENT :         | l No/<br>2020-10-28 (84)         | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT<br>DUE TO PERSONAL<br>REASONS, DID NOT<br>DISCLOSE<br>DETAILS. |         | Yes/<br>2020-09-01 (27)            |                                      |
| IS3352038     | 2020-08-06      | AMENDMENT 2         | l No/<br>2020-12-30 (147         | Lost to Follow-Up<br>)                                                                                                         | )       | Yes/<br>2020-09-01 (27)            |                                      |
| JS3352072     | 2020-08-13      | AMENDMENT 2         | 2 ONGOING                        |                                                                                                                                |         | No/<br>2020-09-09 (28)             | Due to SARS-CoV-2                    |
| JS3352106     | 2020-08-18      | AMENDMENT 2         | 2 No/<br>2020-12-23 (128         | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                                      |         | Yes/<br>2020-09-17 (31)            |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                           |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| US3352258     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-09-15 (22) | Lost to Follow-Up                                                                                      | )       | No/<br>2020-09-14 (21)                                                           | Lost to Follow-Up                                                                                   |
| US3352276     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-01-28 (157 |                                                                                                        |         | Yes/<br>2020-09-22 (29)                                                          |                                                                                                     |
| JS3352280     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-03-25 (213 | Lost to Follow-Up<br>)                                                                                 | )       | Yes/<br>2020-09-19 (26)                                                          |                                                                                                     |
| US3352303     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-09-30 (30) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS NO<br>LONGER<br>INTERESTED IN<br>THE STUDY. |         | No/<br>2020-09-28 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS NO<br>LONGER INTERESTED<br>IN THE STUDY. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study<br>Date of Study | /                                                                      | Date of | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|------------------------------------------------------------------------|---------|------------------------------------|--------------------------------------|
|               | Informed        |                     |                                  | n Reason for Study                                                     |         | Discontinuation                    | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                   |         | or Completion<br>(Day)             | Discontinuation/<br>Specified Reason |
| US3352307     | 2020-09-01      | AMENDMENT           | 3 No/<br>2021-03-25 (206         | Lost to Follow-Up                                                      | þ       | Yes/<br>2020-09-29 (29)            |                                      |
| US3352310     | 2020-09-10      | AMENDMENT           | 3 No/<br>2020-12-18 (100         | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE              |         | Yes/<br>2020-10-08 (29)            |                                      |
| US3352347     | 2020-09-10      | AMENDMENT           | 3 No/<br>2021-01-13 (126         | Withdrawal of<br>OConsent by<br>Participant/<br>WITHDREW OF<br>CONSENT |         | Yes/<br>2020-10-06 (27)            |                                      |
| US3352354     | 2020-09-10      | AMENDMENT           |                                  | Other/<br>SPONSOR REMOVAL<br>DUE TO<br>ENROLLMENT AT<br>OTHER SITE     |         | Yes/<br>2020-10-08 (29)            |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3352357     | 2020-09-10                  | AMENDMENT (         | 3 No/<br>2021-03-25 (197 | Lost to Follow-Up<br>)                                          | )       | Yes/<br>2020-10-08 (29)                                                          |                                                                      |
| US3352368     | 2020-09-10                  | AMENDMENT (         | 3 No/<br>2020-10-03 (24) | Lost to Follow-Up                                               | )       | No/<br>2020-10-02 (23)                                                           | Lost to Follow-Up                                                    |
| US3352379     | 2020-09-19                  | AMENDMENT (         | 3 No/<br>2021-01-28 (132 | Protocol<br>)Deviation/<br>RECEIVED<br>COVID-19 EUA<br>VACCINE  |         | Yes/<br>2020-10-17 (29)                                                          |                                                                      |
| US3352388     | 2020-09-19                  | AMENDMENT (         | 3 No/<br>2020-10-10 (22) | Lost to Follow-Up                                               | )       | No/<br>2020-10-09 (21)                                                           | Lost to Follow-Up                                                    |
| US3352434     | 2020-09-29                  | AMENDMENT (         | 3 No/<br>2020-12-17 (80) | ,                                                               |         | Yes/<br>2020-10-27 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3352462     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2021-01-21 (115 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATED<br>HE IS UNABLE TO<br>CONTINUE DUE TO<br>BUSY WORK<br>SCHEDULE. |         | Yes/<br>2020-11-03 (36)                                                         |                                                                           |
| US3352483     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-12-22 (85) | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                        |         | Yes/<br>2020-10-27 (29)                                                         |                                                                           |
| US3352541     | 2020-10-15                  | AMENDMENT           | 4 ONGOING                |                                                                                                                                |         | No/<br>2020-11-11 (28)                                                          | Other/<br>SUBJECT NEVER CAME<br>FOR DAY 29 VISIT.                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3352547     | 2020-10-15                  | AMENDMENT 4         | 4 No/<br>2021-03-17 (154 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>PARTICIPATING IN<br>ANOTHER CLINICAL<br>TRIAL.                                                                                            |         | Yes/<br>2020-11-12 (29)                                                          |                                                                      |
| US3352557     | 2020-10-15                  | AMENDMENT 4         | 4 No/<br>2021-03-10 (147 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATED<br>HE DOES NOT HAVE<br>ANY<br>TRANSPORTATION<br>AND WILL NOT BE<br>ABLE TO COME IN<br>TO SITE FOR<br>FURTHER VISITS. |         | No/<br>2020-11-11 (28)                                                           | Other/<br>SUBJECT NEVER CAME<br>FOR DAY 29 VISIT.                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| US3352567     | 2020-10-15                  | AMENDMENT           | 4 ONGOING                                                                     |                                                            |         | No/<br>2020-11-11 (28)                                                          | Other/<br>SUBJECT NEVER CAME<br>IN FOR DAY 29<br>VISIT. SEVERAL<br>ATTEMPTS MADE TO<br>RESCHDULED VISIT. |
| US3352581     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2020-12-17 (64)                                                      | Lost to Follow-Up<br>)                                     | þ       | No/<br>2020-11-11 (28)                                                          | Lost to Follow-Up                                                                                        |
| US3352600     | 2020-10-22                  | AMENDMENT           | 4 ONGOING                                                                     |                                                            |         | No/<br>2020-11-18 (28)                                                          | Other/<br>SUBJECT NEVER CAME<br>IN FOR DAY 29.                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3362012     | 2020-08-01                  | AMENDMENT (         | L No/<br>2020-12-16 (138 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT RECIEVED<br>PFIZER VACCINE<br>THROUGH EUA AND<br>WAS GIVEN<br>VACCINE BY<br>EMPLOYER |         | Yes/<br>2020-09-02 (33)                                                         |                                                                           |
| US3362017     | 2020-08-03                  | AMENDMENT 2         | L No/<br>2021-01-04 (155 | Withdrawal of<br>)Consent by<br>Participant/<br>APPROVED FOR<br>VACCINE THROUGH<br>EUA                                                       |         | Yes/<br>2020-09-03 (32)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3362038     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-16 (122 | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT MET<br>CRITERIA TO<br>RECEIVE PFIZER<br>INJECTION UNDER<br>EUA THROUGH<br>EMPLOYER |         | Yes/<br>2020-09-21 (36)                                                          |                                                                           |
| US3362045     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-10-29 (86) | Lost to Follow-Up                                                                                                                              | )       | Yes/<br>2020-09-02 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3362053     | 2020-08-05                  | AMENDMENT (         | L No/<br>2020-12-18 (136                                                      | Withdrawal of<br>5)Consent by<br>Participant/<br>SUBJECT RECIEVED<br>PFIZER VACCINE<br>THROUGH EUA AND<br>WAS GIVEN<br>VACCINE BY<br>EMPLOYER |         | Yes/<br>2020-09-04 (31)                                                         |                                                                           |
| US3362083     | 2020-08-08                  | AMENDMENT 1         | L ONGOING                                                                     |                                                                                                                                               |         | No/<br>2020-09-04 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3362111     | 2020-08-11                  | AMENDMENT           | 2 No/<br>2021-01-25 (168 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT NO<br>LONGER WISHES TO<br>PARTICIPATE IN<br>THE STUDY |         | Yes/<br>2020-09-08 (29)                                                          |                                                                      |
| US3362143     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-03-02 (201 | Withdrawal of<br>)Consent by<br>Participant/<br>NO LONGER WISHES<br>TO PARTICIPATE                            |         | Yes/<br>2020-09-14 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                              | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3362146     | 2020-08-15                  |                     |                          | Withdrawal of                                                                                                | rerrormed: | Yes/<br>2020-09-15 (32)                                                         | Specified Reason                                                          |
| US3362156     | 2020-08-15                  | AMENDMENT 2         | 2 No/<br>2020-10-15 (62) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT, DID NOT<br>DISCLOSE<br>REASONING |            | Yes/<br>2020-09-16 (33)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason     |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| US3362175     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-01-25 (162 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT<br>REQUESTED<br>UNBLINDING DUE<br>TO EUA ACCESS<br>AND MOVING,<br>UNABLE TO MAKE<br>SCHEDULED VISITS |         | Yes/<br>2020-09-18 (33)                                                          |                                                                               |
| US3362186     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-09-16 (30) | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO MAKE<br>STUDY VISITS                                                                                |         | No/<br>2020-09-14 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO MAKE<br>STUDY VISITS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                     |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| US3362189     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-10-30 (74) | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO COMPLY<br>WITH STUDY<br>VISITS               |         | Yes/<br>2020-09-22 (36)                                                          |                                                                                               |
| US3362206     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-09-15 (27) | Withdrawal of<br>Consent by<br>Participant/<br>WITHDRAWAL OF<br>CONSENT-NO<br>EXPLANATION<br>PROVIDED |         | No/<br>2020-09-14 (26)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT-NO<br>EXPLANATION<br>PROVIDED |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                          |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| US3362290     | 2020-09-26                  | AMENDMENT           | 3 ONGOING                |                                                                                                                      |         | No/<br>2020-10-23 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT FOR SECOND<br>INJECTION |
| US3362305     | 2020-10-08                  | AMENDMENT           | 4 No/<br>2020-12-17 (71) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT<br>WITHDREW, ABLE<br>TO RECEIVE<br>PFIZER VACCINE<br>WITH EUA |         | Yes/<br>2020-11-11 (35)                                                          |                                                                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| US3372028     | 2020-08-04                  | AMENDMENT :         | l No/<br>2020-08-27 (24)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO WORK<br>SCHEDULE PATIENT<br>CAN NO LONGER<br>PARTICIPATE IN<br>STUDY |         | No/<br>2020-08-26 (23)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO WORK<br>SCHEDULE PATIENT<br>HAD TO WITHDRAW<br>FROM STUDY |
| US3372107     | 2020-08-12                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                            |         | No/<br>2020-09-08 (28)                                                           | Other/<br>SUBJECT DID NOT<br>WANT TO RECEIVE<br>SECOND SHOT                                                     |
| US3372110     | 2020-08-13                  | AMENDMENT 3         | l ongoing                                                                     |                                                                                                                            |         | No/<br>2020-09-09 (28)                                                           | Adverse Event/<br>#3 POSITIVE FOR<br>COVID 19                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Discontinuation/<br>Specified Reason                                                                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3372139     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-21 (127 | Withdrawal of<br>)Consent by<br>Participant/<br>BLIND BROKEN BY<br>PI DUE TO<br>SUBJECT HAVING<br>ACCESS TO<br>RECEIVE COVID<br>VACCINE THROUGH<br>THEIR PLACE OF<br>EMPLOYMENT |         | Yes/<br>2020-09-16 (31)                                                          |                                                                           |
| US3372179     | 2020-08-19                  | AMENDMENT 2         | - /                      | Other/<br>)SUBJECT RECEIVED<br>ANOTHER VACCINE<br>OUTSIDE OF STUDY                                                                                                              |         | Yes/<br>2020-09-18 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                        | Autopsy          | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | '<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3372208     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-01-04 (137 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>APPROVED VACCINE<br>OUTSIDE OF STUDY                                                   |                  | Yes/<br>2020-09-21 (32)                                                          |                                                                           |
| US3372228     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2021-01-25 (155 | Death<br>)                                                                                                                            | 2021-01-25/<br>N | Yes/<br>2020-09-28 (36)                                                          |                                                                           |
| US3372229     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2021-03-01 (190 | Protocol<br>) Deviation/<br>SUBJECT IS<br>PREGNANT AND DID<br>NOT WANT TO<br>CONTINUE WITH<br>THE STUDY.<br>CONSENT WAS<br>WITHDRAWN. |                  | No/<br>2020-09-20 (28)                                                           | Pregnancy                                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| US3372255     | 2020-08-26                  | AMENDMENT 3         | 3 No/<br>2020-10-12 (48)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NOT<br>COMFORTABLE TO<br>CONTINUE STUDY<br>SO WITHDREW<br>CONSENT |         | Yes/<br>2020-09-24 (30)                                                          |                                                                                                                                       |
| US3372283     | 2020-08-28                  | AMENDMENT 3         | 3 No/<br>2020-10-02 (36)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT UNABLE<br>TO COMPLY WITH<br>STUDY VISITS                          |         | No/<br>2020-09-24 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO NOT<br>BEING ABLE TO<br>COMPLY WITH STUDY<br>VISITS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| US3372353     | 2020-09-07                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                               |         | No/<br>2020-10-05 (28)                                                           | Protocol Deviation/<br>SUBJECT WAS UNABLE<br>TO COMPLETE VISIT 2<br>DUE TO WORK<br>OBLIGATIONS AND<br>TRAVEL. |
| US3372384     | 2020-09-10                  | AMENDMENT :         | 3 No/<br>2021-01-11 (120                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUT OF<br>STUDY                        |         | Yes/<br>2020-10-12 (29)                                                          |                                                                                                               |
| US3372407     | 2020-09-13                  | AMENDMENT           | 3 No/<br>2021-01-08 (95)                                                      | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>MODERNA COVID-19<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-11-02 (28)                                                          |                                                                                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                    |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| US3372461     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2021-02-18 (142                                                      | Withdrawal of<br>Consent by<br>Participant/<br>HE LIVED FAR<br>FROM THE OFFICE<br>AND DID NOT WANT<br>TO DRIVE F<br>THAN<br>(b) (6)<br>TO CO<br>ETE<br>VISITS |         | Yes/<br>2020-10-28 (29)                                                         |                                                                                              |
| US3372469     | 2020-10-06                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                                                                                               |         | No/<br>2020-11-12 (28)                                                          | Physician Decision/<br>SUBJECT HAS A<br>HISTORY OF<br>REACTIONS AFTER<br>RECEIVING VACCINES. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                 |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| US3372477     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2020-11-11 (28) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>SCHEDULE<br>CONCERNS                                                                      |         | No/<br>2020-11-10 (27)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO COMPLY<br>WITH STUDY VISITS |
| US3382055     | 2020-08-18                  | AMENDMENT           | - 1                      | Other/<br>)SUBJECT REPORTS<br>WILL BE<br>RECEIVING<br>MODERNA VACCINE<br>THROUGH THEIR<br>EMPLOYER AND<br>WOULD LIKE TO<br>MOVE FORWARD<br>WITH UNBLINDING.<br>EPIP SUBMITTED. |         | Yes/<br>2020-09-16 (30)                                                          |                                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                           |            | Completed Dosing | /                  |
|-----------|------------|-----------|-----------------|-----------------------------|------------|------------------|--------------------|
|           |            |           | Date of Study   |                             | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   |           | Discontinuation | Reason for Study            | Death/     | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol  | -               | Discontinuation/            |            | or Completion    | Discontinuation/   |
| ID        | Date       | Version   | (Day)           | Specified Reason            | Performed? | (Day)            | Specified Reason   |
| US3382085 | 2020-08-20 | AMENDMENT | 2 No/           | Adverse Event/              |            | No/              | Adverse Event/     |
| 000002000 | 2020 00 20 |           | 2020-09-25 (37) |                             |            | 2020-09-16 (28)  |                    |
| US3382094 | 2020-08-24 | AMENDMENT | 2 No/           | Withdrawal of               |            | Yes/             |                    |
|           |            |           | 2021-03-23 (212 | )Consent by                 |            | 2020-09-22 (30)  |                    |
|           |            |           |                 | Participant/                |            |                  |                    |
|           |            |           |                 | I SPOKE WITH                |            |                  |                    |
|           |            |           |                 | SUBJECT TODAY,              |            |                  |                    |
|           |            |           |                 | 23 MAR 2021                 |            |                  |                    |
|           |            |           |                 | REGARDING HER               |            |                  |                    |
|           |            |           |                 | DECISION TO                 |            |                  |                    |
|           |            |           |                 | DISCONTINUE HER             |            |                  |                    |
|           |            |           |                 | CURRENT BIRTH               |            |                  |                    |
|           |            |           |                 | CONTROL METHOD              |            |                  |                    |
|           |            |           |                 | [5 MAR 2021] SEE            |            |                  |                    |
|           |            |           |                 | PROG NOTE IN<br>CRIO DUE TO |            |                  |                    |
|           |            |           |                 | SPACE                       |            |                  |                    |
|           |            |           |                 | RESTRICTIONS                |            |                  |                    |
|           |            |           |                 | INTO TIVE CT TOIND          |            |                  |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study | r/                                                                                                 |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|-----------------|----------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                 | n Reason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3382110     | 2020-08-26                  | AMENDMENT           | /               | Other/<br>PT HAS ACCESS TO<br>COVID VACCINE<br>OUTSIDE OF<br>PROTOCOL.<br>MANDATORY BY<br>EMPLOYER |         | Yes/<br>2020-09-24 (30)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study,         | /                                                                                                                                                                                                                                                                          |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3382131     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-08 (135 | Protocol<br>Deviation/<br>SUBJECT IS A<br>HEALTHCARE<br>PROVIDER. HE<br>RECEIVED PFIZER<br>VACCINATION FROM<br>THE HOSPITAL HE<br>WORKED AT<br>BECAUSE MODERNA<br>HAD NOT DECIDED<br>TO UNBLIND AT<br>THAT TIME AND<br>COVID-19<br>VACCINATION WAS<br>MANDATORY FOR<br>HIM |         | Yes/<br>2020-09-24 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                   |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                          | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3382133     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-25 (152 | Protocol<br>)Deviation/<br>PT RECEIVED<br>PFIZER COVID<br>VACCINE                                   |         | Yes/<br>2020-09-23 (28)                                     |                                                                      |
| JS3382152     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-11-22 (84) | Lost to Follow-Up                                                                                   | )       | Yes/<br>2020-10-02 (33)                                     |                                                                      |
| US3382180     | 2020-09-02                  | AMENDMENT           | /                        | Other/<br>)PT HAS ACCESS TO<br>COVID VACCINE<br>OUTSIDE OF<br>PROTOCOL.<br>REQUIRED PER<br>EMPLOYER |         | Yes/<br>2020-10-05 (34)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                         |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3382195     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-01-25 (145 | Protocol<br>) Deviation/<br>RECEIVED<br>MANDATORY<br>MODERNA COVID<br>VACCINE AT WORK.<br>MODERNA HAD NOT<br>DECIDED ABOUT<br>UNBLINDING AT<br>THAT TIME. |         | Yes/<br>2020-10-08 (36)                                     |                                                                      |
| US3392033     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2021-03-22 (218 | Other/<br>)RELOCATION:<br>SUBJECT<br>RELOCATED AND<br>COULD NO LONGER<br>MAKE IT TO<br>APPOINTMENTS.                                                      |         | Yes/<br>2020-09-11 (26)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                     | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3392045     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-03-12 (207 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATED<br>THAT HE DID NOT<br>WANT TO BE<br>UNBLINDED AND<br>WITHDREW CONSENT<br>FROM STUDY. |         | Yes/<br>2020-09-14 (28)                                                          |                                                                      |
| US3392068     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-22 (124 | Protocol<br>)Deviation/<br>EUA VACCINE FROM<br>OUTSIDE SOURCE                                                                                       |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| JS3392080     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-03-17 (205 | Protocol<br>)Deviation/<br>SUBJECT IS<br>NON-COMPLIANT<br>WITH STUDY<br>PROCEDURES |         | Yes/<br>2020-09-28 (35)                                                          |                                                                      |
| IS3392104     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-11-10 (68) | Lost to Follow-Up                                                                  | )       | Yes/<br>2020-10-02 (29)                                                          |                                                                      |
| s3392105      | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-11-10 (68) | Lost to Follow-Up                                                                  | )       | Yes/<br>2020-10-02 (29)                                                          |                                                                      |
| JS3392111     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-14 (140 |                                                                                    |         | Yes/<br>2020-09-22 (26)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                          |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3392140     | 2020-09-02                  | AMENDMENT 3         | 3 No/<br>2021-01-20 (139                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF SITE. |         | Yes/<br>2020-10-01 (28)                                                          |                                                                                                                                                                                                                                                               |
| US3392159     | 2020-09-03                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                |         | No/<br>2020-09-30 (28)                                                           | Protocol Deviation/<br>SUBJECT WAS LOST TO<br>FOLLOW-UP VISIT 02<br>MONTH 1 WINDOW.<br>PATIENT RESURFACED<br>- PER CTM AND<br>MEDICAL MONITOR<br>INSTRUCTIONS<br>SUBJECT WILL NOT<br>COMPLETE VISIT 02<br>AND WILL CONTINUE<br>PARTICIPATION IN<br>THE STUDY. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3392195     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2020-12-21 (104 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-10-12 (34)                                                          |                                                                      |
| US3392254     | 2020-09-12                  | AMENDMENT           | 3 No/<br>2021-01-28 (139 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE EUA<br>VACCINE              |         | Yes/<br>2020-10-08 (27)                                                          |                                                                      |
| US3392262     | 2020-09-12                  | AMENDMENT           | ,                        | Other/<br>PATIENT MOVED TO<br>HAWAII AND<br>WITHDREW CONSENT<br>VIA PHONE.         |         | Yes/<br>2020-10-07 (26)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |                     |             | Completed Study          | /                                                                     |         | Completed Dosing,       | /                          |
|-----------|---------------------|-------------|--------------------------|-----------------------------------------------------------------------|---------|-------------------------|----------------------------|
|           | The Common d        |             | Date of Study            |                                                                       | Date of | Date of Dosing          | Primary Reason for         |
| Subject   | Informed<br>Consent | Protocol    |                          | Reason for Study Discontinuation/                                     |         | Discontinuation         | Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                      |         | or Completion<br>(Day)  | Specified Reason           |
| US3392280 | 2020-09-14          | AMENDMENT ( | 3 No/<br>2021-02-04 (144 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE EUA<br>VACCINE |         | Yes/<br>2020-10-15 (32) |                            |
| US3392330 | 2020-09-18          | AMENDMENT ( | 3 No/<br>2020-12-22 (96) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE             |         | Yes/<br>2020-10-16 (29) |                            |
| US3392341 | 2020-09-21          | AMENDMENT ( | 3 No/<br>2021-03-04 (165 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE EUA<br>VACCINE |         | Yes/<br>2020-10-19 (29) |                            |
| US3392352 | 2020-10-01          | AMENDMENT ( | 3 No/<br>2020-10-23 (23) | Lost to Follow-Up                                                     | 0       | No/<br>2020-10-22 (22)  | Lost to Follow-Up          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3402044     | 2020-07-28                  | AMENDMENT (         |                          | Other/<br>)SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY.                                 |         | Yes/<br>2020-08-27 (31)                                                          |                                                                           |
| US3402104     | 2020-07-30                  | AMENDMENT :         | 1 No/<br>2021-01-27 (182 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINATION FOR<br>COVID OUTSIDE OF<br>STUDY |         | Yes/<br>2020-09-01 (34)                                                          |                                                                           |
| US3402105     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-01-27 (182 | Lost to Follow-Up<br>)                                                                      | )       | Yes/<br>2020-08-27 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study        | /                                                                                                                                                                                                                                                   |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                        | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                          | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3402112     | 2020-07-30                  | AMENDMENT 1         | No/<br>2021-02-02 (188 | Protocol<br>) Deviation/<br>SUBJECT MOVED<br>OUT OF STATE<br>DECIDED THEY NO<br>LONGER WANTED TO<br>BE IN THE STUDY.<br>WITHDREW<br>CONSENT. IT IS<br>UNKNOWN WHAT<br>VACCINE THEY<br>GOT, SUBJECT<br>RECEIVED MODERNA<br>VACCINE THROUGH<br>STUDY. |         | Yes/<br>2020-08-27 (29)                                     |                                                                      |
| US3402114     | 2020-07-30                  | AMENDMENT 1         | No/<br>2021-02-20 (206 | Lost to Follow-Up<br>)                                                                                                                                                                                                                              | )       | Yes/<br>2020-09-01 (34)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study                  | /                      | Data              | Completed Dosing,                 |                              |
|-----------|------------|-----------|----------------------------------|------------------------|-------------------|-----------------------------------|------------------------------|
|           | Informed   |           | Date of Study<br>Discontinuation | Reason for Study       | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol  |                                  | Discontinuation/       |                   | or Completion                     | Discontinuation/             |
| ID        | Date       | Version   | (Day)                            | Specified Reason       | Performed?        | (Day)                             | Specified Reason             |
| US3402137 | 2020-08-01 | AMENDMENT | No/                              | Protocol               |                   | Yes/                              |                              |
| 000102107 | 2020 00 01 |           | 2020-12-29 (151                  |                        |                   | 2020-08-31 (31)                   |                              |
|           |            |           | ·                                | SUBJECT REMOVED        |                   |                                   |                              |
|           |            |           |                                  | FROM TRIAL DUE         |                   |                                   |                              |
|           |            |           |                                  | TO RECEIVING A         |                   |                                   |                              |
|           |            |           |                                  | COVID 19 VACCINE       |                   |                                   |                              |
|           |            |           |                                  | OUTSIDE OF THE         |                   |                                   |                              |
|           |            |           |                                  | TRIAL                  |                   |                                   |                              |
| US3402190 | 2020-08-02 | AMENDMENT | L No/<br>2020-11-27 (118         | Lost to Follow-Up<br>) | )                 | No/<br>2020-08-29 (28)            | Lost to Follow-Up            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                       |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3402192     | 2020-08-02                  | AMENDMENT :         | 1 No/<br>2020-09-04 (34) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS IN<br>OFFICE FOR VISIT<br>2 BUT REFUSED TO<br>WAIT FOR VACCINE<br>PREPARATION. AT<br>THAT TIME<br>SUBJECT WITHDREW<br>CONSENT AND LEFT<br>FACILITY. |         | No/<br>2020-08-29 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS NOT<br>WILLING TO WAIT TO<br>RECEIVE VACCINE OR<br>THE 30 MINUTES<br>REQUIRED AFTER PER<br>PROTOCOL. |
| US3402199     | 2020-08-03                  | AMENDMENT 3         | 1 No/<br>2021-02-05 (187 | Lost to Follow-Up<br>)                                                                                                                                                                                         | )       | Yes/<br>2020-08-31 (29)                                                          |                                                                                                                                                                 |
| JS3402214     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-10-11 (69) | Lost to Follow-Up                                                                                                                                                                                              | )       | Yes/<br>2020-09-08 (36)                                                          |                                                                                                                                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study          | /                                                                                            |                   | Completed Dosing/                 |                                                                                              |
|---------------|-----------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|-------------------|-----------------------------------|----------------------------------------------------------------------------------------------|
|               | Informed        |                     | Date of Study            | Reason for Study                                                                             | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                 |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                          |                                                                                              | Autopsy           | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                         |
| US3402246     | 2020-08-05      | amendment 1         | l No/<br>2020-09-02 (29) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WISHED TO<br>PARTICIPATE |                   | No/<br>2020-09-01 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO LONGER<br>WISHED TO<br>PARTICIPATE |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | n Reason for Study                                                                                                                                                                                                 | Date of<br>Death/ | Completed Dosing<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                                                                                                                                               |                   | or Completion<br>(Day)                                | Discontinuation/<br>Specified Reason |
| JS3402261     | 2020-08-06      | AMENDMENT 1         | L No/<br>2021-01-08 (156                            | Protocol<br>Deviation/<br>SUBJECT PHONED<br>SITE TO MAKE<br>AWARE HE WENT<br>OUTSIDE OF THE<br>STUDY TO RECEIVE<br>THE COVID<br>VACCINE DUE TO<br>NEGATIVE<br>ANTIBODY<br>TESTING.<br>SUBJECT HAS BEEN<br>REMOVED. |                   | Yes/<br>2020-09-03 (29)                               |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3402294     | 2020-08-08                  | AMENDMENT           | 2 No/<br>2020-12-31 (146                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID VACCINE<br>OUTSIDE OF STUDY               |         | Yes/<br>2020-09-09 (33)                                                          |                                                                           |
| US3402295     | 2020-08-08                  | AMENDMENT           | 2 No/<br>2020-10-16 (70)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NOLONGER<br>WANTED TO TRAVEL<br>TO SITE |         | Yes/<br>2020-09-11 (35)                                                          |                                                                           |
| US3402329     | 2020-08-11                  | AMENDMENT           | 2 No/<br>2020-12-11 (123                                                      | Other/<br>)DROPPED PER<br>SUBJECT MOVED<br>OUT OF STATE                                        |         | Yes/<br>2020-09-14 (35)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study,          | /                                                                                                                                                                                                                                                                                             |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                      | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3402332     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-12-03 (114) | Withdrawal of<br>Consent by<br>Participant/<br>AFTER COMING TO<br>SITE FOR ILLNESS<br>VISIT 03DEC2020,<br>SUBJECT DID NOT<br>RETURN ANY OF<br>THE CONVALESCENT<br>CALL. WHEN<br>SUBJECT WAS<br>FINALLY REACHED<br>AND INFORMED<br>THAT THEY'RE<br>COVID POSITIVE,<br>THE SUBJECT<br>WITHDREW. |         | Yes/<br>2020-09-15 (35)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                            |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3402405     | 2020-08-21                  | AMENDMENT           |                          | Lost to Follow-U <sub>I</sub> )                                                                              | þ       | Yes/<br>2020-09-15 (26)                                     | -                                                                    |
| US3402421     | 2020-08-22                  | AMENDMENT           | 2 No/<br>2021-01-20 (152 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DID NOT<br>WISH TO WAIT FOR<br>UNBLINDING<br>VISITS. |         | Yes/<br>2020-09-25 (35)                                     |                                                                      |
| US3402425     | 2020-08-22                  | AMENDMENT           | 2 No/<br>2021-01-08 (140 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID<br>VACCINATION<br>OUTSIDE OF STUDY                      |         | Yes/<br>2020-09-25 (35)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|---------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3402430     | 2020-08-22                  | AMENDMENT 2         | 2 ONGOING                           |                                                                                       |         | No/<br>2020-09-18 (28)                                                           | Adverse Event/<br>AE #1                                              |
| US3402441     | 2020-09-01                  | AMENDMENT 3         | <sup>3</sup> No/<br>2020-11-07 (68) | Lost to Follow-Up                                                                     | )       | Yes/<br>2020-09-28 (28)                                                          |                                                                      |
| US3402464     | 2020-10-22                  | AMENDMENT 4         | No/<br>2021-01-28 (99)              | Physician<br>Decision/<br>SUBJECT NOT<br>ALLOWED ON UHS<br>PROPERTY DUE TO<br>(b) (6) |         | Yes/<br>2020-11-23 (33)                                                          |                                                                      |
| US3402470     | 2020-10-22                  | AMENDMENT 4         | No/<br>2020-11-30 (40)              | Lost to Follow-Up                                                                     | )       | No/<br>2020-11-18 (28)                                                           | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3412105     | 2020-08-03                  | AMENDMENT :         | 1 No/<br>2020-12-28 (148                                                      | Protocol<br>)Deviation/<br>UNBLINDED UNDER<br>AMENDMENT 5 AND<br>FOUND TO BE ON<br>PLACEBO |         | Yes/<br>2020-08-31 (29)                                                          |                                                                      |
| US3412183     | 2020-08-10                  | AMENDMENT (         | - /                                                                           | Other/<br>)SUBJECT RECEIVED<br>VACCINE THROUGH<br>THEIR EMPLOYER                           |         | Yes/<br>2020-09-08 (30)                                                          |                                                                      |
| US3412192     | 2020-08-10                  | AMENDMENT (         | 1 No/<br>2021-01-06 (150                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE THROUGH<br>THEIR EMPLOYER           |         | Yes/<br>2020-09-08 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |                     |             | Completed Study          | /                                                                                                                                                                                                        |            | Completed Dosing                                   | /                                                |
|-----------|---------------------|-------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    |                          | Reason for Study<br>Discontinuation/                                                                                                                                                                     |            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                                                         | Performed? | (Day)                                              | Specified Reason                                 |
| US3412234 | 2020-08-13          | AMENDMENT 2 | 2 No/<br>2021-03-04 (204 | Other/<br>)SUBJECT STATED<br>THAT HE WAS<br>MOVING AWAY FROM<br>CINCINNATI AND<br>IS WITHDRAWING<br>CONSENT FROM THE<br>STUDY. SUBJECT<br>STATED THAT HE<br>DID NOT WANT TO<br>TRANSFER SITES<br>EITHER. |            | Yes/<br>2020-09-10 (29)                            |                                                  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               | Informed        |                     |                          | n Reason for Study                                                                                                                                                                                                                          | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                                                                                                                        | <br>or Completion<br>(Day)                             | Discontinuation/<br>Specified Reason |
| JS3412245     | 2020-08-14      | AMENDMENT           | 2 No/<br>2021-01-22 (162 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WANTED TO<br>PARTAKE IN STUDY<br>AND DID NOT WANT<br>TO BE UNBLINDED<br>DUE TO<br>CONSISTENT BLOOD<br>DRAWS. SUBJECT<br>STATED HE WOULD<br>LIKE TO WITHDRAW<br>CONSENT | Yes/<br>2020-09-11 (29)                                |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| US3412247     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (137                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF THE STUDY        |         | Yes/<br>2020-09-11 (29)                                                         |                                                                                          |
| US3412278     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2021-02-02 (168                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>A VACCINE<br>THROUGH THEIR<br>EMPLOYER |         | No/<br>2020-09-15 (28)                                                          | Other/<br>DURING MONITOR<br>VISIT OUR CRA<br>IDENTIFIED SUBJECT<br>AS (b) (6)<br>(b) (6) |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                               |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3412284     | 2020-08-20                  | AMENDMENT .         | 2 No/<br>2020-12-30 (133 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>STUDY AND PER<br>PROTOCOL IS<br>WITHDRAWN FROM<br>THE STUDY |         | Yes/<br>2020-09-17 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | /                                                                                          |         | Completed Dosing                                            | /                                                                                                  |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                               |
| US3412314     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-02-04 (164                                   | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE VACCINE<br>OUTSIDE OF THE<br>STUDY SITE |         | No/<br>2020-09-21 (28)                                      | Protocol Deviation/<br>SUBJECT WAS<br>REQUESTED BY<br>SPONSOR TO BE<br>WITHDRAWN DUE TO<br>(b) (6) |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3412328     | 2020-08-26                  | AMENDMENT 3         | ONGOING                                                                       |                    |         | No/<br>2020-09-22 (28)                                                           | Other/<br>SUBJECT HAD CHEST<br>PAIN BETWEEN VISIT<br>1 AND VISIT 2.<br>SUBJECT WAS<br>MEDICALLY CLEARED<br>OF SYMPTOMS BY<br>VISIT 2, BUT HAS<br>DECIDED THAT THEY<br>DID NOT WANT THE<br>SECOND DOSAGE.<br>SUBJECT WILL<br>CONTINUE IN STUDY. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                          |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3412332     | 2020-08-27                  | amendment 2         | ONGOING                                                                       |                                                                                |         | No/<br>2020-09-23 (28)                                                          | Protocol Deviation/<br>SUBJECT WAS OUT OF<br>WINDOW FOR SUBJECTS<br>SECOND INJECTION<br>AND WHEN SITE<br>SUBMITTED E-PIP<br>THEY STATED TO<br>CONTINUE ON WITH<br>VISIT 3 AND SKIP<br>INJECTION #2 |
| US3412365     | 2020-09-03                  | amendment 3         | No/<br>2020-12-28 (117                                                        | Protocol<br>)Deviation/<br>SUBJECT ELIGIBLE<br>FOR EUA VACCINE<br>THROUGH WORK |         | Yes/<br>2020-10-02 (30)                                                         |                                                                                                                                                                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                    |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| US3412369     | 2020-09-03                  | AMENDMENT :         | 3 No/<br>2021-01-05 (125                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE THROUGH<br>THEIR WORK                   |         | Yes/<br>2020-10-01 (29)                                                         |                                                                                                                              |
| US3412372     | 2020-09-15                  | AMENDMENT :         | 3 No/<br>2020-10-27 (43)                                                      | Other/<br>SUBJECT MOVED<br>OUT OF STATE AND<br>NO LONGER WANTED<br>TO PARTAKE IN<br>THE STUDY. |         | No/<br>2020-10-12 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT MOVED OUT<br>OF STATE AND WOULD<br>BE UNABLE TO ATTEND<br>ANY VISITS. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3422003     | 2020-08-12                  | AMENDMENT           | - /                      | Other/<br>)UNBLINDING FOR<br>EUA FOR PFIZER<br>VACCINE FOR<br>HEALTHCARE<br>WORKERS |         | Yes/<br>2020-09-10 (30)                                                          |                                                                      |
| US3422005     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-12-18 (129 | Other/<br>)UNBLINDED FOR<br>EUA VACCINE FOR<br>HEALTH CARE<br>WORKER                |         | Yes/<br>2020-09-11 (31)                                                          |                                                                      |
| US3422011     | 2020-08-13                  | AMENDMENT           | - 1                      | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKER                   |         | Yes/<br>2020-09-10 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3422016     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-12-16 (125                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE           |         | Yes/<br>2020-09-11 (29)                                                          |                                                                           |
| US3422017     | 2020-08-14                  | AMENDMENT 2         | - 1                                                                           | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKERS |         | Yes/<br>2020-09-14 (32)                                                          |                                                                           |
| US3422028     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (123                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE           |         | Yes/<br>2020-09-14 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3422039     | 2020-08-18                  | AMENDMENT           |                          | Other/<br>)EUA FOR PFIZER<br>VACCINATION FOR<br>HEALTH CARE<br>WORKERS |         | Yes/<br>2020-09-23 (37)                                                          |                                                                      |
| US3422040     | 2020-08-18                  | AMENDMENT           |                          | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKERS.    |         | Yes/<br>2020-09-16 (30)                                                          |                                                                      |
| US3422043     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-12-18 (123 | Other/<br>)UNBLINDED FOR<br>EUA VACCINE FOR<br>HEALTH CARE<br>WORKERS  |         | Yes/<br>2020-09-21 (35)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |     | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----|--------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3422049     | 2020-08-19                  | AMENDMENT :         | - 1 | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKERS |         | Yes/<br>2020-09-17 (30)                                                          |                                                                           |
| US3422071     | 2020-08-20                  | AMENDMENT :         | - / | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKER. |         | Yes/<br>2020-09-17 (29)                                                          |                                                                           |
| US3422108     | 2020-08-25                  | AMENDMENT           | /   | Other/<br>)EUA FOR PFIZER<br>FOR HEALTH CARE<br>WORKERS            |         | Yes/<br>2020-09-21 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |   | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---|---------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3422118     | 2020-08-26                  | AMENDMENT           | / | Other/<br>)EUA FOR PFIZER<br>VACCINATION FOR<br>HEALTH CARE<br>WORKERS                      |         | Yes/<br>2020-09-23 (29)                                                          |                                                                           |
| US3422133     | 2020-08-27                  | AMENDMENT           | / | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKERS                          |         | Yes/<br>2020-09-24 (29)                                                          |                                                                           |
| US3422160     | 2020-08-31                  | AMENDMENT           | / | Other/<br>)UNBLINDED DUE TO<br>EUA FOR HEALTH<br>CARE WORKER FOR<br>PFIZER M RNA<br>VACCINE |         | Yes/<br>2020-10-02 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3422162     | 2020-09-01                  | AMENDMENT           | /                        | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKER  |         | Yes/<br>2020-09-29 (29)                                                          |                                                                           |
| US3422175     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-16 (107 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE           |         | Yes/<br>2020-09-30 (30)                                                          |                                                                           |
| US3422180     | 2020-09-02                  | AMENDMENT           | /                        | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKERS |         | Yes/<br>2020-10-01 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3422201     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-01-08 (128                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>WITHDREW TO<br>RECEIVE PHIZER<br>VACCINE. |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |
| US3422206     | 2020-09-03                  | AMENDMENT           | /                                                                             | Other/<br>E)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKERS                                     |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3422272     | 2020-09-14                  | AMENDMENT           |                                                                               | Other/<br>UNBLINDED DUE TO<br>EUA FOR HEALTH<br>CARE WORKER FOR<br>PFIZER M RNA<br>VACCINE |         | Yes/<br>2020-10-12 (29)                                                          |                                                                           |
| US3422275     | 2020-09-14                  | AMENDMENT           |                                                                               | Other/<br>)EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKER                          |         | Yes/<br>2020-10-12 (29)                                                          |                                                                           |
| US3422277     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-16 (94)                                                      | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID 19                                            |         | Yes/<br>2020-10-19 (36)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3422301     | 2020-09-22                  | AMENDMENT 3         | - /                                                                           | Other/<br>EUA FOR PFIZER<br>VACCINE FOR<br>HEALTH CARE<br>WORKER    |         | Yes/<br>2020-10-21 (30)                                                          |                                                                           |
| US3432020     | 2020-08-05                  | AMENDMENT 2         | 2 No/<br>2021-01-02 (149                                                      | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY |         | Yes/<br>2020-09-02 (27)                                                          |                                                                           |
| US3432023     | 2020-08-05                  | AMENDMENT 1         | ONGOING                                                                       |                                                                     |         | No/<br>2020-09-01 (28)                                                           | Serious Adverse<br>Event/<br>SAE #1                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3432038     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-01-02 (150 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY             |         | Yes/<br>2020-09-03 (29)                                                          |                                                                      |
| US3432058     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-15 (131 | Protocol<br>)Deviation/<br>UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION. |         | Yes/<br>2020-09-03 (28)                                                          |                                                                      |
| US3432082     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2020-12-29 (142 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY             |         | Yes/<br>2020-09-08 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3432137     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-12-29 (139 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY                                   |         | Yes/<br>2020-09-10 (29)                                                         |                                                                           |
| US3432149     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-01-28 (168 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF STUDY PRIOR<br>TO EUA AMENDMENT<br>6 |         | Yes/<br>2020-09-11 (29)                                                         |                                                                           |
| US3432169     | 2020-08-17                  | AMENDMENT           | - 1                      | Other/<br>)UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION.                                       |         | Yes/<br>2020-09-14 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3432171     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-29 (135 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY |         | Yes/<br>2020-09-15 (30)                                                          |                                                                           |
| US3432211     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-01-07 (141 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY |         | Yes/<br>2020-09-16 (28)                                                          |                                                                           |
| US3432252     | 2020-08-24                  | AMENDMENT 2         | - /                      | Other/<br>)UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION.     |         | Yes/<br>2020-09-22 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | ./                                                                              | _                  | Completed Dosing/                 |                                      |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------|--------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     |                          | n Reason for Study                                                              |                    | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                            |                    | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3432256     | 2020-08-24      | AMENDMENT 2         | 2 No/<br>2020-12-18 (117 | Protocol<br>)Deviation/<br>UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION. |                    | Yes/<br>2020-09-22 (30)           |                                      |
| US3432285     | 2020-08-25      | AMENDMENT (         | ,                        | Other/<br>)UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION.                 |                    | Yes/<br>2020-09-23 (30)           |                                      |
| JS3432289     | 2020-08-25      | AMENDMENT (         | /                        | Other/<br>)UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION.                 |                    | Yes/<br>2020-09-22 (29)           |                                      |
| JS3432295     | 2020-08-26      | AMENDMENT (         | 3 No/<br>2020-10-02 (38) | Death                                                                           | 2020-10-02/<br>UNK | No/<br>2020-10-02 (38)            | Death                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |            |                     | Completed Study | /                                                               |            | Completed Dosing        | /                                                            |
|---------------|------------|---------------------|-----------------|-----------------------------------------------------------------|------------|-------------------------|--------------------------------------------------------------|
|               |            |                     | Date of Study   |                                                                 | Date of    | Date of Dosing          | Primary Reason for                                           |
|               | Informed   | _                   |                 | n Reason for Study                                              |            | Discontinuation         | Dosing                                                       |
| Subject<br>ID | Consent    | Protocol<br>Version | or Completion   | Discontinuation/<br>Specified Reason                            | Autopsy    | or Completion           | Discontinuation/<br>Specified Reason                         |
| 10            | Date       | Version             | (Day)           | Specified Reason                                                | Periormed: | (Day)                   | Specified Reason                                             |
| US3432309     | 2020-08-26 | AMENDMENT 3         |                 | Other/<br>)UNBLINDED PRIOR                                      |            | Yes/<br>2020-09-26 (32) |                                                              |
|               |            |                     |                 | TO EUA AMENDMENT<br>6 ACTIVATION.                               |            |                         |                                                              |
| US3432453     | 2020-09-05 | AMENDMENT (         |                 | Other/<br>)UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION. |            | Yes/<br>2020-10-05 (31) |                                                              |
| US3432473     | 2020-09-09 | AMENDMENT 3         | 3 ONGOING       |                                                                 |            | No/<br>2020-10-06 (28)  | Other/<br>UNABLE TO BRING<br>PARTICIPANT IN<br>DURING WINDOW |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  | or Completion            | Reason for Study<br>Discontinuation/                                                                                                                                   | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                 |
|-----------|---------------------|-----------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                                       | Performed? | (Day)                                                                  | Specified Reason                                                                                                                                                 |
| US3432493 | 2020-09-12          | AMENDMENT | 3 No/<br>2020-10-17 (36) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>NOTED PERSONAL<br>REASONS,<br>STRESSORS, AND<br>BUSY SCHEDULE AS<br>REASONS FOR<br>LEAVING THE<br>STUDY. |            | No/<br>2020-10-09 (28)                                                 | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT NOTED<br>PERSONAL REASONS,<br>STRESSORS, AND BUSY<br>SCHEDULE AS REASONS<br>FOR LEAVING THE<br>STUDY. |
| US3432505 | 2020-09-16          | AMENDMENT | ,                        | Other/<br>UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION.                                                                                                         |            | Yes/<br>2020-10-14 (29)                                                |                                                                                                                                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3432521     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2021-01-05 (111 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY |         | Yes/<br>2020-10-16 (30)                                                          |                                                                      |
| US3432530     | 2020-09-19                  | AMENDMENT           | 3 No/<br>2020-12-18 (91) | Other/<br>UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION.      |         | Yes/<br>2020-10-24 (36)                                                          |                                                                      |
| US3432537     | 2020-09-21                  | AMENDMENT           | ,                        | Other/<br>UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION.      |         | Yes/<br>2020-10-23 (33)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                            | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3432562     | 2020-09-25                  | AMENDMENT           | 3 No/<br>2021-01-07 (105                                                      | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY           |         | Yes/<br>2020-10-24 (30)                                                          |                                                                           |
| US3432585     | 2020-10-02                  | AMENDMENT           | 3 No/<br>2020-12-19 (79)                                                      | Other/<br>PARTICIPANT<br>UNBLINDED PRIOR<br>TO EUA AMENDMENT<br>6 ACTIVATION. |         | Yes/<br>2020-10-30 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3432592     | 2020-10-07                  | AMENDMENT           | 4 No/<br>2020-12-29 (84) | Protocol<br>Deviation/<br>PER EPIP,<br>SUBJECT<br>TERMINATED DUE<br>TO RECEIPT OF<br>ANOTHER COVID<br>VACCINE PRIOR TO<br>EUA PROTOCOL<br>AMENDMENT 6<br>ACTIVATION. |         | Yes/<br>2020-11-02 (27)                                                          |                                                                           |
| US3432613     | 2020-10-16                  | AMENDMENT           | - /                      | Other/<br>RECEIVED OUTSIDE<br>EUA VACCINE<br>PRIOR TO EUA<br>AMENDMENT 6                                                                                             |         | Yes/<br>2020-11-19 (35)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | -/                                                                                                                       | Date of           | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Death/<br>Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3432637     | 2020-10-22                  | AMENDMENT           |                          | Protocol<br>Deviation/<br>RECEIVED EUA<br>VACCINATION<br>OUTSIDE OF STUDY                                                |                   | Yes/<br>2020-11-19 (29)                                     |                                                                      |
| US3432645     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2021-03-08 (138 | Protocol<br>Protocol<br>RECEIVED OUTSIDE<br>EUA COVID<br>VACCINE.<br>UNBLINDED<br>YESTERDAY.<br>TERMINATED<br>YESTERDAY. |                   | Yes/<br>2020-11-24 (34)                                     |                                                                      |
| US3442011     | 2020-08-13                  | AMENDMENT :         | 2 ONGOING                |                                                                                                                          |                   | No/<br>2020-09-09 (28)                                      | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3442017     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-01-04 (144                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID VACCINE<br>PRIOR TO<br>UNBLINDING |         | Yes/<br>2020-09-10 (28)                                                          |                                                                           |
| US3442037     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-31 (134                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE                                          |         | Yes/<br>2020-09-15 (27)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3442049     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2021-01-04 (138                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>28DEC2020 THOUGH<br>EMPLOYER PRIOR<br>TO UNBLINDING |         | Yes/<br>2020-09-17 (29)                                                          |                                                                           |
| US3442050     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-17 (120                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID VACCINE                                                                 |         | Yes/<br>2020-09-17 (29)                                                          |                                                                           |
| US3442056     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-30 (132                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID VACCINE                                                                |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3442063     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-01-13 (146                                                      | Protocol<br>Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE<br>PRIOR TO<br>UNBLINDING |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |
| US3442065     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-28 (130                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE                                          |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  |                          | /<br>Reason for Study<br>Discontinuation/                                                                                                             |                    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | '<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                      |                    | (Day)                                                                   | Specified Reason                                      |
| US3442069 | 2020-08-21          | AMENDMENT | 2 No/<br>2021-03-11 (203 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT, STATES<br>TIME OBLIGATION<br>IS TOO MUCH DUE<br>TO CURRENT<br>EMPLOYMENT |                    | Yes/<br>2020-09-22 (33)                                                 |                                                       |
| US3442071 | 2020-08-21          | AMENDMENT | 2 No/<br>2020-12-31 (133 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID VACCINE                                                                                                 |                    | Yes/<br>2020-09-18 (29)                                                 |                                                       |
| US3442074 | 2020-08-25          | AMENDMENT | 3 No/<br>2021-04-06 (225 | Death<br>)                                                                                                                                            | 2021-04-06/<br>UNK | ′Yes/<br>2020-09-22 (29)                                                |                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study,                 | /                                                                                                                                                                                 |                   | Completed Dosing,                 | /                                    |
|---------------|-----------------|---------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                                                                                                  | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                                                                              |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3442083     | 2020-08-25      | AMENDMENT           | 3 No/<br>2020-12-31 (129)        | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE THEN<br>REQUESTED TO<br>BECOME<br>UNBLINDED.                                                                            |                   | Yes/<br>2020-09-22 (29)           |                                      |
| US3442092     | 2020-08-26      | AMENDMENT           | 3 No/<br>2021-01-28 (156)        | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT. NOT<br>WILLING TO<br>RETURN TO SITE<br>FOR FINAL SAFETY<br>VISIT / SUBJECT<br>UNBLINDED FOR<br>SAFETY |                   | Yes/<br>2020-09-23 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3442102     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-11-21 (87) | Lost to Follow-Up                                                                                                                                                | )       | No/<br>2020-09-23 (28)                                                           | Lost to Follow-Up                                                         |
| US3442104     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-20 (146 | Protocol<br>) Deviation/<br>THE SUBJECT<br>WITHDREW CONSENT<br>DUE TO EUA<br>APPROVAL.<br>SUBJECT RECEIVED<br>VACCINE THROUGH<br>EMPLOYER PRIOR<br>TO UNBLINDING |         | Yes/<br>2020-09-22 (26)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3442107     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-03-04 (189                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WISHES TO<br>BE A PART OF THE<br>STUDY |         | Yes/<br>2020-09-25 (29)                                                          |                                                                           |
| US3442109     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-17 (108                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE                                                     |         | Yes/<br>2020-09-28 (28)                                                          |                                                                           |
| US3442116     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-18 (109                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE                                                     |         | Yes/<br>2020-09-29 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3442137     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-11-21 (69) | Lost to Follow-Up                                                                                                                                                                                                   | þ       | No/<br>2020-10-11 (28)                                                           | Lost to Follow-Up                                                         |
| US3442167     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-11-13 (60) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT IS<br>UNABLE TO COMMIT<br>TO STUDY<br>REQUIREMENTS.<br>SUBJECT WITHDREW<br>CONSENT AFTER<br>MULTIPLE<br>ATTEMPTS TO<br>ACCOMMODATE<br>SUBJECT'S<br>SCHEDULE. |         | Yes/<br>2020-10-12 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason        |
|---------------|-----------------------------|---------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| US3442176     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-10-13 (28)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT AND DOES<br>NOT WISH TO<br>CONTINUE IN THE<br>STUDY. |         | No/<br>2020-10-12 (27)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PT DECIDED TO<br>WITHDRAW CONSENT |
| US3442190     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2020-12-28 (102  | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE                                                                         |         | Yes/<br>2020-10-19 (32)                                                          |                                                                                  |
| US3442195     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2020-12-28 (102) | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>VACCINE                                                                               |         | Yes/<br>2020-10-19 (32)                                                          |                                                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3442205     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2020-12-28 (90) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE |         | Yes/<br>2020-10-29 (30)                                                          |                                                                           |
| US3442212     | 2020-10-05                  | AMENDMENT           | 3 No/<br>2020-12-17 (74) | Other/<br>REQUESTED TO<br>UNBLIND/EUA<br>COVID VACCINE |         | Yes/<br>2020-11-02 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

Primary Reason for

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autonsy or Completion Protocol

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason                                                                                                                                                                                   | <br>-                  | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                                   |
|---------------|-----------------|---------------------|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3442234     | 2020-10-14      | AMENDMENT 4         | No/<br>2020-12-01 (49) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CAME TO<br>THIS VISIT BUT<br>LEFT PRIOR TO<br>GETTING VACCINE,<br>SITE HAS BEEN<br>TRYING TO GET<br>AHOLD OF THE<br>SUBJECT. SUBJECT<br>HAS WITHDREW<br>CONSENT | No/<br>2020-11-10 (28) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CAME TO<br>VISIT 2 BUT LEFT<br>PRIOR TO GETTING<br>VACCINE, SITE HAS<br>BEEN TRYING TO GET<br>AHOLD OF THE<br>SUBJECT. SUBJECT<br>HAS WITHDREW<br>CONSENT OVER PHONE<br>SAID I DO NOT WANT<br>TO DO THIS ANYMORE<br>AND HUNG UP |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|             |                             |                     | Completed Study          | /                                                                                         |         | Completed Dosing                                            | /                                                                    |
|-------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| ubject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3442240   | 2020-10-15                  | AMENDMENT           | 4 No/<br>2020-12-29 (76) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE                                    |         | Yes/<br>2020-11-10 (27)                                     |                                                                      |
| JS3442244   | 2020-10-20                  | AMENDMENT           | 4 No/<br>2020-12-28 (70) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID VACCINE                                    |         | Yes/<br>2020-11-17 (29)                                     |                                                                      |
| US3452001   | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-02-23 (209 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>2ND DOSE OF<br>VACCINE AT WORK<br>NOT SITE |         | Yes/<br>2020-08-27 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |                     |           | Completed Study/         |                                                                                       |            | Completed Dosing/                                  |                                                  |
|-----------|---------------------|-----------|--------------------------|---------------------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  | or Completion            | n Reason for Study<br>Discontinuation/                                                | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                      | Performed? | (Day)                                              | Specified Reason                                 |
| US3452026 | 2020-07-30          | AMENDMENT | 1 No/<br>2021-02-10 (196 | Withdrawal of<br>5)Consent by<br>Participant/<br>PERSONAL<br>DECISION TO<br>WITHDRAW. |            | Yes/<br>2020-08-24 (26)                            |                                                  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study/ |                  |            | Completed Dosing/ |                    |
|-----------|------------|-----------|------------------|------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study    |                  | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           |                  | Reason for Study |            | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | -                | Discontinuation/ |            | or Completion     | Discontinuation/   |
| ID        | Date       | Version   | (Day)            | Specified Reason | Performed? | (Day)             | Specified Reason   |
| US3452030 | 2020-07-30 | AMENDMENT | 1 No/            | Other/           |            | Yes/              |                    |
| 000102000 | 2020 07 30 |           | 2020-12-24 (148  |                  |            | 2020-09-01 (34)   |                    |
|           |            |           | 2020 12 21 (110  | THE CDC CRITERIA |            | 2020 09 01 (01)   |                    |
|           |            |           |                  | TO RECEIVE THE   |            |                   |                    |
|           |            |           |                  | PFIZER VACCINE   |            |                   |                    |
|           |            |           |                  | UNDER EUA AND    |            |                   |                    |
|           |            |           |                  | CHOSE TO BE      |            |                   |                    |
|           |            |           |                  | UNBLINDED PRIOR  |            |                   |                    |
|           |            |           |                  | TO AM6. SUBJECT  |            |                   |                    |
|           |            |           |                  | RECEIVED PLACEBO |            |                   |                    |
|           |            |           |                  | AND WAS          |            |                   |                    |
|           |            |           |                  | WITHDRAWN FROM   |            |                   |                    |
|           |            |           |                  | STUDY.           |            |                   |                    |
| JS3452072 | 2020-07-31 | AMENDMENT | 1 No/            | Withdrawal of    |            | Yes/              |                    |
|           |            |           | 2020-12-17 (140  | )Consent by      |            | 2020-08-25 (26)   |                    |
|           |            |           |                  | Participant/     |            |                   |                    |
|           |            |           |                  | DUE TO WROK.     |            |                   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452083     | 2020-08-01                  | AMENDMENT 1         | . No/<br>2021-01-07 (160 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT'S WORK<br>SCHEDULE DIDN'T<br>ALLOW THEM TO<br>MAKE VISITS<br>ANYMORE |         | Yes/<br>2020-08-29 (29)                                                         |                                                                           |
| US3452084     | 2020-08-01                  | AMENDMENT 1         | . No/<br>2020-10-06 (67) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT SAID SHE<br>CAN NO LONGER<br>MAKE STUDY<br>VISITS                     |         | Yes/<br>2020-09-08 (39)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3452090     | 2020-08-01                  | AMENDMENT           | 1 No/<br>2021-01-04 (157) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CHOSE TO<br>BE UNBLINDED AND<br>WILL BE<br>RECEIVING<br>VACCINATION AT<br>PCP |         | Yes/<br>2020-08-26 (26)                                                          |                                                                      |
| JS3452095     | 2020-08-01                  | AMENDMENT           | 1 No/<br>2020-10-29 (90)  | Lost to Follow-Up                                                                                                                    | )       | Yes/<br>2020-08-27 (27)                                                          |                                                                      |
| JS3452096     | 2020-08-01                  | AMENDMENT           | 1 No/<br>2021-01-27 (180) | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER<br>WILLING TO MAKE<br>VISITS                                                |         | Yes/<br>2020-08-31 (31)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |                     |           | Completed Study          | /                                                                                                |            | Completed Dosing,                                  |                                                  |
|-----------|---------------------|-----------|--------------------------|--------------------------------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  |                          | n Reason for Study<br>Discontinuation/                                                           |            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                 | Performed? | (Day)                                              | Specified Reason                                 |
| US3452114 | 2020-08-01          | AMENDMENT | 1 No/<br>2021-03-02 (214 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT ASKED TO<br>BE WITHDRAWN                 |            | Yes/<br>2020-08-28 (28)                            |                                                  |
| US3452135 | 2020-08-03          | AMENDMENT | 1 No/<br>2021-01-04 (155 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DID NOT<br>WISH TO CONTINUE<br>IN STUDY. |            | Yes/<br>2020-09-02 (31)                            |                                                  |
| US3452138 | 2020-08-03          | AMENDMENT | 1 No/<br>2020-12-23 (143 | Other/<br>)SUBJECT<br>REQUESTED TO BE<br>UNBLINDED.                                              |            | Yes/<br>2020-08-31 (29)                            |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3452165     | 2020-08-03                  | AMENDMENT :         | L No/<br>2020-10-05 (64) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT IS NO<br>LONGER WILLING<br>TO PARTICIPATE<br>DUE TO PERSONAL<br>REASONS |         | Yes/<br>2020-09-01 (30)                                                          |                                                                      |
| US3452166     | 2020-08-04                  | AMENDMENT :         | L No/<br>2021-02-01 (182 | Protocol<br>)Deviation/<br>SUBJECT CHOSE TO<br>GET PFIZER<br>VACCINES IN<br>JANUARY 2021                                       |         | Yes/<br>2020-09-04 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452182     | 2020-08-04                  | AMENDMENT :         | l No/<br>2021-01-04 (154                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>RECEIVING<br>VACCINE THROUGH<br>EMPLOYER                                                                                    |         | Yes/<br>2020-09-01 (29)                                                          |                                                                           |
| US3452186     | 2020-08-04                  | AMENDMENT :         | 1 No/<br>2020-12-30 (149                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WORKS IN<br>HEALTHCARE AND<br>CHOSE TO BE<br>UNBLINDED AS<br>THEY ARE ABLE TO<br>RECEIVE AN EUA<br>VACCINE AT WORK. |         | Yes/<br>2020-09-03 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3452194     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-29 (148 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT HAD TO<br>TAKE CARE OF<br>GRANDPARENTS. |         | Yes/<br>2020-09-03 (31)                                                          |                                                                      |
| US3452206     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-29 (148 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT HAD TO<br>TAKE CARE OF<br>PARENTS.      |         | Yes/<br>2020-09-03 (31)                                                          |                                                                      |
| US3452221     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-01-06 (155 | Withdrawal of<br>)Consent by<br>Participant/<br>W/D DUE TO OTHER<br>VACCINE                     |         | Yes/<br>2020-09-01 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452223     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-02-24 (204 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT CHOSE TO<br>WITHDRAW FROM<br>STUDY |         | Yes/<br>2020-08-31 (27)                                                          |                                                                           |
| US3452227     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-01-29 (178 | Protocol<br>)Deviation/<br>PT WILL BE GIVEN<br>VACCINATION AT<br>WORK                      |         | Yes/<br>2020-09-03 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452232     | 2020-08-05                  | AMENDMENT :         |                          | Withdrawal of                                                                                                       |         | Yes/<br>2020-09-08 (35)                                                          |                                                                           |
| US3452235     | 2020-08-05                  | AMENDMENT (         | 1 No/<br>2020-10-21 (78) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT COULD<br>NOT PARTICIPATE<br>DUE TO PERSONAL<br>CIRCUMSTANCES |         | Yes/<br>2020-09-04 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason              |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|
| US3452236     | 2020-08-05                  | AMENDMENT :         | l No/<br>2021-01-14 (163                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>WITHDREW ICF DUE<br>TO WORK SCHEDULE                 |         | Yes/<br>2020-08-31 (27)                                                         |                                                                                        |
| US3452255     | 2020-08-05                  | AMENDMENT :         | 1 No/<br>2020-08-26 (22)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DID NOT<br>PROVIDE REASON<br>FOR WITHDRAWING. |         | No/<br>2020-08-25 (21)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WANT TO PARTICIPATE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452276     | 2020-08-06                  | AMENDMENT :         | 1 No/<br>2020-12-28 (145 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT AND<br>CHOSE TO RECEIVE<br>VACCINE FROM<br>EMPLOYER. |         | Yes/<br>2020-09-03 (29)                                                          |                                                                           |
| US3452277     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-12-30 (147 | Withdrawal of<br>)Consent by<br>Participant/<br>W/D FOR OTHER<br>VACCINE<br>AVAILABILITY                                         |         | Yes/<br>2020-09-03 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452279     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-01-04 (152 | Withdrawal of<br>)Consent by<br>Participant/<br>VACCINE<br>AVAILABLE                                                                    |         | Yes/<br>2020-09-03 (29)                                                          |                                                                           |
| US3452305     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-01-04 (151 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT CHOSE TO<br>BE UNBLINDED AND<br>WILL BE<br>RECEIVING<br>VACCINATION AT<br>WORK. |         | Yes/<br>2020-09-08 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452340     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-22 (120 | Withdrawal of<br>)Consent by<br>Participant/<br>DUE TO WORK<br>SCHEDULE                                               |         | Yes/<br>2020-09-23 (30)                                                          |                                                                           |
| US3452371     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2021-01-13 (119 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>PERSONAL CHANGE<br>IN SCHEDULE. |         | Yes/<br>2020-10-15 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

#### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                     |                   | Completed Dosing                  | /                                                                                              |
|---------------|-----------------|---------------------|----------------------------------|---------------------------------------------------------------------------------------|-------------------|-----------------------------------|------------------------------------------------------------------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuatior | Reason for Study                                                                      | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                   |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                  | 1 1               | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                           |
| US3452373     | 2020-09-21      | AMENDMENT           | 3 No/<br>2021-01-11 (112         | Withdrawal of<br>)Consent by<br>Participant/<br>PT NO LONGER<br>WISHED TO<br>CONTINUE |                   | Yes/<br>2020-10-20 (29)           |                                                                                                |
| US3452382     | 2020-09-22      | AMENDMENT           | 3 No/<br>2020-10-20 (29)         | Withdrawal of<br>Consent by<br>Participant                                            |                   | No/<br>2020-10-19 (28)            | Withdrawal of Consent<br>by Participant                                                        |
| US3452389     | 2020-09-22      | AMENDMENT           | 3 No/<br>2021-02-02 (134         | Withdrawal of<br>)Consent by<br>Participant                                           |                   | Yes/<br>2020-10-20 (29)           |                                                                                                |
| US3452400     | 2020-09-24      | AMENDMENT :         | 3 No/<br>2020-11-03 (41)         | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO WORK<br>SCHEDULE                |                   | No/<br>2020-10-21 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT<br>DUE TO HIS WORK<br>SCHEDULE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|----------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3462007     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2020-10-26 (85)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WANT TO BE<br>CONTACTED BY<br>SITE ANYMORE. |         | Yes/<br>2020-09-04 (33)                                                          |                                                                      |
| US3462018     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-17 (136) | Withdrawal of<br>)Consent by<br>Participant/<br>REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19 VACCINE           |         | Yes/<br>2020-09-08 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462043     | 2020-08-05                  | AMENDMENT :         | 1 No/<br>2020-12-17 (135 | Withdrawal of<br>)Consent by<br>Participant/<br>WITHDREW CONSENT<br>DUE TO<br>ELIGIBILITY FOR<br>EUA VACCINE.<br>PATIENT WITHDREW<br>DUE TO SPONSOR<br>ADVICE |         | Yes/<br>2020-09-09 (36)                                                         |                                                                           |
| US3462060     | 2020-08-06                  | AMENDMENT 2         | 2 No/<br>2021-03-02 (209 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE MODERNA<br>VACCINE THRU HIS<br>EMPLOYER AND<br>SUBJECT WITHDREW<br>CONSENT.                                |         | Yes/<br>2020-09-10 (36)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462061     | 2020-08-06                  | AMENDMENT :         | 1 No/<br>2020-12-17 (134 | Withdrawal of<br>)Consent by<br>Participant/<br>PT WAS UNBLINDED<br>DUE TO HAVING<br>ACCESS TO<br>COVID-19 VACCINE  |         | Yes/<br>2020-09-03 (29)                                                         |                                                                           |
| US3462069     | 2020-08-06                  | AMENDMENT :         | 1 No/<br>2020-12-17 (134 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>ELIGIBILITY TO<br>RECEIVE EUA |         | Yes/<br>2020-09-01 (27)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatio<br>or Completion<br>(Day) | n Reason for Study                                                                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462073     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-17 (133                                                     | Withdrawal of<br>S)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT AND WAS<br>OFFERED THE EUA<br>VACCINE. |         | Yes/<br>2020-09-04 (29)                                                         |                                                                           |
| US3462074     | 2020-08-07                  | AMENDMENT           | - /                                                                          | Other/<br>2)SUBJECT RECEIVED<br>EUA VACCINE.                                                                        |         | Yes/<br>2020-09-04 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3462085     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-09-25 (50) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT BECAUSE<br>THEY DID NOT<br>WANT TO COMPLETE<br>ANY FURTHER<br>PROCEDURES |         | Yes/<br>2020-09-04 (29)                                                          |                                                                      |
| US3462086     | 2020-08-10                  | AMENDMENT           | - 1                      | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19 VACCINE                                                                                     |         | Yes/<br>2020-09-10 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462088     | 2020-08-10                  | AMENDMENT :         | 2 No/<br>2021-03-01 (204 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJET CONTACTED<br>US ON 1 MAR 2021<br>TO LET US KNOW<br>THAT THEY WANTED<br>TO WITHDREW DUE<br>TO RECEIVING THE<br>EUA MODERNA<br>VACCINE ON 09<br>JAN 2021,<br>SUBJECT DID NOT<br>NOTIFY US PRIOR<br>TO GETTING THE<br>VACCINE. |         | Yes/<br>2020-09-08 (30)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462100     | 2020-08-10                  | AMENDMENT :         | 2 No/<br>2020-12-18 (131 | Withdrawal of<br>)Consent by<br>Participant/<br>PT WAS UNBLINDED<br>DUE TO BEING A<br>HEALTHCARE<br>WORKER AND<br>HAVING ACCESS TO<br>VACCINNE |         | Yes/<br>2020-09-11 (33)                                                         |                                                                           |
| US3462106     | 2020-08-10                  | AMENDMENT :         | 2 No/<br>2021-01-12 (156 | Withdrawal of<br>)Consent by<br>Participant/<br>WITHDRAW OF<br>CONSENT                                                                         |         | Yes/<br>2020-09-18 (40)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study | /                                                                                                    | Date of           | Completed Dosing,<br>Date of Dosing       | /<br>Primary Reason for                                                                               |
|---------------|-----------------------------|---------------------|----------------------------------|------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------|-------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | -                                | Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Death/<br>Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason                                                        |
| US3462130     | 2020-08-11                  | AMENDMENT 2         | - /                              | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19 VACCINE                                      |                   | Yes/<br>2020-09-15 (36)                   |                                                                                                       |
| US3462132     | 2020-08-12                  | AMENDMENT 2         | 2 No/<br>2020-09-14 (34)         | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT DUE TO<br>FAMILY PROBLEMS |                   | No/<br>2020-09-08 (28)                    | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT DUE TO<br>FAMILY PROBLEMS. |
| US3462142     | 2020-08-12                  | AMENDMENT 2         | - /                              | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19 VACCINE                                      |                   | Yes/<br>2020-09-09 (29)                   |                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                    |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                             | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3462148     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-12-18 (129 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT, SUBJECT<br>WORKS IN<br>HEALTHCARE AND<br>WAS OFFERED THE<br>EUA VACCINE |         | Yes/<br>2020-09-10 (30)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study        | /                                    |         | Completed Dosing       | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|---------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of | Date of Dosing         | Primary Reason for                   |
|               | Informed        |                     | Discontinuation        | Reason for Study                     | Death/  | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| JS3462155     | 2020 08 12      | AMENDMENT           | 2 No /                 | Protocol                             |         | Yes/                   |                                      |
| JS34021JJ     | 2020-08-12      | AMENDMENI .         | 2021-01-14 (156        |                                      |         | 2020-09-09 (29)        |                                      |
|               |                 |                     |                        | PATIENT CALLED                       |         |                        |                                      |
|               |                 |                     |                        | TO WITHDRAW                          |         |                        |                                      |
|               |                 |                     |                        | CONSENT FROM THE<br>STUDY. PATIENT   |         |                        |                                      |
|               |                 |                     |                        | HAS ACCESS TO                        |         |                        |                                      |
|               |                 |                     |                        | THE PFIZER                           |         |                        |                                      |
|               |                 |                     |                        | VACCINE AND                          |         |                        |                                      |
|               |                 |                     |                        | WISHES TO GO GET                     |         |                        |                                      |
|               |                 |                     |                        | THAT VACCINE.                        |         |                        |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462187     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2021-03-10 (209 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT, SUBJECT<br>CAME IN FOR<br>DECISION VISIT<br>AND STATES THEY<br>WOULD LIKE TO<br>WITHDRAW FROM NO<br>STUDY AND GET<br>UN-BLINDED NO<br>OTHER REASON WAS<br>GIVEN OTHER THEN<br>JUST WANTING TO<br>WITHDRAW |         | Yes/<br>2020-09-15 (33)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject   | Informed<br>Consent | Protocol    |                          | /<br>n Reason for Study<br>Discontinuation/                                                                                                                                                                                         | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                                                                                    | <br>-                                                                  | Specified Reason                                      |
| US3462202 | 2020-08-17          | AMENDMENT 2 | 2 No/<br>2020-12-17 (123 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>REQUESTED<br>UNBLINDING DUE<br>TO HAVING ACCESS<br>TO THE EUA<br>VACCINE SINCE<br>THEY ARE HEALTH<br>CARE WORKER,<br>SUBJECT RECEIVED<br>PLACEBO AND<br>WITHDREW CONSENT | Yes/<br>2020-09-17 (32)                                                |                                                       |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3462203 2020-08-17 AMENDMENT 2 No/ Withdrawal of No/ Withdrawal of 2020-09-07 (22) Consent by 2020-09-06 (21) Consent by Participant/ Participant/ ON 07 SEP 2020 PATIENT DID NOT PATIENT DID NOT WANT TO GET SECOND WANT TO GET VACCINE AND DID NOT SECOND VACCINE WANT TO SPEND THE AND DID NOT WANT TIME ON ANY OTHER TO SPEND THE VISITS TIME ON ANY OTHER VISITS, ON 28 DEC 2020 PATIENT CALLED US TO GET UNBLINDED DUE TO BEING A HEALTHCARE WORKER.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3462222     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-11-09 (84)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WISHED TO BE<br>CONTACTED BY<br>SITE ANYMORE. |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3462223     | 2020-08-18                  | AMENDMENT           | - /                                                                           | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19 VACCINE                                                  |         | Yes/<br>2020-09-16 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     |                          | n Reason for Study                                                                                                                  | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                | <br>or Completion<br>(Day)                             | Discontinuation/<br>Specified Reason |
| US3462229     | 2020-08-18      | AMENDMENT 2         | 2 No/<br>2021-03-01 (196 | Withdrawal of<br>5) Consent by<br>Participant/<br>PATIENT WANTS TO<br>WITHDRAW CONSENT<br>TO ALL<br>PROCEDURES AND<br>SAFETY CALLS. | Yes/<br>2020-09-22 (36)                                |                                      |
| US3462234     | 2020-08-18      | AMENDMENT 2         | - /                      | Other/<br>2)EAU UNBLINDING                                                                                                          | Yes/<br>2020-09-15 (29)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462267     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-09 (202 | Withdrawal of<br>)Consent by<br>Participant/<br>DUE TO<br>DIFFICULTY IN<br>TRANSFERRING TO<br>ANOTHER CLINICAL<br>SITE, PATIENT<br>ELECTS TO<br>WITHDRAW FROM<br>STUDY AND OBTAIN<br>VACCINATION<br>THROUGH OTHER<br>MEANS. |         | Yes/<br>2020-09-16 (28)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462273     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-17 (120 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WANTS TO<br>WITHDRAW CONSENT<br>TO ALL<br>PROCEDURES AND<br>SAFETY CALLS |         | Yes/<br>2020-09-17 (29)                                                          |                                                                           |
| US3462299     | 2020-08-24                  | AMENDMENT           | - /                      | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19<br>VACCINE.                                                              |         | Yes/<br>2020-09-23 (31)                                                          |                                                                           |
| US3462301     | 2020-08-24                  | AMENDMENT           | - /                      | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVIA-19                                                                          |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | 7/                                                                                             |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                     | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3462307     | 2020-08-24                  | AMENDMENT :         | 2 No/<br>2021-02-01 (162 | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT<br>DUE TO PATIENTS<br>DECISION |         | Yes/<br>2020-09-23 (31)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462315     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-31 (130 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WANTS TO<br>GET UNBLINDED<br>SINCE THEY GOT<br>OFFERED THE EUA<br>DUE TO THEM<br>WORKING IN<br>HEALTHCARE,<br>SUBJECT WAS<br>UNBLINDED AND<br>RECEIVED PLACEBO<br>AND WITHDREW<br>CONSENT TO GET<br>THE EUA VACCINE. |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462322     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-12-18 (116 | Withdrawal of<br>) Consent by<br>Participant/<br>SUBJECT ASKED TO<br>BE UNBLINDED DUE<br>TO THEM BEING<br>ELIGIBLE FOR THE<br>EUA VACCINE,<br>SUBJECT WAS<br>UNBLINDED,<br>RECEIVED PLACEBO<br>AND WITHDREW<br>CONSENT |         | Yes/<br>2020-09-29 (36)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study<br>Date of Study |                                      | Date of | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------|---------|-------------------------------------|--------------------------------------|
|               | Informed        |                     |                                  | n Reason for Study                   |         | Discontinuation                     | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason |
| JS3462324     | 2020-08-25      | AMENDMENT           | 2 No/                            | Withdrawal of                        |         | Yes/                                |                                      |
| 000102021     | 2020 00 23      |                     | 2020-12-18 (116                  |                                      |         | 2020-09-29 (36)                     |                                      |
|               |                 |                     |                                  | Participant/                         |         |                                     |                                      |
|               |                 |                     |                                  | SUBJECT IS A                         |         |                                     |                                      |
|               |                 |                     |                                  | HEALTHCARE                           |         |                                     |                                      |
|               |                 |                     |                                  | PROFESSIONAL AND                     |         |                                     |                                      |
|               |                 |                     |                                  | WAS OFFERED THE                      |         |                                     |                                      |
|               |                 |                     |                                  | EUA VACCINE                          |         |                                     |                                      |
|               |                 |                     |                                  | THEIR FOR IS                         |         |                                     |                                      |
|               |                 |                     |                                  | REQUESTING TO BE<br>UNBLINDED ,      |         |                                     |                                      |
|               |                 |                     |                                  | SUBJECT RECEIVED                     |         |                                     |                                      |
|               |                 |                     |                                  | PLACEBO, SUBJECT                     |         |                                     |                                      |
|               |                 |                     |                                  | WITHDREW CONSENT                     |         |                                     |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | /                                                                                                                                                          |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3462344     | 2020-08-26                  | AMENDMENT :         | 2 No/<br>2021-01-25 (153                                   | Protocol<br>)Deviation/<br>SUBJECT WITHDREW<br>CONSENT FROM<br>STUDY AFTER<br>UN-BLINDING<br>VISIT AS THEY<br>WERE GOING TO<br>RECEIVE THE EUA<br>VACCINE. |         | Yes/<br>2020-09-22 (28)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3462347     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-01-06 (134 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WAS<br>ELIGIBLE FOR EUA<br>VACCINE SO<br>REQUESTED TO BE<br>UNBLINDED,<br>SUBJECT GOT<br>UNBLINDED<br>(RECEIVED<br>PLACEBO)AND<br>WITHDREW CONSENT |         | Yes/<br>2020-09-25 (31)                                                          |                                                                      |
| US3462348     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-03 (100 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT                                                                                                                                |         | Yes/<br>2020-09-21 (27)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|
| US3462350     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-09-28 (34) | Withdrawal of<br>Consent by<br>Participant/<br>AFTER V2 PT<br>DECIDED THEY DID<br>NOT WANT TO BE<br>IN THE STUDY<br>ANYMORE |         | No/<br>2020-09-22 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>AFTER V2 PT DECIDED<br>THEY DID NOT WANT<br>TO BE IN THE STUDY<br>ANYMORE |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462352     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-17 (114 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT REQUEST<br>TO BE UNBLINDED<br>SINCE THEY ARE<br>ELIGIBLE FOR THE<br>EUA VACCINE,<br>SUBJECT WAS<br>UNBLINDED ,<br>RECEIVED PLACEBO<br>AND WITHDREW<br>CONSENT. |         | Yes/<br>2020-09-23 (29)                                                          |                                                                           |
| US3462357     | 2020-08-27                  | AMENDMENT           | /                        | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19<br>VACCINE.                                                                                                                                                    |         | Yes/<br>2020-09-24 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3462363     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-30 (126 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT/ EUA<br>VACCINE OFFERED                                                                              |         | Yes/<br>2020-09-25 (30)                                                          |                                                                      |
| US3462369     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-29 (125 | Other/<br>) PATIENT WAS<br>UNBLINDED DUE TO<br>ELIGIBILITY TO<br>RECEIVE A<br>COVID-19 VACCINE<br>UNDER EUA.<br>PATIENT RECEIVED<br>PLACEBO AND IS<br>REMOVED FROM THE<br>STUDY. |         | Yes/<br>2020-09-24 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3462378     | 2020-08-28                  | AMENDMENT           | /                        | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19 VACCINE                                                              |         | Yes/<br>2020-10-15 (49)                                                          |                                                                      |
| US3462382     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-04 (130 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT/ EUA<br>VACCINE OFFERED<br>/ RECEIVED<br>PLACEBO |         | Yes/<br>2020-10-02 (36)                                                          |                                                                      |
| US3462385     | 2020-08-28                  | AMENDMENT           | /                        | Other/<br>)REQUESTED TO BE<br>UNBLINDED/EUA<br>COVID-19<br>VACCINE.                                                          |         | Yes/<br>2020-09-25 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | Completed Study/                                                                                                                 |         |                                                             | Completed Dosing/                                                    |  |  |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|--|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |  |  |
| US3462386     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-21 (116 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT IS<br>HEALTHCARE<br>WORKER AND<br>ELIGIBLE TO<br>RECEIVE EUA<br>VACCINE. |         | Yes/<br>2020-09-25 (29)                                     |                                                                      |  |  |
| US3462400     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-03-05 (186 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE<br>AND NO LONGER<br>WANTS TO<br>COMPLETE ANY<br>STUDY PROCEDURES   |         | Yes/<br>2020-09-29 (29)                                     |                                                                      |  |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           | Informed   |           | Completed Study<br>Date of Study |                                        | Date of | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for         |
|-----------|------------|-----------|----------------------------------|----------------------------------------|---------|--------------------------------------------------------|----------------------------|
| Subject   | Consent    | Protocol  |                                  | n Reason for Study<br>Discontinuation/ |         | or Completion                                          | Dosing<br>Discontinuation/ |
| ID        | Date       | Version   | (Day)                            | Specified Reason                       |         | (Day)                                                  | Specified Reason           |
| JS3462417 | 2020-09-04 | AMENDMENT | 2 Ma /                           | Ductors                                |         | Vee /                                                  |                            |
| )53462417 | 2020-09-04 | AMENDMENT | /                                | Protocol                               |         | Yes/                                                   |                            |
|           |            |           | 2021-01-13 (132                  | ,                                      |         | 2020-10-02 (29)                                        |                            |
|           |            |           |                                  | SUBJECT CAME IN                        |         |                                                        |                            |
|           |            |           |                                  | FOR UN-BLINDING                        |         |                                                        |                            |
|           |            |           |                                  | AND IT WAS NOTED                       |         |                                                        |                            |
|           |            |           |                                  | THAT THEY HAD                          |         |                                                        |                            |
|           |            |           |                                  | BEEN UNBLINDED                         |         |                                                        |                            |
|           |            |           |                                  | BACK IN DEC 17                         |         |                                                        |                            |
|           |            |           |                                  | 2020 AND SUBJECT                       |         |                                                        |                            |
|           |            |           |                                  | GOT EUA VACCINE                        |         |                                                        |                            |
|           |            |           |                                  | LATE DECEMBER                          |         |                                                        |                            |
|           |            |           |                                  | AND WITHDREW                           |         |                                                        |                            |
|           |            |           |                                  | CONSENT AT                             |         |                                                        |                            |
|           |            |           |                                  | TODAY'S VISIT                          |         |                                                        |                            |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study/                                           |                                                                                                                              |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3462420     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-17 (101                                   | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WAS<br>UNBLINDED DUE TO<br>HAVING ACCESS TO<br>THE PFIZER<br>VACCINE |         | Yes/<br>2020-10-12 (35)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                |            | Completed Dosing | /                   |
|-----------|------------|-----------|-----------------|------------------|------------|------------------|---------------------|
|           |            |           | Date of Study   |                  | Date of    | Date of Dosing   | Primary Reason for  |
|           | Informed   |           | Discontinuation | Reason for Study | Death/     | Discontinuation  | Dosing              |
| Subject   | Consent    | Protocol  | or Completion   | Discontinuation/ | Autopsy    | or Completion    | Discontinuation/    |
| ID        | Date       | Version   | (Day)           | Specified Reason | Performed? | (Day)            | Specified Reason    |
| US3472019 | 2020-09-04 | AMENDMENT | 3 No/           | Withdrawal of    |            | No/              | Withdrawal of       |
|           |            |           | 2020-10-09 (36) | Consent by       |            | 2020-10-01 (28)  | Consent by          |
|           |            |           |                 | Participant/     |            |                  | Participant/        |
|           |            |           |                 | PARTICIPANT'S    |            |                  | PARTICIPANT'S       |
|           |            |           |                 | FAMILY ASKED HIM |            |                  | FAMILY ASKED HIM TO |
|           |            |           |                 | TO DISCONTINUE   |            |                  | DISCONTINUE HIS     |
|           |            |           |                 | HIS              |            |                  | PARTICIPATION IN    |
|           |            |           |                 | PARTICIPATION IN |            |                  | THE STUDY .         |
|           |            |           |                 | THE STUDY .      |            |                  | PARTICIPANT WANTS   |
|           |            |           |                 | PARTICIPANT      |            |                  | TO BE RESPECTFUL OF |
|           |            |           |                 | WANTS TO BE      |            |                  | THEIR WISHES.       |
|           |            |           |                 | RESPECTFUL OF    |            |                  |                     |
|           |            |           |                 | THEIR WISHES.    |            |                  |                     |
| US3472024 | 2020-09-09 | AMENDMENT | 3 No/           | Other/           |            | Yes/             |                     |
|           |            |           | 2021-01-02 (116 | )REQUEST TO      |            | 2020-10-07 (29)  |                     |
|           |            |           |                 | UNBLIND / EUA    |            |                  |                     |
|           |            |           |                 | COVID-19 VACCINE |            |                  |                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3472025     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-01-02 (116                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-07 (29)                                                          |                                                                      |
| US3472030     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-17 (98)                                                      | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-09 (29)                                                          |                                                                      |
| US3472037     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-21 (99)                                                      | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-12 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol    |                          | n Reason for Study<br>Discontinuation/                                                                                                                                            | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                                  | Performed? | (Day)                                                                   | Specified Reason                                 |
| US3472042 | 2020-09-15          | AMENDMENT : | 3 No/<br>2021-01-14 (122 | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT<br>REPORTED WANTING<br>TO RECEIVE THE<br>PFIZER VACCINE<br>POST UNBLINDING<br>AND REFUSED<br>FURTHER STUDY<br>VISITS. |            | Yes/<br>2020-10-13 (29)                                                 |                                                  |
| US3472047 | 2020-09-16          | AMENDMENT   | 3 No/<br>2020-12-15 (91) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                                                         |            | Yes/<br>2020-10-14 (29)                                                 |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3472051     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-12-24 (100 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-10-14 (29)                                                          |                                                                      |
| US3472052     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-12-27 (103 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-10-21 (36)                                                          |                                                                      |
| US3472072     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2020-12-16 (84) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-22 (29)                                                          |                                                                      |
| US3472077     | 2020-09-25                  | AMENDMENT           | 3 No/<br>2020-12-16 (83) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-23 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                          |         | Completed Dosing,                                           |                                                                         |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|-------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason    |
| US3472078     | 2020-09-25                  | AMENDMENT           | -                                                          | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-20 (26)                                     | -                                                                       |
| US3472082     | 2020-09-29                  | AMENDMENT           | 3 ONGOING                                                  |                                                            |         | No/<br>2020-10-26 (28)                                      | Other/<br>PARTICIPANT REFUSED<br>VACCINATION DUE TO<br>SAFETY CONCERNS. |
| US3472087     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2021-01-10 (103                                   | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-28 (29)                                     |                                                                         |
| US3472096     | 2020-10-07                  | AMENDMENT           | 4 ONGOING                                                  |                                                            |         | No/<br>2020-11-03 (28)                                      | Due to SARS-CoV-2                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |           | Reason for Study                                          | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----------|-----------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3472104     | 2020-10-09                  | AMENDMENT 4         | - 1       | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-11-09 (32)                                                          |                                                                      |
| US3472130     | 2020-10-23                  | AMENDMENT 4         | 4 ONGOING |                                                           |         | No/<br>2020-11-19 (28)                                                           | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3482016 2020-08-11 AMENDMENT 1 No/ Protocol Yes/ 2020-09-09 (30) 2021-01-05 (148) Deviation/ 'PARTICIPANT DID NOT GET UNBLINDED BUT DECIDED TO GET EXTERNAL EUA VACCINE. SENT EMAIL TO CLINIC AS NOTICE THAT HE WAS ENDING HIS PARTICIPATION & EXITING THE STUDY

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3482081     | 2020-08-19                  | AMENDMENT :         | 2 No/<br>2020-12-19 (123 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                    |         | Yes/<br>2020-09-15 (28)                                                          |                                                                           |
| US3482104     | 2020-08-21                  | AMENDMENT           | 3 No/<br>2021-03-24 (216 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>NO LONGER<br>WANTING TO<br>PARTICIPATE IN<br>THE STUDY. |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |                     |             | Completed Study                                   | /                                                                                                   | _ | Completed Dosing                                   |                                                           |
|-----------|---------------------|-------------|---------------------------------------------------|-----------------------------------------------------------------------------------------------------|---|----------------------------------------------------|-----------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | Date of Study<br>Discontinuatior<br>or Completion | Reason for Study<br>Discontinuation/                                                                |   | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/          |
| ID        | Date                | Version     | (Day)                                             | Specified Reason                                                                                    |   | -                                                  | Specified Reason                                          |
| US3482111 | 2020-08-21          | AMENDMENT 2 | 2 No/<br>2020-12-19 (121                          | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>EUA COVID-19<br>VACCINE.                              |   | Yes/<br>2020-09-18 (29)                            |                                                           |
| US3482145 | 2020-08-26          | AMENDMENT 2 | 2 ONGOING                                         |                                                                                                     |   | No/<br>2020-09-22 (28)                             | Other/<br>DOES NOT MEET<br>INCLUSION CRITERIA<br>NUMBER 7 |
| US3482235 | 2020-09-04          | AMENDMENT : | 3 No/<br>2020-12-21 (109                          | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND /<br>RECEIVED<br>NON-STUDY EUA<br>COVID-19 VACCINE |   | Yes/<br>2020-10-02 (29)                            |                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3482320 2020-10-22 AMENDMENT 4 No/ Protocol Yes/ 2021-01-04 (75) Deviation/ 2020-11-19 (29) PARTICIPANT DIDN'T GET UNBLINDED BUT GOT EXTERNAL EUA VACCINE (MODERNA) ON 31 DEC 2020. ADVISED STUDY SITE 4 JAN 2021. WITHDRAWN DUE TO VIOLATING PROTOCOL & GETTING EXTERNAL COVID VACCINATION.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                          |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3482321     | 2020-10-22                  | AMENDMENT ·         | 4 ONGOING                                                  |                                                            |         | No/<br>2020-11-18 (28)                                      | Protocol Deviation/<br>PARTICIPANT MET<br>EXCLUSION CRITERIA         |
| US3492008     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (115                                   | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE   |         | Yes/<br>2020-09-22 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3492051     | 2020-09-03                  | AMENDMENT 2         | 2 No/<br>2021-01-05 (125 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE. SUBJECT<br>IN FLORIDA FOR<br>WINTER, HAS<br>OPPORTUNITY TO<br>GET PFIZER<br>VACCINE THIS<br>WEEK SO HAS<br>REQUESTED<br>UNBLINDING |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |
| US3492059     | 2020-09-03                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (117 | Other/<br>)REQUEST TO<br>UNBLIND/EUA-COVI<br>D-19 VACCINE                                                                                                                                       |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3492074     | 2020-09-05                  | AMENDMENT 2         | 2 No/<br>2021-03-17 (194                                                      | Lost to Follow-Up<br>)                                   | )       | Yes/<br>2020-10-05 (31)                                                          |                                                                           |
| US3492094     | 2020-09-08                  | AMENDMENT 2         | 2 No/<br>2021-01-06 (121                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-13 (36)                                                          |                                                                           |
| US3492104     | 2020-09-09                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (111                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-09 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |             | Completed Study | /                  |            | Completed Dosing, | /                  |
|-----------|------------|-------------|-----------------|--------------------|------------|-------------------|--------------------|
|           |            |             | Date of Study   |                    | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |             | Discontinuation | n Reason for Study | Death/     | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol    | -               | Discontinuation/   | Autopsy    | or Completion     | Discontinuation/   |
| ID        | Date       | Version     | (Day)           | Specified Reason   | Performed? | (Day)             | Specified Reason   |
| IS3492111 | 2020-09-10 | AMENDMENT 2 | No/             | Protocol           |            | Yes/              |                    |
|           |            |             | 2021-01-10 (123 | )Deviation/        |            | 2020-10-08 (29)   |                    |
|           |            |             | 2022 01 10 (120 | SUBJECT RECEIVED   |            | 2020 20 00 (2))   |                    |
|           |            |             |                 | PFIZER VACCINE     |            |                   |                    |
|           |            |             |                 | ON 19DEC2020       |            |                   |                    |
|           |            |             |                 | PRIOR TO EUA       |            |                   |                    |
|           |            |             |                 | UNBLIND. WAS       |            |                   |                    |
|           |            |             |                 | UNBLINDED          |            |                   |                    |
|           |            |             |                 | 10JAN2021, ON      |            |                   |                    |
|           |            |             |                 | PLACEBO, PER       |            |                   |                    |
|           |            |             |                 | EPIP, MUST         |            |                   |                    |
|           |            |             |                 | WITHDRAW FROM      |            |                   |                    |
|           |            |             |                 | STUDY              |            |                   |                    |
|           |            |             |                 | 01001              |            |                   |                    |
| JS3492117 | 2020-09-10 | AMENDMENT 2 | ONGOING         |                    |            | No/               | Due to SARS-CoV-2  |
|           |            |             |                 |                    |            | 2020-10-07 (28)   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3492194 2020-09-18 AMENDMENT 3 No/ Protocol Yes/ 2020-12-22 (96) Deviation/ 2020 - 10 - 19 (32) SUBJECT IS AN MD, WANTED PFIZER VACCINE, HAD ANTIBODY TEST TO CONFIRM HIS SUSPICION THAT WAS ON PLACEBO, WAS NEGATIVE, WENT AHEAD AND GOT PFIZER VACCINE, THEN CALLED TO INFORM SITE. WAS NOT UNBLINDED

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3492200     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2021-01-16 (121 | Protocol<br>)Deviation/<br>SUBJECT REPORTED<br>ON 14JAN2021<br>THAT RECEIVED<br>PFIZER VACCINE<br>ON 21DEC2020 AND<br>08JAN2021.<br>UNBLIONDED<br>16JAN2021, ON<br>PLACEBO, MUST<br>WITHDRAW PER<br>EPIP |         | Yes/<br>2020-10-16 (29)                                                          |                                                                           |
| US3492206     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2020-12-18 (92) | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID19 VACCINE                                                                                                                                                   |         | Yes/<br>2020-10-15 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                        |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3492239     | 2020-09-23                  | AMENDMENT 3         | 3 No/<br>2020-12-23 (92)                                   | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-21 (29)                                     |                                                                      |
| US3492242     | 2020-09-23                  | AMENDMENT 3         | 3 ONGOING                                                  |                                                          |         | No/<br>2020-10-20 (28)                                      | Due to SARS-CoV-2                                                    |
| US3492269     | 2020-09-29                  | AMENDMENT 3         | 3 No/<br>2020-12-21 (84)                                   | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-11-02 (35)                                     |                                                                      |
| US3492299     | 2020-10-13                  | AMENDMENT 3         | 3 No/<br>2021-01-20 (100                                   | Lost to Follow-Up<br>)                                   | )       | No/<br>2020-11-09 (28)                                      | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3502014     | 2020-08-24                  | AMENDMENT :         | 2 No/<br>2020-12-22 (121 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                            |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |
| US3502015     | 2020-08-24                  | AMENDMENT :         | 2 No/<br>2020-12-28 (127 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                            |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |
| US3502016     | 2020-08-24                  | AMENDMENT :         | 2 No/<br>2021-01-12 (142 | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT<br>WITHDREW TO<br>OBTAIN EUA<br>VACCINE |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3502017     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-16 (115 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-21 (29)                                                          |                                                                      |
| US3502019     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-01-04 (133 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-23 (30)                                                          |                                                                      |
| US3502022     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-01-04 (133 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-28 (35)                                                          |                                                                      |
| US3502023     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-17 (115 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-22 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3502025     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-01-04 (133 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                               |         | Yes/<br>2020-09-22 (29)                                                          |                                                                           |
| US3502030     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-01-27 (155 | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT<br>WITHDRAWAL TO<br>RECEIVE EUA<br>VACCINE |         | Yes/<br>2020-09-23 (29)                                                          |                                                                           |
| US3502041     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-27 (123 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                               |         | Yes/<br>2020-09-24 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3502057     | 2020-08-28                  | AMENDMENT           | · • •                                                                         | Protocol<br>)Deviation/<br>PT RECEIVED<br>OUTSIDE COVID<br>VACCINE WITHOUT<br>BEING UNBLINDED |         | Yes/<br>2020-09-25 (29)                                                         |                                                                           |
| US3502059     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-15 (110                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                      |         | Yes/<br>2020-10-01 (35)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3502070     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2021-02-24 (178 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDRAW<br>FROM STUDY DUE<br>TO STUDY<br>BURDEN/TRANSPORT<br>ATION |         | Yes/<br>2020-09-28 (29)                                                          |                                                                      |
| US3502071     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-16 (108 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                    |         | Yes/<br>2020-09-29 (30)                                                          |                                                                      |
| US3502084     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-16 (106 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                    |         | Yes/<br>2020-10-02 (31)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3502085     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-24 (114 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-06 (35)                                                          |                                                                      |
| US3502087     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-27 (117 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-30 (29)                                                          |                                                                      |
| US3502096     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-21 (110 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-01 (29)                                                          |                                                                      |
| US3502097     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-17 (105 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-02 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  | or Completion            | n Reason for Study<br>Discontinuation/                                                                         | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|--------------------------|----------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                               | Performed? | (Day)                                                                   | Specified Reason                                 |
| US3502098 | 2020-09-04          | AMENDMENT | 3 No/<br>2020-12-17 (105 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                       |            | Yes/<br>2020-10-02 (29)                                                 |                                                  |
| US3502103 | 2020-09-04          | AMENDMENT | 3 No/<br>2020-10-30 (57) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>CLAIMS SHE WAS<br>NOT PAID IN A<br>TIMELY MANNER |            | Yes/<br>2020-10-02 (29)                                                 |                                                  |
| US3502105 | 2020-09-04          | AMENDMENT | 3 No/<br>2020-12-17 (105 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                       |            | Yes/<br>2020-10-02 (29)                                                 |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3502114     | 2020-09-08                  | AMENDMENT           |                          | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-06 (29)                                                          |                                                                      |
| US3502122     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2020-12-17 (100 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-07 (29)                                                          |                                                                      |
| US3502131     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-12-30 (112 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-08 (29)                                                          |                                                                      |
| US3502132     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-12-18 (100 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-13 (34)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3502139     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2021-02-04 (148 | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT<br>WITHDRAWAL TO<br>OBTAIN EUA<br>VACCINE |         | Yes/<br>2020-10-14 (35)                                                          |                                                                      |
| US3502167     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2020-12-24 (99) | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                               |         | Yes/<br>2020-10-15 (29)                                                          |                                                                      |
| US3502192     | 2020-10-06                  | AMENDMENT           | 4 No/<br>2020-12-21 (77) | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                               |         | Yes/<br>2020-11-04 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                                                                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     |                          | Reason for Study                                                                                                                    | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                | <br>or Completion<br>(Day)        | Discontinuation/<br>Specified Reason |
| US3502208     | 2020-10-15      | AMENDMENT           | 4 No/<br>2020-12-29 (76) | Other/<br>REQUEST TO<br>UNBLIND; EUA<br>COVID-19 VACCINE                                                                            | Yes/<br>2020-11-11 (28)           |                                      |
| US3512022     | 2020-08-06      | AMENDMENT           | 1 No/<br>2020-12-23 (140 | Protocol<br>)Deviation/<br>SUBJECT INFORMED<br>SITE ON 23 DEC<br>2020 THAT THEY<br>RECEIVED THE<br>PFIZER VACCINE<br>ON 16 DEC 2020 | Yes/<br>2020-09-09 (35)           |                                      |
| US3512033     | 2020-08-12      | AMENDMENT           | 2 No/<br>2020-12-29 (140 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                          | Yes/<br>2020-09-11 (31)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3512041     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-03-03 (204 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE PFIZER COVID<br>VACCINE ON<br>2/27/2021 |         | Yes/<br>2020-09-16 (36)                                                          |                                                                           |
| US3512088     | 2020-08-15                  | AMENDMENT           | 2 No/<br>2020-12-22 (130 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE<br>IMMINENT ACCESS              |         | Yes/<br>2020-09-09 (26)                                                          |                                                                           |
| US3512090     | 2020-08-15                  | AMENDMENT           | 2 No/<br>2020-12-22 (130 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                 |         | Yes/<br>2020-09-17 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3512092     | 2020-08-15                  | AMENDMENT           | 2 No/<br>2020-12-22 (130                                                      | Protocol<br>) Deviation/<br>PT INFORMED SITE<br>OF COVID<br>VACCINATION<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-09-11 (28)                                                          |                                                                           |
| US3512108     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-22 (125                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                      |         | Yes/<br>2020-09-18 (30)                                                          |                                                                           |
| US3512126     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-28 (130                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                      |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| -             |                             |                     | Completed Study          | -/                                                         |         | Completed Dosing,             | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|---------|-------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Discontinuation or Completion | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3512128     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2020-12-30 (132 | Lost to Follow-Up<br>)                                     | )       | Yes/<br>2020-09-18 (29)       |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study,         | /                                                                                                                                                                                                                                                                                      |         | Completed Dosing                                            | /                                                                                                                                                                                                                                                                                |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                               | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                             |
| JS3512138     | 2020-08-22                  | AMENDMENT           | 2 No/<br>2020-09-23 (33) | Withdrawal of<br>Consent by<br>Participant/<br>PT CALLED ON<br>9/23/2020 AND<br>SPOKE<br>COORDINATOR AND<br>STATED HE<br>CHANGED HIS<br>MIND, THE PT<br>ALSO STATED HIS<br>WIFE READ SOME<br>THINGS ABOUT THE<br>TRIAL AND FOR<br>PERSONAL REASON,<br>HE WOULD RATHER<br>NOT CONTINUE. |         | No/<br>2020-09-18 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>PT CALLED ON<br>9/23/2020 AND SPOKE<br>COORDINATOR AND<br>STATED HE CHANGED<br>HIS MIND, THE PT<br>ALSO STATED HIS<br>WIFE READ SOME<br>THINGS ABOUT THE<br>TRIAL AND FOR<br>PERSONAL REASON, HE<br>WOULD RATHER NOT<br>CONTINUE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                    |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| US3512141     | 2020-08-26                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                |         | No/<br>2020-09-22 (28)                                                          | Other/<br>PT RESCHEDULED APPT<br>NUMEROUS TIMES DUE<br>TO BEING A<br>ESSENTIAL WORKER.<br>THEN PATIENT HAD<br>COVID SYMPTOM. |
| US3512148     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-10-15 (51)                                                      | Lost to Follow-Up                                              | )       | Yes/<br>2020-09-24 (30)                                                         |                                                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |                | Completed Study | /                |            | Completed Dosing/ |                    |  |
|-----------|------------|----------------|-----------------|------------------|------------|-------------------|--------------------|--|
|           |            |                | Date of Study   |                  | Date of    | Date of Dosing    | Primary Reason for |  |
|           | Informed   | _              |                 | Reason for Study |            | Discontinuation   | Dosing             |  |
| Subject   | Consent    | Protocol       | -               | Discontinuation/ |            | or Completion     | Discontinuation/   |  |
| ID        | Date       | Version        | (Day)           | Specified Reason | Performed? | (Day)             | Specified Reason   |  |
| US3512157 | 2020-08-26 | AMENDMENT      | 3 No/           | Other/           |            | Yes/              |                    |  |
| 00001210, | 2020 00 20 | 11121121121111 | 2021-01-05 (133 |                  |            | 2020-09-24 (30)   |                    |  |
|           |            |                | (               | REQUESTED        |            | (,                |                    |  |
|           |            |                |                 | EMERGENCY        |            |                   |                    |  |
|           |            |                |                 | UNBLINDING, DUE  |            |                   |                    |  |
|           |            |                |                 | TO ACCESS TO EUA |            |                   |                    |  |
|           |            |                |                 | VACCINE, REFUSED |            |                   |                    |  |
|           |            |                |                 | TO COME TO SITE  |            |                   |                    |  |
|           |            |                |                 | FOR UNBLINDING   |            |                   |                    |  |
|           |            |                |                 | VISIT.           |            |                   |                    |  |
|           |            |                |                 | WITHDRAWN, PER   |            |                   |                    |  |
|           |            |                |                 | SPONSOR          |            |                   |                    |  |
|           |            |                |                 | INSTRUCTION.     |            |                   |                    |  |
| JS3512158 | 2020-08-26 | AMENDMENT      | 2 No /          | Protocol         |            | Yes/              |                    |  |
| 333312130 | 2020 00 20 | AMENDMENT      | 2021-01-14 (142 |                  |            | 2020-09-23 (29)   |                    |  |
|           |            |                | 2021 01 14 (142 | PT RECEIVED      |            | 2020 09 23 (29)   |                    |  |
|           |            |                |                 | MODERNA VACCINE  |            |                   |                    |  |
|           |            |                |                 | OUTSIDE OF STUDY |            |                   |                    |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study | 7/                                                                                                                                                                     | Date of           | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                  | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                             | Death/<br>Autopsy | Discontinuation<br>or Completion   | Dosing<br>Discontinuation/<br>Specified Reason |
| US3512165     | 2020-08-27                  | AMENDMENT :         | 3 No/<br>2021-01-29 (156         | Protocol<br>) Deviation/<br>PARTICIPANT<br>RECEIVED PFIZER<br>VACCINE OUTSIDE<br>OF STUDY BEFORE<br>BEING UNBLINDED.<br>SUBJECT COULD<br>NOT CONTINUE IN<br>THE STUDY. |                   | Yes/<br>2020-09-23 (28)            |                                                |
| US3512169     | 2020-08-27                  | AMENDMENT (         | 3 No/<br>2020-12-28 (124         | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                                             |                   | Yes/<br>2020-09-25 (30)            |                                                |
| US3512176     | 2020-08-29                  | AMENDMENT (         | 3 ONGOING                        |                                                                                                                                                                        |                   | No/<br>2020-09-25 (28)             | Adverse Event/<br>AE # 7                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3512187     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-22 (112 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                     |         | Yes/<br>2020-10-02 (31)                                                          |                                                                           |
| US3512194     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-01-04 (125 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>PRIOR TO<br>UNBLINDING. |         | Yes/<br>2020-09-30 (29)                                                          |                                                                           |
| US3512218     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-01-05 (124 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                     |         | Yes/<br>2020-10-09 (36)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3512281     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2020-12-22 (91) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                   |         | Yes/<br>2020-10-21 (29)                                                          |                                                                           |
| US3512283     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2020-12-29 (98) | Other/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE<br>IMMINENT ACCESS |         | Yes/<br>2020-10-21 (29)                                                          |                                                                           |
| US3512286     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2020-12-29 (91) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                   |         | Yes/<br>2020-10-28 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3512308     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2021-01-13 (84)                                                      | Protocol<br>Deviation/<br>RECEIVED COVID<br>VACCINE OUTSIDE<br>OF STUDY. |         | Yes/<br>2020-11-19 (29)                                                          |                                                                      |
| US3522008     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-12-20 (138                                                      | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-02 (29)                                                          |                                                                      |
| US3522010     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-12-21 (139                                                      | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-03 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522013     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-12-29 (147 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE              |         | Yes/<br>2020-09-02 (29)                                                          |                                                                           |
| US3522015     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-01-22 (171 | Protocol<br>)Deviation/<br>RECEIVED<br>COMPETING COVID<br>VACCINE OUTSIDE<br>STUDY |         | Yes/<br>2020-09-04 (31)                                                          |                                                                           |
| US3522019     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-12-20 (137 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE              |         | Yes/<br>2020-09-09 (35)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason            | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522025     | 2020-08-06                  | amendment 1         | . No/<br>2021-01-13 (161 | Other/<br>)PARTICIPANT<br>WITHDREW TO<br>RECEIVE EUA<br>APPROVED VACCINE |                                            | Yes/<br>2020-09-08 (34)                                                          |                                                                           |
| US3522026     | 2020-08-06                  | AMENDMENT 1         | No/<br>2020-12-31 (148   | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>VACCINE                         |                                            | Yes/<br>2020-09-08 (34)                                                          |                                                                           |
| US3522042     | 2020-08-07                  | AMENDMENT 1         | . No/<br>2020-12-24 (140 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE    |                                            | No/<br>2020-09-03 (28)                                                           | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522045     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-18 (134 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-04 (29)                                                          |                                                                           |
| US3522046     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-22 (138 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-04 (29)                                                          |                                                                           |
| US3522049     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-18 (134 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-04 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522060     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2020-12-29 (142 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-09 (31)                                                          |                                                                           |
| US3522062     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2020-12-19 (132 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-04 (26)                                                          |                                                                           |
| US3522066     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2020-12-21 (134 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-08 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522068     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2020-12-29 (142                                                      | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-08 (30)                                                          |                                                                           |
| US3522069     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2020-12-19 (132                                                      | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-08 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522077     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-12-29 (141 | Protocol<br>) Deviation/<br>PARTICIPANT TOOK<br>ANOTHER COVID<br>VACCINE OUTSIDE<br>OF STUDY. HAD<br>NOT INFORMED<br>STAFF, FOUND ON<br>18 FEB2021 WHILE<br>TRYING TO<br>DETERMINE IF<br>THEY WERE LTFU.<br>DATE OF VACCINE<br>IS CONSIDERED<br>LAST CONTACT<br>DATE. |         | Yes/<br>2020-09-10 (31)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522078     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2021-01-07 (150 | Protocol<br>)Deviation/<br>WITHDREW TO<br>RECEIVE EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-09 (30)                                                          |                                                                           |
| US3522080     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-12-31 (143 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-11 (32)                                                          |                                                                           |
| US3522083     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-12-30 (142 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-08 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522097     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-12-29 (140 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-09 (29)                                                          |                                                                           |
| US3522098     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-12-31 (142 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-09 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522099     | 2020-08-12                  | AMENDMENT 1         | . No/<br>2021-01-18 (160 | Withdrawal of<br>)Consent by<br>Participant/<br>NEW ICF.<br>WITHDREW<br>CONSENT. CHOSE<br>IN AMENDMENT SIX<br>ADDENDUM I DO<br>NOT WISH TO<br>CONTINUE<br>PARTICIPATION IN<br>THE STUDY AND I<br>WISH TO KNOW MY<br>STUDY TREATMENT<br>ASSIGNMENT. |         | Yes/<br>2020-09-09 (29)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522104     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-10-12 (62) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>EMAILED US<br>STATING THEY<br>WERE WITHDRAWING<br>FROM THE STUDY. |         | Yes/<br>2020-09-10 (30)                                                          |                                                                           |
| US3522105     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-12-29 (140 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                                                           |         | Yes/<br>2020-09-08 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522110     | 2020-08-13                  | AMENDMENT           | 1 No/<br>2020-12-24 (134 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-10 (29)                                                          |                                                                      |
| US3522117     | 2020-08-13                  | AMENDMENT           | 1 No/<br>2020-12-20 (130 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-10 (29)                                                          |                                                                      |
| US3522129     | 2020-08-14                  | AMENDMENT           | 1 No/<br>2020-12-29 (138 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-16 (34)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522132     | 2020-08-14                  | AMENDMENT           | 1 No/<br>2020-12-31 (140 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-15 (33)                                                          |                                                                      |
| US3522138     | 2020-08-17                  | AMENDMENT           | 1 No/<br>2020-12-23 (129 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-14 (29)                                                          |                                                                      |
| US3522148     | 2020-08-17                  | AMENDMENT           | 1 No/<br>2020-12-29 (135 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-14 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522150     | 2020-08-17                  | AMENDMENT           | 1 No/<br>2020-12-30 (136 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-15 (30)                                                          |                                                                           |
| US3522166     | 2020-08-18                  | AMENDMENT           | 1 No/<br>2020-12-23 (128 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-18 (32)                                                          |                                                                           |
| US3522176     | 2020-08-18                  | AMENDMENT           | 1 No/<br>2021-01-05 (141 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-17 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522177     | 2020-08-18                  | AMENDMENT           | 1 No/<br>2021-02-12 (179 | Lost to Follow-Up<br>)                                                | 0       | Yes/<br>2020-09-16 (30)                                                          |                                                                      |
| US3522183     | 2020-08-18                  | AMENDMENT :         | 1 No/<br>2020-12-21 (126 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-18 (32)                                                          |                                                                      |
| US3522188     | 2020-08-18                  | AMENDMENT           | 1 No/<br>2020-12-20 (125 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-21 (35)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522201     | 2020-08-19                  | AMENDMENT           | 1 No/<br>2020-12-23 (127 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-15 (28)                                                         |                                                                           |
| US3522207     | 2020-08-19                  | AMENDMENT           | 1 No/<br>2020-12-29 (133 | Other/<br>)LEFT TO TAKE<br>OTHER EUA<br>APPROVED VACCINE              |         | Yes/<br>2020-09-16 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522211     | 2020-08-19                  | AMENDMENT :         | 1 No/<br>2021-03-23 (217 | Withdrawal of<br>Oconsent by<br>Participant/<br>PARTICIPANT<br>SELECTED "I DO<br>NOT WISH TO<br>CONTINUE<br>PARTICIPATION IN<br>THE STUDY AND I<br>WISH TO KNOW MY<br>STUDY<br>ASSIGNMENT" ON<br>OLV CONSENT. |         | Yes/<br>2020-09-17 (30)                                                          |                                                                           |
| US3522213     | 2020-08-19                  | AMENDMENT :         | 1 No/<br>2021-01-11 (146 | Other/<br>)PARTICIPANT<br>WITHDREW TO<br>RECEIVE EUA<br>APPROVED VACCINE                                                                                                                                      |         | Yes/<br>2020-09-16 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522214     | 2020-08-19                  | AMENDMENT           | 1 No/<br>2020-12-29 (133 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-18 (31)                                                          |                                                                           |
| US3522215     | 2020-08-19                  | AMENDMENT           | 1 No/<br>2020-12-29 (133 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-14 (27)                                                          |                                                                           |
| US3522228     | 2020-08-19                  | AMENDMENT           | 1 No/<br>2020-12-21 (125 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-16 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522236     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-19 (122 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-17 (29)                                                         |                                                                           |
| US3522238     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-23 (126 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-18 (30)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol    | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | n Reason for Study                                                                                                                               |            | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                                       |
|-----------|---------------------|-------------|----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version     | (Day)                                                                | Specified Reason                                                                                                                                 | Performed? | (Day)                                                                  | Specified Reason                                                                                                                                                                                            |
| US3522242 | 2020-08-20          | AMENDMENT : | 2 No/<br>2020-09-25 (37)                                             | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>DECIDED TO<br>WITHDRAW AFTER<br>MISSING THEIR<br>SCHEDULED VISIT<br>2 APPOINTMENT. |            | No/<br>2020-09-16 (28)                                                 | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT TOLD US<br>BY PHONE WHEN<br>CONTACT WAS<br>ATTEMPTED TO<br>RESCHEDULE SECOND<br>APPOINTMENT THAT<br>THEY MISSED THAT<br>THEY WERE<br>WITHDRAWING |
| US3522257 | 2020-08-20          | AMENDMENT : | 2 No/<br>2020-12-29 (132                                             | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                                                                            |            | Yes/<br>2020-09-17 (29)                                                |                                                                                                                                                                                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522269     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-10-13 (54) | Lost to Follow-Up                                                     | )       | Yes/<br>2020-09-22 (33)                                                          |                                                                      |
| US3522271     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-12-19 (121 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-21 (32)                                                          |                                                                      |
| US3522276     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2020-12-31 (133 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-21 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522292     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-30 (132 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |
| US3522294     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-23 (125 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |
| US3522295     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-21 (123 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522297     | 2020-08-21                  | AMENDMENT :         | 2 ONGOING                                                                     |                                                                       |         | No/<br>2020-09-17 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3522305     | 2020-08-24                  | AMENDMENT :         | 2 No/<br>2020-12-21 (120                                                      | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-21 (29)                                                          |                                                                      |
| US3522308     | 2020-08-24                  | AMENDMENT :         | 2 No/<br>2020-12-29 (128                                                      | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-25 (33)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| JS3522315     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-23 (122 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                             |         | Yes/<br>2020-09-24 (32)                                                          |                                                                      |
| JS3522316     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2021-02-15 (176 | Protocol<br>)Deviation/<br>PARTICIPANT TOOK<br>SECOND DOSE OF<br>MODERNA VACCINE<br>OUTSIDE STUDY |         | Yes/<br>2020-09-22 (30)                                                          |                                                                      |
| JS3522317     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2021-02-15 (176 | Protocol<br>)Deviation/<br>PARTICIPANT TOOK<br>DOSE OF MODERNA<br>VACCINE OUTSIDE<br>STUDY        |         | Yes/<br>2020-09-22 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522320     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-21 (120 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-23 (31)                                                          |                                                                      |
| US3522327     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-20 (119 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-22 (30)                                                          |                                                                      |
| US3522341     | 2020-08-25                  | AMENDMENT           | 2 No/<br>2020-12-22 (120 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-23 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522352     | 2020-08-25                  | AMENDMENT           | 2 No/<br>2020-12-19 (93) | Other/<br>WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-16 (29)                                                          |                                                                      |
| US3522359     | 2020-08-25                  | AMENDMENT           | 2 No/<br>2020-12-18 (116 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-21 (28)                                                          |                                                                      |
| US3522361     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-12-20 (117 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-23 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study        | /                                    |         | Completed Dosing,      |                                      |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|---------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of | Date of Dosing         | Primary Reason for                   |
|               | Informed        | _                   |                        | Reason for Study                     |         | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| US3522366     | 2020-08-26      | AMENDMENT           | 2 No/                  | Other/                               |         | Yes/                   |                                      |
|               |                 |                     | 2020-12-19 (116        | )WITHDREW TO                         |         | 2020-09-22 (28)        |                                      |
|               |                 |                     |                        | RECEIVE EUA                          |         |                        |                                      |
|               |                 |                     |                        | APPROVED                             |         |                        |                                      |
|               |                 |                     |                        | COVID-19 VACCINE                     |         |                        |                                      |
| US3522369     | 2020-08-26      | AMENDMENT           | 2 No/                  | Other/                               |         | Yes/                   |                                      |
|               |                 |                     | 2020-12-22 (119        |                                      |         | 2020-09-23 (29)        |                                      |
|               |                 |                     |                        | RECEIVE EUA                          |         |                        |                                      |
|               |                 |                     |                        | APPROVED                             |         |                        |                                      |
|               |                 |                     |                        | COVID-19 VACCINE                     |         |                        |                                      |
| US3522381     | 2020-08-26      | AMENDMENT           | 2 No/                  | Other/                               |         | Yes/                   |                                      |
|               |                 |                     | 2020-12-30 (127        | )WITHDREW TO                         |         | 2020-09-24 (30)        |                                      |
|               |                 |                     |                        | RECEIVE EUA                          |         |                        |                                      |
|               |                 |                     |                        | APPROVED                             |         |                        |                                      |
|               |                 |                     |                        | COVID-19 VACCINE                     |         |                        |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522387     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-12-23 (120 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-25 (31)                                                          |                                                                           |
| US3522389     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-28 (124 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-30 (35)                                                          |                                                                           |
| US3522392     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-19 (115 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-25 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| JS3522393     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-21 (117 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                    |         | Yes/<br>2020-09-24 (29)                                                          |                                                                      |
| JS3522415     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-29 (125 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                    |         | Yes/<br>2020-09-28 (33)                                                          |                                                                      |
| JS3522423     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-03 (129 | Other/<br>)WITHDREW TO<br>RECEIVE OFFERED<br>EUA COVID-19<br>VACCINE OUTSIDE<br>OF TRIAL |         | Yes/<br>2020-09-28 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522424     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-29 (124 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                      |         | Yes/<br>2020-09-25 (29)                                                          |                                                                      |
| US3522427     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-29 (124 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                      |         | Yes/<br>2020-09-29 (33)                                                          |                                                                      |
| US3522429     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-18 (144 | Protocol<br>)Deviation/<br>PARTICIPANT TOOK<br>EUA APPROVED<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-09-28 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522432     | 2020-08-28                  | AMENDMENT (         | 3 No/<br>2020-12-21 (116 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-08 (42)                                                          |                                                                           |
| US3522436     | 2020-08-28                  | AMENDMENT (         | 3 No/<br>2021-01-04 (130 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>COVID-19 VACCINE             |         | Yes/<br>2020-10-02 (36)                                                          |                                                                           |
| US3522441     | 2020-08-28                  | AMENDMENT (         | 3 No/<br>2020-12-29 (124 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-30 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522450     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-21 (113 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-28 (29)                                                          |                                                                           |
| US3522455     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-29 (121 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-28 (29)                                                          |                                                                           |
| US3522467     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-30 (122 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>COVID-19 VACCINE             |         | Yes/<br>2020-10-02 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | PREASON for Study<br>Discontinuation/<br>Specified Reason             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522475     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-19 (111 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-05 (36)                                                          |                                                                           |
| US3522476     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-23 (115 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-30 (31)                                                          |                                                                           |
| US3522500     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-29 (120 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-01 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                     |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason            | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3522502     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-22 (113 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-29 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522506     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-26 (148 | Protocol<br>) Deviation/<br>PARTICIPANT<br>STOPPED<br>RESPONDING AFTER<br>14DEC2020. ON<br>CHART REVIEW<br>DISCOVERED ON<br>26JAN2021 THAT<br>EXTERNAL PFIZER<br>VACCINE TAKEN ON<br>18DEC2020.<br>WITHDRAWN FROM<br>STUDY. |         | Yes/<br>2020-10-14 (44)                                                         |                                                                           |
| US3522507     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-07 (122 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>COVID-19 VACCINE                                                                                                                                                                   |         | Yes/<br>2020-10-13 (36)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Decision for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522508     | 2020-09-01                  | AMENDMENT (         | 3 No/<br>2020-12-29 (120 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-01 (31)                                                          |                                                                           |
| US3522511     | 2020-09-02                  | AMENDMENT (         | 3 No/<br>2021-01-07 (128 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>COVID-19 VACCINE             |         | Yes/<br>2020-09-30 (29)                                                          |                                                                           |
| US3522524     | 2020-09-02                  | AMENDMENT (         | 3 No/<br>2020-12-30 (120 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-30 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | or Completion            | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                           | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522541     | 2020-09-03                  | AMENDMENT           | /                        | Other/<br>)WITHDREW TO TAKE<br>EUA APPROVED<br>COVID-19 VACCINE                                         | Ferrormed: | (Day)<br>Yes/<br>2020-10-08 (36)                                        | Specified Reason                                                          |
| US3522552     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-01-07 (127 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>UNDER EUA SO WAS<br>WITHDRAWN |            | Yes/<br>2020-10-01 (29)                                                 |                                                                           |
| US3522560     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-29 (118 | Other/<br>)PARTICIPANT<br>WITHDREW TO<br>RECEIVE EUA<br>APPROVED VACCINE                                |            | Yes/<br>2020-10-06 (34)                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                                     |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|-----------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3522564     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-23 (112                                   | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-06 (34)                                     |                                                                      |
| US3522582     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-31 (119                                   | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-09-29 (26)                                     |                                                                      |
| US3522583     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-18 (106                                   | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-09 (36)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522595     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-31 (119 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-05 (32)                                                          |                                                                           |
| US3522596     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-18 (106 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-05 (32)                                                          |                                                                           |
| US3522598     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-18 (106 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-06 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522600     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-29 (113 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-06 (29)                                                          |                                                                           |
| US3522611     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-29 (113 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-07 (30)                                                          |                                                                           |
| US3522614     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-19 (103 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-05 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                              | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|-------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522620     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-01-11 (125  | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED EUA<br>APPROVED VACCINE<br>OUTSIDE OF THE<br>STUDY |         | Yes/<br>2020-10-13 (35)                                                          |                                                                      |
| US3522633     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2020-12-29 (112) | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                                 |         | Yes/<br>2020-10-07 (29)                                                          |                                                                      |
| US3522650     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-12-29 (111) | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                                 |         | Yes/<br>2020-10-08 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                        |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3522671     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-01-08 (120 | Protocol<br>)Deviation/<br>PARTICIPANT<br>WITHDREW<br>FOLLOWING<br>UNBLINDING AFTER<br>DISCLOSING<br>TAKING A EUA<br>APPROVED VACCINE    |         | Yes/<br>2020-10-16 (36)                                     |                                                                      |
| US3522672     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-01-08 (120 | Protocol<br>)Deviation/<br>INFORMED AT END<br>OF ILLNESS VISIT<br>THAT PARTICIPANT<br>RECEIVED EUA<br>APPROVED VACCINE<br>TWO DAYS PRIOR |         | Yes/<br>2020-10-14 (34)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522679     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-29 (107 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-12 (29)                                                          |                                                                      |
| US3522685     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-12-21 (98) | Other/<br>WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-13 (29)                                                          |                                                                      |
| US3522690     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-12-30 (106 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE |         | Yes/<br>2020-10-14 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522697     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2020-12-30 (105                                                      | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE              |         | Yes/<br>2020-10-16 (30)                                                          |                                                                      |
| US3522707     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2020-12-17 (91)                                                      | Other/<br>WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE               |         | Yes/<br>2020-10-16 (29)                                                          |                                                                      |
| US3522711     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2021-01-25 (130                                                      | Protocol<br>)Deviation/<br>TOOK ANOTHER EUA<br>APPROVED VACCINE<br>BEFORE OL VISIT |         | Yes/<br>2020-10-23 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                   | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3522714     | 2020-09-22                  | AMENDMENT           | 3 No/<br>2020-12-29 (99)                                                      | Other/<br>WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE               |         | Yes/<br>2020-10-20 (29)                                                          |                                                                      |
| US3522717     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2020-12-23 (92)                                                      | Other/<br>WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE               |         | Yes/<br>2020-10-22 (30)                                                          |                                                                      |
| US3522719     | 2020-09-23                  | AMENDMENT           | /                                                                             | Other/<br>)LEFT IN ORDER TO<br>RECEIVE EUA<br>APPROVED VACCINE<br>OUTSIDE OF STUDY |         | Yes/<br>2020-10-26 (34)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3532015     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-12-19 (129                                                      | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>UNDER EUA FOR<br>HEALTHCARE<br>WORKERS PRIOR TO<br>UNBLINDING |         | Yes/<br>2020-09-16 (35)                                                         |                                                                           |
| US3532020     | 2020-08-13                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                 |         | No/<br>2020-09-09 (28)                                                          | Adverse Event/<br>AE #2                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                              | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3532080     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-01-12 (147 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHED<br>TOO BE<br>VACCINATED WITH<br>SPOUSE, WHO IS<br>NOT IN STUDY,<br>UNDER EUA. |         | Yes/<br>2020-09-21 (34)                                                          |                                                                      |
| US3532098     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-23 (126 | Other/<br>)HEALTHCARE<br>WORKER/EUA<br>COVID-19 VACCINE                                                                                      |         | Yes/<br>2020-09-24 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3532117     | 2020-08-24                  | AMENDMENT 2         |                                                                               | Other/<br>)SUBJECT REQUEST<br>UNBLINDING DUE<br>TO EUA FOR<br>HEALTHCARE<br>WORKERS AND<br>RECEIVED PFIZER<br>VACCINE |         | Yes/<br>2020-09-23 (31)                                                          |                                                                           |
| US3532170     | 2020-08-27                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                                       |         | No/<br>2020-09-23 (28)                                                           | Serious Adverse<br>Event/<br>SAE #1                                       |
| US3532196     | 2020-08-31                  | AMENDMENT 3         | 3 No/<br>2020-12-21 (113                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                              |         | Yes/<br>2020-09-28 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                    |                       | Completed Dosing,       | /                                                                   |
|---------------|-----------------|---------------------|--------------------------|----------------------------------------------------------------------|-----------------------|-------------------------|---------------------------------------------------------------------|
|               |                 |                     | Date of Study            |                                                                      | Date of               | Date of Dosing          | Primary Reason for                                                  |
|               | Informed        |                     | Discontinuation          | Reason for Study                                                     | Death/                | Discontinuation         | Dosing                                                              |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                 | Autopsy<br>Performed? | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason                                |
| US3532203     | 2020-09-01      | AMENDMENT 3         | - 1                      | Protocol                                                             |                       | Yes/                    |                                                                     |
|               |                 |                     | 2020-12-31 (122          | )Deviation/<br>SUBJECT RECEIVED<br>COVID VACCINE<br>OUTSIDE OF STUDY |                       | 2020-09-29 (29)         |                                                                     |
|               |                 |                     |                          |                                                                      |                       |                         |                                                                     |
| US3532210     | 2020-09-01      | AMENDMENT 3         | 3 No/<br>2020-12-28 (119 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE             |                       | Yes/<br>2020-09-29 (29) |                                                                     |
| US3532212     | 2020-09-02      | amendment 3         | ONGOING                  |                                                                      |                       | No/<br>2020-09-29 (28)  | Adverse Event/<br>INCLUSION CRITERIA<br># 7 NOT MET DUE TO<br>AE #4 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                          |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3532217     | 2020-09-02                  | AMENDMENT 3         | 3 No/<br>2020-12-18 (108                                   | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-30 (29)                                     |                                                                      |
| US3532258     | 2020-09-09                  | AMENDMENT (         | 3 No/<br>2020-12-16 (99)                                   | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-07 (29)                                     |                                                                      |
| US3532262     | 2020-09-09                  | AMENDMENT 3         | 3 No/<br>2020-12-22 (105                                   | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE   |         | Yes/<br>2020-10-12 (34)                                     |                                                                      |
| US3542043     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                                                  |                                                            |         | No/<br>2020-09-20 (28)                                      | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|              |                             |                     | Completed Study                  | /                                                                                                                                                                                                                                                                                                |         | Completed Dosing,                                  | /                                                                    |
|--------------|-----------------------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3542090    | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-03-10 (195         | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT DOES<br>NOT WANT TO<br>RETURN TO CLINIC<br>FOR DECISION<br>VISIT AND JUST<br>WANTS TO BE<br>UNBLINDED OVER<br>THE PHONE.<br>PREFERS TO GET<br>VACCINE OUTSIDE<br>OF STUDY<br>(DESPITE NOT<br>KNOWING IF GOT<br>VACCINE IN<br>STUDY). |         | No/<br>2020-09-24 (28)                             | Adverse Event/<br>AE #2                                              |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| US3542168     | 2020-09-04                  | AMENDMENT :         | 3 ONGOING                                                                     |                                                            |         | No/<br>2020-10-01 (28)                                                          | Physician Decision/<br>PHYSICIAN WITHHELD<br>DOSE DUE TO<br>HOSPITAL ADMISSIONS<br>FOR PSYCHIATRIC<br>REASONS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3542197     | 2020-09-10                  | AMENDMENT 3         | <sup>3</sup> No/<br>2020-12-31 (113 | Protocol<br>) Deviation/<br>PARTICIPANT<br>INFORMED PI THAT<br>HE HAD RECEIVED<br>THE PFIZER<br>COVID-19 VACCINE<br>ON 28DEC2020<br>UNDER EUA. HE<br>WAS UNBLINDED ON<br>31DEC2020 AND<br>FOUND TO HAVE<br>RECEIVED<br>PLACEBO.<br>WITHDRAWN PER<br>SPONSOR<br>INSTRUCTIONS. |         | Yes/<br>2020-10-12 (33)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |           | Completed Study, | /                |            | Completed Dosing |                    |
|-----------|------------|-----------|------------------|------------------|------------|------------------|--------------------|
|           |            |           | Date of Study    |                  | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   | _         |                  | Reason for Study |            | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol  | -                | Discontinuation/ |            | or Completion    | Discontinuation/   |
| ID        | Date       | Version   | (Day)            | Specified Reason | Performed? | (Day)            | Specified Reason   |
| JS3542210 | 2020-09-11 | AMENDMENT | 3 No/            | Withdrawal of    |            | Yes/             |                    |
| 555542210 | 2020 09 11 | ANDINDINI | 2020-12-16 (97)  |                  |            | 2020-10-09 (29)  |                    |
|           |            |           | 2020 12 10 (97)  | Participant/     |            | 2020 10 00 (20)  |                    |
|           |            |           |                  | PARTICIPANT HAD  |            |                  |                    |
|           |            |           |                  | ACCESS TO PFIZER |            |                  |                    |
|           |            |           |                  | VACCINE UNDER    |            |                  |                    |
|           |            |           |                  | EUA DUE TO BEING |            |                  |                    |
|           |            |           |                  | A HEALTHCARE     |            |                  |                    |
|           |            |           |                  | WORKER AND DID   |            |                  |                    |
|           |            |           |                  | NOT WANT TO WAIT |            |                  |                    |
|           |            |           |                  | FOR PA6 TO BE    |            |                  |                    |
|           |            |           |                  | APPROVED IN      |            |                  |                    |
|           |            |           |                  | CURRENT TRIAL.   |            |                  |                    |
|           |            |           |                  | REQUESTED TO BE  |            |                  |                    |
|           |            |           |                  | UNBLINDED AND    |            |                  |                    |
|           |            |           |                  | WITHDRAWN.       |            |                  |                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |             | Completed Study | /                |            | Completed Dosing |                    |
|-----------|------------|-------------|-----------------|------------------|------------|------------------|--------------------|
|           |            |             | Date of Study   |                  | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   |             | Discontinuation | Reason for Study | Death/     | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol    | or Completion   | Discontinuation/ | Autopsy    | or Completion    | Discontinuation/   |
| ID        | Date       | Version     | (Day)           | Specified Reason | Performed? | (Day)            | Specified Reason   |
| JS3542229 | 2020 00 15 | AMENDMENT   | 2 No /          | Withdrawal of    |            | Yes/             |                    |
| JSSJ4ZZZ9 | 2020-09-15 | AMENDMENI . | 2020-12-18 (95) |                  |            | 2020-10-13 (29)  |                    |
|           |            |             | 2020-12-10 (93) | Participant/     |            | 2020-10-13 (29)  |                    |
|           |            |             |                 | PARTICIPANT HAD  |            |                  |                    |
|           |            |             |                 | ACCESS TO PFIZER |            |                  |                    |
|           |            |             |                 | VACCINE UNDER    |            |                  |                    |
|           |            |             |                 | EUA DUE TO BEING |            |                  |                    |
|           |            |             |                 | A HEALTHCARE     |            |                  |                    |
|           |            |             |                 | WORKER AND DID   |            |                  |                    |
|           |            |             |                 | NOT WANT TO WAIT |            |                  |                    |
|           |            |             |                 | FOR PA6 TO BE    |            |                  |                    |
|           |            |             |                 | APPROVED IN      |            |                  |                    |
|           |            |             |                 | CURRENT TRIAL.   |            |                  |                    |
|           |            |             |                 | REQUESTED TO BE  |            |                  |                    |
|           |            |             |                 | UNBLINDED AND    |            |                  |                    |
|           |            |             |                 | WITHDRAWN.       |            |                  |                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3542254     | 2020-09-17                  | AMENDMENT :         | 3 No/<br>2021-03-13 (178 | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT IS<br>DEALING WITH<br>MULTIPLE FAMILY<br>MEDICAL ISSUES<br>AND CAN NO<br>LONGER ARRANGE<br>TO COME FOR<br>STUDY VISITS. |         | Yes/<br>2020-10-16 (30)                                                          |                                                                           |
| US3552009     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2020-12-22 (133 | Other/<br>)SUBJECT IS<br>RECEIVING PFIZER<br>THROUGH WORK VIA<br>EUA                                                                                                                |         | Yes/<br>2020-09-07 (27)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3552019     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-12-22 (133 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-09 (29)                                                          |                                                                           |
| US3552029     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-12-18 (128 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-14 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                             |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                      | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3552036     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2020-12-28 (137 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-11 (29)                                     |                                                                      |
| US3552038     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2020-12-16 (125 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-08 (26)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3552046     | 2020-08-15                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (131 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-14 (31)                                                         |                                                                           |
| US3552087     | 2020-08-19                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                               |         | No/<br>2020-09-15 (28)                                                          | Adverse Event/<br>AE #1                                                   |
| JS3552103     | 2020-08-20                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                               |         | No/<br>2020-09-16 (28)                                                          | Adverse Event/<br>AE #1                                                   |
| US3552107     | 2020-08-20                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                               |         | No/<br>2020-09-16 (28)                                                          | Other/<br>SUBJECT IN ILLNESS<br>PERIOD                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3552135     | 2020-08-22                  | AMENDMENT 2         | 2 No/<br>2020-12-29 (130                                                      | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-17 (27)                                                         |                                                                           |
| US3552140     | 2020-08-22                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                               |         | No/<br>2020-09-18 (28)                                                          | Serious Adverse<br>Event/<br>SAE #2                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3552162     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-22 (120 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-21 (28)                                                          |                                                                           |
| US3552167     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-16 (114 | Other/<br>)WILL RECEIVE<br>PFIZER VACCINE<br>THROUGH WORK VIA<br>EUA                                                          |         | Yes/<br>2020-09-22 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study | /                                                        |         | Completed Dosing/                                           | /                                                                    |
|---------------|-----------------------------|---------------------|-----------------|----------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                 | Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3552172     | 2020-08-25                  | AMENDMENT           | 3 No/           | Protocol                                                 |         | Yes/                                                        |                                                                      |
|               | 2020 00 20                  |                     | 2020-12-18 (116 |                                                          |         | 2020-09-19 (26)                                             |                                                                      |
|               |                             |                     |                 | SUBJECT RECEIVED                                         |         |                                                             |                                                                      |
|               |                             |                     |                 | PFIZER VACCINE                                           |         |                                                             |                                                                      |
|               |                             |                     |                 | THROUGH HIS                                              |         |                                                             |                                                                      |
|               |                             |                     |                 | PLACE OF WORK,                                           |         |                                                             |                                                                      |
|               |                             |                     |                 | NOTIFIED SITE OF                                         |         |                                                             |                                                                      |
|               |                             |                     |                 | THIS ON 12/18/20                                         |         |                                                             |                                                                      |
|               |                             |                     |                 | AFTER THE FACT.                                          |         |                                                             |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                           |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3552204     | 2020-08-27                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                |         | No/<br>2020-09-23 (28)                                                          | Physician Decision/<br>SUBJECT TRAVELING<br>FOR NEXT 2 WKS;<br>UNABLE TO STAY<br>WITHIN ONE HR OF<br>SITE DURING EDIARY<br>PERIOD; SUBJECT<br>ALSO HAS A SINUS<br>INFECTION AND IS ON<br>PREDNISONE |
| US3552206*    | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-17 (113 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 AND FOUND TO<br>BE PLACEBO AND<br>HAD ACCESS TO<br>PFIZER EUA<br>THROUGH WORK |         | Yes/<br>2020-09-24 (29)                                                         |                                                                                                                                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent<br>Date | Protocol<br>Version |                          | //<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                               | Autopsy    | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|-----------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
|           | Date                        | VEISION             | (Day)                    | Specified Reason                                                                                                               | rerrormed. | (Day)                                                                           | Specified Reason                                                          |
| US3552232 | 2020-08-29                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                |            | No/<br>2020-09-25 (28)                                                          | Serious Adverse<br>Event/<br>SAE #3                                       |
| JS3552261 | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-23 (114 | Other/<br>4)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |            | Yes/<br>2020-09-29 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3552263     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-04 (126 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO<br>ACCESS TO PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-29 (29)                                                          |                                                                           |
| US3552273     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-03-01 (182 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF STUDY                                                |         | No/<br>2020-09-28 (28)                                                           | Adverse Event/<br>AE #1                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | 7 /                                                                                                                                |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3552275     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-22 (113 | Other/<br>S)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO BEING<br>OFFERED PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-09-30 (30)                                     |                                                                      |
| US3552312     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-16 (105 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO BEING<br>OFFERED PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO  |         | Yes/<br>2020-10-01 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     |                          | n Reason for Study                                                                                                                | Completed Dosing<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                              | <br>or Completion<br>(Day)                            | Discontinuation/<br>Specified Reason |
| US3552331     | 2020-09-04      | AMENDMENT           | 3 ONGOING                |                                                                                                                                   | No/<br>2020-10-01 (28)                                | Serious Adverse<br>Event/<br>SAE #1  |
| US3552334     | 2020-09-04      | AMENDMENT           | 3 No/<br>2020-12-23 (111 | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO BEING<br>OFFERED PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO | Yes/<br>2020-10-02 (29)                               |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3552346     | 2020-09-05                  | AMENDMENT           | 3 No/<br>2020-12-16 (103) | Other/<br>)SUBJECT WAS<br>UNBLINDED UNDER<br>PA5 DUE TO BEING<br>OFFERED PFIZER<br>EUA THROUGH WORK<br>AND FOUND TO BE<br>PLACEBO |         | Yes/<br>2020-10-02 (28)                                                          |                                                                      |
| US3552365     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-22 (106) | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>THROUGH WORK                                                        |         | Yes/<br>2020-10-06 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3552397     | 2020-09-09                  | AMENDMENT 3         | 3 No/<br>2020-11-16 (68)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CALLED<br>TO STATE HE IS<br>NO LONGER<br>WILLING TO COME<br>TO THE SITE AND<br>WISHES TO<br>WITHDRAW CONSENT |         | Yes/<br>2020-10-12 (33)                                                          |                                                                      |
| US3552413     | 2020-09-11                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                                                                                     |         | No/<br>2020-10-08 (28)                                                           | Other/<br>SUBJECT IN ILLNESS<br>PERIOD                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3552427     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2021-02-16 (156 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER EUA<br>VACCINE; HAD NOT<br>REPORTED EITHER<br>DOSE AT PREVIOUS<br>CONTACTS OR<br>DECISION VISIT. |         | Yes/<br>2020-10-19 (36)                                                          |                                                                           |
| US3552429     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-22 (100 | Other/<br>)SUBJECT WAS<br>UNBLINDED DUE TO<br>BEING OFFERED<br>PFIZER EUA<br>THROUGH WORK<br>UNDER PA5 AND<br>FOUND TO BE<br>PLACEBO                   |         | Yes/<br>2020-10-12 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                            |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                     | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3552436     | 2020-09-15                  | AMENDMENT (         | 3 No/<br>2021-01-12 (120 | Protocol<br>)Deviation/<br>SUBJECT NOTIFIED<br>SITE AFTER THE<br>FACT THAT SHE<br>HAD RECEIVED EUA<br>PFIZER |         | Yes/<br>2020-10-14 (30)                                     |                                                                      |
| US3552444     | 2020-09-16                  | AMENDMENT (         | 3 ONGOING                |                                                                                                              |         | No/<br>2020-10-13 (28)                                      | Adverse Event/<br>AE #3                                              |
| US3552465     | 2020-09-18                  | AMENDMENT (         | 3 No/<br>2020-12-22 (96) | Other/<br>SUBJECT BEING<br>OFFERED PFIZER<br>VACCINE THROUGH<br>WORK VIA EUA                                 |         | Yes/<br>2020-10-19 (32)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                        |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| US3552474     | 2020-09-19                  | AMENDMENT 3         | 3 No/<br>2021-01-20 (124                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>OUTSIDE OF STUDY                               |         | Yes/<br>2020-10-20 (32)                                                         |                                                                                                                  |
| US3552533     | 2020-10-15                  | AMENDMENT 4         | 4 No/<br>2020-12-01 (48)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT<br>01DEC2020 DUE TO<br>WORK SCHEDULE |         | No/<br>2020-11-11 (28)                                                          | Other/<br>SUBJECT DID NOT<br>SHOW UP FOR<br>MULTIPLE<br>APPOINTMENTS AND<br>SITE HAS BEEN<br>UNABLE TO REACH HIM |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |             | Completed Study          | /                                                                                                                                                                      |            | Completed Dosing,      | /                                                                                                                                                                  |
|-----------|------------|-------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|           |            |             | Date of Study            |                                                                                                                                                                        | Date of    | Date of Dosing         | Primary Reason for                                                                                                                                                 |
|           | Informed   |             | Discontinuation          | Reason for Study                                                                                                                                                       | Death/     | Discontinuation        | Dosing                                                                                                                                                             |
| Subject   | Consent    | Protocol    | or Completion            | Discontinuation/                                                                                                                                                       | Autopsy    | or Completion          | Discontinuation/                                                                                                                                                   |
| ID        | Date       | Version     | (Day)                    | Specified Reason                                                                                                                                                       | Performed? | (Day)                  | Specified Reason                                                                                                                                                   |
| US3562004 | 2020-08-04 | AMENDMENT 1 | - No/<br>2020-09-21 (49) | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WANT<br>TO CONTINUE WITH<br>STUDY AND<br>WITHDREW<br>CONSENT. DID NOT<br>PROVIDE SPECIFIC<br>REASON FOR NOT |            | No/<br>2020-08-31 (28) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DOES NOT<br>WANT TO CONTINUE<br>WITH STUDY AND DID<br>NOT PROVIDE<br>SPECIFIC REASON FOR<br>NOT TO CONTINUE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3562015     | 2020-08-06                  | AMENDMENT :         | 1 No/<br>2021-03-23 (230 | Withdrawal of<br>)Consent by<br>Participant/<br>SPONSOR DECIDED<br>LAST DOSE FOR<br>STUDY VACCINE<br>MARCH 25TH2021<br>AND PT RECEIVED<br>MONOCONOL<br>ANITBODIES AND<br>NOT ELIGIBLE TO<br>RECEIVED VACCINE<br>TILL APR9TH2021.<br>PT WITHDREW<br>CONSENT |         | Yes/<br>2020-09-03 (29)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3562031     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-12-15 (126 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                   |         | Yes/<br>2020-09-14 (34)                                                          |                                                                           |
| US3562040     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-01-04 (144 | Protocol<br>)Deviation/<br>PT RECEIVED<br>PFIZER VACCINE<br>DURING WEEKEND                                   |         | Yes/<br>2020-09-18 (36)                                                          |                                                                           |
| US3562043     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-01-04 (144 | Protocol<br>)Deviation/<br>PATIENT ALREADY<br>RECEIVED MODERNA<br>VACCINE FROM<br>EMPLOYEER ON<br>12/26/2020 |         | Yes/<br>2020-09-18 (36)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3562049     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-03-10 (206 | Lost to Follow-Up                                                    | )       | Yes/<br>2020-09-15 (30)                                                          |                                                                           |
| US3562052     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-01-12 (148 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE |         | Yes/<br>2020-09-15 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |   | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3562080     | 2020-08-26                  | AMENDMENT           |   | Other/<br>) PT IS MOVING TO<br>(b) (6) AND<br>OTHER SITE IS<br>NOT WILLING TO<br>ACCEPT TRANSFER<br>PATIENT !<br>PATIENT CAN NOT<br>COME BACK FOR<br>2ND VACCINE AND<br>WITHDREW CONSENT |         | Yes/<br>2020-09-22 (28)                                                          |                                                                           |
| US3562084     | 2020-08-27                  | AMENDMENT           | / | Other/<br>3)CDC CRITERIA MET<br>TO RECEIVED<br>PFIZER EUA<br>VACCINE                                                                                                                     |         | Yes/<br>2020-09-24 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3562101     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-11-25 (86) | Lost to Follow-Up                                                                                                                        | 0       | Yes/<br>2020-09-29 (29)                                                         |                                                                           |
| US3562136     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-02-02 (147 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA MODERNA ON<br>12/27/21 FROM<br>WORK AND DOES<br>NOT WANT TO<br>CONTINUE WITH<br>STUDY |         | Yes/<br>2020-10-05 (27)                                                         |                                                                           |
| US3562158     | 2020-09-12                  | AMENDMENT           |                          | Other/<br>)CDC CRITERIA MET<br>TO RECEIVE EUA<br>PFIZER VACCINE                                                                          |         | Yes/<br>2020-10-13 (32)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                   |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| US3562195     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2020-10-21 (29) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DENIED<br>FOR NASAL SWAB<br>AND WITHDREW<br>CONSENT AS CAN<br>NOT DISPSEND<br>VACCINE WITHOUT<br>NASAL SWAB PER<br>PROTOCOL |         | No/<br>2020-10-20 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WANT TO<br>DO NASAL SWAB AND<br>WITHDREW CONSENT |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3562201     | 2020-09-28                  | AMENDMENT           | 3 No/<br>2021-03-11 (165 | Withdrawal of<br>)Consent by<br>Participant/<br>TRANSPORTATION<br>ISSUE TO TRAVEL<br>ACROSS THE<br>COUNTIES OVER<br>THE LONG<br>DISTANCE |         | Yes/<br>2020-11-02 (36)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|              |                 |                     | Completed Study<br>Date of Study | /                                    | Date of | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for              |
|--------------|-----------------|---------------------|----------------------------------|--------------------------------------|---------|-------------------------------------|--------------------------------------|
|              | Informed        |                     | -                                | Reason for Study                     |         | Discontinuation                     | Dosing                               |
| Subject<br>D | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason |
| IS3562220    | 2020-10-08      | AMENDMENT 4         | 1 No/                            | Other/                               |         | Yes/                                |                                      |
|              |                 |                     | 2021-01-29 (114                  | )PT IS IN JAIL                       |         | 2020-11-05 (29)                     |                                      |
|              |                 |                     |                                  | (b) (6)                              |         |                                     |                                      |
|              |                 |                     |                                  | SITE DOES NOT                        |         |                                     |                                      |
|              |                 |                     |                                  | HAVE INFORMATION                     |         |                                     |                                      |
|              |                 |                     |                                  | WHEN PATIENT                         |         |                                     |                                      |
|              |                 |                     |                                  | WILL RELEASE                         |         |                                     |                                      |
|              |                 |                     |                                  | FROM JAIL AND PT                     |         |                                     |                                      |
|              |                 |                     |                                  | IS NOT ELIGIBLE                      |         |                                     |                                      |
|              |                 |                     |                                  | TO CONTINUE WITH                     |         |                                     |                                      |
|              |                 |                     |                                  | STUDY                                |         |                                     |                                      |
| IS3562232    | 2020-10-16      | AMENDMENT 4         | 1 No/                            | Lost to Follow-Up                    | )       | No/                                 | Lost to Follow-Up                    |
|              |                 |                     | 2020-12-14 (60)                  |                                      |         | 2020-11-12 (28)                     |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  |                          | /<br>Reason for Study<br>Discontinuation/                                                                                                                                                                         | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                                          |
|-----------|---------------------|-----------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                                                                                  | <br>-                                                                  | Specified Reason                                                                                                                                                                                               |
| US3562236 | 2020-10-20          | AMENDMENT | 4 No/<br>2020-11-19 (31) | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT<br>WANT TO DO BLOOD<br>DRAW ANYMORE AND<br>SITE CAN NOT<br>DOSE PATIENT<br>WITHOUT BLOOD<br>DRAW AND SWAB.<br>PT WITHDREW<br>CONSENT AND<br>WALKED OUT | No/<br>2020-11-16 (28)                                                 | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WANT<br>TO DO BLOOD DRAW<br>ANYMORE AND SITE<br>CAN NOT DOSE<br>PATIENT WITHOUT<br>BLOOD DRAW AND<br>SWAB. PT WITHDREW<br>CONSENT AND WALKED<br>OUT |
| US3572004 | 2020-08-17          | AMENDMENT | 2 No/<br>2021-03-12 (208 | Protocol<br>)Deviation/<br>SUBJECT DOSED<br>OUTSIDE OF THE<br>STUDY.                                                                                                                                              | Yes/<br>2020-09-14 (29)                                                |                                                                                                                                                                                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | //<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572005     | 2020-08-17                  | AMENDMENT :         | 2 No/<br>2021-01-18 (155 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-09-14 (29)                                                         |                                                                           |
| US3572012     | 2020-08-18                  | AMENDMENT :         | 2 No/<br>2021-02-23 (190 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>THROUGH EMPLOYER<br>BECAUSE HE IS A<br>EMERGENCY ROOM<br>PHYSICIAN.                         |         | No/<br>2020-09-14 (28)                                                          | Other/<br>OUT OF WINDOW FOR<br>SECOND DOSE                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572017     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2020-12-18 (122                                                      | Protocol<br>2) Deviation/<br>SUBJECT GOT<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES SO AS<br>PER SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-09-22 (35)                                                         |                                                                           |
| US3572022     | 2020-08-19                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                                            |         | No/<br>2020-09-15 (28)                                                          | Protocol Deviation/<br>PATIENT OUT OF<br>WINDOW BY 5 DAYS                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572024     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2021-02-11 (177                                                      | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-09-22 (35)                                                         |                                                                           |
| US3572028     | 2020-08-19                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                                              |         | No/<br>2020-09-15 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |                             |                     | Completed Study          | 7/                                                                                                                                                            |            | Completed Dosing                                   | /                                                                    |
|-----------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------|----------------------------------------------------------------------|
| Subject   | Informed<br>Consent<br>Date | Protocol<br>Version | or Completion            | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| ID        | Dale                        | Version             | (Day)                    | specified Reason                                                                                                                                              | Periormed: | (Day)                                              | specified Reason                                                     |
| US3572045 | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-01-18 (152 | Protocol<br>2) Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |            | Yes/<br>2020-09-24 (36)                            |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |             | Completed Study                  | r/                 |                   | Completed Dosing                  | /                            |
|-----------|------------|-------------|----------------------------------|--------------------|-------------------|-----------------------------------|------------------------------|
|           | Informed   |             | Date of Study<br>Discontinuation | n Reason for Study | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol    | or Completion                    |                    | Autopsy           | or Completion                     | Discontinuation/             |
| ID        | Date       | Version     | (Day)                            | Specified Reason   | Performed?        | (Day)                             | Specified Reason             |
| US3572062 | 2020-08-21 | AMENDMENT   | 2 No/                            | Protocol           |                   | Yes/                              |                              |
| 155572002 | 2020 00 21 | AMENDMENT / | 2021-01-18 (151                  |                    |                   | 2020-09-21 (32)                   |                              |
|           |            |             | 2021-01-10 (1)1                  | ·                  |                   | 2020-09-21 (32)                   |                              |
|           |            |             |                                  | SUBJECT RECEIVED   |                   |                                   |                              |
|           |            |             |                                  | VACCINE OUTSIDE    |                   |                                   |                              |
|           |            |             |                                  | OF STUDY           |                   |                                   |                              |
|           |            |             |                                  | CONFINES. AS PER   |                   |                                   |                              |
|           |            |             |                                  | SPONSOR            |                   |                                   |                              |
|           |            |             |                                  | DIRECTIVE          |                   |                                   |                              |
|           |            |             |                                  | SUBJECT NEEDS TO   |                   |                                   |                              |
|           |            |             |                                  | BE WITHDRAWN.      |                   |                                   |                              |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3572064 2020-08-21 AMENDMENT 2 No/ Protocol No/ Withdrawal of 2021-03-04 (196) Deviation/ 2020-09-17 (28) Consent by SUBJECT GOT Participant/ COVID-19 PFIZER SUBJECT DID NOT VACCINE OUTSIDE RETURN FOR 2ND DOSE THE CONFINES OF DESPITE NUMEROUS THE STUDY CALLS. SUBJECT RECEIVED PFIZER VACCINE OFF STUDY AND WAS WITHDRAWN

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                               | Autopsy            | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572074     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2021-02-22 (183            | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |                    | Yes/<br>2020-09-22 (30)                                                          |                                                                           |
| US3572078     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                           |                                                                                                                                                             |                    | No/<br>2020-09-20 (28)                                                           | Lost to Follow-Up                                                         |
| US3572086     | 2020-08-25                  | AMENDMENT 3         | <sup>3</sup> No/<br>2021-02-12 (172 | Death<br>)                                                                                                                                                  | 2021-02-12/<br>UNK | ′Yes/<br>2020-09-23 (30)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3572094     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-10-14 (50)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>STUDY NURSE<br>CALLED SITE AND<br>INFORMED US THAT<br>PATIENT WANTED<br>TO WITHDRAW. |         | No/<br>2020-09-22 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>STUDY NURSE CALLED<br>SITE AND INFORMED<br>US THAT PATIENT<br>WANTED TO WITHDRAW.                           |
| US3572095     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-09 (106                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>LACK OF<br>AVAILABLE TIME<br>TO CONTINUE<br>PARTICIPATING IN<br>THE STUDY           |         | No/<br>2020-09-22 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED TO<br>WITHDRAW CONSENT<br>DUE TO A LACK OF<br>AVAILABLE TIME TO<br>CONTINUE IN THE<br>STUDY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | 7/                                                                                                                                                         |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3572110     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-08 (135                                   | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-09-24 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | 7/                                                                                                                                                           |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3572130     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-20 (146                                   | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-09-29 (33)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |             | Completed Study                  | /                  |                   | Completed Dosing                  | /                            |
|-----------|------------|-------------|----------------------------------|--------------------|-------------------|-----------------------------------|------------------------------|
|           | Informed   |             | Date of Study<br>Discontinuation | n Reason for Study | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol    | or Completion                    |                    | Autopsy           | or Completion                     | Discontinuation/             |
| ID        | Date       | Version     | (Day)                            | Specified Reason   | Performed?        | (Day)                             | Specified Reason             |
| US3572139 | 2020-08-28 | AMENDMENT   | 3 No/                            | Protocol           |                   | Yes/                              |                              |
| 055572159 | 2020-00-20 | AMENDMENI . | 2020-12-28 (123                  |                    |                   |                                   |                              |
|           |            |             | 2020-12-28 (123                  | ,                  |                   | 2020-09-28 (32)                   |                              |
|           |            |             |                                  | SUBJECT RECEIVED   |                   |                                   |                              |
|           |            |             |                                  | VACCINE OUTSIDE    |                   |                                   |                              |
|           |            |             |                                  | OF STUDY           |                   |                                   |                              |
|           |            |             |                                  | CONFINES. AS PER   |                   |                                   |                              |
|           |            |             |                                  | SPONSOR            |                   |                                   |                              |
|           |            |             |                                  | DIRECTIVE          |                   |                                   |                              |
|           |            |             |                                  | SUBJECT NEEDS TO   |                   |                                   |                              |
|           |            |             |                                  | BE WITHDRAWN.      |                   |                                   |                              |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3572145 2020-08-31 AMENDMENT 3 No/ Protocol Yes/ 2020-09-29 (30) 2021-02-12 (166) Deviation/ SUBJECT GOT VACCINE OUTSIDE OF STUDY CONFINES AND AS PER SPONSOR DIRECTIVES THE SUBJECT NEEDS TO BE WITHDRAWN FROM STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | 7/                                                                                                                                                         |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3572147     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2021-01-26 (149                                   | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-09-29 (30)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |           | Completed Study                  | ./                 | Data of           | Completed Dosing                  |                              |
|-----------|------------|-----------|----------------------------------|--------------------|-------------------|-----------------------------------|------------------------------|
|           | Informed   |           | Date of Study<br>Discontinuation | n Reason for Study | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol  |                                  | Discontinuation/   |                   | or Completion                     | Discontinuation/             |
| ID        | Date       | Version   | (Day)                            | Specified Reason   | Performed?        | (Day)                             | Specified Reason             |
| JS3572160 | 2020-09-01 | AMENDMENT | 3 No/                            | Protocol           |                   | Yes/                              |                              |
|           |            |           | 2020-12-28 (119                  |                    |                   | 2020-10-05 (35)                   |                              |
|           |            |           |                                  | SUBJECT WAS        |                   |                                   |                              |
|           |            |           |                                  | UNBLINDED BY PI    |                   |                                   |                              |
|           |            |           |                                  | BECAUSE SUBJECT    |                   |                                   |                              |
|           |            |           |                                  | WAS ELIGIBLE FOR   |                   |                                   |                              |
|           |            |           |                                  | VACCINE OUTSIDE    |                   |                                   |                              |
|           |            |           |                                  | OF THE STUDY.      |                   |                                   |                              |
|           |            |           |                                  | SUBJECT WILL BE    |                   |                                   |                              |
|           |            |           |                                  | WITHDRAWN          |                   |                                   |                              |
|           |            |           |                                  | BECAUSE IT WAS     |                   |                                   |                              |
|           |            |           |                                  | BEFORE A6.         |                   |                                   |                              |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572163     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-06 (128 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-09-30 (30)                                                          |                                                                           |
| US3572186     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-03-18 (196 | Protocol<br>)Deviation/<br>SUBJECT GOT<br>PFIZER VACCINE.<br>AS PER SPONSOR<br>DIRECTIVE PLEASE<br>WITHDRAW.                                                |         | Yes/<br>2020-10-05 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | 7/                                                                                                                                                        |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3572191     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-01-18 (137                                   | Protocol<br>) Deviation/<br>SUBJECT GOT<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES SO AS<br>PER SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-10-05 (32)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                            |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3572198     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-01-23 (142 | Protocol<br>) Deviation/<br>SUBJECT<br>WITHDRAWN FROM<br>STUDY BECAUSE<br>SHE RECEIVED THE<br>VACCINE OUTSIDE<br>OF STUDY. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN<br>FROM STUDY. |         | No/<br>2020-10-01 (28)                                                           | Other/<br>SUBJECT WITHDRAWN<br>FROM STUDY BECAUSE<br>SHE RECEIVED THE<br>VACCINE OUTSIDE OF<br>STUDY. AS PER<br>SPONSOR DIRECTIVE<br>SUBJECT NEEDS TO BE<br>WITHDRAWN FROM<br>STUDY. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                             |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3572205     | 2020-09-07                  | AMENDMENT (         | 3 No/<br>2021-02-02 (149 | Protocol<br>) Deviation/<br>SUBJECT RECIEVED<br>VACCINE OUTSIDE<br>OF THE STUDY<br>CONFINES.<br>SUBJECT NEEDS TO<br>BE WITHDRAWN AS<br>PER EPIP<br>DIRECTIVE. |         | Yes/<br>2020-10-06 (30)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3572219 2020-09-08 AMENDMENT 3 No/ Protocol Yes/ 2021-01-06 (121) Deviation/ 2020-10-07 (30) SUBJECT WITHDRAWN FROM STUDY BECAUSE SHE RECEIVED THE VACCINE OUTSIDE OF STUDY. AS PER SPONSOR DIRECTIVE SUBJECT NEEDS TO BE WITHDRAWN FROM STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572222     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2021-03-09 (183 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>VACCINATED<br>OUTSIDE OF THE<br>STUDY CONFINES.                                                                    |         | Yes/<br>2020-10-05 (28)                                                          |                                                                           |
| US3572229     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-01-06 (120 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-10-07 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572236     | 2020-09-09                  | AMENDMENT 3         | 3 ONGOING                |                                                                                                                                                             |         | No/<br>2020-10-06 (28)                                                           | Other/<br>NON-RESPONSIVE                                                  |
| US3572262     | 2020-09-10                  | AMENDMENT 3         | 3 No/<br>2021-01-08 (121 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-10-09 (30)                                                          |                                                                           |
| US3572281     | 2020-09-14                  | AMENDMENT 🤇         | 3 ONGOING                |                                                                                                                                                             |         | No/<br>2020-10-11 (28)                                                           | Other/<br>PATIENT DID NOT<br>MEET WINDOW FOR<br>SECOND DOSE               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | 7/                                                                                                                                                           |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3572288     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2021-01-18 (127                                   | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-10-14 (31)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572301     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-01-11 (118 | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-10-13 (28)                                                         |                                                                           |
| US3572305     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2021-02-16 (154 | Protocol<br>) Deviation/<br>SUBJECT GOT<br>PFIZER VACCINE<br>OUTSIDE OF THE<br>STUDY SO SUBJECT<br>NEEDS TO BE<br>WITHDRAWN.                               |         | Yes/<br>2020-10-13 (28)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                               |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| US3572308     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2021-03-24 (190 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHES<br>TO WITHDRAW<br>BECAUSE HE CAN<br>GET VACCINE<br>THROUGH HIS PCP.                          |         | Yes/<br>2020-10-12 (27)                                                          |                                                                                                                                         |
| US3572331     | 2020-09-21                  | AMENDMENT :         | 3 No/<br>2021-01-20 (122 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | No/<br>2020-10-18 (28)                                                           | Other/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE OF<br>STUDY CONFINES. AS<br>PER SPONSOR<br>DIRECTIVE SUBJECT<br>NEEDS TO BE<br>WITHDRAWN. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Discontinuation/ Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3572339 2020-09-23 AMENDMENT 3 No/ Protocol No/ Other/ 2021-02-11 (142) Deviation/ 2020-10-20 (28) PATIENT OUT OF SUBJECT GOT WINDOW FOR SECOND VACCINE OUTSIDE DOSE OF STUDY CONFINES. SUBJECT IS TO BE WITHDRAWN AS PER SPONSOR DIRECTIVE.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                        | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3572349     | 2020-09-25                  | AMENDMENT           | 3 No/<br>2020-12-22 (89) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF THE STUDY SO<br>SUBJECT NEEDS TO<br>BE WITHDRAWN AS<br>PER SPONSOR<br>DIRECTIVE. |         | Yes/<br>2020-10-26 (32)                                                          |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572351     | 2020-09-28                  | AMENDMENT 3         | 3 No/<br>2021-03-11 (165 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT HAD THE<br>OPPORTUNITY TO<br>GET VACCINE<br>THROUGH OUTSIDE<br>THE STUDY AND<br>WOULD LIKE TO<br>WITHDRAW BECAUSE<br>HE DOES NOT HAVE<br>TIME TO MAKE<br>APPOINTMENTS. |         | Yes/<br>2020-10-27 (30)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3572353     | 2020-09-29                  | AMENDMENT 3         | 3 No/<br>2021-01-27 (121                                                      | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY.<br>SUBJECT NEEDS TO<br>BE WITHDRAWN AS<br>PER EPIP<br>DIRECTIVE. |         | Yes/<br>2020-10-28 (30)                                                          |                                                                           |
| US3572365     | 2020-10-05                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                                                               |         | No/<br>2020-11-01 (28)                                                           | Other/<br>TECHNICAL<br>DIFFICULTIES WITH<br>ENDPOINT                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                                              |                   | Completed Dosing                  | /                                                                                                   |
|---------------|-----------------|---------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|-----------------------------------------------------------------------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                               | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                        |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                           |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                                |
| US3572367     | 2020-10-06      | AMENDMENT           | 3 No/<br>2020-11-03 (29)         | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED<br>TO WITHDRAW FROM<br>STUDY (b) (6)<br>(b) (6) |                   | No/<br>2020-11-02 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED TO<br>WITHDRAW FROM STUDY<br>(b) (6) |
| US3572369     | 2020-10-06      | AMENDMENT           | 3 No/<br>2020-11-05 (31)         | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED<br>TO WITHDRAW FROM<br>STUDY (b) (6)<br>(b) (6) |                   | No/<br>2020-11-02 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED TO<br>WITHDRAW FROM STUDY<br>(b) (6) |

<sup>\*</sup> indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

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### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason          |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| US3572370     | 2020-10-06                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                               |         | No/<br>2020-11-02 (28)                                                           | Other/<br>AS PER MODERNA THE<br>PATIENT IS NOT<br>ELIGIBLE FOR THE<br>SECOND DOSE. |
| US3572371     | 2020-10-06                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                               |         | No/<br>2020-11-02 (28)                                                           | Other/<br>PER MODERNA PATIENT<br>IS NOT ELIGIBLE TO<br>GET DOSED.                  |
| US3572378     | 2020-10-07                  | AMENDMENT           | 3 No/<br>2021-03-19 (164                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WANTS TO<br>PARTICIPATE. |         | Yes/<br>2020-11-04 (29)                                                          |                                                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                        |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| US3572395     | 2020-10-13                  | AMENDMENT 4         | 4 No/<br>2021-02-10 (121                                                      | Protocol<br>) Deviation/<br>SUBJECT GOT<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES SO AS<br>PER SPONSOR<br>DIRECTIVES THE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |         | Yes/<br>2020-11-11 (30)                                                         |                                                                                                  |
| US3572405     | 2020-10-20                  | AMENDMENT 4         | 4 ONGOING                                                                     |                                                                                                                                                                |         | No/<br>2020-11-16 (28)                                                          | Other/<br>SUBJECT WAS A<br>POTENTIAL LOST TO<br>FOLLOW BUT HE CAME<br>BACK FOR DECISION<br>VISIT |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                          | _       | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3572407     | 2020-10-22                  | AMENDMENT           | 4 ONGOING                |                                                            |         | No/<br>2020-11-18 (28)                                      | Lost to Follow-Up                                                    |
| US3582006     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-12-28 (133 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-09-16 (30)                                     |                                                                      |
| US3582015     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-22 (125 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-17 (29)                                     |                                                                      |
| US3582017     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-23 (126 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-09-17 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3582020     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-12-18 (120 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                              |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |
| US3582024     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2021-01-06 (139 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>NON-STUDY EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |
| US3582029     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-21 (120 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                              |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3582031     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-28 (127 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-21 (29)                                                          |                                                                      |
| US3582032     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-31 (130 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-23 (31)                                                          |                                                                      |
| US3582046     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-17 (115 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-21 (28)                                                          |                                                                      |
| US3582047     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-23 (121 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-22 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3582053     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-16 (113 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-22 (28)                                                          |                                                                           |
| US3582069     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-16 (111 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-23 (27)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | //<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                 |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3582080     | 2020-09-01                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                  |         | No/<br>2020-09-28 (28)                                                          | Other/<br>PARTICIPANT UNABLE<br>TO COMPLETE VISIT 2<br>WITHIN WINDOW DUE<br>TO FAMILY<br>EMERGENCY. DATE OF<br>DOSE<br>DISCONTINUATION<br>BASED ON DOSE 2<br>TARGET DATE. |
| US3582089     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-23 (113                                                      | Other/<br>3)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE       |         | Yes/<br>2020-09-30 (29)                                                         |                                                                                                                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3582116     | 2020-09-08                  | AMENDMENT 3         | 3 No/<br>2021-01-27 (142                                                      | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-10-07 (30)                                                          |                                                                           |
| US3582130     | 2020-09-10                  | AMENDMENT 🤇         | 3 No/<br>2021-01-06 (119                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE              |         | Yes/<br>2020-10-08 (29)                                                          |                                                                           |
| US3582166     | 2020-09-30                  | AMENDMENT 3         | <sup>3</sup> No/<br>2020-12-16 (78)                                           | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE              |         | Yes/<br>2020-10-28 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                   |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| US3582182     | 2020-10-12                  | AMENDMENT 4         | 4 No/<br>2021-01-04 (85) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>NON-STUDY EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-11-10 (30)                                                          |                                                                                                        |
| US3582190     | 2020-10-19                  | AMENDMENT 4         | 4 No/<br>2021-01-11 (85) | Other/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                |         | Yes/<br>2020-11-16 (29)                                                          |                                                                                                        |
| US3592005     | 2020-08-05                  | AMENDMENT (         | 1 No/<br>2020-08-21 (17) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DID NOT<br>WANT TO DO<br>E-DIARY |         | No/<br>2020-08-20 (16)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT<br>DUE TO NOT WANTING<br>TO DO E-DIARY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3592054     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-01-25 (172 | Protocol<br>) Deviation/<br>NO LONGER MEETS<br>INCLUSION#2<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>WAS NOT<br>UNBLINDED PRIOR     |         | Yes/<br>2020-09-04 (29)                                                         |                                                                           |
| US3592071     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2020-12-30 (145 | Protocol<br>) Deviation/<br>NO LONGER MEETS<br>INCLUSION #2<br>VACCINATED OUT<br>OF STUDY WITHOUT<br>CONTACTING SITE<br>PRIOR FOR<br>UNBLINDING. |         | Yes/<br>2020-09-05 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| US3592078     | 2020-08-09                  | AMENDMENT           | 1 No/<br>2020-08-28 (20) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>CONFLICTING<br>SCHEDULES FOR<br>RETURN VISITS |         | No/<br>2020-08-27 (19)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO CONFLICTING<br>SCHEDULE WITH<br>RETURN APPOINTMENTS |
| US3592118     | 2020-08-11                  | AMENDMENT           | - 1                      | Other/<br>)UNBLINDED UNDER<br>AMENDMENT 5,<br>ASSIGNED PLACEBO                                                                     |         | Yes/<br>2020-09-08 (29)                                                          |                                                                                                           |
| US3592156     | 2020-08-14                  | AMENDMENT           |                          | Other/<br>)SUBJECT OUT OF<br>STATE, NO LONGER<br>MEETS INCLUSION<br>CRITERIA #3                                                    |         | Yes/<br>2020-09-11 (29)                                                          |                                                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3592157     | 2020-08-14                  | AMENDMENT           | - 1                      | Other/<br>)SUBJECT TO MOVE<br>OUT OF STATE, NO<br>LONGER MEETS<br>INCLUSION #3. |         | Yes/<br>2020-09-11 (29)                                                          |                                                                           |
| US3592178     | 2020-08-15                  | AMENDMENT           | 2 No/<br>2021-01-08 (147 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF STUDY          |         | Yes/<br>2020-09-12 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        | Ductorel            |                          | n Reason for Study                                                                                                                                      |   | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | (Day)                    | Discontinuation/<br>Specified Reason                                                                                                                    |   | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3592202     | 2020-08-16      | AMENDMENT           | 2 No/<br>2020-11-25 (102 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>REQUESTED TO<br>DROP DUE TO NEW<br>DIAGNOSIS OF HIV<br>(HUMAN<br>IMMUNODEFICIENCY<br>VIRUS). |   | Yes/<br>2020-09-13 (29)                                |                                      |
| US3592207     | 2020-08-16      | AMENDMENT           | - 1                      | Other/<br>)UNBLINDED UNDER<br>AMENDMENT 5,<br>ASSIGNED PLACEBO                                                                                          |   | Yes/<br>2020-09-13 (29)                                |                                      |
| JS3592218     | 2020-08-17      | AMENDMENT           | 2 No/<br>2020-10-15 (60) | Lost to Follow-Up                                                                                                                                       | ) | Yes/<br>2020-09-14 (29)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3602002     | 2020-09-01                  | AMENDMENT (         | 3 No/<br>2020-12-17 (108                                                      | Other/<br>PREQUEST TO<br>UNBLIND BY THE<br>SUBJECT AND<br>MEETS THE EUA<br>FOR COVID-19<br>VACCINE<br>NOW AND IS ABLE<br>TO GET IT AT<br>WORKPLACE |         | Yes/<br>2020-09-30 (30)                                                         |                                                                           |
| US3602008     | 2020-09-02                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                                                                                    |         | No/<br>2020-09-29 (28)                                                          | Adverse Event/<br>AE #2                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study                  | /                                                                                                    |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                                                     | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                 | Autopsy           | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3602009     | 2020-09-03      | AMENDMENT           | 3 No/<br>2020-12-28 (117         | Protocol<br>)Deviation/<br>RECEIVED<br>NON-STUDY EUA<br>PFIZER COVID-19<br>VACCINE ON<br>16/DEC/2021 |                   | Yes/<br>2020-10-01 (29)           |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3602014     | 2020-09-03                  | AMENDMENT 🤇         | 3 No/<br>2020-12-16 (105 | Withdrawal of<br>)Consent by<br>Participant/<br>RECEIVED EPIP<br>APPROVAL TO<br>UNBLIND AS PER<br>SUBJECT'S<br>REQUEST<br>(HEALTHCARE<br>WORKER WHO IS<br>ELIGIBLE FOR THE<br>PFIZER VACCINE). |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study        | ·/                                   |         | Completed Dosing       | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|---------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of | Date of Dosing         | Primary Reason for                   |
|               | Informed        |                     | Discontinuatior        | n Reason for Study                   | Death/  | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| JS3602022     | 2020-09-09      | AMENDMENT           | 3 No/                  | Other/                               |         | Yes/                   |                                      |
| 00002022      | 2020 09 09      |                     | 2020-12-28 (111        | /                                    |         | 2020-10-08 (30)        |                                      |
|               |                 |                     |                        | UNBLIND BY THE                       |         |                        |                                      |
|               |                 |                     |                        | SUBJECT. REASON                      |         |                        |                                      |
|               |                 |                     |                        | FOR UNBLINDING:                      |         |                        |                                      |
|               |                 |                     |                        | MEETS CDC                            |         |                        |                                      |
|               |                 |                     |                        | CRITERIA TO                          |         |                        |                                      |
|               |                 |                     |                        | RECEIVE MODERNA<br>VACCINE UNDER     |         |                        |                                      |
|               |                 |                     |                        | EUA IN TIER 1A                       |         |                        |                                      |
|               |                 |                     |                        | AT HER FACILITY.                     |         |                        |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        | Duchasz             |                          | n Reason for Study                                                                                                               | Completed Dosing<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                             | <br>or Completion<br>(Day)                            | Discontinuation/<br>Specified Reason |
| US3602048     | 2020-09-17      | AMENDMENT           | 3 No/<br>2021-02-19 (156 | Protocol<br>Deviation/<br>RECEIVED<br>NON-STUDY EUA<br>MODERNA COVID-19<br>VACCINE ON<br>30/DEC/2020<br>OUTSIDE OF THE<br>STUDY. | Yes/<br>2020-10-15 (29)                               |                                      |
| US3602065     | 2020-09-21      | AMENDMENT           | 3 No/<br>2021-01-23 (125 | Protocol<br>) Deviation/<br>RECEIVED<br>NON-STUDY EUA<br>PFIZER COVID-19<br>VACCINE ON<br>30/DEC/2021.                           | Yes/<br>2020-10-22 (32)                               |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |           | Completed Study                  | /                                                                                      | Date of    | Completed Dosing,                 |                              |
|-----------|------------|-----------|----------------------------------|----------------------------------------------------------------------------------------|------------|-----------------------------------|------------------------------|
|           | Informed   |           | Date of Study<br>Discontinuation | Reason for Study                                                                       |            | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol  | or Completion                    | Discontinuation/                                                                       | Autopsy    | or Completion                     | Discontinuation/             |
| ID        | Date       | Version   | (Day)                            | Specified Reason                                                                       | Performed? | (Day)                             | Specified Reason             |
| US3602068 | 2020-09-21 | AMENDMENT | 3 No/<br>2020-12-29 (100         | UNBLIND BY THE<br>SUBJECT AND                                                          |            | Yes/<br>2020-10-23 (33)           |                              |
|           |            |           |                                  | MEETS THE EUA<br>FOR COVID-19<br>VACCINE NOW AND<br>IS ABLE TO GET<br>IT AT WORKPLACE. |            |                                   |                              |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3602073 2020-09-21 AMENDMENT 3 No/ Protocol Yes/ 2021-01-21 (123) Deviation/ 2020-10-19 (29) SUBJECT RECEIVED NON-STUDY EUA MODERNA COVID-19 VACCINE ON 5/JAN/2021 FROM WORK (SUBJECT KNEW, WITHOUT UNBLINDING, THEY RECEIVED PLACEBO DUE TO TESTING AND LACK OF SYMPTOMS) AND IS MOVING OUT OF STATE

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3602096     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2021-03-16 (174 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WANTED<br>TO WITHDRAW FROM<br>THE STUDY.   |         | Yes/<br>2020-10-22 (29)                                                          |                                                                           |
| US3602097     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2021-03-16 (174 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WOULD<br>LIKE TO WITHDRAW<br>FROM THE STUDY |         | Yes/<br>2020-10-22 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3602111     | 2020-09-29                  | AMENDMENT (         | 3 No/<br>2021-02-06 (131 | Protocol<br>)Deviation/<br>RECEIVED<br>NON-STUDY EUA<br>PFIZER COVID-19<br>VACCINE ON<br>13/JAN/2021<br>OUTSIDE OF THE<br>CLINICAL TRIAL |         | No/<br>2020-10-26 (28)                                                           | Due to SARS-CoV-2                                                         |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject   | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|-----------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3602118 | 2020-10-01                  | AMENDMENT           | 3 No/<br>2020-12-16 (77) | Withdrawal of<br>Consent by<br>Participant/<br>RECEIVED EPIP<br>APPROVAL TO<br>UNBLIND SUBJECTS<br>REQUEST (HCW WHO<br>IS ELIGIBLE FOR<br>THE PFIZER<br>VACCINE) |         | Yes/<br>2020-11-03 (34)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |   | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---|---------------------------------------------------------------|------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3602137     |                             |                     | - | Withdrawal of                                                 | Torrormed. | Yes/<br>2020-11-05 (29)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Cubicct       | Informed        | Protocol  |                          | Reason for Study                                           | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|-----------|--------------------------|------------------------------------------------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Version   | (Day)                    | Discontinuation/<br>Specified Reason                       | <br>or Completion<br>(Day)                             | Discontinuation/<br>Specified Reason |
| US3612069     | 2020-10-06      | AMENDMENT | 3 No/<br>2020-12-18 (74) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE  | Yes/<br>2020-11-11 (37)                                |                                      |
| US3612078     | 2020-10-19      | AMENDMENT | 4 No/<br>2020-12-18 (61) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE  | Yes/<br>2020-11-16 (29)                                |                                      |
| US3622002     | 2020-08-07      | AMENDMENT | 1 No/<br>2020-12-24 (137 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE | Yes/<br>2020-09-10 (32)                                |                                      |
| US3622006     | 2020-08-10      | AMENDMENT | 1 No/<br>2020-12-16 (129 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE | Yes/<br>2020-09-08 (30)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | /<br>Reason for Study                                      | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|-----------------------------------------------------|------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                       |                   | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3622008     | 2020-08-10      | AMENDMENT 3         | l No/<br>2020-12-23 (136                            | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |                   | Yes/<br>2020-09-09 (31)                                |                                      |
| US3622012     | 2020-08-11      | AMENDMENT (         | 1 No/<br>2020-11-13 (95)                            | Other/<br>PARTICIPANT<br>MOVED OUT OF<br>STATE.            |                   | No/<br>2020-09-07 (28)                                 | Adverse Event/<br>AE #1              |
| US3622017     | 2020-08-13      | AMENDMENT 1         | l No/<br>2020-12-21 (131                            | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |                   | Yes/<br>2020-09-10 (29)                                |                                      |
| US3622019     | 2020-08-13      | AMENDMENT 2         | 1 No/<br>2020-12-22 (132                            | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |                   | Yes/<br>2020-09-10 (29)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3622026     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-12-23 (132 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-11 (29)                                                          |                                                                      |
| US3622027     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-12-30 (139 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-11 (29)                                                          |                                                                      |
| US3622031     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-12-14 (123 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-15 (33)                                                          |                                                                      |
| US3622049     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-23 (126 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3622054     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2021-01-05 (139 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |
| US3622056     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-22 (124 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-17 (28)                                                          |                                                                      |
| US3622076     | 2020-08-25                  | AMENDMENT           | 2 No/<br>2020-12-28 (126 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-22 (29)                                                          |                                                                      |
| US3622079     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-12-16 (113 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-24 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3622081     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-12-17 (114 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-26 (32)                                                          |                                                                      |
| US3622087     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-16 (112 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-24 (29)                                                          |                                                                      |
| US3622093     | 2020-08-28                  | AMENDMENT           | 2 No/<br>2020-12-14 (109 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-25 (29)                                                          |                                                                      |
| US3622099     | 2020-08-28                  | AMENDMENT           | 2 No/<br>2020-12-18 (113 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-29 (33)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3622101     | 2020-08-28                  | AMENDMENT 2         | 2 No/<br>2020-10-20 (54) | Withdrawal of<br>Consent by<br>Participant                    |         | Yes/<br>2020-09-26 (30)                                                          |                                                                      |
| US3622102     | 2020-08-28                  | AMENDMENT 2         | 2 No/<br>2020-10-19 (53) | Withdrawal of<br>Consent by<br>Participant                    |         | Yes/<br>2020-09-26 (30)                                                          |                                                                      |
| US3622107     | 2020-08-29                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (117 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-26 (29)                                                          |                                                                      |
| US3622127     | 2020-09-01                  | AMENDMENT (         | 3 No/<br>2021-01-04 (126 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-29 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason      |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| US3622145     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-09-28 (26) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>WITHDREW CONSENT |         | No/<br>2020-09-27 (25)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>WITHDREW CONSENT |
| US3622167     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2021-01-13 (128 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                     |         | Yes/<br>2020-10-06 (29)                                                          |                                                                                |
| US3622175     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-29 (110 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                     |         | Yes/<br>2020-10-14 (34)                                                          |                                                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3622183     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-24 (102 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-15 (32)                                                          |                                                                           |
| US3622202     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2020-12-28 (102 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-20 (33)                                                          |                                                                           |
| US3622212     | 2020-09-21                  | AMENDMENT           | 3 No/<br>2021-01-21 (123 | Lost to Follow-Up<br>)                                         | )       | Yes/<br>2020-10-19 (29)                                                          |                                                                           |
| US3622227     | 2020-09-25                  | AMENDMENT           | 3 No/<br>2020-12-22 (89) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-23 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3622234     | 2020-09-26                  | AMENDMENT (         | 3 No/<br>2021-01-12 (109) | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-10-30 (35)                                                          |                                                                      |
| US3622251     | 2020-10-03                  | AMENDMENT (         | 3 No/<br>2020-12-17 (76)  | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-11-02 (31)                                                          |                                                                      |
| US3622253     | 2020-10-05                  | AMENDMENT 3         | 3 No/<br>2020-12-16 (73)  | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-11-02 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            | Completed Study/ |                          |                                                                           |            | Completed Dosing,       | ompleted Dosing/                                                                            |  |  |
|-----------|------------|------------------|--------------------------|---------------------------------------------------------------------------|------------|-------------------------|---------------------------------------------------------------------------------------------|--|--|
|           |            |                  | Date of Study            |                                                                           | Date of    | Date of Dosing          | Primary Reason for                                                                          |  |  |
|           | Informed   | _                |                          | Reason for Study                                                          |            | Discontinuation         | Dosing                                                                                      |  |  |
| Subject   | Consent    | Protocol         | -                        | Discontinuation/                                                          |            | or Completion           | Discontinuation/                                                                            |  |  |
| ID        | Date       | Version          | (Day)                    | Specified Reason                                                          | Performed? | (Day)                   | Specified Reason                                                                            |  |  |
| US3622282 | 2020-10-21 | AMENDMENT 4      | 4 ONGOING                |                                                                           |            | No/<br>2020-11-17 (28)  | Other/<br>UNABLE TO COMPLY<br>WITH PROTOCOL VISIT<br>REQUIREMENTS FOR<br>SECOND VACCINATION |  |  |
| US3622288 | 2020-10-23 | AMENDMENT 4      | 4 No/<br>2021-01-07 (77) | Withdrawal of<br>Consent by<br>Participant                                |            | Yes/<br>2020-11-20 (29) |                                                                                             |  |  |
| US3632002 | 2020-08-20 | AMENDMENT 2      | 2 No/<br>2021-01-17 (151 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE |            | Yes/<br>2020-09-15 (27) |                                                                                             |  |  |
| US3632004 | 2020-08-21 | AMENDMENT 2      | 2 No/<br>2020-12-20 (122 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                 |            | Yes/<br>2020-09-18 (29) |                                                                                             |  |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3632020     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-29 (124                                                      | Protocol<br>) Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-01 (35)                                                         |                                                                           |
| US3632025     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-24 (107                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-06 (28)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                                                                                                                                        | Completed Dosing                  | /                                                                                                                                                                                                     |
|---------------|-----------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|               | Informed        |                     |                          | n Reason for Study                                                                                                                                                                                       | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                                                                                                                          |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                                                                                     | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                                                                                                                                  |
| US3632032     | 2020-09-01      | AMENDMENT           | 3 No/<br>2020-10-19 (34) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>REQUESTED TO<br>WITHDRAW DUE TO<br>HEALTH<br>CHALLENGES AND A<br>NEW JOB, MAKING<br>IT DIFFICULT TO<br>KEEP<br>VISITS/STUDY<br>PROCEDURES. | No/<br>2020-10-13 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>REQUESTED TO<br>WITHDRAW DUE TO<br>HEALTH CHALLENGES<br>AND A NEW JOB,<br>MAKING IT DIFFICULT<br>TO KEEP<br>VISITS/STUDY<br>PROCEDURES. |
| US3632042     | 2020-09-03      | AMENDMENT           | 3 No/<br>2020-12-20 (109 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                                                                                                                                | Yes/<br>2020-10-01 (29)           |                                                                                                                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               | Informed        |                     | Completed Study<br>Date of Study | Date of Date of                                           |         | Completed Dosing,<br>Date of Dosing<br>Discontinuation | ate of Dosing Primary Reason for     |  |
|---------------|-----------------|---------------------|----------------------------------|-----------------------------------------------------------|---------|--------------------------------------------------------|--------------------------------------|--|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                      | Autopsy | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |  |
| US3632076     | 2020-09-11      | AMENDMENT (         | 3 No/<br>2020-12-23 (104         | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-09 (29)                                |                                      |  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | /                                                                                                                                                              |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3632080     | 2020-09-14                  | AMENDMENT           | 2020-10-30 (47)                                            | Withdrawal of<br>Consent by<br>Participant/<br>THE PARTICIPANT<br>WITHDREW FROM<br>THE STUDY<br>BECAUSE HE IS<br>LEAVING THE<br>STATE DUE TO (b) (6<br>(b) (6) |         | Yes/<br>2020-10-09 (26)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3632092     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2020-12-23 (98) | Protocol<br>Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-15 (29)                                                          |                                                                           |
| US3632110     | 2020-10-01                  | AMENDMENT           | 3 No/<br>2021-01-21 (113 | Lost to Follow-Up<br>)                                                   | )       | Yes/<br>2020-10-27 (27)                                                          |                                                                           |
| US3632112     | 2020-10-02                  | AMENDMENT           | 3 No/<br>2021-03-05 (155 | Lost to Follow-Up<br>)                                                   | )       | Yes/<br>2020-11-05 (35)                                                          |                                                                           |
| US3632117     | 2020-10-07                  | AMENDMENT           | 4 No/<br>2021-01-13 (99) | Protocol<br>Deviation/<br>EUA COVID-19<br>VACCINE                        |         | Yes/<br>2020-11-02 (27)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3642029 2020-08-27 AMENDMENT 2 No/ Protocol No/ Protocol Deviation/ 2021-02-27 (185) Deviation/ 2020-09-23 (28) PT HAS ONGOING SUBJECT RECEIVED DIAGNOSIS OF COVID-19 VACCINE CHRONIC LYMPHOID OUTSIDE OF STUDY LEUKEMIA THAT IS THROUGH EUA EXCLUSIONARY BUT WAS MISSED AT SCREENING. PT REC'D FIRST DOSE OF VACCINE, BUT D/C PRIOR TO RECEIVING 2ND DOSE.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study<br>Date of Study | 7/                                                                                                         | Date of | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for    |
|---------------|-----------------|---------------------|----------------------------------|------------------------------------------------------------------------------------------------------------|---------|-------------------------------------|----------------------------|
| Cubicat       | Informed        | Dratagal            |                                  | n Reason for Study                                                                                         |         | Discontinuation                     | Dosing<br>Discontinuation/ |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | (Day)                            | Discontinuation/<br>Specified Reason                                                                       |         | or Completion<br>(Day)              | Specified Reason           |
| US3642152     | 2020-09-09      | AMENDMENT           | 3 No/<br>2020-12-23 (106         | Protocol<br>) Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-08 (30)             |                            |
| US3642160     | 2020-09-10      | AMENDMENT           | 3 No/<br>2021-01-06 (119         | Protocol<br>Poviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA   |         | Yes/<br>2020-10-09 (30)             |                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | T ( )                       |                     | Completed Study<br>Date of Study |                                                                                                                                      | Date of | Completed Dosing,<br>Date of Dosing       | Primary Reason for                             |
|---------------|-----------------------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                             | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| US3642175     | 2020-09-12                  | AMENDMENT           | 3 No/<br>2021-01-08 (119         | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA                            |         | Yes/<br>2020-10-12 (31)                   |                                                |
| US3642210     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2021-01-23 (132         | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT<br>UNBLINDED IN<br>ERROR AND<br>WITHDREW CONSENT<br>FOLLOWING<br>UNBLINDING. |         | Yes/<br>2020-10-12 (29)                   |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642211     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-21 (99) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA  |         | Yes/<br>2020-10-16 (33)                                                         |                                                                           |
| US3642216     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-12-30 (107 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-15 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642237     | 2020-09-18                  | AMENDMENT           |                          | Protocol                                                                                                   |         | Yes/<br>2020-10-15 (28)                                                         | L                                                                         |
| US3642244     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2021-01-08 (113 | Protocol<br>) Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-16 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642261     | 2020-09-19                  | AMENDMENT           | 3 No/<br>2020-12-27 (100 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | No/<br>2020-10-16 (28)                                                          | Adverse Event/<br>AE # 1                                                  |
| US3642265     | 2020-09-19                  | AMENDMENT           | 3 No/<br>2021-01-16 (120 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-17 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642278     | 2020-09-26                  | AMENDMENT           | 3 No/<br>2021-01-06 (103 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-24 (29)                                                         |                                                                           |
| US3642281     | 2020-09-26                  | AMENDMENT           | 3 No/<br>2021-03-09 (165 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-24 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642283     | 2020-09-28                  | AMENDMENT           | 3 No/<br>2020-12-30 (94) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-29 (32)                                                         |                                                                           |
| US3642290     | 2020-10-01                  | AMENDMENT           | 3 No/<br>2020-12-30 (91) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-29 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642300     | 2020-10-03                  | AMENDMENT           | 3 No/<br>2020-12-26 (85) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-11-03 (32)                                                         |                                                                           |
| US3642310     | 2020-10-12                  | AMENDMENT           | 3 No/<br>2021-01-07 (88) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-11-12 (32)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642313     | 2020-10-13                  | AMENDMENT           | 3 No/<br>2021-01-09 (89) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-11-13 (32)                                                          |                                                                           |
| US3642342     | 2020-10-23                  | AMENDMENT           | 4 No/<br>2021-03-10 (139 | Other/<br>)PARTICIPANT<br>RELOCATED TO<br>MEXICO AND<br>REQUESTED TO<br>WITHDRAW CONSENT<br>AND UNBLIND. |         | Yes/<br>2020-11-20 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3652012     | 2020-09-09                  | AMENDMENT 3         | <sup>3</sup> No/<br>2020-12-22 (104 | Other/<br>)PATIENT<br>REQUESTED<br>UNBLINDING AS HE<br>IS A PHYSICIAN<br>AND HAS ACCESS<br>EUA VACCINE<br>(PFIZER) |         | Yes/<br>2020-10-07 (28)                                                         |                                                                           |
| US3652039     | 2020-09-24                  | AMENDMENT 3         | 3 ONGOING                           |                                                                                                                    |         | No/<br>2020-10-26 (28)                                                          | Adverse Event/<br>AE #2                                                   |
| US3662019     | 2020-08-27                  | AMENDMENT 3         | 3 No/<br>2020-12-21 (117            | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                           |         | Yes/<br>2020-09-25 (30)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3662057     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-15 (90)                                                      | Protocol<br>Deviation/<br>PT. RECIEVED<br>PFIZER COVID-19<br>VACCINE UNDER<br>EUA ON<br>12/15/2020 |         | Yes/<br>2020-10-19 (33)                                                          |                                                                      |
| US3662079     | 2020-09-11                  | AMENDMENT           | /                                                                             | Other/<br>)OTHER- REQUEST<br>TO UNBLIND / EUA<br>COVID-19 VACCINE                                  |         | Yes/<br>2020-10-09 (29)                                                          |                                                                      |
| US3662103     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-12-18 (94)                                                      | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                          |         | Yes/<br>2020-10-16 (31)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuatior | /<br>Reason for Study                                                                          | Date of<br>Death/ | Completed Dosing/<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing                                                                |
|---------------|-----------------|---------------------|-----------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                           |                   | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason                                                             |
| US3662133     | 2020-09-24      | AMENDMENT 3         | 3 ONGOING                                           |                                                                                                |                   | No/<br>2020-10-21 (28)                                 | Protocol Deviation/<br>DAY 29 VISIT >2<br>WEEKS OUT OF<br>WINDOW, PER STUDY<br>TEAM INSTRUCTIONS |
| US3662175     | 2020-10-20      | AMENDMENT 4         | 4 No/<br>2021-01-12 (85)                            | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                        |                   | Yes/<br>2020-11-17 (29)                                |                                                                                                  |
| US3672013     | 2020-08-06      | AMENDMENT :         | 1 No/<br>2020-09-09 (35)                            | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT NO<br>LONGER HAS TIME<br>TO PARTICIPATE |                   | No/<br>2020-09-02 (28)                                 | Other/<br>PATIENT NO LONGER<br>HAS TIME TO<br>PARTICIPATE                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study          | ./                                   |            | Completed Dosing,       | /                  |
|-----------|------------|-----------|--------------------------|--------------------------------------|------------|-------------------------|--------------------|
|           |            |           | Date of Study            |                                      | Date of    | Date of Dosing          | Primary Reason for |
|           | Informed   |           |                          | n Reason for Study                   |            | Discontinuation         | Dosing             |
| Subject   | Consent    | Protocol  | -                        | Discontinuation/                     |            | or Completion           | Discontinuation/   |
| ID        | Date       | Version   | (Day)                    | Specified Reason                     | Performed? | (Day)                   | Specified Reason   |
| US3672015 | 2020-08-07 | AMENDMENT | 1 No/<br>2020-12-16 (132 | Other/<br>)REQUEST TO<br>UNBLIND     |            | Yes/<br>2020-09-09 (34) |                    |
| US3672026 | 2020-08-07 | AMENDMENT | 1 No/<br>2021-01-06 (153 | Other/<br>)REQUEST TO<br>UNBLIND     |            | Yes/<br>2020-09-04 (29) |                    |
| US3672053 | 2020-08-11 | AMENDMENT | 2 No/<br>2020-09-24 (45) | Lost to Follow-Up                    | )          | No/<br>2020-09-07 (28)  | Lost to Follow-Up  |
| US3672064 | 2020-08-11 | AMENDMENT | - /                      | Other/<br>)PATIENT OUT OF<br>(b) (6) |            | Yes/<br>2020-09-09 (30) |                    |
| US3672090 | 2020-08-13 | AMENDMENT | 2 No/<br>2021-01-12 (153 | Other/<br>)REQUEST TO<br>UNBLIND     |            | Yes/<br>2020-09-10 (29) |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3672097     | 2020-08-13                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                  |         | No/<br>2020-09-09 (28)                                                           | Adverse Event/<br>AE#1                                               |
| US3672101     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (138                                                      | Other/<br>)REQUEST TO<br>UNBLIND |         | Yes/<br>2020-09-10 (29)                                                          |                                                                      |
| JS3672103     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (127                                                      | Other/<br>)REQUEST TO<br>UNBLIND |         | Yes/<br>2020-09-11 (30)                                                          |                                                                      |
| JS3672108     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-12-16 (126                                                      | Other/<br>)REQUEST TO<br>UNBLIND |         | Yes/<br>2020-09-11 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3672117     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2020-12-30 (139 | Protocol<br>)Deviation/<br>SUBJECT<br>UNBLINDED TO<br>RECEIVE THE<br>PFIZER COVID<br>VACCINE |         | Yes/<br>2020-09-11 (29)                                                          |                                                                           |
| US3672121     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2020-12-18 (127 | Other/<br>)REQUEST TO<br>UNBLIND                                                             |         | Yes/<br>2020-09-15 (33)                                                          |                                                                           |
| US3672126     | 2020-08-14                  | AMENDMENT :         | 2 No/<br>2021-02-08 (179 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE                                |         | Yes/<br>2020-09-15 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason             | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3672128     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (129                                                      | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE<br>12/18/20 |         | Yes/<br>2020-09-15 (30)                                                          |                                                                      |
| US3672138     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (134                                                      | Other/<br>)REQUEST TO<br>UNBLIND                                          |         | Yes/<br>2020-09-14 (29)                                                          |                                                                      |
| US3672150     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-18 (124                                                      | Other/<br>)REQUEST TO<br>UNBLIND                                          |         | Yes/<br>2020-09-15 (30)                                                          |                                                                      |
| US3672151     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-01-13 (150                                                      | Other/<br>)REQUEST TO<br>UNBLIND                                          |         | No/<br>2020-09-13 (28)                                                           | Adverse Event/<br>AE#1                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study               | Autopsy            | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------|--------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3672169     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-11-09 (84)                                                      | Lost to Follow-Up                | )                  | Yes/<br>2020-09-16 (30)                                                          |                                                                      |
| US3672181     | 2020-08-19                  | AMENDMENT :         | 2 No/<br>2020-12-21 (125                                                      | Other/<br>)REQUEST TO<br>UNBLIND |                    | Yes/<br>2020-09-22 (35)                                                          |                                                                      |
| US3672187     | 2020-08-19                  | AMENDMENT :         | 2 ONGOING                                                                     |                                  |                    | No/<br>2020-09-15 (28)                                                           | Adverse Event/<br>AE #1                                              |
| US3672203     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-12-13 (117                                                      | Death<br>)                       | 2020-12-13/<br>UNK | /Yes/<br>2020-09-18 (31)                                                         |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                              |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3672206     | 2020-08-19                  | AMENDMENT .         | 2 No/<br>2020-12-22 (126 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>UNBLINDED AND<br>WILL BE<br>RECEIVING THE<br>PFIZER COVID<br>VACCINE |         | Yes/<br>2020-09-16 (29)                                     |                                                                      |
| US3672207     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-02-27 (193 | Withdrawal of<br>)Consent by<br>Participant/<br>UNABLE TO JUGGLE<br>STUDY AND<br>MEDICAL SCHOOL                |         | Yes/<br>2020-09-22 (35)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3672219     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-30 (133 | Other/<br>)REQUEST TO BE<br>UNBLINDED AND<br>WILL BE<br>RECEIVING THE<br>PFIZER COVID<br>VACCINE                                         |         | Yes/<br>2020-09-22 (34)                                                          |                                                                           |
| US3672222     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2021-02-12 (177 | Protocol<br>) Deviation/<br>SUBJECT<br>UNBLINDED AND<br>RECEIVED PFIZER<br>EUA COVID-19<br>VACCINE. SUBJECT<br>IS DROPPED FROM<br>STUDY. |         | Yes/<br>2020-09-16 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | 7/                                                                              |         | Completed Dosing        | /                                                                                 |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|-------------------------|-----------------------------------------------------------------------------------|
|               |                 |                     | Date of Study            |                                                                                 | Date of | Date of Dosing          | Primary Reason for                                                                |
|               | Informed        |                     |                          | n Reason for Study                                                              |         | Discontinuation         | Dosing                                                                            |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                            |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason                                              |
| US3672230     | 2020-08-20      | AMENDMENT           | 2 No/<br>2021-01-06 (140 | Other/<br>)REQUEST TO<br>UNBLIND                                                |         | Yes/<br>2020-09-17 (29) |                                                                                   |
| US3672233     | 2020-08-20      | AMENDMENT           | 2 ONGOING                |                                                                                 |         | No/<br>2020-09-16 (28)  | Protocol Deviation/<br>SUBJECT RECEIVED<br>TETANUS VACCINE,<br>MEETS EXCLUSION #9 |
| US3672234     | 2020-08-20      | AMENDMENT           | 2 No/<br>2020-12-28 (131 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER<br>CORONAVIRUS<br>VACCINE |         | Yes/<br>2020-09-21 (33) |                                                                                   |
| US3672238     | 2020-08-20      | AMENDMENT           | 2 No/<br>2020-12-28 (131 | Other/<br>)REQUEST TO<br>UNBLIND                                                |         | Yes/<br>2020-09-21 (33) |                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                              | <br>Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|----------------------------------------------------------------------|
| JS3672239     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-01-18 (152 | Other/<br>)REQUEST TO<br>UNBLIND                                                            | Yes/<br>2020-09-16 (28)                                                              |                                                                      |
| JS3672263     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2021-01-18 (151 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE<br>ELSEWHERE,<br>WITHDRAWN FROM<br>STUDY | Yes/<br>2020-09-24 (35)                                                              |                                                                      |
| JS3672270     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (116 | Other/<br>)REQUEST TO<br>UNBLIND                                                            | Yes/<br>2020-09-25 (33)                                                              |                                                                      |
| IS3672278     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                |                                                                                             | No/<br>2020-09-27 (28)                                                               | Serious Adverse<br>Event/<br>AE#1                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                          |         | Completed Dosing/                                           | 1                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3672282     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-18 (117                                   | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND           |         | Yes/<br>2020-09-23 (31)                                     |                                                                      |
| JS3672299     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (126                                   | Other/<br>)REQUEST TO<br>UNBLIND                           |         | Yes/<br>2020-09-21 (28)                                     |                                                                      |
| JS3672301     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (126                                   | Other/<br>)REQUEST TO<br>UNBLIND                           |         | Yes/<br>2020-09-23 (30)                                     |                                                                      |
| US3672305     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (115                                   | Other/<br>)REQUEST TO<br>UNBLIND                           |         | Yes/<br>2020-09-29 (36)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3672328     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2020-12-21 (118 | Other/<br>)REQUEST TO<br>UNBLIND                                                                                                                        |         | Yes/<br>2020-09-24 (30)                                                         |                                                                           |
| US3672332     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-01-21 (142 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>PFIZER<br>VACCINATION<br>WITHOUT<br>NOTIFYING US<br>PRIOR, NO LONGER<br>MEETS I AND E<br>FOR OPEN LABEL |         | Yes/<br>2020-10-02 (31)                                                         |                                                                           |
| US3672333     | 2020-08-26                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                                         |         | No/<br>2020-09-22 (28)                                                          | Adverse Event/<br>AE#2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                    |         | Completed Dosing        | /                                    |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------|---------|-------------------------|--------------------------------------|
|               |                 |                     | Date of Study            |                                      | Date of | Date of Dosing          | Primary Reason for                   |
|               | Informed        |                     |                          | Reason for Study                     |         | Discontinuation         | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason |
| JS3672335     | 2020-08-26      | AMENDMENT           | 2 No/<br>2020-12-17 (114 | Other/<br>)REQUEST TO<br>UNBLIND     |         | Yes/<br>2020-09-24 (30) |                                      |
| IS3672357     | 2020-08-27      | AMENDMENT           | 3 No/<br>2020-10-09 (44) | Lost to Follow-Up                    | þ       | No/<br>2020-09-23 (28)  | Lost to Follow-Up                    |
| JS3672371     | 2020-08-28      | AMENDMENT           | 3 No/<br>2021-01-28 (154 |                                      |         | Yes/<br>2020-09-25 (29) |                                      |
| JS3672375     | 2020-08-28      | AMENDMENT           | 3 No/<br>2020-12-16 (111 | Other/<br>)REQUESTED TO<br>UNBLIND   |         | Yes/<br>2020-09-25 (29) |                                      |
| JS3672393     | 2020-09-01      | AMENDMENT           | 3 No/<br>2020-12-16 (107 | Other/<br>)REQUEST TO<br>UNBLIND     |         | Yes/<br>2020-09-28 (28) |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                      |                       | Completed Dosing,       |                            |
|---------------|-----------------|---------------------|--------------------------|------------------------------------------------------------------------|-----------------------|-------------------------|----------------------------|
|               | <b>T C</b> 1    |                     | Date of Study            |                                                                        | Date of               | Date of Dosing          | Primary Reason for         |
|               | Informed        | Ductocol            |                          | n Reason for Study                                                     |                       | Discontinuation         | Dosing<br>Discontinuation/ |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                   | Autopsy<br>Performed? | or Completion<br>(Day)  | Specified Reason           |
| US3672394     | 2020-09-01      | AMENDMENT 3         | 3 No/<br>2020-12-17 (108 | Other/<br>)REQUEST TO<br>UNBLIND                                       |                       | Yes/<br>2020-09-29 (29) |                            |
| US3672402     | 2020-09-03      | AMENDMENT :         | 3 No/<br>2020-12-21 (110 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER COVID<br>VACCINE |                       | Yes/<br>2020-10-01 (29) |                            |
| US3672407     | 2020-09-03      | AMENDMENT 3         | 3 ONGOING                |                                                                        |                       | No/<br>2020-09-30 (28)  | Adverse Event/<br>AE#1     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3682012     | 2020-08-25                  | AMENDMENT           | /                        | Other/<br>)PT. IN 1A GROUP<br>WITH CDC AND<br>REQUESTED<br>UNBLINDING TO BE<br>ABLE TO GET<br>PFIZER VACCINE.                        |         | Yes/<br>2020-09-21 (28)                                                          |                                                                           |
| US3682022     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-02-10 (168 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED 2ND<br>DOSE OF VACCINE<br>FROM AN OUTSIDE<br>AGENCY, NOT<br>THROUGH THE<br>STUDY. |         | Yes/<br>2020-09-24 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3682040     | 2020-09-01                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                       |         | No/<br>2020-09-28 (28)                                                          | Adverse Event/<br>AE #2                                                   |
| US3682080     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2021-02-05 (149 | Withdrawal of<br>)Consent by<br>Participant/<br>PT. STATES HE NO<br>LONGER WANTS TO<br>BE CONTACTED TO<br>PARTICIPATE IN<br>THE STUDY |         | Yes/<br>2020-10-08 (29)                                                         |                                                                           |
| US3682148     | 2020-09-18                  | AMENDMENT           | /                        | Other/<br>PT. IN 1A GROUP<br>WITH CDC AND<br>REQUESTED<br>UNBLINDING TO BE<br>ABLE TO GET<br>PFIZER VACCINE.                          |         | Yes/<br>2020-10-16 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3692006     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-18 (114 | Other/<br>)UNBLINDED TO<br>RECEIVE EUA<br>VACCINE.                                                                                                      |         | Yes/<br>2020-09-24 (29)                                                          |                                                                      |
| US3692010     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-18 (114 | Protocol<br>) Deviation/<br>PARTICIPANT<br>RECEIVED THE EUA<br>VACCINE WHILE<br>ENROLLED AND WAS<br>SUBSEQUENTLY<br>UNBLINDED/WITHDR<br>AWN FROM STUDY. |         | Yes/<br>2020-09-30 (35)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | 1                        |                                                                                      | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                          | Discontinuation/<br>Specified Reason                                                 | Autopsy           | or Completion                                          | Discontinuation/<br>Specified Reason |
| JS3692013     | 2020-08-27      | AMENDMENT           | 3 No/<br>2020-12-18 (112 | Other/<br>2)UNBLINDED IN<br>ORDER TO RECEIVE<br>PFIZER VACCINE<br>UNDER EUA.         |                   | Yes/<br>2020-09-28 (31)                                |                                      |
| JS3692042     | 2020-09-02      | AMENDMENT           | /                        | Other/<br>UNBLINDED/WITHDR<br>AWN FROM STUDY<br>TO RECEIVE<br>PFIZER EUA<br>VACCINE. |                   | Yes/<br>2020-10-01 (29)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3692059     | 2020-09-04                  | AMENDMENT :         | 3 No/<br>2021-01-07 (125 | Protocol<br>)Deviation/<br>PARTICIPANT<br>ELECTED TO<br>WITHDRAW FROM<br>STUDY IN ORDER<br>TO RECEIVE EUA<br>VACCINE. |         | Yes/<br>2020-10-06 (32)                                                          |                                                                      |
| US3692076     | 2020-09-07                  | AMENDMENT :         | 3 No/<br>2021-01-04 (119 | Other/<br>)PARTICIPANT<br>UNBLINDED/WITHDR<br>EW FROM STUDY TO<br>RECEIVE PFIZER<br>EUA VACCINE                       |         | Yes/<br>2020-10-06 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3692107 2020-09-11 AMENDMENT 3 No/ Protocol Yes/ 2020-10-09 (29) 2021-01-04 (116) Deviation/ PARTICIPANT RECEIVED MODERNA EUA VACCINE PRIOR TO NOTIFYING SITE. HE HAS BEEN UNBLINDED AND WITHDRAWN FROM THE STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|              |                             |                     | Completed Study          | /                                                                                                                                                                            |         | Completed Dosing                                            | /                                                                    |
|--------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                     | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3692114    | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-01-02 (111 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED THE<br>MODERNA EUA<br>VACCINE PRIOR TO<br>NOTIFYING SITE.<br>HE HAS BEEN<br>UNBLINDED AND<br>WITHDRAWN FROM<br>THE STUDY. |         | Yes/<br>2020-10-14 (31)                                     |                                                                      |
| JS3692138    | 2020-09-17                  | AMENDMENT           | 3 No/<br>2021-03-16 (180 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED PFIZER<br>EUA COVID-19<br>VACCINE                                                                                         |         | Yes/<br>2020-10-23 (36)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3692146     | 2020-09-21                  | AMENDMENT           | 3 No/<br>2020-12-17 (86) | Other/<br>UNBLINDED IN<br>ORDER TO RECEIVE<br>EUA VACCINE.                                                                                                           |         | Yes/<br>2020-10-23 (31)                                                          |                                                                           |
| US3692149     | 2020-09-22                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                                                      |         | No/<br>2020-10-21 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3692189     | 2020-10-09                  | AMENDMENT           | 3 No/<br>2021-01-04 (85) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED PFIZER<br>EUA VACCINE<br>PRIOR TO<br>NOTIFYING SITE.<br>SHE HAS BEEN<br>UNBLINDED/WITHDR<br>AWN FROM THE<br>STUDY. |         | Yes/<br>2020-11-09 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3702038     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-24 (98) | Withdrawal of<br>Consent by<br>Participant/<br>PT IS A<br>PHYSICIAN WHO<br>WANTED TO<br>RECEIVE PFIZER<br>VACCINE AFTER<br>EUA. |         | Yes/<br>2020-10-16 (29)                                                         |                                                                           |
| US3712009     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-03-03 (176 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>PRIOR TO BEING<br>UNBLINDED                                    |         | Yes/<br>2020-10-12 (34)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                                               |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3712010     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-03-05 (178 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>PRIOR TO BEING<br>UNBLINDED                                                                                    |         | Yes/<br>2020-10-12 (34)                                     |                                                                      |
| US3712015     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-23 (106 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WAS<br>UNBLINDED AND<br>HAD PLACEBO.<br>SUBJECT IS<br>GETTING APPROVED<br>COVID-19<br>VACCINATION AT<br>THEIR WORKPLACE |         | Yes/<br>2020-10-06 (28)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                            |         | Completed Dosing        | /                                    |
|---------------|-----------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|-------------------------|--------------------------------------|
|               |                 |                     | Date of Study            |                                                                                              | Date of | Date of Dosing          | Primary Reason for                   |
|               | Informed        | _                   |                          | Reason for Study                                                                             |         | Discontinuation         | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                         |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason |
| JS3712020     | 2020-08-28      | AMENDMENT           | 3 No/<br>2021-03-04 (177 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>PRIOR TO BEING<br>UNBLINDED |         | Yes/<br>2020-10-06 (28) |                                      |
| JS3712060     | 2020-09-09      | AMENDMENT           | 3 ONGOING                |                                                                                              |         | No/<br>2020-10-12 (28)  | Adverse Event/<br>AE #1              |
| IS3712063     | 2020-09-09      | AMENDMENT           | 3 No/<br>2021-03-05 (172 | Lost to Follow-Ug<br>)                                                                       | )       | Yes/<br>2020-10-14 (30) |                                      |
| JS3712070     | 2020-09-10      | AMENDMENT           | 3 No/<br>2021-02-22 (159 |                                                                                              |         | Yes/<br>2020-10-14 (28) |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3712078     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-03-04 (169 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>PRIOR TO BEING<br>UNBLINDED                                                   |         | Yes/<br>2020-10-15 (29)                                                          |                                                                           |
| US3712105     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2021-02-15 (148 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATES<br>THAT THEY HAVE A<br>FAMILY MEMBER<br>DYING AND CANNOT<br>CONTINUE IN<br>STUDY |         | Yes/<br>2020-10-19 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3712111     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-03-05 (165 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>PRIOR TO BEING<br>UNBLINDED |         | Yes/<br>2020-10-20 (29)                                                          |                                                                      |
| US3712124     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2021-03-05 (164 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>PRIOR TO BEING<br>UNBLINDED  |         | Yes/<br>2020-10-21 (29)                                                          |                                                                      |
| US3712131     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2021-03-15 (173 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE PRIOR TO<br>BEING UNBLINDED             |         | Yes/<br>2020-10-22 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study | /                                                                                                                  |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|-----------------|--------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                 | Reason for Study<br>Discontinuation/<br>Specified Reason                                                           | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|               | 0000 00 17                  |                     | 2               |                                                                                                                    |         |                                                             | *                                                                    |
| US3712136     | 2020-09-17                  | AMENDMENI           | 2020-12-22 (93) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT HAD<br>PLACEBO<br>THEREFORE HAD TO<br>WITH DRAWL<br>CONSENT |         | Yes/<br>2020-10-19 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3712143     | 2020-09-18                  | AMENDMENT           | <sup>3</sup> No/<br>2021-03-04 (163 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT REFUSES<br>TO COME IN AND<br>RECEIVE VACCINE<br>AFTER BEING<br>UNBLINDED AND<br>REFUSES TO DO<br>ILLNESS VISIT<br>AFTER COVID<br>SYMPTOMS |         | Yes/<br>2020-10-21 (29)                                                          |                                                                      |
| US3712164     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2021-03-05 (163            | Lost to Follow-Up<br>)                                                                                                                                                                            | )       | Yes/<br>2020-10-22 (29)                                                          |                                                                      |
| JS3712167     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2021-03-15 (172            | Lost to Follow-Ug<br>)                                                                                                                                                                            | )       | Yes/<br>2020-10-23 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3712197     | 2020-10-14                  | AMENDMENT           | 4 No/<br>2020-12-22 (64)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT HAD<br>PLACEBO AND<br>WITHDREW CONSENT |         | Yes/<br>2020-11-16 (28)                                                          |                                                                           |
| US3712230     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2021-02-23 (124                                                      | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE PRIOR TO<br>BEING UNBLINDED             |         | Yes/<br>2020-11-23 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                | <br>Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3712235     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2020-12-21 (61) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS<br>UNBLINDED AND<br>HAD PLACEBO.<br>SUBJECT IS<br>GETTING APPROVED<br>COVID-19<br>VACCINATION AT<br>HER WORKPLACE | Yes/<br>2020-11-19 (29)                                                              |                                                                      |
| US3712263     | 2020-10-16                  | AMENDMENT           | 4 No/<br>2021-01-29 (99) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>PRIOR TO BEING<br>UNBLINDED                                                                                  | Yes/<br>2020-11-20 (29)                                                              |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|              |                             |                     | Completed Study          | /                                                                                                                                                                              |         | Completed Dosing,                                           | /                                                                    |
|--------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3712278    | 2020-10-20                  | AMENDMENT           | 4 No/<br>2021-02-25 (127 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER PRIOR TO<br>BEING UNBLINDED                                                                                              |         | Yes/<br>2020-11-19 (29)                                     |                                                                      |
| US3712286    | 2020-10-21                  | AMENDMENT           | 4 No/<br>2020-12-23 (63) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS<br>UNBLINDED AND<br>HAD PLACEBO.<br>SUBJECT IS<br>GETTING APPROVED<br>COVID-19<br>VACCINATION AT<br>THEIR WORKPLACE |         | Yes/<br>2020-11-18 (28)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study/         |                                                                                              |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                     | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3712300     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2021-01-28 (98) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>PRIOR TO BEING<br>UNBLINDED |         | Yes/<br>2020-11-17 (26)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3722082 2020-08-04 AMENDMENT 1 No/ Protocol Yes/ 2021-01-22 (152) Deviation/ 2020-09-22 (30) SUBJECT SPOKE TO SITE AND STATED THAT SHE TOOK THE PFIZER VACCINE. SUBJECT WAS INFORMED THAT THEY WOULD HAVE TO BE DISCONTINUED IN THE STUDY. SUBJECT VERBALIZED UNDERSTANDING.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study                  | /                                                                                                                                                                               |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuatior | Reason for Study                                                                                                                                                                | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                                                                            |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3722112     | 2020-08-05      | AMENDMENT 1         | No/<br>2021-01-13 (162           | Protocol<br>)Deviation/<br>SUBJECT WAS<br>UNBLINDED UNDER<br>AMENDMENT 5 EUA<br>UNBLINDING.<br>SUBJECT HAD<br>RECEIVED DOSE OF<br>PFIZER VACCINE.<br>SUBJECT WAS<br>REMOVED PER |                   | Yes/<br>2020-09-03 (30)           |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3722116 2020-08-06 AMENDMENT 1 No/ Protocol Yes/ 2021-02-05 (184) Deviation/ 2020-09-04 (30) SUBJECT CAME TO SITE FOR HER OL-0 AND TOLD SITE STAFF THAT SHE RECEIVED THE PFIZER VACCINE. SUBJECT WAS EDUCATED ON BEING DISCONTINUED SUBJECT UNDERSTOOD. SUBJECT WAS UNBLINDED AND WAS PLACEBO

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               | Informed        |                     |                        | n Reason for Study                                                                                                                                                                          | Completed Dosing<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason                                                                                                                                                        | <br>or Completion<br>(Day)                            | Discontinuation/<br>Specified Reason |
| US3722143     | 2020-08-07      | AMENDMENT 1         | No/<br>2021-01-13 (160 | Protocol<br>Deviation/<br>SUBJECT WAS<br>UNBLINDED UNDER<br>AMENDMENT 5 EUA<br>UNBLINDING.<br>SUBJECT HAD<br>RECEIVED DOSE OF<br>PFIZER VACCINE.<br>SUBJECT WAS<br>REMOVED PER<br>PROTOCOL. | Yes/<br>2020-09-04 (29)                               |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3722204     | 2020-08-12                  | AMENDMENT 2         | 2 No/<br>2021-01-13 (155 | Protocol<br>) Deviation/<br>SUBJECT WAS<br>UNBLINDED UNDER<br>AMENDMENT 5 EUA<br>UNBLINDING.<br>SUBJECT HAD<br>RECEIVED DOSE OF<br>PFIZER VACCINE.<br>SUBJECT WAS<br>REMOVED PER<br>PROTOCOL. |         | Yes/<br>2020-09-10 (30)                                                         |                                                                           |
| US3722223     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-10-09 (58) | Lost to Follow-Up                                                                                                                                                                             | )       | No/<br>2020-09-09 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | 7/                                                                                                                                                                                                                  |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                          | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3722264     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2021-01-12 (152 | Protocol<br>2) Deviation/<br>SUBJECT WANTED<br>TO UNBLIND<br>BEFORE AMENDMENT<br>6. SUBJECT<br>QUALIFIED UNDER<br>EUA GUIDELINES.<br>SUBJECT GOT<br>OTHER VACCINE SO<br>PER PROTOCOL<br>SUBJECT IS<br>DISCONTINUED. |         | Yes/<br>2020-09-12 (30)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3722266     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-02-02 (173 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DECIDED<br>TO WITHDRAW FORM<br>STUDY                                                                                                 |         | Yes/<br>2020-09-15 (33)                                                          |                                                                           |
| US3722298     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-01-13 (148 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>UNBLINDED UNDER<br>AMENDMENT 5 EUA<br>UNBLINDING.<br>SUBJECT HAD<br>RECEIVED DOSE OF<br>PFIZER VACCINE.<br>SUBJECT WAS<br>REMOVED PER<br>PROTOCOL. |         | Yes/<br>2020-09-17 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                              |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| US3722322     | 2020-08-19                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                |         | No/<br>2020-09-22 (28)                                                          | Other/<br>SUBJECT STATED THAT<br>HE DID NOT WANT TO<br>TAKE THE 2ND DOSE<br>BUT WANTED TO<br>CONTINUE IN THE<br>STUDY. |
| US3722328     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-10-16 (58)                                                      | Lost to Follow-Up                                              | )       | No/<br>2020-09-16 (28)                                                          | Lost to Follow-Up                                                                                                      |
| US3722354     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2021-01-08 (138                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>ANOTHER VACCINE |         | Yes/<br>2020-09-23 (31)                                                         |                                                                                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study/         |                                                                                        |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3722369     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2021-01-08 (138 | Protocol<br>3) Deviation/<br>SUBJECT TOOK A<br>DIFFERENT<br>VACCINE WHILE ON<br>STUDY. |         | Yes/<br>2020-09-28 (36)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |           | Completed Study | 7/                 |            | Completed Dosing, | /                  |
|-----------|------------|-----------|-----------------|--------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study   |                    | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           | Discontinuatio  | n Reason for Study | Death/     | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | -               | Discontinuation/   |            | or Completion     | Discontinuation/   |
| ID        | Date       | Version   | (Day)           | Specified Reason   | Performed? | (Day)             | Specified Reason   |
| JS3722385 | 2020-08-25 | AMENDMENT | 3 No/           | Withdrawal of      |            | Yes/              |                    |
| 000722000 | 2020 00 20 |           | 2021-01-12 (141 |                    |            | 2020-09-22 (29)   |                    |
|           |            |           | 2021 01 12 (111 | Participant/       |            | 2020 09 22 (29)   |                    |
|           |            |           |                 | SUBJECT STATED     |            |                   |                    |
|           |            |           |                 | THAT SHE WANTED    |            |                   |                    |
|           |            |           |                 | TO BE UNBLINDED    |            |                   |                    |
|           |            |           |                 | TO RECEIVE         |            |                   |                    |
|           |            |           |                 | PFIZER. SUBJECT    |            |                   |                    |
|           |            |           |                 | WAS EDUCATED ON    |            |                   |                    |
|           |            |           |                 | THE MODERNA        |            |                   |                    |
|           |            |           |                 | UNBLINDING BUT     |            |                   |                    |
|           |            |           |                 | STATED THAT SHE    |            |                   |                    |
|           |            |           |                 | DID NOT WANT TO    |            |                   |                    |
|           |            |           |                 | WAIT. SUBJECT      |            |                   |                    |
|           |            |           |                 | WAS                |            |                   |                    |
|           |            |           |                 | DISCONTINUED.      |            |                   |                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol    | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | /<br>Reason for Study<br>Discontinuation/                                                                                                                                      | Date of<br>Death/<br>Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                                            |
|-----------|---------------------|-------------|----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version     | (Day)                                                                | Specified Reason                                                                                                                                                               |                              | (Day)                                                                   | Specified Reason                                                                                                                                                                                                 |
| US3722401 | 2020-08-26          | AMENDMENT 3 | 3 No/<br>2021-01-05 (133                                             | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>ANOTHER COVID 19<br>VACCINE                                                                                                     |                              | Yes/<br>2020-09-23 (29)                                                 |                                                                                                                                                                                                                  |
| US3722412 | 2020-09-08          | AMENDMENT 3 | 3 No/<br>2020-10-07 (30)                                             | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>THE FACT THAT<br>THEY DIDN'T WANT<br>TO GET THE NP<br>SWAB AGAIN.<br>CONSENT<br>WITHDREW. |                              | No/<br>2020-10-05 (28)                                                  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED THAT<br>HE DID NOT WANT TO<br>GET SECOND<br>INJECTION DUE TO<br>THE FACT THAT HE<br>HATED HAVING THE NP<br>SWAB DONE. SUBJECT<br>WITHDREW CONSENT. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3722413     | 2020-09-10                  | AMENDMENT (         | 3 No/<br>2021-02-01 (145                                                      | Protocol<br>)Deviation/<br>SUBJECT TOOK THE<br>PFIZER VACCINE |         | Yes/<br>2020-10-22 (43)                                                          |                                                                           |
| US3732010     | 2020-08-05                  | AMENDMENT 3         | 1 No/<br>2020-12-16 (134                                                      | Other/<br>)EUA COVID-19<br>VACCINE /<br>UNBLINDING            |         | Yes/<br>2020-09-02 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732019     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-12-29 (146 | Protocol<br>5) Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>OUTSIDE OF THE<br>STUDY ON<br>17DEC2020.<br>RELAYED<br>INFORMATION TO<br>SITE ON<br>29DEC2020. |         | Yes/<br>2020-09-03 (29)                                                          |                                                                           |
| US3732026     | 2020-08-07                  | AMENDMENT           | - /                      | Other/<br>UNBLINDING/EUA<br>VACCINE                                                                                                                               |         | Yes/<br>2020-09-03 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732032     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2021-01-18 (162 | Protocol<br>)Deviation/<br>RECEIVED OUTSIDE<br>VACCINE                                                                           |         | Yes/<br>2020-09-07 (29)                                                          |                                                                           |
| US3732036     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2021-01-07 (151 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE MODERNA<br>EUA VACCINE ON<br>26DEC2020.<br>INFORMED SITE ON<br>07JAN2021. |         | Yes/<br>2020-09-07 (29)                                                          |                                                                           |
| US3732049     | 2020-08-11                  | AMENDMENT           |                          | Other/<br>)UNBLINDING / EUA<br>VACCINE                                                                                           |         | Yes/<br>2020-09-30 (51)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732050     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-01-07 (149 | Other/<br>9)EUA COVID 19<br>VACCINE/<br>UNBLINDING<br>REQUEST   |         | Yes/<br>2020-09-08 (28)                                                         |                                                                           |
| US3732051     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-01-07 (149 | Other/<br>)EUA VACCINE<br>ACCESS/REQUEST<br>TO UNBLIND          |         | Yes/<br>2020-09-09 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732061     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-10-12 (61) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>CONTACTED SITE<br>(12-OCT-20)<br>INFORMING HE<br>WOULD LIKE TO<br>WITHDRAW CONSENT |         | Yes/<br>2020-09-10 (29)                                                          |                                                                           |
| US3732070     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-03-25 (224 | Protocol<br>)Deviation/<br>SUBJECT<br>DISCONTINUED<br>FROM STUDY DUE<br>TO RECEIVING<br>OUTSIDE EUA<br>VACCINE                               |         | Yes/<br>2020-09-16 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Decision for Study<br>Discontinuation/<br>Specified Reason                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3732085     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-10-13 (57) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECISION<br>TO WITHDRAW FROM<br>STUDY DUE TO<br>WORK SCHEDULE |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3732101     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-12-21 (125 | Other/<br>)EUA VACCINE/<br>UNBLINDING                                                                                |         | Yes/<br>2020-09-16 (29)                                                          |                                                                      |
| US3732107     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-12-21 (124 | Other/<br>)EUA COVID-19<br>VACCINE/UNBLINDI<br>NG REQUEST                                                            |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| US3732110     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-02-03 (168 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE MODERNA<br>VACCINE (FIRST<br>DOSE) ON<br>24JAN2021.<br>RELAYED TO SITE<br>03FEB2021. |         | Yes/<br>2020-09-17 (29)                                                          |                                                                                              |
| US3732111     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-09-17 (29) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WISHES<br>TO NO LONGER<br>PARTICIPATE                                                |         | No/<br>2020-09-16 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WISHES TO<br>NO LONGER<br>PARTICIPATE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732117     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-01-19 (153                                                      | Protocol<br>)Deviation/<br>RECEIVED EUA<br>MODERN A VACCINE<br>OUTSIDE OF TRIAL |         | Yes/<br>2020-09-17 (29)                                                          |                                                                           |
| US3732122     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-12-18 (121                                                      | Other/<br>)EUA<br>VACCINE/UNBLINDI<br>NG                                        |         | Yes/<br>2020-09-17 (29)                                                          |                                                                           |
| US3732137     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-18 (117                                                      | Other/<br>)REQUESTED<br>UNBLINDING/EUA<br>COVID-19 VACCINE                      |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3732144     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2021-01-20 (150 | Protocol<br>)Deviation/<br>RECEIVED OUTSIDE<br>VACCINE        |         | Yes/<br>2020-09-21 (29)                                                          |                                                                      |
| US3732145     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (122 | Protocol<br>)Deviation/<br>RECEIVED OUTSIDE<br>VACCINE        |         | Yes/<br>2020-09-21 (29)                                                          |                                                                      |
| US3732163     | 2020-08-25                  | AMENDMENT (         | 3 No/<br>2020-12-18 (116 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-22 (29)                                                          |                                                                      |
| US3732165     | 2020-08-25                  | AMENDMENT (         | 3 No/<br>2020-10-16 (53) | Lost to Follow-Up                                             | )       | Yes/<br>2020-09-23 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                                                                          |         | Completed Dosing/                                           |                                                                      |  |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |  |
| US3732190     | 2020-08-27                  | AMENDMENT           |                          | Other/<br>)UNBLINDING / EUA<br>VACCINE                                                                                                                                                                     |         | Yes/<br>2020-09-24 (29)                                     |                                                                      |  |
| US3732191     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-18 (114 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19<br>VACCINE. SUBJECT<br>RECEIVED EUA<br>VACCINE AFTER<br>BEING UNBLINDED<br>TO PLACEBO. PER<br>SPONSOR SUBJECT<br>IS TO BE<br>WITHDRAWN FROM<br>THE STUDY. |         | Yes/<br>2020-09-23 (28)                                     |                                                                      |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        | Informed            | Completed Study/<br>Date of Study<br>Discontinuation Reason for Study |                                                                                                                                                                                                | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|-----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                                                       | Discontinuation/<br>Specified Reason                                                                                                                                                           | Autopsy           | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3732207     | 2020-08-27      | AMENDMENT           | 3 No/<br>2020-09-24 (29)                                              | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WANTS TO<br>PARTICIPATE DUE<br>TO TOO MANY<br>FOLLOW-UP<br>CALLS/VISITS<br>(SINCE THEY ARE<br>CONFIRMED COVID<br>POSITIVE) |                   | No/<br>2020-09-23 (28)                                 | Due to SARS-CoV-2                    |
| US3732210     | 2020-08-28      | AMENDMENT           | 3 No/<br>2020-12-29 (124                                              | Other/<br>)EUA COVID-19<br>VACCINE/UNBLINDI<br>NG REQUEST                                                                                                                                      |                   | Yes/<br>2020-09-28 (32)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study/<br>Date of Study |                                                            | Date of           | Completed Dosing/<br>Date of Dosing | '<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|-----------------------------------|------------------------------------------------------------|-------------------|-------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                   | Reason for Study<br>Discontinuation/<br>Specified Reason   | Death/<br>Autopsy | Discontinuation or Completion       | Dosing<br>Discontinuation/<br>Specified Reason |
| US3732220     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-21 (116          | Other/<br>)EUA<br>VACCINE/UNBLINDI<br>NG                   |                   | Yes/<br>2020-09-25 (29)             |                                                |
| US3732225     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-11-19 (81)          | Lost to Follow-Up                                          | )                 | Yes/<br>2020-09-30 (31)             |                                                |
| US3732237     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-29 (121          | Other/<br>)EUA COVID-19<br>VACCINE /<br>UNBLIND REQUEST    |                   | Yes/<br>2020-09-25 (26)             |                                                |
| US3732248     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-29 (120          | Other/<br>)EUA COVID-19<br>VACCINE/UN-BLIND<br>ING REQUEST |                   | Yes/<br>2020-09-29 (29)             |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3732258 2020-09-02 AMENDMENT 3 No/ Withdrawal of No/ Withdrawal of 2020-09-27 (26) Consent by 2020-09-26 (25) Consent by Participant/ Participant/ NO LONGER NO LONGER WILLING TO PARTICIPATE DUE WILLING TO PARTICIPATE DUE TO BLOOD/SWAB BEING TO BLOOD/SWAB "UNCOMFORTABLE" AND BEING NOT WILLING TO GIVE "UNCOMFORTABLE" BLOOD/SWAB AT ANY AND NOT WILLING FUTURE VISITS. AND TO GIVE NOW UNABLE TO BLOOD/SWAB AT RECEIVE 2ND DOSE ANY FUTURE (PER SPONSOR) VISITS. AND NOW UNABLE TO RECEIVE 2ND DOSE (PER SPONSOR)

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732265     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-30 (120 | Other/<br>)EUA VACCINE<br>ACCESS/UNBLINDIN<br>G                       |         | Yes/<br>2020-09-30 (29)                                                          |                                                                           |
| US3732273     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-18 (107 | Other/<br>)SUBJECT<br>UNBLINDED FROM<br>STUDY AND<br>RECEIVED PLACEBO |         | Yes/<br>2020-10-06 (34)                                                          |                                                                           |
| US3732281     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-19 (107 | Other/<br>)EUA COVID 19<br>VACCINE/<br>UNBLINDING<br>REQUEST          |         | Yes/<br>2020-10-06 (33)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| JS3732289     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-21 (105 | Other/<br>)EUA COVID-19<br>VACCINE/<br>UNBLINDING                            |         | Yes/<br>2020-10-07 (30)                                                          |                                                                      |
| JS3732290     | 2020-09-08                  | AMENDMENT           | /                        | Other/<br>)UNBLINDING/ EUA<br>VACCINE                                        |         | Yes/<br>2020-10-12 (35)                                                          |                                                                      |
| JS3732292     | 2020-09-08                  | AMENDMENT           | /                        | Other/<br>)UNBLINDING / EUA<br>VACCINE                                       |         | Yes/<br>2020-10-06 (29)                                                          |                                                                      |
| JS3732303     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2020-12-31 (114 | Protocol<br>)Deviation/<br>EUA COVID 19<br>VACCINE/<br>UNBLINDING<br>REQUEST |         | Yes/<br>2020-10-07 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                            | Data    | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason     | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3732316     | 2020-09-10                  | AMENDMENT           |                          | Other/<br>)UNBLINDING / EUA<br>VACCINE                       |         | Yes/<br>2020-10-08 (29)                                     |                                                                      |
| US3732328     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-18 (96) | Other/<br>EUA COVID 19<br>VACCINE/<br>UNBLINDING<br>REQUEST  |         | Yes/<br>2020-10-12 (29)                                     |                                                                      |
| US3732329     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2021-01-13 (122 | Other/<br>)REQUEST TO<br>UNBLIND/RECEIVED<br>OUTSIDE VACCINE |         | Yes/<br>2020-10-12 (29)                                     |                                                                      |
| US3732331     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-11-30 (77) | Lost to Follow-Up                                            | )       | Yes/<br>2020-10-16 (32)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732337     | 2020-09-15                  | AMENDMENT :         | 3 No/<br>2021-03-16 (183 | Protocol<br>)Deviation/<br>DISCONTINUING<br>DUE TO INABILITY<br>TO RELIABLY MAKE<br>IT TO CLINIC<br>VISITS. |         | Yes/<br>2020-11-11 (58)                                                         |                                                                           |
| US3732341     | 2020-09-15                  | AMENDMENT :         | 3 No/<br>2020-12-16 (93) | Other/<br>EUA COVID-19<br>VACCINE/<br>UNBLINDING<br>REQUEST                                                 |         | Yes/<br>2020-11-11 (58)                                                         |                                                                           |
| US3732343     | 2020-09-15                  | AMENDMENT (         | 3 No/<br>2021-03-02 (169 | Lost to Follow-Up<br>)                                                                                      | )       | Yes/<br>2020-10-22 (38)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study/         |                                                                                                                                                       |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3732346     | 2020-09-15                  | AMENDMENT :         | 3 No/<br>2020-10-22 (38) | Other/<br>SUBJECT IS<br>UNWILLING TO<br>FOLLOW-UP WITH<br>SITE FOR THE<br>REMAINDER OF THE<br>STUDY DUE TO<br>ACCEPTING JOB<br>OFFER OUT OF<br>STATE. |         | Yes/<br>2020-10-13 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study          | /                                                                                                                                                                           | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------|
|               | Informed        | Duchasel            |                          | Reason for Study                                                                                                                                                            | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | Or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                                                        | <br>or Completion<br>(Day)        | Discontinuation/<br>Specified Reason |
| US3732365     | 2020-09-21      | AMENDMENT           | 3 No/<br>2020-11-13 (54) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>CONTACTED SITE<br>STATING THAT<br>THEY NO LONGER<br>WISH TO<br>PARTICIPATE FOR<br>UNDISCLOSED<br>PERSONAL REASONS | Yes/<br>2020-10-19 (29)           |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732376     | 2020-09-25                  | AMENDMENT           | 3 No/<br>2021-03-15 (172 | Physician<br>)Decision/<br>MOVED OUT OF<br>STATE -<br>INABILITY TO<br>COMPLETE<br>PROTOCOL-MANDATE<br>D PROCEDURES. |         | Yes/<br>2020-10-23 (29)                                                          |                                                                           |
| US3732381     | 2020-09-28                  | AMENDMENT           | 3 No/<br>2021-02-24 (150 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER EUA<br>VACCINE                                                |         | Yes/<br>2020-10-28 (31)                                                          |                                                                           |
| US3732383     | 2020-09-28                  | AMENDMENT           | 3 No/<br>2020-12-18 (82) | Other/<br>EUA VACCINE/<br>UNBLINDING                                                                                |         | Yes/<br>2020-11-09 (43)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                           |         | Completed Dosing,       |                                      |
|---------------|-----------------|---------------------|--------------------------|-------------------------------------------------------------|---------|-------------------------|--------------------------------------|
|               |                 |                     | Date of Study            |                                                             | Date of | Date of Dosing          | Primary Reason for                   |
|               | Informed        |                     |                          | Reason for Study                                            |         | Discontinuation         | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                        |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason |
| US3732385     | 2020-09-29      | AMENDMENT (         | 3 No/<br>2020-12-18 (81) | Other/<br>EUA COVID-19<br>VACCINE/<br>UNBLINDING<br>REQUEST |         | Yes/<br>2020-10-29 (31) |                                      |
| US3732389     | 2020-10-07      | AMENDMENT 4         | 4 No/<br>2020-12-23 (78) | Other/<br>EUA<br>VACCINATION/UN-B<br>LINDING REQUEST        |         | Yes/<br>2020-11-04 (29) |                                      |
| US3732392     | 2020-10-14      | AMENDMENT 4         | - 1                      | Other/<br>UNBLINDING / EUA<br>VACCINE                       |         | Yes/<br>2020-11-11 (29) |                                      |
| US3732403     | 2020-10-22      | AMENDMENT 4         | 4 No/<br>2020-12-31 (71) | Other/<br>EUA COVID 19<br>VACCINE/<br>UNBLINDING            |         | Yes/<br>2020-11-25 (35) |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3732406     | 2020-10-23                  | AMENDMENT           | -                        | Other/                                                        |         | Yes/<br>2020-11-20 (29)                                                          | 590022204 11040011                                                   |
| US3742010     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-12-18 (123 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3742011     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-01-07 (143 | Other/<br>)REQUEST TO<br>UNBLIND EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3742030     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-23 (125 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3742032     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-12-23 (125 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE    |         | Yes/<br>2020-09-21 (32)                                                          |                                                                      |
| US3742073     | 2020-08-25                  | AMENDMENT           | 2 No/<br>2020-12-24 (122 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-22 (29)                                                          |                                                                      |
| US3742086     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-28 (126 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-25 (32)                                                          |                                                                      |
| US3742093     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-18 (115 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID19 VACCINE       |         | Yes/<br>2020-09-22 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3742096     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-18 (115 | Other/<br>)REQUEST TO<br>UNBIND/ EUA<br>COVID-19 VACCINE                                                                                      |         | Yes/<br>2020-09-23 (29)                                                          |                                                                      |
| US3742099     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-02-24 (183 | Withdrawal of<br>)Consent by<br>Participant/<br>SENT TEXT<br>MESSAGE TO STUDY<br>STAFF STATING<br>THAT SHE LONGER<br>WANTS TO<br>PARTICIPATE. |         | Yes/<br>2020-09-23 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                              |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| US3742101     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-10-28 (64) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>STATES HE IS<br>UNABLE TO<br>CONTINUE DUE TO<br>TIME COMMITMENT |         | No/<br>2020-09-22 (28)                                                           | Other/<br>PARTICIPANT OUT OF<br>AREA FOR SEVERAL<br>WEEKS AND WILL NOT<br>BE VACCINATED<br>WITHIN TOLERANCE<br>WINDOW. |
| US3742109     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-28 (124 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID 19 VACCINE                                                                     |         | Yes/<br>2020-09-24 (29)                                                          |                                                                                                                        |
| US3742136     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-30 (125 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID 19 VACCINE                                                                    |         | Yes/<br>2020-09-25 (29)                                                          |                                                                                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3742147     | 2020-08-28                  | AMENDMENT 3         | 3 No/<br>2020-12-27 (122                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-09-25 (29)                                                          |                                                                      |
| US3742164     | 2020-08-29                  | AMENDMENT (         | 3 No/<br>2020-09-12 (15)                                                      | Other/<br>MOVING TO<br>CALIFORNIA<br>IMMEDIATELY              |         | No/<br>2020-09-11 (14)                                                           | Other/<br>MOVING TO<br>CALIFORNIA<br>IMMEDIATELY                     |
| US3742172     | 2020-08-31                  | AMENDMENT 3         | 3 No/<br>2020-12-18 (110                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-03 (34)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3742186     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-29 (121 | Other/<br>)REQUEST TO<br>UNBLIND/<br>RECEIVED PFIZER<br>EUA COVID<br>VACCINE |         | Yes/<br>2020-09-29 (30)                                                         |                                                                           |
| US3742203     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-18 (109 | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE                    |         | Yes/<br>2020-09-28 (28)                                                         |                                                                           |
| US3742205     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-22 (113 | Other/<br>)REQUEST TO BE<br>UNBLINDED/ EUA<br>COVID-19 VACCINE               |         | Yes/<br>2020-09-29 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                         | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3742222     | 2020-09-02                  | AMENDMENT 3         | 3 No/<br>2020-12-22 (112                                                      | Other/<br>)REQUEST TO<br>UNBLIND - EUA<br>COVID-19 VACCINE |                                            | Yes/<br>2020-09-29 (28)                                                          |                                                                      |
| US3742253     | 2020-09-04                  | AMENDMENT 3         | 3 No/<br>2021-01-07 (126                                                      | Other/<br>)REQUEST TO<br>UNBLIND EUA<br>VACCINE COVID-19   |                                            | No/<br>2020-10-01 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3742284     | 2020-09-08                  | AMENDMENT 3         | 3 No/<br>2020-12-21 (105                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID 19 VACCINE  |                                            | Yes/<br>2020-10-14 (37)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| US3742293     | 2020-09-09                  | AMENDMENT           | 3 ONGOING                                                                     |                                                            |         | No/<br>2020-10-06 (28)                                                           | Other/<br>UNABLE TO ATTEND<br>DAY 29 VISIT DUE TO<br>WORK SCHEDULE<br>CONFLICTS |
| US3742322     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-12-30 (112                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-06 (27)                                                          |                                                                                 |
| US3742334     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-28 (109                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE  |         | Yes/<br>2020-10-09 (29)                                                          |                                                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                        | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------|------------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| US3742338     | 2020-09-11                  | AMENDMENT (         | -                                                                             | Other/                                                    | Terrormea. | Yes/<br>2020-10-09 (29)                                                          |                                                                               |
| US3742341     | 2020-09-11                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                           |            | No/<br>2020-10-08 (28)                                                           | Other/<br>PARTICIPANT NOT<br>INTERESTED IN 2ND<br>DOSE BECAUSE OF AE<br>2+4+5 |
| US3742343     | 2020-09-11                  | AMENDMENT 3         | 3 No/<br>2020-12-30 (111                                                      | Other/<br>)REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE |            | Yes/<br>2020-10-09 (29)                                                          |                                                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                           |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3742358     | 2020-09-14                  | AMENDMENT 3         | 3 No/<br>2020-12-07 (82) | Withdrawal of<br>Consent by<br>Participant/<br>SHE REFUSED<br>ILLNESS VISIT<br>AND STATED THAT<br>SHE NO LONGER<br>WANTED TO<br>PARTICIPATE |         | Yes/<br>2020-10-19 (33)                                     |                                                                      |
| US3742395     | 2020-09-17                  | AMENDMENT (         | 3 ONGOING                |                                                                                                                                             |         | No/<br>2020-10-14 (28)                                      | Adverse Event/<br>AE #1                                              |
| US3742434     | 2020-09-19                  | AMENDMENT (         | 3 No/<br>2020-12-21 (94) | Other/<br>REQUESTED TO<br>UNBLIND/ EUA<br>COVID 19 VACCINE                                                                                  |         | Yes/<br>2020-10-17 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                       | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| US3742449     | 2020-09-21                  | AMENDMENT 3         |                                                                               | Other/                                                                                                              |                                            | Yes/<br>2020-10-21 (31)                                                          |                                                                                                                                |
| US3742457     | 2020-09-22                  | amendment 3         | <sup>3</sup> No/<br>2020-10-27 (36)                                           | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>STATED THAT HE<br>NO LONGER WANTED<br>TO PARTICIPATE. |                                            | No/<br>2020-10-19 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PT NO LONGER WANTED<br>TO REMAIN IN STUDY<br>AND WITHDREW<br>CONSENT TO REMAIN. |
| US3742477     | 2020-09-28                  | amendment 3         | 3 ONGOING                                                                     |                                                                                                                     |                                            | No/<br>2020-10-28 (28)                                                           | Due to SARS-CoV-2                                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752021     | 2020-08-10                  | AMENDMENT 1         | . No/<br>2021-02-08 (183 | Protocol<br>)Deviation/<br>SUBJECT RECIEVED<br>PFIZER<br>VACCINATION |         | Yes/<br>2020-09-11 (33)                                                          |                                                                           |
| US3752027     | 2020-08-10                  | AMENDMENT 1         | . No/<br>2020-12-10 (123 | Lost to Follow-Up<br>)                                               | )       | No/<br>2020-09-06 (28)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study        | /                                    |                       | Completed Dosing       | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|-----------------------|------------------------|--------------------------------------|
|               | T C 1           |                     | Date of Study          |                                      | Date of               | Date of Dosing         | Primary Reason for                   |
| ~             | Informed        |                     |                        | n Reason for Study                   |                       | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason | Autopsy<br>Performed? | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| US3752029     | 2020-08-10      | AMENDMENT 1         | No/                    | Protocol                             |                       | Yes/                   |                                      |
| 500,02025     | 2020 00 20      |                     | 2021-01-06 (150        |                                      |                       | 2020-09-09 (31)        |                                      |
|               |                 |                     |                        | PATIENT RECIEVED                     |                       |                        |                                      |
|               |                 |                     |                        | PFIZER COVID                         |                       |                        |                                      |
|               |                 |                     |                        | VACCINE OUTSIDE                      |                       |                        |                                      |
|               |                 |                     |                        | OF THE STUDY                         |                       |                        |                                      |
|               |                 |                     |                        | UNDER EUA<br>GUIDELINES. SHE         |                       |                        |                                      |
|               |                 |                     |                        | WAS UNBLINDED                        |                       |                        |                                      |
|               |                 |                     |                        | AND IS BEING                         |                       |                        |                                      |
|               |                 |                     |                        | WITHDRAWN.                           |                       |                        |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                        | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752030     | 2020-08-10                  | AMENDMENT 1         | No/<br>2020-12-17 (130 | Protocol<br>)Deviation/<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA SO THE<br>SUBJECT WAS<br>UNBLINDED |         | Yes/<br>2020-09-08 (30)                                                          |                                                                           |
| US3752032     | 2020-08-10                  | AMENDMENT 1         | No/<br>2021-02-22 (197 | Lost to Follow-Up<br>)                                                                                                           | )       | Yes/<br>2020-09-09 (31)                                                          |                                                                           |
| US3752053     | 2020-08-12                  | AMENDMENT 2         | No/<br>2020-09-08 (28) | Other/<br>SUBJECT IS<br>MOVING OUT OF<br>STATE                                                                                   |         | No/<br>2020-09-07 (27)                                                           | Other/<br>SUBJECT IS MOVING<br>OUT OF STATE                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752069     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2021-02-25 (197 | Lost to Follow-Up<br>)                                                                                                                           | þ       | Yes/<br>2020-09-10 (29)                                                          |                                                                           |
| US3752073     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2021-01-18 (159 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER COVID<br>VACCINE                                                                           |         | Yes/<br>2020-09-10 (29)                                                          |                                                                           |
| US3752083     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2021-02-03 (175 | Protocol<br>)Deviation/<br>PATIENT REPORTED<br>RECEIVING THE<br>PFIZER VACCINE<br>OUTSIDE OF THE<br>STUDY SO IS<br>THEREFORE BEING<br>WITHDRAWN. |         | Yes/<br>2020-09-10 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | /<br>Reason for Study                                                                                                                          | Date of<br>Death/ | Completed Dosing<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                                                                           |                   | or Completion<br>(Day)                                | Discontinuation/<br>Specified Reason |
| US3752111     | 2020-08-17      | AMENDMENT 2         | 2 No/<br>2020-12-28 (134                            | Other/<br>)PATIENT IS A<br>HEALTHCARE<br>WORKER AND HAS<br>IMMEDIATE ACCESS<br>TO PFIZER<br>COVID-19<br>VACCINE. MEETS<br>THE EUA<br>CRITERIA. |                   | Yes/<br>2020-09-14 (29)                               |                                      |
| US3752114     | 2020-08-17      | AMENDMENT 2         | No/<br>2020-12-10 (116                              | Lost to Follow-Up<br>)                                                                                                                         | )                 | No/<br>2020-09-13 (28)                                | Lost to Follow-Up                    |
| US3752117     | 2020-08-17      | AMENDMENT 2         | No/<br>2021-03-01 (197                              | Lost to Follow-Up<br>)                                                                                                                         | )                 | Yes/<br>2020-09-17 (32)                               |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |                     |             | Completed Study          | /                                                                                                                                                                              |            | Completed Dosing                                   | /                                                |
|-----------|---------------------|-------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    |                          | Reason for Study<br>Discontinuation/                                                                                                                                           |            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                                                               | Performed? | (Day)                                              | Specified Reason                                 |
| US3752157 | 2020-08-19          | AMENDMENT 2 | 2 No/<br>2021-02-03 (169 | Protocol<br>) Deviation/<br>PATIENT REPORTED<br>RECEIVING THE<br>PFIZER VACCINE<br>OUTSIDE OF THE<br>STUDY SO IS<br>THEREFORE BEING<br>DROPPED DUE TO<br>MODERNA<br>GUIDELINES |            | Yes/<br>2020-09-16 (29)                            |                                                  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | //<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752160     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-01-07 (142 | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>THE MODERNA<br>VACCINE OUTSIDE<br>OF THE STUDY AND<br>IS BEING<br>WITHDRAWN.  |         | Yes/<br>2020-09-16 (29)                                                          |                                                                           |
| US3752179     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2021-01-06 (140 | Protocol<br>PATIENT RECEIVED<br>PFIZER UNDER THE<br>EUA AT HIS WORK<br>PLACE. HE WAS<br>UNBLINDED AND IS<br>BEING WITHDRAWN |         | Yes/<br>2020-09-16 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752193     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2020-12-18 (120) | Protocol<br>Deviation/<br>SUBJECT<br>UNBLINDED DUE TO<br>RECEIVING PFIZER<br>COVID 19 VACCINE                                              |         | Yes/<br>2020-09-18 (29)                                                         |                                                                           |
| US3752194     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2021-01-05 (138) | Protocol<br>Deviation/<br>PATIENT RECEIVED<br>THE PFIZER<br>VACCINE OUTSIDE<br>OF THE MODERNA<br>STUDY. WAS<br>UNBLINDED AND<br>WITHDRAWN. |         | Yes/<br>2020-09-18 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                                      |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                             | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3752196     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2021-02-03 (167 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>THE PFIZER<br>VACCINE OUTSIDE<br>OF THE STUDY SO<br>IS THEREFORE<br>BEING WITHDRAWN<br>BASED ON MODERNA<br>GUIDELINES. |         | Yes/<br>2020-09-18 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3752198 2020-08-21 AMENDMENT 2 No/ Protocol Yes/ 2020-09-21 (32) 2021-02-26 (190) Deviation/ SUBJECT REPORTED RECEIVING THE MODERNA VACCINE OUTSIDE OF THE STUDY. HE WAS UNBLINDED TODAY AND IS BEING WITHDRAWN DUE TO GETTING IT OUTSIDE OF THE STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752209     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2020-12-21 (123 | Protocol<br>)Deviation/<br>SUBJECT<br>REQUESTED TO BE<br>UNBLINDED SO<br>THEY COULD GET<br>THE PFIZER COVID<br>19 VACCINE |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |
| US3752211     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2020-12-23 (125 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE PFIZER COVID<br>19 VACCINE ON 22<br>DEC 2020                           |         | Yes/<br>2020-09-23 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3752229     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-12-22 (121 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER COVID 19<br>VACCINE ON 16<br>DEC 2020                 |         | Yes/<br>2020-09-21 (29)                                                          |                                                                      |
| US3752240     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-21 (119 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>COVID 19 VACCINE                                              |         | Yes/<br>2020-09-23 (30)                                                          |                                                                      |
| US3752241     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-21 (119 | Protocol<br>)Deviation/<br>SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE PFIZER<br>COVID 19 VACCINE |         | Yes/<br>2020-09-23 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752249     | 2020-08-25                  | AMENDMENT :         | 3 No/<br>2021-01-07 (136 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>THE PFIZER<br>VACCINE OUTSIDE<br>OF THE MODERNA<br>STUDY                                  |         | Yes/<br>2020-09-22 (29)                                                          |                                                                           |
| US3752259     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-02-03 (162 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>THE PFIZER COVID<br>VACCINE OUTSIDE<br>OF THE STUDY SO<br>IS THEREFORE<br>BEING WITHDRAWN |         | Yes/<br>2020-09-23 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | 7/                                                                                                                                                         |         | Completed Dosing/                                           |                                                                      |  |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |  |
| US3752261     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-12-21 (118                                   | Protocol<br>Deviation/<br>SUBJECT RECIEVED<br>PFIZER COVID-19<br>VACCINE,<br>THEREFORE, HE<br>WAS UNBLINDED<br>AND WITHDRAWN<br>FROM THE MODERNA<br>STUDY. |         | Yes/<br>2020-09-22 (28)                                     |                                                                      |  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study |                                                                                                                                                                                  | Date of | Completed Dosing<br>Date of Dosing        | Primary Reason for                             |
|---------------|-----------------------------|---------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                       | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| US3752262     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-12-23 (120         | Protocol<br>) Deviation/<br>PATIENT<br>REQUESTED<br>UNBLINDING DUE<br>TO BEING A<br>FRONTLINE<br>HEALTHCARE<br>WORKER AND<br>HAVING IMMEDIATE<br>ACCESS TO THE<br>PFIZER VACCINE |         | Yes/<br>2020-09-21 (27)                   |                                                |
| US3752265     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2021-03-10 (197         | Lost to Follow-Up<br>')                                                                                                                                                          | )       | Yes/<br>2020-09-25 (31)                   |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3752269     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-02-04 (163 | Protocol<br>)Deviation/<br>RECEIVED NON<br>STUDY EUA<br>VACCINE        |         | Yes/<br>2020-09-24 (30)                                                          |                                                                      |
| US3752270     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-03-22 (209 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-09-23 (29)                                                          |                                                                      |
| US3752275     | 2020-08-27                  | AMENDMENT 3         | 3 No/<br>2021-03-10 (196 | Lost to Follow-Up<br>)                                                 | )       | Yes/<br>2020-09-23 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752277     | 2020-08-27                  | AMENDMENT :         | 3 No/<br>2021-01-06 (133 | Protocol<br>)Deviation/<br>SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE EAU<br>COVID19 VACCINE       |         | Yes/<br>2020-09-24 (29)                                                         |                                                                           |
| US3752278     | 2020-08-27                  | AMENDMENT :         | 3 No/<br>2020-11-02 (68) | Withdrawal of<br>Consent by<br>Participant/<br>SENT EMAIL<br>STATING HE NO<br>LONGER WANTED TO<br>PARTICIPATE |         | Yes/<br>2020-09-29 (34)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                     |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3752280     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-22 (118 | Protocol<br>)Deviation/<br>SUBJECT HAD<br>ACCESS TO PFIZER<br>COVID 19 VACCINE<br>DUE TO BEING A<br>FRONTLINE<br>HEALTHCARE<br>WORKER |         | Yes/<br>2020-09-29 (34)                                     |                                                                      |
| IJS3752300    | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-17 (112 | Protocol<br>) Deviation/<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA SO THE<br>SUBJECT WAS<br>UNBLINDED.    |         | Yes/<br>2020-09-24 (28)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | 7/                                                                                                        |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3752304     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-06 (132 | Protocol<br>2) Deviation/<br>SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE EUA<br>PFIZER COVID 19 |         | Yes/<br>2020-09-22 (26)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3752322 2020-08-31 AMENDMENT 3 No/ Protocol Yes/ 2020-09-29 (30) 2020-12-29 (121) Deviation/ PATIENT RECEIVED THE PFIZER COVID-19 VACCINE VIA HIS WORKPLACE UNDER THE EUA. HE IS BEING WITHDRAWN DUE TO THE MODERNA GUIDELINES SET FOR AMENDMENT 5.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752324     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2021-01-05 (128 | Protocol<br>)Deviation/<br>SUBJECT RECIEVED<br>THE PFIZER COVID<br>VACCINE OUTSIDE<br>OF THE MODERNA<br>STUDY. |         | Yes/<br>2020-10-01 (32)                                                         |                                                                           |
| US3752331     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-10 (101 | Lost to Follow-Up<br>)                                                                                         | )       | No/<br>2020-09-28 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study,          | /                                                                                                                                        |         | /                                                           |                                                                      |
|---------------|-----------------------------|---------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| IJS3752338    | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-05 (127) | Protocol<br>)Deviation/<br>PATIENT WAS<br>UNBLINDED AND<br>WITHDRAWN DUE TO<br>HIM RECEIVING<br>THE PFIZER<br>VACCINE UNDER<br>THE EUA.  |         | Yes/<br>2020-09-29 (29)                                     |                                                                      |
| US3752344     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-02-03 (156) | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>THE PFIZER COVID<br>VACCINE OUTSIDE<br>OF THE STUDY SO<br>IS THEREFORE<br>BEING WITHDRAWN |         | Yes/<br>2020-10-01 (31)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752366     | 2020-09-02                  | AMENDMENT 3         | 3 ONGOING                |                                                                                                                                             |         | No/<br>2020-09-29 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3752392     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-01-25 (145 | Lost to Follow-Up<br>)                                                                                                                      | þ       | Yes/<br>2020-09-29 (27)                                                          |                                                                           |
| US3752415     | 2020-09-04                  | AMENDMENT (         | 3 No/<br>2021-01-06 (125 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE<br>OUTSIDE OF STUDY<br>UNDER EUA, WAS<br>UNBLINDED AND IS<br>BEING WITHDRAWN |         | Yes/<br>2020-10-05 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3752418 2020-09-04 AMENDMENT 3 No/ Protocol Yes/ 2021-01-05 (124) Deviation/ 2020-10-02 (29) PATIENT RECEVIED THE MODERNA VACCINE OUTSIDE OF THE STUDY. AN EPIP WAS SUBMITTED AND THE PATIENT WAS UNBLINDED PER MODERNA AND IS BEING WITHDRAWN

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study        | /                                    |            | Completed Dosing,      | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|------------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of    | Date of Dosing         | Primary Reason for                   |
|               | Informed        |                     |                        | n Reason for Study                   |            | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |            | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
|               | 2000            | 1010101             | (201)                  | Specified Readon                     | rorrormou. | (201)                  | opecified neadon                     |
| US3752422     | 2020-09-09      | AMENDMENT           | 3 No/                  | Protocol                             |            | Yes/                   |                                      |
|               |                 |                     | 2020-12-29 (112        | )Deviation/                          |            | 2020-10-05 (27)        |                                      |
|               |                 |                     |                        | PATIENT RECEIVED                     |            |                        |                                      |
|               |                 |                     |                        | THE PFIZER                           |            |                        |                                      |
|               |                 |                     |                        | COVID-19 VACCINE                     |            |                        |                                      |
|               |                 |                     |                        | VIA HER                              |            |                        |                                      |
|               |                 |                     |                        | WORKPLACE. PER                       |            |                        |                                      |
|               |                 |                     |                        | MODERNA                              |            |                        |                                      |
|               |                 |                     |                        | GUIDELINES UNDER                     |            |                        |                                      |
|               |                 |                     |                        | AMENDMENT 5 SHE                      |            |                        |                                      |
|               |                 |                     |                        | IS TO BE                             |            |                        |                                      |
|               |                 |                     |                        | WITHDRAWN FROM                       |            |                        |                                      |
|               |                 |                     |                        | THE STUDY.                           |            |                        |                                      |
| US3762008     | 2020-08-20      | AMENDMENT           | 2 No/                  | Lost to Follow-Up                    | ,          | Yes/                   |                                      |
|               |                 |                     | 2021-03-06 (199        | )                                    |            | 2020-09-24 (36)        |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3762044     | 2020-08-25                  | AMENDMENT           | 2 No/<br>2021-01-07 (136 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA VACCINE<br>BEFORE BEING<br>UNBLINDED.        |         | Yes/<br>2020-09-22 (29)                                                          |                                                                           |
| US3762075     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-23 (119 | Other/<br>)PATIENT WAS<br>UNBLINDED BEFORE<br>EUA AND PATIENT<br>PLANS TO RECEIVE<br>PFIZER VACCINE |         | Yes/<br>2020-09-23 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3762112     | 2020-08-31                  | AMENDMENT :         | 2 No/<br>2021-01-05 (128 | Protocol<br>PRIOR TO<br>UNBLINDING<br>PATIENT RECEIVED<br>PFIZER VACCINE<br>AND WAS<br>DISCONTINUED<br>FROM TRIAL |         | Yes/<br>2020-09-28 (29)                                                          |                                                                      |
| US3762122     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-28 (120 | Protocol<br>)Deviation/<br>PT WITHDREW TO<br>RECEIVE PFIZER<br>COVID 19 VACCINE                                   |         | Yes/<br>2020-09-28 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3762132     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-01-07 (129 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT BECAUSE<br>HE NO LONGER<br>WANTED TO<br>PARTICIPATE IN<br>THE STUDY. |         | Yes/<br>2020-09-29 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |            |           | Completed Study | /                |            | Completed Dosing, | /                  |
|-----------|------------|-----------|-----------------|------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study   |                  | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           |                 | Reason for Study |            | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | -               | Discontinuation/ |            | or Completion     | Discontinuation/   |
| [D        | Date       | Version   | (Day)           | Specified Reason | Performed? | (Day)             | Specified Reason   |
| JS3762227 | 2020-09-09 | AMENDMENT | 3 No/           | Withdrawal of    |            | Yes/              |                    |
|           |            |           | 2020-12-21 (104 | )Consent by      |            | 2020-10-05 (27)   |                    |
|           |            |           |                 | Participant/     |            |                   |                    |
|           |            |           |                 | PATIENT WAS      |            |                   |                    |
|           |            |           |                 | UNBLINDED EARLY  |            |                   |                    |
|           |            |           |                 | FROM STUDY,      |            |                   |                    |
|           |            |           |                 | PATIENT RECEIVED |            |                   |                    |
|           |            |           |                 | PLACEBO AND      |            |                   |                    |
|           |            |           |                 | CHOSE TO GET THE |            |                   |                    |
|           |            |           |                 | PFIZER VACCINE.  |            |                   |                    |
|           |            |           |                 | PATIENT WAS      |            |                   |                    |
|           |            |           |                 | WITHDRAWN FROM   |            |                   |                    |
|           |            |           |                 | THE STUDY.       |            |                   |                    |
| JS3772023 | 2020-08-06 | AMENDMENT | 1 No/           | Other/           |            | Yes/              |                    |
|           |            |           | 2020-10-15 (71) | RELOCATION       |            | 2020-09-09 (35)   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3772025     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-11-05 (92) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT W/C NOT<br>DUE TO AN SAE/AE<br>BUT DUE TO "NOT<br>WANTING TO DEAL<br>WITH THIS STUDY<br>ANYMORE" |         | Yes/<br>2020-09-09 (35)                                                          |                                                                      |
| US3772032     | 2020-08-10                  | AMENDMENT           | 2 No/<br>2020-12-22 (135 | Other/<br>)IMMINENT<br>AVAILABILITY OF<br>MODERNA VACCINE                                                                                               |         | Yes/<br>2020-09-08 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| US3772048     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2020-08-27 (16)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT NOT DUE<br>TO AN AE OR SAE |         | No/<br>2020-08-26 (15)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT NOT DUE TO<br>AN AE OR SAE |
| US3772051     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2020-09-28 (48)                                                      | Serious Adverse<br>Event/<br>SAE #1                                                                   |         | No/<br>2020-09-08 (28)                                                           | Serious Adverse<br>Event/<br>SAE#1                                                                    |
| US3772052     | 2020-08-12                  | AMENDMENT :         | - 1                                                                           | Other/<br>)UNBLINDING UNDER<br>EUA FOR PFIZER<br>VACCINE                                              |         | Yes/<br>2020-09-11 (31)                                                          |                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                          |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3772058     | 2020-08-13                  | AMENDMENT 2         | - 1                                                        | Other/<br>)EUA RECEIPT OF<br>PFIZER COVID<br>VACCINE       |         | Yes/<br>2020-09-09 (28)                                     |                                                                      |
| JS3772130     | 2020-08-22                  | AMENDMENT 2         | - 1                                                        | Other/<br>)UNBLINDED DUE TO<br>EUA PFIZER<br>VACCINE       |         | Yes/<br>2020-09-16 (26)                                     |                                                                      |
| JS3772151     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                                                  |                                                            |         | No/<br>2020-09-20 (28)                                      | Other/<br>SUBJECT DECLINED<br>SECOND DOSE                            |
| JS3772154     | 2020-08-27                  | AMENDMENT 3         | 3 No/<br>2020-12-24 (120                                   | Lost to Follow-Up<br>)                                     | )       | Yes/<br>2020-09-21 (26)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                                                                                                 |                   | Completed Dosing,                 |                                      |
|---------------|-----------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study            | Reason for Study                                                                                                                                                  | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                          | Discontinuation/<br>Specified Reason                                                                                                                              | Autopsy           | or Completion                     | Discontinuation/<br>Specified Reason |
| US3772177     | 2020-08-28      | AMENDMENT           | 3 No/<br>2020-11-18 (83) | Withdrawal of<br>Consent by<br>Participant/<br>THIS SUBJECT<br>REPORTS BEING<br>FRUSTRATED WITH<br>THE SAFETY<br>FOLLOW UP DIARY<br>ENTRIES AND<br>WISHES TO W/C. |                   | Yes/<br>2020-09-25 (29)           |                                      |
| US3772178     | 2020-08-28      | AMENDMENT           | 3 No/<br>2020-12-28 (123 | Other/<br>)IMMINENT<br>AVAILABILITY OF<br>PFIZER COVID<br>VACCINE                                                                                                 |                   | Yes/<br>2020-09-25 (29)           |                                      |
| US3772179     | 2020-08-28      | AMENDMENT           | 3 No/<br>2021-02-25 (182 | Lost to Follow-Up<br>)                                                                                                                                            | )                 | Yes/<br>2020-09-25 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3772189     | 2020-08-31                  | AMENDMENT           | /                        | Other/<br>)EUA RECEIPT OF<br>PFIZER VACCINE                                        |         | Yes/<br>2020-09-29 (30)                                                         |                                                                           |
| US3772205     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-01-11 (132 | Lost to Follow-Up<br>)                                                             | )       | Yes/<br>2020-09-28 (27)                                                         |                                                                           |
| US3772255     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-01-15 (127 | Protocol<br>)Deviation/<br>RECEIPT OF<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>SITE |         | Yes/<br>2020-10-09 (29)                                                         |                                                                           |
| US3772269     | 2020-09-12                  | AMENDMENT           | 3 No/<br>2021-01-29 (140 | Protocol<br>)Deviation/<br>RECEIPT OF THE<br>PFIZER COVID<br>VACCINE               |         | Yes/<br>2020-10-14 (33)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study                  | /                                                                                            |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|----------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuatior | n Reason for Study                                                                           | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                         |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3782002     | 2020-08-11      | AMENDMENT           | 2 No/<br>2020-12-16 (128         | Other/<br>)UNBLINDED -<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA |                   | Yes/<br>2020-09-08 (29)           |                                      |
| US3782016     | 2020-08-14      | AMENDMENT           | 2 No/<br>2020-12-16 (125         | Other/<br>)UNBLINDED -<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA |                   | Yes/<br>2020-09-11 (29)           |                                      |
| US3782038     | 2020-08-17      | AMENDMENT           | 2 No/<br>2021-03-17 (213         | Lost to Follow-Up<br>)                                                                       | )                 | Yes/<br>2020-09-14 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3782072     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2020-12-16 (118 | Other/<br>)MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA                                         |         | Yes/<br>2020-09-21 (32)                                                          |                                                                      |
| US3782073     | 2020-08-24                  | AMENDMENT :         | - 1                      | Other/<br>)PARTICIPANT HAS<br>BEEN UNBLINDED.<br>REASON: OTHER<br>â&" REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | No/<br>2020-09-20 (28)                                                           | Adverse Event/<br>AE #1                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3782087     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-12-16 (114 | Other/<br>)UNBLINDED -<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA |         | Yes/<br>2020-09-22 (29)                                                         |                                                                           |
| US3782097     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-16 (112 | Other/<br>)UNBLINDED -<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA |         | Yes/<br>2020-09-24 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3782103     | 2020-08-28                  | AMENDMENT           |                          | Other/<br>)OTHER- REQUEST<br>TO UNBLIND/ EUA<br>COVID-19 VACCINE                             |         | Yes/<br>2020-09-25 (29)                                                          |                                                                           |
| US3782109     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-21 (116 | Other/<br>)OTHER –<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                        |         | Yes/<br>2020-10-02 (36)                                                          |                                                                           |
| US3782129     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-16 (107 | Other/<br>)UNBLINDED -<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA |         | Yes/<br>2020-09-29 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3782158     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-16 (100                                                      | Other/<br>)UNBLINDED AS<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA |         | Yes/<br>2020-10-05 (28)                                                          |                                                                           |
| US3782172     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-12-17 (93)                                                      | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                       |         | Yes/<br>2020-10-14 (29)                                                          |                                                                           |
| US3782182     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2020-12-21 (68)                                                      | Other/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                       |         | Yes/<br>2020-11-12 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3782187     | 2020-10-19                  | AMENDMENT           | 4 No/<br>2020-12-16 (59) | Other/<br>MEETS CDC<br>CRITERIA TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA                                         |         | Yes/<br>2020-11-17 (30)                                                          |                                                                      |
| US3782193     | 2020-10-22                  | AMENDMENT           | - /                      | Other/<br>PARTICIPANT HAS<br>BEEN UNBLINDED.<br>REASON: OTHER<br>â€" REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-11-19 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                        | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3792013     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2020-12-31 (142 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE UNDER<br>EUA                                                     |         | Yes/<br>2020-09-09 (29)                                                          |                                                                      |
| US3792021     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-26 (132 | Protocol<br>) Deviation/<br>PROTOCOL<br>DEVIATION<br>SUBJECT RECEIVED<br>THE PFIZER<br>VACCINE OUTSIDE<br>OF THE STUDY |         | Yes/<br>2020-09-15 (30)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3792026     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-12-18 (120 | Withdrawal of<br>)Consent by<br>Participant/<br>MEETS CRITERIA<br>TO RECEIVE<br>PFIZER VACCINE<br>UNDER EUA        |         | Yes/<br>2020-09-18 (29)                                                         |                                                                           |
| US3792031     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-10-07 (45) | Withdrawal of<br>Consent by<br>Participant/<br>REASON FOR<br>DISCONTINUING<br>STUDY IS FEAR OF<br>VACCINE REACTION |         | No/<br>2020-09-20 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuatior | /<br>Reason for Study                                                                                                                                                         | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                                                                                                          |                   | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3792074     | 2020-09-24      | AMENDMENT           | 3 No/<br>2021-01-05 (104                            | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT REPORTED<br>COVID-19<br>INFECTION AND<br>ASKED TO<br>WITHDRAW CONSENT<br>AND TO NOT BE<br>CONTACTED IN THE<br>FUTURE. |                   | Yes/<br>2020-10-26 (33)                                |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3792078     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2021-01-04 (98) | Protocol<br>Deviation/<br>SUBJECT WAS<br>UNBLINDED UNDER<br>AMENDMENT 5 AND<br>RECEIVED A<br>NON-STUDY<br>VACCINE                                       |         | Yes/<br>2020-11-03 (36)                                                         |                                                                           |
| US3792092     | 2020-10-08                  | AMENDMENT           | 4 No/<br>2021-01-04 (89) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS<br>UNBLINDED PRIOR<br>TO AMENDMENT 6<br>AND RECEIVED THE<br>VACCINE OUTSIDE<br>OF THE STUDY. |         | Yes/<br>2020-11-05 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3802009     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2021-01-05 (140                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>RECEIVED PFIZER<br>VACCINE |         | Yes/<br>2020-09-16 (29)                                                         |                                                                           |
| US3802024     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (127                                                      | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE                      |         | Yes/<br>2020-09-21 (29)                                                         |                                                                           |
| US3802047     | 2020-08-27                  | AMENDMENT (         | 3 No/<br>2020-09-20 (25)                                                      | Lost to Follow-Up                                                          | )       | No/<br>2020-09-19 (24)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | //<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                              |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| US3802138     | 2020-09-04                  | AMENDMENT :         | 3 No/<br>2021-03-26 (204 | Withdrawal of<br>) Consent by<br>Participant/<br>PARTICIPANT SENT<br>EMAIL TO INFORM<br>THAT SHE IS NO<br>LONGER<br>INTERESTED IN<br>FOLLOWING STUDY<br>PROCEDURES. |         | Yes/<br>2020-10-05 (32)                                                         |                                                                                                        |
| US3802175     | 2020-09-08                  | AMENDMENT (         | 3 ONGOING                |                                                                                                                                                                     |         | No/<br>2020-10-05 (28)                                                          | Protocol Deviation/<br>SUBJECT TAKING<br>ACCUTANE AND<br>ADVISED VIA EPIP<br>NOT TO GET SECOND<br>DOSE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3802199     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2021-01-07 (120 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF STUDY. |         | Yes/<br>2020-10-08 (29)                                                         |                                                                           |
| US3802204     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-12-30 (112 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE                                  |         | Yes/<br>2020-10-13 (34)                                                         |                                                                           |
| US3802210     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-12-21 (103 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE                                  |         | Yes/<br>2020-10-14 (35)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study        | /                                    |         | Completed Dosing       | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|---------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of | Date of Dosing         | Primary Reason for                   |
|               | Informed        |                     |                        | Reason for Study                     |         | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| JS3802234     | 2020-09-15      | AMENDMENT           | 3 No/                  | Protocol                             |         | Yes/                   |                                      |
|               |                 |                     | 2021-01-11 (119        | )Deviation/                          |         | 2020-10-14 (30)        |                                      |
|               |                 |                     |                        | RECEIVED PFIZER                      |         |                        |                                      |
|               |                 |                     |                        | VACCINE                              |         |                        |                                      |
| JS3812012     | 2020-08-03      | AMENDMENT           | - /                    | Protocol                             |         | Yes/                   |                                      |
|               |                 |                     | 2020-12-21 (141        | ,                                    |         | 2020-08-31 (29)        |                                      |
|               |                 |                     |                        | REQUEST TO                           |         |                        |                                      |
|               |                 |                     |                        | UNBLIND/EUA<br>COVID-19 VACCINE      |         |                        |                                      |
|               |                 |                     |                        | COVID-19 VACCINE                     |         |                        |                                      |
| JS3812018     | 2020-08-03      | AMENDMENT           | 1 No/                  | Protocol                             |         | Yes/                   |                                      |
|               |                 |                     | 2020-12-22 (142        | ,                                    |         | 2020-08-31 (29)        |                                      |
|               |                 |                     |                        | REQUEST TO                           |         |                        |                                      |
|               |                 |                     |                        | UNBLIND/EUA                          |         |                        |                                      |
|               |                 |                     |                        | COVID-19 VACCINE                     |         |                        |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                                                                              |                    | Completed Dosing         | /                                    |
|---------------|-----------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------|--------------------|--------------------------|--------------------------------------|
|               |                 |                     | Date of Study            |                                                                                                | Date of            | Date of Dosing           | Primary Reason for                   |
|               | Informed        |                     |                          | Reason for Study                                                                               |                    | Discontinuation          | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                           |                    | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason |
| US3812041     | 2020-08-05      | AMENDMENT :         | 1 No/<br>2021-01-26 (175 | Protocol<br>)Deviation/<br>EUA COVID-19<br>VACCINE                                             |                    | Yes/<br>2020-08-31 (27)  |                                      |
| US3812056     | 2020-08-06      | AMENDMENT :         | 1 No/<br>2020-12-29 (146 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                       |                    | Yes/<br>2020-09-03 (29)  |                                      |
| US3812059     | 2020-08-06      | AMENDMENT :         | 1 No/<br>2021-03-23 (230 | Other/<br>)SUBJECT WOULD<br>LIKE TO RECEIVE<br>THE COVID-19<br>VACCINE OUTSIDE<br>OF THE STUDY |                    | Yes/<br>2020-09-01 (27)  |                                      |
| US3812060     | 2020-08-06      | AMENDMENT :         | 1 No/<br>2020-11-24 (111 | Death<br>)                                                                                     | 2020-11-24,<br>UNK | /Yes/<br>2020-08-31 (26) |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study/         |                                                                          | Completed Dosing/ |                                                             |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------|-------------------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy           | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3812072     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-22 (138 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                 |                   | Yes/<br>2020-09-01 (26)                                     |                                                                      |
| JS3812079     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-12-28 (144 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |                   | Yes/<br>2020-09-01 (26)                                     |                                                                      |
| JS3812083     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-11-12 (98) | Other/<br>RELOCATION                                                     |                   | Yes/<br>2020-09-02 (27)                                     |                                                                      |
| JS3812100     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2020-12-22 (135 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                 |                   | Yes/<br>2020-09-04 (26)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                        |         | Completed Dosing/                                           |                                                                      |  |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |  |
| JS3812101     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2020-12-31 (144 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-08 (30)                                     |                                                                      |  |
| JS3812118     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-12-22 (134 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-15 (36)                                     |                                                                      |  |
| JS3812125     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-10-02 (53) | Lost to Follow-Up                                        | )       | Yes/<br>2020-09-11 (32)                                     |                                                                      |  |
| US3812138     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-12-22 (133 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-09 (29)                                     |                                                                      |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol    | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | /<br>Reason for Study<br>Discontinuation/                                      | Date of<br>Death/<br>Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|----------------------------------------------------------------------|--------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version     | (Day)                                                                | Specified Reason                                                               | Performed?                   | (Day)                                                                   | Specified Reason                                      |
| US3812142 | 2020-08-12          | AMENDMENT 3 | L No/<br>2020-12-17 (128                                             | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE      |                              | Yes/<br>2020-09-09 (29)                                                 |                                                       |
| US3812150 | 2020-08-12          | AMENDMENT 2 | 2 No/<br>2020-12-28 (139                                             | Protocol<br>)Deviation/<br>EUA - REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |                              | Yes/<br>2020-09-14 (34)                                                 |                                                       |
| US3812174 | 2020-08-14          | AMENDMENT 2 | 2 ONGOING                                                            |                                                                                |                              | No/<br>2020-09-10 (28)                                                  | Due to SARS-CoV-2                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                               |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| US3812196     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-12-17 (123                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                   |         | Yes/<br>2020-09-15 (30)                                                         |                                                                                                                                         |
| US3812197     | 2020-08-17                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                            |         | No/<br>2020-09-13 (28)                                                          | Due to SARS-CoV-2                                                                                                                       |
| US3812211     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2020-09-24 (37)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT REFUSED<br>TO UNDERGO ANY<br>NASAL SWABBING<br>FOR THE<br>REMAINDER OF THE<br>STUDY |         | No/<br>2020-09-15 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT REFUSED TO<br>UNDERGO ANY NASAL<br>SWABBING FOR THE<br>REMAINDER OF THE<br>STUDY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3812215     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (127 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-25 (38)                                                         |                                                                           |
| US3812216     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2020-12-30 (134 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                   |         | Yes/<br>2020-09-17 (30)                                                         |                                                                           |
| US3812239     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2021-01-07 (140 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE   |         | Yes/<br>2020-09-23 (34)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3812272     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2020-12-17 (114 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-24 (30)                                                          |                                                                      |
| US3812274     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-24 (120 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-24 (29)                                                          |                                                                      |
| US3812275     | 2020-08-27                  | AMENDMENT           | 2 No/<br>2020-12-29 (125 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-09-24 (29)                                                          |                                                                      |
| US3812299     | 2020-08-28                  | AMENDMENT           | 2 No/<br>2020-12-18 (113 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-10-02 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

> WITHDREW CONSENT "DUE TO ANGER AT BEING ASKED TO PROPERLY MASK WHILE IN THE PUBLIC AREA (WAITING ROOM)"

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3812304 2020-09-01 AMENDMENT 1 No/ Withdrawal of No Discontinuation from 2020-09-01 (1) Consent by Study Participant/ PER PI, SUBJECT

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study/<br>Date of Study |                                      | Date of | Completed Dosing/<br>Date of Dosing Primary Reason for |                                      |
|---------------|-----------------|---------------------|-----------------------------------|--------------------------------------|---------|--------------------------------------------------------|--------------------------------------|
| ~             | Informed        |                     | Discontinuation                   | n Reason for Study                   | Death/  | Discontinuation                                        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3812313     | 2020-09-03      | AMENDMENT           | 3 No/<br>2021-03-10 (189          | Withdrawal of                        |         | Yes/<br>2020-10-02 (30)                                |                                      |
|               |                 |                     | 2021-03-10 (189                   | Participant/                         |         | 2020-10-02 (30)                                        |                                      |
|               |                 |                     |                                   | SUBJECT<br>VERBALIZED THAT           |         |                                                        |                                      |
|               |                 |                     |                                   | SHE DOES NOT                         |         |                                                        |                                      |
|               |                 |                     |                                   | WANT TO<br>PARTICIPANT IN            |         |                                                        |                                      |
|               |                 |                     |                                   | ANYMORE STUDY                        |         |                                                        |                                      |
|               |                 |                     |                                   | PROCEDURES                           |         |                                                        |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3822006     | 2020-08-04                  | AMENDMENT 1         | l No/<br>2020-12-30 (149 | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS<br>UNABLE TO<br>RECEIVE VACCINE<br>DUE TO AE<br>THEREFORE SHE<br>CHOOSE TO<br>WITHDRAW |         | No/<br>2020-08-31 (28)                                                          | Adverse Event/<br>AE LOG LINE #1                                          |
| US3822008     | 2020-08-04                  | AMENDMENT 2         |                          | Other/<br>)SUBJECT RECEIVED<br>THE PFIZER<br>VACCINE ON<br>31DEC2020                                                                              |         | Yes/<br>2020-09-03 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3822090     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-02-15 (188 | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE VACCINE    |         | Yes/<br>2020-09-11 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject   | Informed<br>Consent | Protocol    |       | /<br>n Reason for Study<br>Discontinuation/                                                                                                                                                                                                        | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version     | (Day) | Specified Reason                                                                                                                                                                                                                                   | <br>(Day)                                                              | Specified Reason                                      |
| JS3822092 | 2020-08-12          | AMENDMENT 2 | - 1   | Other/<br>)THE SUBJECT IS A<br>PHARMACIST AND<br>IS SCHEDULED TO<br>RECEIVE THE<br>MODERNA VACCINE<br>THROUGH HIS<br>EMPLOYER TODAY.<br>HE IS UNABLE TO<br>WAIT UNTIL<br>30DEC2020 WHEN<br>WE WILL HAVE<br>VACCINE ONSITE.<br>HE WAS<br>UNBLINDED. | Yes/<br>2020-09-14 (34)                                                |                                                       |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3822112     | 2020-08-13                  | AMENDMENT :         | 2 No/<br>2020-12-22 (132 | Other/<br>)SUBJECT IS A<br>PHYSICIAN AND<br>QUALIFIES TO<br>RECEIVE THE<br>PFIZER VACCINE<br>THROUGH EUA. HE<br>REQUESTED TO BE<br>UNBLINDED AND<br>WAS INFORMED<br>THAT HE RECEIVED<br>PLACEBO. HE WILL<br>DISCONTINUE THE<br>STUDY AND<br>RECEIVE PFIZER |         | Yes/<br>2020-09-17 (36)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                             |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
| US3822214     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-02-17 (182                                                      | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE                                        |         | Yes/<br>2020-09-18 (30)                                                         |                                                                                                                       |
| US3822270     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-01-20 (148                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DECIDED<br>NOT TO<br>PARTICIPATE<br>AFTER V1 |         | No/<br>2020-09-22 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED<br>AFTER V1 THAT SHE<br>DID NOT WANT TO<br>PARTICIPATE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               | Informed        |                     |                          | n Reason for Study                                                                                                                                                                                                            | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                                                                                                          | <br>or Completion<br>(Day)                             | Discontinuation/<br>Specified Reason |
| JS3822299     | 2020-08-27      | AMENDMENT 2         | 2 No/<br>2020-12-23 (119 | Protocol<br>Protocol<br>SUBJECT IS A<br>PHYSICIAN AND IS<br>ABLE TO RECEIVE<br>THE PFIZER<br>VACCINE THROUGH<br>EUA. HE RECEIVED<br>THE PFIZER<br>VACCINE ON<br>19-DEC-2020 AND<br>WOULD LIKE TO<br>DISCONTINUE THE<br>STUDY. | Yes/<br>2020-09-22 (27)                                |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |              | Completed Study | /                |            | Completed Dosing | /                  |
|-----------|------------|--------------|-----------------|------------------|------------|------------------|--------------------|
|           |            |              | Date of Study   |                  | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   |              | Discontinuation | Reason for Study | Death/     | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol     | or Completion   | Discontinuation/ | Autopsy    | or Completion    | Discontinuation/   |
| I D       | Date       | Version      | (Day)           | Specified Reason | Performed? | (Day)            | Specified Reason   |
| JS3822320 | 2020-08-31 | AMENDMENT    | 3 No/           | Other/           |            | Yes/             |                    |
| 000022020 | 2020 00 51 | ANDINDINGINI | 2020-12-22 (114 |                  |            | 2020-09-30 (31)  |                    |
|           |            |              | 2020 12 22 (111 | PHYSICIAN AND    |            | 2020 09 00 (01)  |                    |
|           |            |              |                 | OUALIFIES TO     |            |                  |                    |
|           |            |              |                 | RECEIVE THE      |            |                  |                    |
|           |            |              |                 | PFIZER VACCINE   |            |                  |                    |
|           |            |              |                 | UNDER EUA. SHE   |            |                  |                    |
|           |            |              |                 | CAME IN FOR AN   |            |                  |                    |
|           |            |              |                 | UNBLINDING VISIT |            |                  |                    |
|           |            |              |                 | ON 18-DEC-2020   |            |                  |                    |
|           |            |              |                 | AND WAS INFORMED |            |                  |                    |
|           |            |              |                 | THAT SHE         |            |                  |                    |
|           |            |              |                 | RECEIVED         |            |                  |                    |
|           |            |              |                 | PLACEBO. SHE     |            |                  |                    |
|           |            |              |                 | DECIDED TO       |            |                  |                    |
|           |            |              |                 | WITHDRAW.        |            |                  |                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study<br>Date of Study | /                                    | Date of | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------|---------|-------------------------------------|--------------------------------------|
|               | Informed        |                     | Discontinuation                  | Reason for Study                     | Death/  | Discontinuation                     | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason |
| JS3822357     | 2020-09-03      | AMENDMENT           | 3 No/                            | Other/                               |         | Yes/                                |                                      |
|               |                 |                     | 2020-12-22 (111                  | )SUBJECT IS A                        |         | 2020-10-01 (29)                     |                                      |
|               |                 |                     |                                  | PHYSICIAN AND                        |         |                                     |                                      |
|               |                 |                     |                                  | QUALIFIES TO                         |         |                                     |                                      |
|               |                 |                     |                                  | RECEIVE THE                          |         |                                     |                                      |
|               |                 |                     |                                  | PFIZER VACCINE                       |         |                                     |                                      |
|               |                 |                     |                                  | THROUGH EUA. SHE                     |         |                                     |                                      |
|               |                 |                     |                                  | REQUESTED TO BE                      |         |                                     |                                      |
|               |                 |                     |                                  | UNBLINDED AND                        |         |                                     |                                      |
|               |                 |                     |                                  | WAS INFORMED                         |         |                                     |                                      |
|               |                 |                     |                                  | THAT SHE                             |         |                                     |                                      |
|               |                 |                     |                                  | RECEIVED                             |         |                                     |                                      |
|               |                 |                     |                                  | PLACEBO. SHE                         |         |                                     |                                      |
|               |                 |                     |                                  | WILL DISCONTINUE                     |         |                                     |                                      |
|               |                 |                     |                                  | THE STUDY.                           |         |                                     |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |           | Completed Study, | /                |            | Completed Dosing | /                  |
|-----------|------------|-----------|------------------|------------------|------------|------------------|--------------------|
|           |            |           | Date of Study    |                  | Date of    | Date of Dosing   | Primary Reason for |
|           | Informed   | _         |                  | Reason for Study |            | Discontinuation  | Dosing             |
| Subject   | Consent    | Protocol  | -                | Discontinuation/ |            | or Completion    | Discontinuation/   |
| ID        | Date       | Version   | (Day)            | Specified Reason | Performed? | (Day)            | Specified Reason   |
| 102022261 |            |           | 2 No /           | With durant of   |            | Vee /            |                    |
| JS3822361 | 2020-09-03 | AMENDMENT |                  | Withdrawal of    |            | Yes/             |                    |
|           |            |           | 2021-03-10 (189) | )Consent by      |            | 2020-09-29 (27)  |                    |
|           |            |           |                  | Participant/     |            |                  |                    |
|           |            |           |                  | SUBJECT          |            |                  |                    |
|           |            |           |                  | REQUESTED TO BE  |            |                  |                    |
|           |            |           |                  | DISCONTINUED     |            |                  |                    |
|           |            |           |                  | FROM THE STUDY   |            |                  |                    |
|           |            |           |                  | AND REFUSED TO   |            |                  |                    |
|           |            |           |                  |                  |            |                  |                    |
|           |            |           |                  | COME IN FOR AN   |            |                  |                    |
|           |            |           |                  | EARLY EXIT       |            |                  |                    |
|           |            |           |                  | VISIT.           |            |                  |                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3822365     | 2020-09-03                  | AMENDMENT 3         | 3 No/<br>2020-12-18 (107                                                      | Other/<br>)SUBJECT<br>DISCONTINUED<br>FROM STUDY DUE<br>NOT BEEN ABLE TO<br>RECEIVE<br>OL-VACCINATION<br>ON EXPECTED<br>DATE. |         | Yes/<br>2020-10-13 (41)                                                         |                                                                           |
| US3822393     | 2020-09-14                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                                               |         | No/<br>2020-10-11 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3822402     | 2020-09-17                  | AMENDMENT           | <br>Other/                                                    |         | Yes/<br>2020-10-15 (29)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  |                          | /<br>n Reason for Study<br>Discontinuation/                                                                                              |   | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---|-------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                         |   | -                                                                       | Specified Reason                                      |
| US3822407 | 2020-09-18          | AMENDMENT | 3 No/<br>2020-12-30 (104 | Protocol<br>) Deviation/<br>SUBJECT WAS<br>UNABLE TO<br>RECEIVE THE<br>MODERNA VACCINE<br>AND INSTEAD<br>RECEIVED THE<br>PFIZER VACCINE. |   | Yes/<br>2020-10-23 (36)                                                 |                                                       |
| US3832039 | 2020-08-02          | AMENDMENT | 1 No/<br>2020-09-23 (53) | Lost to Follow-Up                                                                                                                        | ) | Yes/<br>2020-09-02 (32)                                                 |                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Discontinuation/ Subject Consent or Completion Discontinuation/ Autopsy or Completion Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3832074 2020-08-04 AMENDMENT 1 ONGOING No/ Other/ 2020-08-31 (28) SUBJECT DID NOT WITHDRAW CONSENT FOR THE STUDY, BUT DID NOT WISH TO RECEIVE SECOND IP DOSE. SUBJECT AGREED TO CONTINUE STUDY PARTICIPATION WITH ALL OTHER PROCEDURES/ASSESSME NTS PER PROTOCOL. US3832075 2020-08-04 AMENDMENT 1 No/ Withdrawal of Yes/ 2021-01-04 (154)Consent by 2020-09-01 (29) Participant/ DESIRE FOR PREGNANCY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3832109     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-03-09 (216 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WISHED<br>TO BE UN-BLINDED<br>BUT NO LONGER<br>WISHES TO<br>PARTICIPATE IN<br>THE TRIAL |         | Yes/<br>2020-09-03 (29)                                                          |                                                                           |
| US3832158     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-09-20 (41) | Lost to Follow-Up                                                                                                                               | )       | Yes/<br>2020-09-10 (31)                                                          |                                                                           |
| US3832169     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2020-09-30 (50) | Lost to Follow-Up                                                                                                                               | )       | Yes/<br>2020-09-09 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                 |                    | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------|--------------------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                          | Autopsy            | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3832199     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2021-03-24 (220 | Withdrawal of<br>)Consent by<br>Participant/<br>PRIMARY CARE<br>TAKER FOR<br>PARENTS THAT<br>LIVE OUT OF<br>STATE |                    | Yes/<br>2020-09-14 (29)                                     |                                                                      |
| US3832237     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-11-19 (92) | Death                                                                                                             | 2020-11-19,<br>UNK | /Yes/<br>2020-09-17 (29)                                    |                                                                      |
| US3842007     | 2020-08-31                  | AMENDMENT           |                          | Other/                                                                                                            | UNIX               | Yes/<br>2020-10-01 (32)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3842009     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-17 (108 | Other/<br>)REQUEST TO<br>UNBLIND EUA<br>COVID-19 VACCINE                                                                   |         | Yes/<br>2020-10-02 (32)                                                          |                                                                      |
| US3842012     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-23 (113 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19                                                                           |         | Yes/<br>2020-10-02 (31)                                                          |                                                                      |
| US3842015     | 2020-09-03                  | AMENDMENT           |                          | Other/<br>)UNBLINDED UNDER<br>THE EUA DUE TO<br>IMMINENT ACCESS<br>AND ELIGIBILITY<br>TO RECEIVE<br>COVID19<br>VACCINATION |         | Yes/<br>2020-09-30 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3842017     | 2020-09-03                  | AMENDMENT (         | 3 No/<br>2020-12-17 (105                                                      | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-30 (27)                                                          |                                                                           |
| US3842054*    | 2020-10-02                  | AMENDMENT 4         | - /                                                                           | Other/<br>ACCESS TO COVID<br>19 VACCINATION<br>UNDER EUA |         | Yes/<br>2020-10-30 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852001     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-01-14 (148 | Protocol<br>Deviation/<br>NOTIFIED OF<br>SUBJECT<br>RECEIVING<br>VACCINE OUTSIDE<br>OF THE STUDY.<br>PLACEBO ARM.<br>WITHDRAWN.<br>UNABLE TO REACH<br>BY PHONE TO<br>DISCUSS FURTHER.<br>PI NOTIFIED. |         | Yes/<br>2020-09-18 (30)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study/       |                                                           |                   | Completed Dosing/                 |                                      |
|---------------|-----------------|---------------------|------------------------|-----------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study          | Reason for Study                                          | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason                      | Autopsy           | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3852009     | 2020-08-24      | AMENDMENT           | - /                    | Withdrawal of                                             |                   | Yes/                              |                                      |
|               |                 |                     | 2020-12-22 (121        | Participant/<br>REQUESTED                                 |                   | 2020-09-22 (30)                   |                                      |
|               |                 |                     |                        | UNBLINDING.<br>PLACEBO ARM.<br>WITHDRAWN PER<br>PROTOCOL. |                   |                                   |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852011     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-10-22 (60) | Withdrawal of<br>Consent by<br>Participant/<br>PT CHOSE TO<br>WITHDRAW FROM<br>STUDY AFTER<br>RECEIVING BOTH<br>DOSES. PT FELT<br>THAT BECAUSE OF<br>NO SYMPTOMS AND<br>NEG AB TEST, HE<br>DID NOT NEED TO<br>CONTINUE. PT WAS<br>EDUCATED ON<br>SAFETY AND CHOSE<br>TO WITHDRAW FROM<br>STUDY |         | Yes/<br>2020-09-18 (26)                                                          |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852033     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-29 (126 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY.<br>WITHDRAWN. PI<br>NOTIFIED. EPIP<br>NOTIFIED. |         | Yes/<br>2020-09-23 (29)                                                         |                                                                           |
| US3852035     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-22 (119 | Protocol<br>PATIENT REPORTED<br>RECEIVING THE<br>PFIZER VACCINE<br>AND WAS<br>UNBLINDED                                     |         | Yes/<br>2020-09-23 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852039     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-12-17 (114 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT<br>UNBLINDED                                                          |         | Yes/<br>2020-09-28 (34)                                                          |                                                                           |
| US3852050     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-12-28 (124 | Protocol<br>) Deviation/<br>PATIENT<br>REQUESTED<br>UNBLINDING AND<br>RECEIVED THE<br>MODERNA VACCINE<br>FROM HER<br>EMPLOYER |         | Yes/<br>2020-09-22 (27)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3852057 2020-08-28 AMENDMENT 3 No/ Withdrawal of No/ Withdrawal of 2020-09-28 (32) Consent by 2020-09-24 (28) Consent by Participant/ Participant/ PATIENT MAY HAVE PATIENT WANTED TO RECEIVED THE WITHDRAW FROM STUDY WRONG DOSE DUE DUE TO MIX UP ТО INTIALLY WITH HER COORDINATOR/PHAR NUMBER AND HER MACY ERROR. SISTER'S PATINET ID PATIENT WITHDREW NUMBER. CONSENT BEFORE HER VISIT 2. SHE DID NOT SEE WHY SHE SHOULD CONTINUE IF THE DOSES WERE GOING TO BE DIFFERENT

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3852063     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-23 (118 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT<br>REQUESTED<br>UNBLINDING.<br>PLACEBO ARM.<br>WITHDRAWN PER<br>PROTOCOL. |         | Yes/<br>2020-09-25 (29)                                                         |                                                                      |
| US3852064     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-12-23 (118 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT<br>REQUESTED TO BE<br>UNBLINDED AND<br>WAS WITHDRAWN<br>FROM THE GROUP    |         | Yes/<br>2020-09-25 (29)                                                         |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852079     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-10-09 (39) | Lost to Follow-Up                                                                                                                             | >       | No/<br>2020-09-28 (28)                                                           | Lost to Follow-Up                                                         |
| US3852095     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-22 (111 | Protocol<br>) Deviation/<br>PATIENT<br>REQUESTED<br>UNBLINDING AND<br>REPORTED<br>RECEIVING THE<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>TRIAL |         | Yes/<br>2020-10-06 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3852096     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-29 (118 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>REQUESTED<br>UNBLINDING.<br>PLACEBO ARM.<br>WITHDRAWN. PI<br>NOTIFIED. |         | Yes/<br>2020-09-30 (28)                                                          |                                                                      |
| US3852109     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-30 (118 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY                                                        |         | Yes/<br>2020-10-01 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3852116     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-31 (119 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF THE STUDY.<br>WITHDRAWN. PI<br>NOTIFIED. EPIP<br>NOTIFIED. |         | Yes/<br>2020-10-02 (29)                                                          |                                                                      |
| US3852124     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-17 (101 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT<br>UNBLINDED                                                            |         | Yes/<br>2020-10-23 (46)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

Dosing

Primary Reason for

Discontinuation/

Specified Reason

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Informed Discontinuation Reason for Study Death/ Discontinuation Consent Subject Protocol or Completion Discontinuation/ Autopsy or Completion Specified Reason Performed? (Day) ID Date Version (Day) U

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

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|----------------------------------------------------------------------------------------------------|
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| icipant/                                                                                           |
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VACCINE

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852144     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-01-05 (119) | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT<br>UNBLINDED UNDER<br>THE EUA APPROVAL                                              |         | Yes/<br>2020-10-07 (29)                                                         |                                                                           |
| US3852147     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-02-11 (156) | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WANTS TO<br>PARTICIPATE IN<br>THE STUDY.<br>WITHDRAWN. PI<br>NOTIFIED. |         | Yes/<br>2020-10-07 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3852148     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-01-26 (140 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WISHES TO<br>REMAIN IN THE<br>STUDY OR BE<br>UNBLINDED.               |         | Yes/<br>2020-10-07 (29)                                                          |                                                                      |
| US3852149     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-02-11 (156 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE<br>AT WORK PRIOR TO<br>UNBLINDING.<br>SUBJECT<br>WITHDRAWN. PI<br>NOTIFIED. |         | Yes/<br>2020-10-07 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study/                                           |                                                                                                             |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                  | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3852174     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-31 (112                                   | Protocol<br>Deviation/<br>SUBJECT<br>REQUESTED<br>UNBLINDING.<br>PLACEBO ARM.<br>WITHDRAWN. PI<br>NOTIFIED. |         | Yes/<br>2020-10-08 (28)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                                                             |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3852175     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-31 (112 | Protocol<br>)Deviation/<br>SUBJECT<br>REQUESTED<br>UNBLINDING.<br>PLACEBO ARM.<br>WITHDRAWN. PI<br>NOTIFIED.<br>RECEIVED VACCINE<br>OUTSIDE OF STUDY<br>AFTER<br>WITHDRAWING. |         | Yes/<br>2020-10-09 (29)                                     |                                                                      |
| US3852176     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-28 (109 | Protocol<br>)Deviation/<br>RECEIVED VACCINE<br>OUTSIDE OF STUDY                                                                                                               |         | Yes/<br>2020-10-09 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852184     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-01-14 (122 | Protocol<br>)Deviation/<br>RECEIVED COVID<br>VACCINE OUTSIDE<br>OF STUDY                                                                                  |         | Yes/<br>2020-10-16 (32)                                                          |                                                                           |
| US3852185     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2021-03-03 (169 | Withdrawal of<br>)Consent by<br>Participant/<br>NO LONGER WANTS<br>TO REMAIN IN THE<br>STUDY.<br>WITHDRAWN. PI<br>NOTIFIED. EPIP<br>SENT. PLACEBO<br>ARM. |         | Yes/<br>2020-10-16 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852198     | 2020-10-02                  | AMENDMENT           | 4 No/<br>2020-12-29 (89) | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF THE STUDY.<br>WITHDRAWN. PI<br>NOTIFIED. EPIP<br>NOTIFIED. |         | Yes/<br>2020-11-06 (36)                                                          |                                                                           |
| US3862004     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-12-17 (129 | Other/<br>)REQUEST TO<br>UNBLIND / UA<br>COVID-19 VACCINE                                                                      |         | Yes/<br>2020-09-08 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3862011     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-12-20 (130                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND /<br>PARTICIPANT<br>RECEIVED EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-10 (29)                                                          |                                                                      |
| US3862019     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2020-12-16 (122                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                            |         | Yes/<br>2020-09-14 (29)                                                          |                                                                      |
| US3862033     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-12-16 (120                                                      | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                            |         | Yes/<br>2020-09-16 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | Completed Study/                                           |         |                                                             | /                                                                                                           |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                        |
| US3862044     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-12-15 (117                                   | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE   |         | No/<br>2020-09-17 (28)                                      | Adverse Event/<br>#1                                                                                        |
| US3862048     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                                                  |                                                            |         | No/<br>2020-09-20 (28)                                      | Protocol Deviation/<br>SUBJECT HAD<br>SHINGRIX VACCINE ON<br>22SEP2020 MEETING<br>EXCLUSION CRITERION<br>#9 |
| US3862050     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                                                  |                                                            |         | No/<br>2020-09-20 (28)                                      | Adverse Event/<br>AE#1                                                                                      |
| US3862061     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-01-14 (143                                   | Protocol<br>)Deviation/<br>PROHIBITED<br>MEDICATION        |         | Yes/<br>2020-09-24 (31)                                     |                                                                                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             | Completed Study/    |                                                            | /                                                          |                                            | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason   | Date of<br>Death/<br>Autopsy<br>Performed? | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3862067     | 2020-08-26                  | amendment 3         | 8 No/<br>2020-12-15 (112)                                  | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |                                            | Yes/<br>2020-09-23 (29)                                     |                                                                      |
| US3862086     | 2020-08-28                  | amendment 3         | - 1                                                        | Other/<br>)SUBJECT UBLINDED<br>FOR EUA VACCINE             |                                            | No/<br>2020-09-24 (28)                                      | Pregnancy                                                            |
| US3862087     | 2020-08-28                  | amendment 3         | <sup>3</sup> No/<br>2020-12-18 (113)                       | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |                                            | Yes/<br>2020-09-25 (29)                                     |                                                                      |
| US3862098     | 2020-08-31                  | amendment 3         | ONGOING                                                    |                                                            |                                            | No/<br>2020-09-27 (28)                                      | Adverse Event/<br>AE #1                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3862105     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2020-12-15 (106 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                                     |         | Yes/<br>2020-10-06 (36)                                                          |                                                                      |
| US3862109     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-29 (119 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>REQUESTED TO BE<br>UNBLINDED TO<br>RECEIVE EUA<br>COVID-19 VACCINE<br>FROM EMPLOYER |         | Yes/<br>2020-09-30 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3862137     | 2020-09-08                  | AMENDMENT :         | 3 No/<br>2020-12-28 (112 | Protocol<br>)Deviation/<br>#1; SUBJECT<br>REQUESTED TO BE<br>UNBLINDED FROM<br>STUDY TO RECEIVE<br>NON STUDY EUA<br>COVID VACCINE |         | Yes/<br>2020-10-06 (29)                                                          |                                                                           |
| US3862138     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-12-16 (100 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                                          |         | Yes/<br>2020-10-06 (29)                                                          |                                                                           |
| US3862149     | 2020-09-08                  | AMENDMENT :         | 3 No/<br>2020-12-15 (99) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                         |         | Yes/<br>2020-10-06 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                         | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------|
|               | Dale                        | VELSION             | (Day)                    | specified Reason                                                                                                                      | reriormed: | (Day)                                                                   | Specified Reason                                                          |
| US3862151     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2020-12-15 (98) | Other/<br>REQUESTED TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                           |            | Yes/<br>2020-10-07 (29)                                                 |                                                                           |
| US3862158     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2020-12-18 (101 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                            |            | Yes/<br>2020-10-07 (29)                                                 |                                                                           |
| US3862166     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-11-15 (67) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>SUSPECTED THEY<br>RECEIVED PLACEBO<br>AND WOULD NOT<br>LIKE TO<br>CONTINUE. |            | Yes/<br>2020-10-08 (29)                                                 |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3862171     | 2020-09-11                  | AMENDMENT           |                                                                               | Protocol                                                  |         | Yes/<br>2020-10-09 (29)                                                          |                                                                           |
| US3862177     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-15 (96)                                                      | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-09 (29)                                                          |                                                                           |
| US3862185     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-17 (95)                                                      | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-12 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3862187     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-12-18 (96) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-12 (29)                                                          |                                                                      |
| US3862204     | 2020-09-17                  | AMENDMENT :         | 3 No/<br>2020-12-21 (96) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-15 (29)                                                          |                                                                      |
| US3862206     | 2020-09-17                  | AMENDMENT :         | 3 No/<br>2020-12-23 (98) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-15 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3862208     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2021-01-14 (120 | Protocol<br>)Deviation/<br>PD#2 SUBJECT<br>RECEIVED NON<br>STUDY EUA COVID<br>19 VACCINE |         | Yes/<br>2020-10-15 (29)                                                          |                                                                      |
| US3862213     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2020-12-16 (90) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE<br>RECEIVED                    |         | Yes/<br>2020-10-21 (34)                                                          |                                                                      |
| US3862227     | 2020-09-21                  | AMENDMENT           | 3 No/<br>2020-12-18 (82) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                |         | Yes/<br>2020-10-26 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3862241     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2021-01-20 (119 | Protocol<br>)Deviation/<br>EUA COVID-19<br>VACCINE            |         | Yes/<br>2020-10-22 (29)                                                          |                                                                      |
| US3862263     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2021-03-24 (177 | Other/<br>)REQUEST TO<br>UNBLIND                              |         | Yes/<br>2020-10-27 (29)                                                          |                                                                      |
| US3862268     | 2020-09-30                  | AMENDMENT 3         | 3 No/<br>2021-01-07 (98) | Other/<br>EUA VACCINE                                         |         | Yes/<br>2020-10-30 (29)                                                          |                                                                      |
| US3862269     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2020-12-22 (84) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE     |         | Yes/<br>2020-10-30 (31)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3862279     | 2020-10-02                  | AMENDMENT           | 3 No/<br>2020-12-15 (75) | Other/<br>REQUEST TO BE<br>UNBLINDED / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-10-30 (29)                                                          |                                                                           |
| US3862281     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2020-12-15 (64) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE      |         | Yes/<br>2020-11-12 (31)                                                          |                                                                           |
| US3862282     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2021-01-08 (88) | Other/<br>REQUEST TO<br>UNBLIND TO<br>RECEIVE EUA<br>VACCINE   |         | Yes/<br>2020-11-12 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | /<br>n Reason for Study                                                                  | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                     |                   | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3872012     | 2020-07-31      | AMENDMENT           | 1 No/<br>2020-12-17 (140                            | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19<br>VACCINE                            |                   | Yes/<br>2020-08-28 (29)                                |                                      |
| US3872023     | 2020-08-03      | AMENDMENT           | 1 No/<br>2020-12-22 (142                            | Other/<br>UNBLINDED AT<br>SUBJECTS REQUEST<br>TO RECEIVE EUA<br>VACCINE FROM<br>EMPLOYER |                   | Yes/<br>2020-09-01 (30)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |     | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----|--------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3872029     | 2020-08-03                  | AMENDMENT :         | - 1 | Other/<br>)SUBJECT MET EUA<br>CRITERIA AND WAS<br>OFFERED EUA<br>COVID -19<br>VACCINE FROM<br>EMPLOYER |         | Yes/<br>2020-08-31 (29)                                                          |                                                                           |
| US3872039     | 2020-08-04                  | AMENDMENT 2         | - 1 | Other/<br>)SUBJECT MET EUA<br>CRITERIA AND WAS<br>OFFERED EUA<br>COVID -19<br>VACCINE FROM<br>EMPLOYER |         | Yes/<br>2020-09-01 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                           |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| US3872088     | 2020-08-07                  | AMENDMENT 3         | 1 No/<br>2020-09-16 (41) | Withdrawal of<br>Consent by<br>Participant/<br>STUDY WAS TOO<br>INVOLVED,<br>DOESN'T HAVE THE<br>TIME          |         | No/<br>2020-09-03 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>STUDY WAS TOO<br>INVOLVED , DOESN'T<br>HAVE THE TIME |
| US3872100     | 2020-08-07                  | AMENDMENT :         | 1 No/<br>2021-01-20 (167 | Protocol<br>)Deviation/<br>SUBJECT GOT EUA<br>MODERNA VACCINE<br>OUTSIDE OF SITE<br>AND DID NOT<br>INFORM SITE |         | Yes/<br>2020-09-04 (29)                                                          |                                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |                     |             | Completed Study                                   | /                                                                       |                              | Completed Dosing,                                  | /                                                                                                                                                                                                 |
|-----------|---------------------|-------------|---------------------------------------------------|-------------------------------------------------------------------------|------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | Date of Study<br>Discontinuatior<br>or Completion | Reason for Study<br>Discontinuation/                                    | Date of<br>Death/<br>Autopsy | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                                  |
| ID        | Date                | Version     | (Day)                                             | Specified Reason                                                        |                              | (Day)                                              | Specified Reason                                                                                                                                                                                  |
| US3872131 | 2020-08-11          | amendment 1 | . No/<br>2021-03-03 (205                          | Withdrawal of<br>)Consent by<br>Participant/<br>INTERFERED WITH<br>WORK |                              | Yes/<br>2020-09-08 (29)                            |                                                                                                                                                                                                   |
| US3872138 | 2020-08-11          | amendment 1 | ONGOING                                           |                                                                         |                              | No/<br>2020-09-07 (28)                             | Other/<br>PER SUBJECTS<br>REQUEST. SHE<br>REPORTS THAT SHE<br>THINKS THE VACCINE<br>CAUSED HER TO GET A<br>UTI. DOES NOT WANT<br>THE SECOND DOSE PT<br>WILL REMAIN IN<br>STUDY AS INTENT TO<br>TX |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                 |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|
| US3872191     | 2020-08-14                  | AMENDMENT 1         | L No/<br>2020-10-14 (62)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SHE HAS CHANGED<br>HER MIND AND<br>DOES NOT WISH TO<br>PARTICIPATE |         | No/<br>2020-09-10 (28)                                                          | Protocol Deviation/<br>SUBJECT WAS TOO FAR<br>OUT OF WINDOW PER<br>MM , IT WAS<br>RECOMMENDED PER<br>EPIP TO SKIP V2 AND<br>PROCEED TO V3 |
| US3872209     | 2020-08-17                  | AMENDMENT 1         |                                                                               | Other/<br>)SUBJECT MET EUA<br>CRITERIA AND WAS<br>OFFERED EUA<br>COVID -19<br>VACCINE FROM<br>EMPLOYER            |         | Yes/<br>2020-09-16 (31)                                                         |                                                                                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3872215     | 2020-08-17                  | AMENDMENT           |                          | Other/<br>)SUBJECT MET EUA<br>CRITERIA AND WAS<br>OFFERED EUA<br>COVID -19<br>VACCINE FROM<br>EMPLOYER                                   |         | Yes/<br>2020-09-15 (30)                                                          |                                                                           |
| US3872253     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2021-02-25 (189 | Lost to Follow-Up<br>)                                                                                                                   | )       | Yes/<br>2020-09-24 (35)                                                          |                                                                           |
| US3872260     | 2020-08-24                  | AMENDMENT           |                          | Other/<br>)SUBJECT REQUEST<br>UNBLINDING SO HE<br>MAY RECEIVE OPEN<br>LABEL PFIZER<br>COVID VACCINE AT<br>WORK TOMORROW 29<br>DEC. 2020. |         | Yes/<br>2020-09-28 (36)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                        |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|
| US3872295     | 2020-08-25                  | AMENDMENT 2         | - /                                                                           | Other/<br>)EUA UNBLINDING |         | Yes/<br>2020-10-05 (42)                                                         |                                                                                                                                  |
| US3872322     | 2020-08-27                  | AMENDMENT :         | 3 ONGOING                                                                     |                           |         | No/<br>2020-09-23 (28)                                                          | Protocol Deviation/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PI TO SKIP<br>2 AND MOVE ON TO V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol    | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | 7/<br>n Reason for Study<br>Discontinuation/ | Date of<br>Death/<br>Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                        |
|-----------|---------------------|-------------|----------------------------------------------------------------------|----------------------------------------------|------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version     | (Day)                                                                | Specified Reason                             | Performed?                   | (Day)                                                                   | Specified Reason                                                                                                                                                                             |
| US3872331 | 2020-08-27          | AMENDMENT 2 | ONGOING                                                              |                                              |                              | No/<br>2020-09-23 (28)                                                  | Protocol Deviation/<br>SUBJECT HAD TO BE<br>RESCHEDULED<br>NUMEROUS TIMES DUE<br>TO SCHEDULING<br>CONFLICTS. VISIT<br>WAS TOO FAR OOW AND<br>WAS RECOMMENDED TO<br>SKIP V2 AND MOVE TO<br>V3 |
| US3872369 | 2020-08-29          | AMENDMENT 2 | ONGOING                                                              |                                              |                              | No/<br>2020-09-25 (28)                                                  | Protocol Deviation/<br>SUBJECT'S V2 NOT<br>COMPLETED DUE TO<br>BEING TOO FAR OOW                                                                                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| US3872376     | 2020-08-29                  | AMENDMENT 2         | 2 No/<br>2021-01-07 (132                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA PFIZER<br>VACCINE AND DID<br>NOT INFORM SITE<br>PRIOR. |         | No/<br>2020-09-25 (28)                                                          | Other/<br>SUBJECT WAS NO SHOW<br>TIMES 2 FOR V2 AND<br>WAS TOO FAR OOW<br>WHEN CONTACT WAS<br>MADE. |
| US3872395     | 2020-08-31                  | AMENDMENT 3         | 3 No/<br>2020-09-12 (13)                                                      | Lost to Follow-Up                                                                                         | )       | No/<br>2020-09-11 (12)                                                          | Lost to Follow-Up                                                                                   |
| US3872398     | 2020-09-01                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                                           |         | No/<br>2020-09-28 (28)                                                          | Protocol Deviation/<br>V2 NOT DONE DUE TO<br>SWAB RESULT NOT<br>BEING BACK.                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                             |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
| US3872408     | 2020-09-01                  | amendment 3         | 3 ONGOING                                                                     |                                                                |         | No/<br>2020-09-28 (28)                                                           | Physician Decision/<br>;SUBJECT WAS HAVING<br>SX OF COVID-19 AT<br>VISIT AND WAS SEEN<br>FOR ILLNESS VISIT<br>INSTEAD |
| US3872419     | 2020-09-02                  | AMENDMENT 3         | ONGOING                                                                       |                                                                |         | No/<br>2020-09-29 (28)                                                           | Protocol Deviation/<br>V2 TOO FAR OOW                                                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | 7/                                                                                                                                                                                                  |         | Completed Dosing                                            | /                                                                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study            | n Reason for Study                                                                                                                                                                                  | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                 |
| US3872428     | 2020-09-02                  | AMENDMENT 3         | 3 No/<br>2021-01-13 (134 | Withdrawal of<br>Participant/<br>SUBJECT IS BEING<br>OFFERED THE<br>MODERNA VACCINE<br>BY HIS EMPLOYER<br>AND REQUESTS TO<br>BE UNBLINDED AND<br>NO LONGER WANTS<br>TO PARTICIPATE<br>IN THE STUDY. |         | No/<br>2020-09-29 (28)                                      | Protocol Deviation/<br>V2 TOO FAR OOW WAS<br>INSTRUCTED TO SKIP<br>V2 AND GO TO V3.<br>SWAB RESULTS WERE<br>NOT BACK |
| US3872430     | 2020-09-02                  | AMENDMENT 3         | 3 ONGOING                |                                                                                                                                                                                                     |         | No/<br>2020-09-29 (28)                                      | Protocol Deviation/<br>NO KIT A AT SITE<br>DUE TO STUDY<br>SHORTAGE , THEN OOW<br>TO FAR FOR VISIT                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                           | <i>[</i> /                                                 |                                            | Completed Dosing                                            | /                                                                                                                                        |
|---------------|-----------------------------|---------------------|-----------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatio<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Date of<br>Death/<br>Autopsy<br>Performed? | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                     |
| US3872433     | 2020-09-02                  | amendment 3         | ONGOING                                                   |                                                            |                                            | No/<br>2020-09-29 (28)                                      | Protocol Deviation/<br>PT COULD NOT HAVE<br>V2 DONE DUE TO OOW<br>TOO FAR AND WAS<br>INSTRUCTED BY<br>SPONSOR TO SKIP V2<br>AND GO TO V3 |
| US3872434     | 2020-09-02                  | amendment 3         | ONGOING                                                   |                                                            |                                            | No/<br>2020-09-29 (28)                                      | Protocol Deviation/<br>V2 TOO FAR OOW WAS<br>INSTRUCTED TO SKIP<br>V2 AND GO TO V3                                                       |
| US3872436     | 2020-09-03                  | amendment 3         | ONGOING                                                   |                                                            |                                            | No/<br>2020-09-30 (28)                                      | Other/<br>NP SWAB RESULT NOT<br>BACK .                                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date. Program Path: \\wilbtia\wilbtia01\Moderna MODMRNA1273P301\_U\BLA\TLF\116020101.sas 23JUN2021 16:31

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## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872438     | 2020-09-03                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                               |                                            | No/<br>2020-09-30 (28)                                                           | Protocol Deviation/<br>V2 OOW AND WILL<br>SKIP V2 AND GO TO<br>V3                                                                                                   |
| US3872446     | 2020-09-03                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                               |                                            | No/<br>2020-09-30 (28)                                                           | Protocol Deviation/<br>SWAB RESULTS WERE<br>NOT BACK AND THE V2<br>WAS TOO FAR OOW PER<br>MM AND EPIPS IT IS<br>RECOMMENDED TO PI<br>TO SKIP 2 AND MOVE<br>ON TO V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                           | у/                                                         |         | Completed Dosing                                            |                                                                                                                                                               |
|---------------|-----------------------------|---------------------|-----------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatio<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                          |
| US3872447     | 2020-09-03                  | AMENDMENT           | 3 ONGOING                                                 |                                                            |         | No/<br>2020-09-30 (28)                                      | Protocol Deviation/<br>NO SWAB RESULTS AT<br>SITE AT V2, OOW<br>WHEN THEY CAME<br>BACK. SUBJECT WAS<br>NO CALL NO SHOW FOR<br>V3 DUE TO HIS WORK<br>SCHEDULE. |
| US3872450     | 2020-09-03                  | AMENDMENT           | 3 ONGOING                                                 |                                                            |         | No/<br>2020-09-30 (28)                                      | Protocol Deviation/<br>V1 SWAB RESULTS NOT<br>BACK BEFORE V2 .<br>WHEN RESULTS<br>RECEIVED AT SITE<br>TOO FAR OOW TO<br>CONDUCT 2,                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                    |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| US3872451     | 2020-09-03                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                            |         | No/<br>2020-09-30 (28)                                                           | Protocol Deviation/<br>V2 TOO FAR OOW PER<br>SPONSOR SKIP V2 AND<br>GO V3.                   |
| US3872482     | 2020-09-09                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                            |         | No/<br>2020-10-06 (28)                                                           | Protocol Deviation/<br>V2 TOO FAR OOW PER<br>SPONSOR SKIP V2 AND<br>GO V3 IN THESE<br>CASES. |
| US3872483     | 2020-09-09                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                            |         | No/<br>2020-10-06 (28)                                                           | Protocol Deviation/<br>,V2 TOO FAR OOW NO<br>KITS , PER SPONSOR<br>SKIP V2 AND GO V3.        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                         |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872486     | 2020-09-09                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                  |                                            | No/<br>2020-10-06 (28)                                                           | Protocol Deviation/<br>SITE HAD NO SPONSOR<br>PROVIDED LAB KITS<br>DUE TO SHORTAGE. V2<br>NOT COMPLETED.                                                                                          |
| US3872492     | 2020-09-09                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                  |                                            | No/<br>2020-10-06 (28)                                                           | Protocol Deviation/<br>SUBJECT WAS ON<br>ANTIBIOTICS WHEN<br>SHE CAME IN FOR V2.<br>THEN COULD NOT BE<br>SEEN DUE TO SITE<br>HAD NO KITS FROM<br>SPONSOR. VISIT TOO<br>FAR OOW TO BE<br>COMPLETED |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3882003     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-13 (136                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT |         | No/<br>2020-09-27 (28)                                                           | Physician Decision/<br>PI AND MEDICAL<br>MONITOR DETERMINED<br>IT WAS IN THE BEST<br>INTEREST OF THE<br>SUBJECT TO SKIP<br>DOSE 2 AND PROCEED<br>IN THE STUDY. |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                          | Autopsy            | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                            |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3882004     | 2020-08-27                  | AMENDMENT (         | 3 No/<br>2021-02-22 (176 | Protocol<br>Deviation/<br>SUBJECT IS<br>UNABLE TO COMPLY<br>WITH STUDY<br>PROTOCOLS |                    | No/<br>2020-09-27 (28)                                                           | Other/<br>DOSING<br>DISCONTINUATION<br>COMES AT THE<br>REQUEST OF THE<br>SPONSOR AS THE<br>SUBJECT WILL NOT BE<br>AVAILABLE TO<br>RECEIVE 2ND DOSE<br>BEFORE MODERNA<br>RECEIVES EUA |
| US3882011     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-01-06 (126 | Death                                                                               | 2021-01-06,<br>UNK | /Yes/<br>2020-10-02 (30)                                                         |                                                                                                                                                                                      |
| US3882034     | 2020-10-05                  | AMENDMENT 4         | - /                      | Other/<br>) UNBLINDED DUE TO<br>PFIZER EUA                                          |                    | Yes/<br>2020-11-05 (32)                                                          |                                                                                                                                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3882039     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2020-12-18 (60) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE            |         | Yes/<br>2020-11-18 (30)                                                          |                                                                           |
| US3882051     | 2020-10-19                  | AMENDMENT           | 4 No/<br>2020-12-16 (55) | Other/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE            |         | Yes/<br>2020-11-18 (27)                                                          |                                                                           |
| US3882053     | 2020-10-20                  | AMENDMENT           | 4 No/<br>2020-12-15 (54) | Other/<br>OTHER –<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-11-20 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | Reason for Study<br>Discontinuation/<br>Specified Reason                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|---------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3892037     | 2020-08-31                  | AMENDMENT 3         | 3 No/<br>2020-12-18 (110            | Withdrawal of<br>)Consent by<br>Participant/<br>REQUESTE<br>UNBLIND/EUA<br>COVID-19 VACCINE |         | Yes/<br>2020-09-28 (29)                                                          |                                                                           |
| US3902019     | 2020-09-02                  | AMENDMENT 3         | 3 ONGOING                           |                                                                                             |         | No/<br>2020-09-29 (28)                                                           | Adverse Event/<br>AE #1                                                   |
| US3902064     | 2020-09-10                  | AMENDMENT 3         | 3 ONGOING                           |                                                                                             |         | No/<br>2020-10-07 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3902066     | 2020-09-10                  | AMENDMENT 3         | <sup>3</sup> No/<br>2021-01-25 (138 | Lost to Follow-Up<br>)                                                                      | )       | Yes/<br>2020-10-08 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  |                          | n Reason for Study<br>Discontinuation/                                                      | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                        |
|-----------|---------------------|-----------|--------------------------|---------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                            | Performed? | (Day)                                                                   | Specified Reason                                                                             |
| US3902074 | 2020-09-11          | AMENDMENT | 3 No/<br>2020-12-29 (110 | Lost to Follow-Ug)                                                                          | )          | No/<br>2020-10-08 (28)                                                  | Other/<br>SUBJECT LEFT IN THE<br>MIDST OF THEIR<br>SECOND DOSING VISIT<br>AND DID NOT RETURN |
| US3902079 | 2020-09-14          | AMENDMENT | 3 No/<br>2020-12-01 (79) | Physician<br>Decision/<br>NON-COMPLIANCE                                                    |            | Yes/<br>2020-10-12 (29)                                                 |                                                                                              |
| US3902095 | 2020-09-17          | AMENDMENT | 3 No/<br>2020-12-31 (106 | Lost to Follow-Up<br>)                                                                      | )          | Yes/<br>2020-10-19 (33)                                                 |                                                                                              |
| US3902104 | 2020-09-18          | AMENDMENT | 3 No/<br>2021-01-19 (124 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE VACCINE<br>AND MOVED OUT OF<br>STATE |            | Yes/<br>2020-10-13 (26)                                                 |                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                              |         | Completed Dosing/                                           | /                                                                          |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason       |
| JS3902107     | 2020-09-23                  | AMENDMENT 3         | 3 No/<br>2020-11-11 (50) | Lost to Follow-Up                                                                                              | )       | Yes/<br>2020-10-21 (29)                                     |                                                                            |
| JS3902108     | 2020-09-23                  | AMENDMENT 3         | 3 No/<br>2021-01-27 (127 | Lost to Follow-Up<br>)                                                                                         | )       | Yes/<br>2020-10-21 (29)                                     |                                                                            |
| JS3902115     | 2020-09-28                  | AMENDMENT 3         | 3 No/<br>2020-11-23 (57) | Lost to Follow-Up                                                                                              | )       | Yes/<br>2020-11-02 (36)                                     |                                                                            |
| US3912007     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-03-12 (199 | Protocol<br>)Deviation/<br>SUBJECT REPORTED<br>THAT HE RECEIVED<br>PFIZER COVID-19<br>VACCINE ON<br>09MAR2021. |         | No/<br>2020-09-22 (28)                                      | Other/<br>SUBJECT<br>NON-COMPLIANCE AND<br>UNSTABLE MEDICAL<br>CONDITIONS. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3912026     | 2020-08-28                  | AMENDMENT 3         | <sup>3</sup> No/<br>2021-03-17 (202 | Withdrawal of<br>S) Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT. HE<br>COMPLETED OPEN<br>LABEL DECISION<br>VISIT BUT WAS<br>NOT DOSED DUE TO<br>RECENT SHINGLES<br>VACCINE AND<br>LATER RETURNED<br>AND WAS NOT<br>DOSED DUE TO<br>GRADE 3 BP. |         | Yes/<br>2020-09-25 (29)                                                         |                                                                           |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                              |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3912028     | 2020-08-28                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                 |         | No/<br>2020-09-24 (28)                                                           | Physician Decision/<br>SUBJECT HAD<br>SCHEDULED SURGERY<br>BETWEEN DOSE 1 AND<br>2; DOSE 2 COULD NOT<br>BE ADMINISTERED IN<br>ACCORDANCE WITH THE<br>PROTOCOL AND WAS<br>THEREFORE<br>'SKIPPED', A PD. |
| US3912063     | 2020-09-01                  | AMENDMENT 3         | 3 No/<br>2020-12-21 (112                                                      | Other/<br>)RECEIVING<br>NON-STUDY COVID<br>VACCINE              |         | Yes/<br>2020-09-29 (29)                                                          |                                                                                                                                                                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3912075     | 2020-09-02                  | AMENDMENT           | 3 ONGOING                                                                     |                                                            |         | No/<br>2020-09-29 (28)                                                           | Other/<br>SUBJECT REPORTED<br>THAT SHE COULD NOT<br>MISS WORK FOR A<br>LONG STUDY VISIT<br>AND THAT'S THE<br>REASON HER SECOND<br>INJECTION WAS NOT<br>GIVEN. |
| US3912105     | 2020-09-04                  | AMENDMENT (         | 3 No/<br>2020-10-30 (57)                                                      | Lost to Follow-Up                                          | )       | No/<br>2020-10-01 (28)                                                           | Lost to Follow-Up                                                                                                                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | 7/                                                                                                                                 |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3912111     | 2020-09-04                  | AMENDMENT :         | 3 No/<br>2021-03-22 (200 | Withdrawal of<br>Oconsent by<br>Participant/<br>SUBJECT MOVED<br>OUT OF THE AREA<br>AND DOES NOT<br>PLAN TO RETURN<br>TO THE SITE. |         | Yes/<br>2020-10-01 (28)                                     |                                                                      |
| US3912117     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-31 (119 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER COVID-19<br>VACCINE                                                          |         | Yes/<br>2020-10-02 (29)                                     |                                                                      |
| US3912125     | 2020-09-08                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                    |         | No/<br>2020-10-05 (28)                                      | Physician Decision/<br>UNABLE TO COLLECT<br>LAB SAMPLES              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3912139     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-03-16 (189                                                      | Withdrawal of<br>OConsent by<br>Participant/<br>SUBJECT NO<br>LONGER WANTS TO<br>PARTICIPATE |         | Yes/<br>2020-10-07 (29)                                                          |                                                                           |
| US3912149     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-01-19 (131                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>NON-STUDY<br>COVID-19<br>VACCINATION          |         | Yes/<br>2020-10-09 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                             | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3912151     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-01-12 (124 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT HAS<br>MOVED OUT OF<br>STATE AND DOES<br>NOT PLAN TO<br>RETURN FOR ANY<br>FURTHER STUDY<br>VISITS |         | Yes/<br>2020-10-09 (29)                                                          |                                                                      |
| US3912153     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-11-02 (53) | Lost to Follow-Up                                                                                                                                         | )       | Yes/<br>2020-10-09 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3912186     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2020-12-21 (95)                                                      | Other/<br>SUBJECT TO<br>RECEIVE<br>NON-STUDY COVID<br>VACCINE AT HER<br>WORK |         | Yes/<br>2020-10-14 (27)                                                          |                                                                           |
| US3912206     | 2020-09-23                  | AMENDMENT           | /                                                                             | Other/<br>)SUBJECT REQUEST<br>TO UNBLIND                                     |         | Yes/<br>2020-10-21 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                          | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3912239     | 2020-10-12                  | AMENDMENT :         | 3 No/<br>2020-12-21 (71) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS A<br>HEALTHCARE<br>WORKER AND<br>INFORMED US THAT<br>HE INTENDED TO<br>RECEIVE VACCINE<br>OUTSIDE THE<br>STUDY. SUBJECT<br>WAS UNBLINDED<br>UNDER A5 BY PI. |         | Yes/<br>2020-11-09 (29)                                                          |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3912241 2020-10-12 AMENDMENT 4 No/ Physician No/ Serious Adverse 2021-02-04 (116) Decision/ 2020-11-08 (28) Event/ SUBJECT WAS AE #3 WITHDRAWN DUE TO HIS REFUSAL TO MEDICALLY MANAGE HIS MENTAL HEALTH (SCHIZOPHRENIA); REALIZING THAT THIS CONDITION WILL LIKELY WORSEN WITHOUT TREATMENT, SUBJECT WITHDRAWN.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                             |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
| US3922006     | 2020-08-18                  | AMENDMENT :         | 2 No/<br>2021-01-22 (158 | Withdrawal of<br>3) Consent by<br>Participant/<br>SUBJECT WC WHEN<br>THE SITE DID NOT<br>UNBLIND HIM WHEN<br>HE REQUESTED DUE<br>TO HIM BEING<br>COVID POSITIVE<br>AT THE TIME OF<br>HIS REQUEST. |         | Yes/<br>2020-09-15 (29)                                                         |                                                                                                                       |
| US3922041     | 2020-08-27                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                                                                                   |         | No/<br>2020-09-23 (28)                                                          | Physician Decision/<br>2ND DOSE WITHHELD,<br>SUB-I DISCRETION,<br>EPIP SUBMITTED DUE<br>TO SUBJECT NON-<br>COMPLIANCE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3922051     | 2020-08-29                  | AMENDMENT 🤇         | 3 No/<br>2020-12-30 (124                                                      | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>ON 15/DEC/2020,<br>SUBJECT WAS<br>UN-BLINDED<br>ON30/DEC/2020 |         | Yes/<br>2020-10-02 (35)                                                         |                                                                           |
| US3922067     | 2020-09-01                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                                                 |         | No/<br>2020-09-28 (28)                                                          | Serious Adverse<br>Event/<br>SAE #1                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                                                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3922129     | 2020-09-22                  | AMENDMENT 3         | 3 No/<br>2021-03-05 (165 | Physician<br>)Decision/<br>SUBJECT NO<br>LONGER ELIGIBLE.<br>DOES NOT MEET<br>IC/EC SUBJECT<br>FAILED TO<br>DISCLOSE MH THAT<br>IS EXCLUSIONARY. |         | Yes/<br>2020-10-23 (32)                                                          |                                                                      |
| US3922131     | 2020-09-22                  | AMENDMENT 3         | 3 No/<br>2020-11-19 (59) | Lost to Follow-Up                                                                                                                                | )       | No/<br>2020-10-19 (28)                                                           | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study                                                                                       | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                             |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| US3922154     | 2020-10-19                  | AMENDMENT           | 4 No/<br>2020-12-15 (58) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT IS<br>UNABLE TO ATTEND<br>ON SITE<br>APPOINTMENTS |         | No/<br>2020-11-15 (28)                                                          | Physician Decision/<br>SUB-I DID NOT DOSE<br>SUBJECT DUE TO<br>COVID LIKE SYMPTOMS<br>REPORTED AT V2. |
| US3932003     | 2020-08-25                  | AMENDMENT           | 2 ONGOING                |                                                                                                          |         | No/<br>2020-09-21 (28)                                                          | Adverse Event/<br>AE #4                                                                               |
| US3932065     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-02-09 (148 | Protocol<br>)Deviation/<br>PATIENT DECIDED<br>TO RECEIVE<br>MODERNA VACCINE<br>OUTSIDE OF THE<br>STUDY.  |         | Yes/<br>2020-10-12 (28)                                                         |                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|           |                     |             | Completed Study          | /                                                               | _          | Completed Dosing                                   |                                                  |
|-----------|---------------------|-------------|--------------------------|-----------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | or Completion            | n Reason for Study<br>Discontinuation/                          | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                | Performed? | (Day)                                              | Specified Reason                                 |
| US3932078 | 2020-09-04          | AMENDMENT   | 3 No/<br>2021-03-26 (204 | Lost to Follow-Up<br>)                                          | )          | Yes/<br>2020-10-02 (29)                            |                                                  |
| US3932087 | 2020-09-08          | AMENDMENT : | 3 No/<br>2021-02-09 (155 |                                                                 |            | Yes/<br>2020-10-07 (30)                            |                                                  |
| US3932096 | 2020-09-09          | AMENDMENT   | 3 No/<br>2020-10-01 (23) | Lost to Follow-Up                                               | )          | No/<br>2020-09-30 (22)                             | Lost to Follow-Up                                |
| US3932114 | 2020-09-11          | AMENDMENT   | 3 No/<br>2021-03-09 (180 | Protocol<br>)Deviation/<br>RECEIVED VACCINE<br>OUTSIDE OF STUDY |            | Yes/<br>2020-10-08 (28)                            |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                 |                     | Completed Study<br>Date of Study |                                                                                                                                                                                                                                                                                  | Date of | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|------------------------------------|--------------------------------------|
|               | Informed        |                     |                                  | Reason for Study                                                                                                                                                                                                                                                                 |         | Discontinuation                    | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                             |         | or Completion<br>(Day)             | Discontinuation/<br>Specified Reason |
| US3932127     | 2020-09-14      | AMENDMENT 3         | 3 No/<br>2021-02-15 (155         | Withdrawal of<br>)Consent by<br>Participant/<br>ORAL WITHDRAWAL<br>OF CONSENT PER<br>PHONE CALL WITH<br>SUBJECT. SUBJECT<br>NEEDED AN<br>ILLNESS VISIT<br>AND REFUSED TO<br>COMPLY. SUBJECT<br>STATED "TOO MUCH<br>GOING ON RIGHT<br>NOW" AND CAN'T<br>CONTINUE IN THE<br>STUDY. |         | Yes/<br>2020-10-12 (29)            |                                      |
| US3932128     | 2020-09-14      | AMENDMENT (         | 3 No/<br>2020-09-14 (1)          | Lost to Follow-Up                                                                                                                                                                                                                                                                | )       | No                                 | Discontinuation fro<br>Study         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study<br>Date of Study | 7/                                                                          | Date of            | Completed Dosing,<br>Date of Dosing       | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|-----------------------------------------------------------------------------|--------------------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                  | n Reason for Study<br>Discontinuation/<br>Specified Reason                  | Death/<br>Autopsy  | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| US3932147     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2021-01-21 (128         | Death                                                                       | 2021-01-21/<br>UNK | ′No/<br>2020-10-13 (28)                   | Serious Adverse<br>Event/<br>AE #2             |
| US3932154     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2020-09-17 (1)          | Lost to Follow-Up                                                           | )                  | No                                        | Discontinuation from<br>Study                  |
| US3932221     | 2020-10-03                  | AMENDMENT           | 4 ONGOING                        |                                                                             |                    | No/<br>2020-10-30 (28)                    | Lost to Follow-Up                              |
| US3932238     | 2020-10-13                  | AMENDMENT           | 4 ONGOING                        |                                                                             |                    | No/<br>2020-11-09 (28)                    | Due to SARS-CoV-2                              |
| US3932253     | 2020-10-14                  | AMENDMENT           | 4 No/<br>2021-02-11 (121         | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY. |                    | Yes/<br>2020-11-10 (28)                   |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                          |         | Completed Dosing/                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3942023     | 2020-08-28                  | AMENDMENT           | -                        | Lost to Follow-Up                                          |         | No/<br>2020-09-16 (20)                                      | Lost to Follow-Up                                                    |
| US3942043     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2020-12-29 (119 | Protocol<br>)Deviation/<br>EUA COVID-19<br>VACCINE         |         | Yes/<br>2020-09-30 (29)                                     |                                                                      |
| US3942044     | 2020-09-02                  | AMENDMENT           | 3 ONGOING                |                                                            |         | No/<br>2020-09-29 (28)                                      | Other/<br>OOW DUE TO<br>CONVALESCENT PERIOD                          |
| US3942079     | 2020-09-08                  | AMENDMENT           | 3 ONGOING                |                                                            |         | No/<br>2020-10-05 (28)                                      | Due to SARS-CoV-2                                                    |
| US3942084     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2021-01-04 (119 | Lost to Follow-Up<br>)                                     | )       | Yes/<br>2020-10-07 (30)                                     |                                                                      |

<sup>\*</sup> indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

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## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                        |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3942115     | 2020-09-17                  | AMENDMENT :         | 3 No/<br>2020-11-19 (57)                                   | Physician<br>Decision/<br>VULNERABLE<br>POPULATION       |         | Yes/<br>2020-10-23 (30)                                     |                                                                      |
| US3942127     | 2020-09-21                  | AMENDMENT :         | 3 No/<br>2020-11-16 (57)                                   | Lost to Follow-Up                                        | )       | No/<br>2020-10-18 (28)                                      | Lost to Follow-Up                                                    |
| US3942129     | 2020-09-22                  | AMENDMENT           | 3 No/<br>2020-11-16 (56)                                   | Lost to Follow-Up                                        | )       | No/<br>2020-10-19 (28)                                      | Lost to Follow-Up                                                    |
| US3942151     | 2020-10-12                  | AMENDMENT 4         | 4 ONGOING                                                  |                                                          |         | No/<br>2020-11-08 (28)                                      | Serious Adverse<br>Event/<br>#1 AND 2                                |
| US3942157     | 2020-10-13                  | AMENDMENT (         | 4 No/<br>2020-10-27 (15)                                   | Lost to Follow-Up                                        | )       | No/<br>2020-10-26 (14)                                      | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3952025     | 2020-09-01                  | AMENDMENT 2         | 2 No/<br>2021-02-12 (165 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER EUA<br>COVID-19 VACCINE                                                                  |         | Yes/<br>2020-10-01 (31)                                                          |                                                                           |
| US3952033     | 2020-09-02                  | AMENDMENT 2         | 2 No/<br>2021-01-20 (141 | Physician<br>) Decision/<br>NOT MEDICALLY<br>STABLE TO<br>PROCEED TO OPEN<br>LABEL VACCINE.<br>WILL GET OUTSIDE<br>EUA LEADING TO<br>WITHDRAW. |         | No/<br>2020-09-29 (28)                                                           | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3952051     | 2020-09-04                  | AMENDMENT S         | 3 ONGOING                                                                     |                                                                             |         | No/<br>2020-10-05 (28)                                                          | Other/<br>PATIENT WAS UNABLE<br>TO PRESENT FOR<br>VACCINE #2 (VISIT<br>2/DAY 29) WITHIN<br>STUDY SPECIFIED<br>VISIT WINDOW DUE TO<br>FUNERAL OF A FAMILY<br>MEMBER. |
| US3952056     | 2020-09-08                  | AMENDMENT :         | 2 No/<br>2021-03-11 (185                                                      | Protocol<br>5) Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE EUA<br>VACCINATION |         | Yes/<br>2020-10-07 (30)                                                         |                                                                                                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                    | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason     |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| US3952064     | 2020-09-09                  | AMENDMENT 2         | 2 ONGOING                |                                                                                  |         | No/<br>2020-10-06 (28)                                                           | Physician Decision/<br>OUT OF WINDOW DUE<br>TO PATIENT<br>UNAVAILABILITY |
| US3952075     | 2020-09-11                  | AMENDMENT :         | 3 No/<br>2020-12-10 (91) | Withdrawal of<br>Consent by<br>Participant/<br>WD, NO REASON<br>GIVEN BY SUBJECT |         | Yes/<br>2020-10-09 (29)                                                          |                                                                          |
| US3952080     | 2020-09-11                  | AMENDMENT (         | 3 No/<br>2021-01-29 (141 | Other/<br>)SUBJECT IS<br>MOVING                                                  |         | Yes/<br>2020-10-09 (29)                                                          |                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                | Autopsy          | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3952090     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-01-08 (116 | Protocol<br>)Deviation/<br>SUBJECT OBTAINED<br>OUTSIDE EUA ON<br>05 JAN 2021  |                  | Yes/<br>2020-10-13 (29)                                                          |                                                                      |
| US3952094     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-01-30 (138 | Death<br>)                                                                    | 2021-01-30/<br>N | /Yes/<br>2020-10-14 (30)                                                         |                                                                      |
| US3952102     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2021-03-24 (189 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE EUA<br>PFIZER VACCINE. |                  | Yes/<br>2020-10-15 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| US3952116     | 2020-09-18                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                                                   |         | No/<br>2020-10-15 (28)                                                           | Adverse Event/<br>AE #2 [WORSENING OF<br>BASELINE ELEVATED<br>GRADE 3 BLOOD<br>PRESSURE] |
| US3952148     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2021-03-05 (163                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>WANTS TO SPEED<br>VACCINATION,<br>LIVES TO FAR<br>AWAY FROM SITE. |         | Yes/<br>2020-10-22 (29)                                                          |                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | <i>[</i> /                                                                                                                                        |         | Completed Dosing                                            | /                                                                                                                                                        |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                     |
| US3952191     | 2020-10-05                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                                   |         | No/<br>2020-11-01 (28)                                      | Other/<br>DID NOT MEET<br>INCLUSION/EXCLUSION<br>CRITERIA FOR V2 DUE<br>TO RECEIVING AN OUT<br>OF STUDY<br>VACCINATION WITHIN<br>28 DAYS OF THE<br>VISIT |
| US3962033     | 2020-08-29                  | AMENDMENT           | 3 No/<br>2021-01-07 (132 | Protocol<br>2) Deviation/<br>SUBJECT WAS<br>DROPPED FROM THE<br>STUDY DUE TO<br>RECEIVING THE<br>MODERNA COVID<br>VACCINE OUTSIDE<br>OF THE STUDY |         | Yes/<br>2020-10-02 (35)                                     |                                                                                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3962067     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-01-06 (125 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>DROPPED FROM THE<br>STUDY DUE TO<br>RECEIVING THE<br>MODERNA COVID<br>VACCINE OUTSIDE<br>OF THE STUDY |         | Yes/<br>2020-10-05 (32)                                                          |                                                                           |
| US3962097     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2021-01-13 (125 | Protocol<br>)Deviation/<br>SUBJECT WANTED<br>THE STUDY BLIND<br>BROKE SO SO<br>COULD RECEIVE<br>THE MODERNA<br>VACCINE FROM HER<br>EMPLOYER     |         | Yes/<br>2020-10-09 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                                                                                                               |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3962099     | 2020-09-11                  | AMENDMENT (         | 3 No/<br>2021-03-22 (193 | Physician<br>)Decision/<br>SUBJECT IS<br>DEALING WITH AN<br>INFESTATION OF<br>BED BUGS AND IS<br>UNABLE TO COME<br>BACK INTO THE<br>STUDY SITE. |         | Yes/<br>2020-10-09 (29)                                     |                                                                      |
| US3962106     | 2020-10-12                  | AMENDMENT (         | 3 No/<br>2020-12-14 (64) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WANTED TO<br>PARTICIPATE IN<br>THE STUDY                                    |         | Yes/<br>2020-11-09 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                              |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| US3972089     | 2020-09-02                  | AMENDMENT (         | 3 No/<br>2021-02-05 (157 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE MODERNA<br>VACCINE OUTSIDE<br>THE STUDY                |         | Yes/<br>2020-09-30 (29)                                                         |                                                                                                        |
| US3972171     | 2020-09-15                  | AMENDMENT (         | 3 No/<br>2020-11-11 (58) | Lost to Follow-Up                                                                                         |         | Yes/<br>2020-10-13 (29)                                                         |                                                                                                        |
| US3982004     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-10-02 (36) | Withdrawal of<br>Consent by<br>Participant/<br>CLAIMED HE WILL<br>NO LONGER BE<br>ABLE TO<br>PARTICIPATE. |         | No/<br>2020-09-24 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>CLAIMED HE WILL NO<br>LONGER BE ABLE TO<br>PARTICIPATE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3982011     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (121 | Lost to Follow-Up<br>)                                                                                   | )       | Yes/<br>2020-09-22 (29)                                                          |                                                                      |
| US3982021     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2020-09-18 (23) | Lost to Follow-Up                                                                                        | )       | No/<br>2020-09-17 (22)                                                           | Lost to Follow-Up                                                    |
| US3982022     | 2020-08-27                  | AMENDMENT :         | 3 No/<br>2021-01-20 (147 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>(MODERNA)<br>OUTSIDE OF THE<br>STUDY. |         | Yes/<br>2020-09-25 (30)                                                          |                                                                      |
| US3982051     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2020-12-31 (123 | Lost to Follow-Up<br>)                                                                                   | )       | Yes/<br>2020-09-28 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3982071     | 2020-09-01                  | AMENDMENT 3         | 3 ONGOING                |                                                                 |         | No/<br>2020-09-28 (28)                                                           | Protocol Deviation/<br>PATIENT RECEIVED<br>FLU VACCINE ON<br>220CT2020.   |
| US3982085     | 2020-09-02                  | AMENDMENT 3         | 3 No/<br>2020-12-11 (101 | Lost to Follow-Up<br>)                                          | 0       | Yes/<br>2020-09-30 (29)                                                          |                                                                           |
| US3982086     | 2020-09-02                  | AMENDMENT 3         | 3 No/<br>2021-02-04 (156 | Lost to Follow-Up<br>)                                          | 0       | Yes/<br>2020-09-29 (28)                                                          |                                                                           |
| US3982120     | 2020-09-07                  | AMENDMENT (         | 3 No/<br>2020-10-05 (29) | Lost to Follow-Up                                               | þ       | Yes/<br>2020-10-05 (29)                                                          |                                                                           |
| US3982149     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-10-01 (22) | Lost to Follow-Up                                               | C       | No/<br>2020-09-30 (21)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                  |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3982151     | 2020-09-10                  | AMENDMENT (         | 3 ONGOING                |                                                            |         | No/<br>2020-10-07 (28)                                                          | Physician Decision/<br>PI DECIDED NOT TO<br>PROCEED WITH SECOND<br>DOSE DUE TO SAFETY<br>REASONS BECAUSE THE<br>SUBJECT WAS ILL<br>WITH ABDOMINAL<br>PAINS 2ND TO<br>STOMACH ULCERS.<br>REACTION TO FIRST<br>DOSE NOT APPLICABLE<br>AT ALL |
| US3982175     | 2020-09-15                  | AMENDMENT 3         | 3 No/<br>2020-09-26 (12) | Lost to Follow-Up                                          | )       | No/<br>2020-09-25 (11)                                                          | Lost to Follow-Up                                                                                                                                                                                                                          |
| US3982180     | 2020-09-16                  | AMENDMENT (         | 3 No/<br>2021-01-18 (125 | Lost to Follow-Up<br>)                                     | )       | Yes/<br>2020-10-12 (27)                                                         |                                                                                                                                                                                                                                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                               |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| US3982184     | 2020-09-16                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                  |         | No/<br>2020-10-13 (28)                                                           | Protocol Deviation/<br>SUBJECT RECEIVED<br>PNEUMONIA VACCINE<br>ON 120CT2020. DAY<br>29 VISIT OCCURRED<br>ON 140CT2020. |
| US3982196     | 2020-09-17                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                  |         | No/<br>2020-10-14 (28)                                                           | Protocol Deviation/<br>SUBJECT MISSED DAY<br>29 VISIT                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3982215     | 2020-09-21                  | AMENDMENT           |                          | Protocol                                                                         |         | Yes/<br>2020-10-19 (29)                                                         |                                                                           |
| US3982217     | 2020-09-22                  | AMENDMENT (         | 3 No/<br>2020-12-16 (86) | Lost to Follow-Up                                                                | )       | Yes/<br>2020-10-20 (29)                                                         |                                                                           |
| US3982245     | 2020-09-28                  | AMENDMENT :         | 3 No/<br>2020-10-08 (11) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>PARTICIPATION |         | No/<br>2020-10-07 (10)                                                          | Other/<br>SUBJECT WITHDREW<br>PARTICIPATION                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3982256     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2021-02-13 (137 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT UNABLE<br>TO COMMIT TO<br>REST OF 2 YR<br>STUDY AND MAY BE<br>INTERESTED IN<br>ANOTHER STUDY.<br>AT ANOTHER<br>FACILITY |         | Yes/<br>2020-10-28 (29)                                                          |                                                                      |
| US3982259     | 2020-10-05                  | AMENDMENT           | 4 No/<br>2021-03-15 (162 | Lost to Follow-Ug<br>)                                                                                                                                                          | )       | Yes/<br>2020-11-02 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                      |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                               | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3992062     | 2020-10-03                  | AMENDMENT           | 3 No/<br>2020-12-22 (81) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT HAS<br>INTENTION TO<br>RECEIVE THE<br>PFIZER VACCINE<br>THEREFORE IS<br>WITHDRAWN FROM<br>STUDY |         | Yes/<br>2020-11-03 (32)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                           |         | Completed Dosing/                                           |                                                                      |  |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |  |
| US3992079     | 2020-10-09                  | AMENDMENT           | 4 No/<br>2020-12-22 (75) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT HAS<br>INTENTIONS TO<br>RECEIVE THE<br>PFIZER VACCINE<br>THEREFORE HE IS<br>WITHDRAWN FROM<br>STUDY. |         | Yes/<br>2020-11-10 (33)                                     |                                                                      |  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3992120 2020-10-21 AMENDMENT 4 No/ Withdrawal of Yes/ 2020-12-17 (58) Consent by 2020-11-17 (28) Participant/ SUBJECT ISA HEALTHCARE WORKER AND HAS BEEN INFORMED HE WILL BE WITHDRAWN FROM STUDY AS THE SUBJECT HAS INTENTION TO RECEIVE PFIZER VACCINE.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3002014     | 2020-07-28                  | AMENDMENT           | 1 No/<br>2021-01-06 (163 | Withdrawal of<br>)Consent by<br>Participant/<br>PT. UNBLINDED                     |         | Yes/<br>2020-08-31 (35)                                                          |                                                                           |
| US3002060     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-01-07 (163 | Withdrawal of<br>)Consent by<br>Participant/<br>PT. UNBLINDED                     |         | Yes/<br>2020-08-26 (29)                                                          |                                                                           |
| US3002072     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-01-19 (174 | Withdrawal of<br>)Consent by<br>Participant/<br>NO LONGER WANTS<br>TO PARTICIPATE |         | Yes/<br>2020-08-27 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3002135     | 2020-08-07                  | AMENDMENT 1         | . No/<br>2021-02-08 (186                                                      | Protocol<br>)Deviation/<br>RECEIVED VACCINE<br>OUTSIDE OF THE<br>STUDY. |         | Yes/<br>2020-09-09 (34)                                                          |                                                                           |
| US3002150     | 2020-08-12                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                         |         | No/<br>2020-09-08 (28)                                                           | Physician Decision/<br>COULD NOT COME IN<br>WITHIN WINDOW                 |
| US3002172     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-09-10 (22)                                                      | Lost to Follow-Up                                                       | )       | No/<br>2020-09-09 (21)                                                           | Lost to Follow-Up                                                         |
| US3002206     | 2020-08-28                  | amendment 3         | <sup>3</sup> No/<br>2020-10-27 (61)                                           | Lost to Follow-Up                                                       | )       | No/<br>2020-09-24 (28)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3002264     | 2020-09-08                  | AMENDMENT :         | 3 No/<br>2020-12-17 (101 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT GETTING<br>EUA                                                         |         | Yes/<br>2020-10-08 (31)                                                          |                                                                           |
| US3002337     | 2020-09-30                  | AMENDMENT 3         | 3 No/<br>2020-11-10 (42) | Lost to Follow-Up                                                                                                              |         | No/<br>2020-10-27 (28)                                                           | Lost to Follow-Up                                                         |
| US3002363     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2020-12-17 (66) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT NO<br>LONGER WANTS TO<br>COME IN FOR<br>VISITS AND HUNG<br>UP ON STAFF. |         | Yes/<br>2020-11-12 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study          | /                                                                                                                        |            | Completed Dosing        | /                                                                                     |
|-----------|------------|-------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------|------------|-------------------------|---------------------------------------------------------------------------------------|
|           |            |             | Date of Study            |                                                                                                                          | Date of    | Date of Dosing          | Primary Reason for                                                                    |
|           | Informed   |             |                          | Reason for Study                                                                                                         |            | Discontinuation         | Dosing                                                                                |
| Subject   | Consent    | Protocol    | -                        | Discontinuation/                                                                                                         |            | or Completion           | Discontinuation/                                                                      |
| ID        | Date       | Version     | (Day)                    | Specified Reason                                                                                                         | Performed? | (Day)                   | Specified Reason                                                                      |
| US3012046 | 2020-07-31 | AMENDMENT 2 | 2 No/<br>2021-01-11 (165 | Protocol<br>)Deviation/<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>, WITHDRAWN PER<br>SPONSOR<br>INSTRUCTION |            | Yes/<br>2020-08-28 (29) |                                                                                       |
| US3012053 | 2020-08-06 | AMENDMENT 2 | 2 No/<br>2020-09-03 (29) | Physician<br>Decision/<br>SUBJECT IS NOT<br>MENTALLY STABLE,<br>PROVIDED<br>INCORRECT<br>INFORMATION                     |            | No/<br>2020-09-02 (28)  | Physician Decision/<br>SUBJECT IS NOT<br>STABLE, PROVIDED<br>INCORRECT<br>INFORMATION |
| US3012087 | 2020-08-10 | AMENDMENT 2 | 2 No/<br>2021-03-18 (221 | Lost to Follow-Ug<br>)                                                                                                   | )          | No/<br>2020-09-06 (28)  | Lost to Follow-Up                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3012098     | 2020-08-10                  | AMENDMENT 2         | 2 No/<br>2020-12-02 (115                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>FOR PERSONAL<br>REASONS |         | Yes/<br>2020-09-08 (30)                                                         |                                                                           |
| US3012150     | 2020-08-14                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                             |         | No/<br>2020-09-10 (28)                                                          | Protocol Deviation/<br>OBTAINED FLU SHOT                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3012185 2020-08-19 AMENDMENT 2 No/ Protocol Yes/ 2020-09-18 (31) 2021-01-11 (146) Deviation/ SUBJECT RECEIVED EUA COVID-19 VACCINE OUTSIDE OF STUDY AND WAS WITHDRAWN, PER SPONSOR INSTRUCTIONS/PRO TOCOL.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study | /                 |            | Completed Dosing, |                    |
|-----------|------------|-------------|-----------------|-------------------|------------|-------------------|--------------------|
|           |            |             | Date of Study   |                   | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |             |                 | Reason for Study  |            | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol    | _               | Discontinuation/  |            | or Completion     | Discontinuation/   |
| ID        | Date       | Version     | (Day)           | Specified Reason  | Performed? | (Day)             | Specified Reason   |
| US3012288 | 2020-09-10 | AMENDMENT ( | 3 No/           | Withdrawal of     |            | Yes/              |                    |
| 055012200 | 2020 09 10 | AMENDMENT . | 2020-11-10 (62) |                   |            | 2020-10-15 (36)   |                    |
|           |            |             | 2020 11 10 (02) | Participant/      |            | 2020 10 13 (30)   |                    |
|           |            |             |                 | SUBJECT           |            |                   |                    |
|           |            |             |                 | REQUESTED TO BE   |            |                   |                    |
|           |            |             |                 | UNBLINDED TO      |            |                   |                    |
|           |            |             |                 | STUDY TREATMENT.  |            |                   |                    |
|           |            |             |                 | SITE INFORMED     |            |                   |                    |
|           |            |             |                 | SUBJECT THAT WAS  |            |                   |                    |
|           |            |             |                 | NOT POSSIBLE AT   |            |                   |                    |
|           |            |             |                 | THIS STAGE IN     |            |                   |                    |
|           |            |             |                 | THE STUDY AND     |            |                   |                    |
|           |            |             |                 | SUBJECT ENDED     |            |                   |                    |
|           |            |             |                 | STUDY             |            |                   |                    |
|           |            |             |                 | PARTICIPATION     |            |                   |                    |
|           |            |             |                 | DUE TO THIS.      |            |                   |                    |
| US3012349 | 2020-10-19 | AMENDMENT   | 4 No/           | Lost to Follow-Up | )          | Yes/              |                    |
|           |            |             | 2021-03-12 (145 | -                 |            | 2020-11-20 (33)   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |           | Completed Study          | /                                                                                                                                                                                      |            | Completed Dosing        | /                                 |
|-----------|------------|-----------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------|-----------------------------------|
|           |            |           | Date of Study            |                                                                                                                                                                                        | Date of    | Date of Dosing          | Primary Reason for                |
|           | Informed   |           |                          | n Reason for Study                                                                                                                                                                     |            | Discontinuation         | Dosing                            |
| Subject   | Consent    | Protocol  | -                        | Discontinuation/                                                                                                                                                                       |            | or Completion           | Discontinuation/                  |
| ID        | Date       | Version   | (Day)                    | Specified Reason                                                                                                                                                                       | Performed? | (Day)                   | Specified Reason                  |
| US3022021 | 2020-07-29 | AMENDMENT | 1 ONGOING                |                                                                                                                                                                                        |            | No/<br>2020-08-25 (28)  | Adverse Event/<br>AE#1            |
| US3022031 | 2020-07-27 | AMENDMENT | 1 ONGOING                |                                                                                                                                                                                        |            | No/<br>2020-08-23 (28)  | Serious Adverse<br>Event/<br>AE#1 |
| US3022122 | 2020-08-05 | AMENDMENT | 1 No/<br>2020-12-16 (134 | Protocol<br>) Deviation/<br>SUBJECT WAS<br>UNBLINDED DUE TO<br>WORKING IN A<br>HOSPITAL.<br>SUBJECT DIDN'T<br>WAIT TO HEAR<br>BACK, SUBJECT<br>RECEIVED PFIZER<br>COVID-19<br>VACCINE. |            | Yes/<br>2020-09-03 (30) |                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol  | or Completion            | Reason for Study<br>Discontinuation/                                                                                    | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                           |
|-----------|---------------------|-----------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------|
| [D        | Date                | Version   | (Day)                    | Specified Reason                                                                                                        | Performed? | (Day)                                                                   | Specified Reason                                                           |
| JS3022166 | 2020-08-17          | AMENDMENT | 2 No/<br>2020-08-31 (15) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES<br>THAT SHE DOESN'T<br>WANT TO<br>PARTICIPATE<br>ANYMORE. |            | No/<br>2020-08-30 (14)                                                  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT |
| JS3022225 | 2020-08-20          | AMENDMENT | 2 No/<br>2020-12-03 (106 | Lost to Follow-Up<br>)                                                                                                  | )          | No/<br>2020-09-16 (28)                                                  | Lost to Follow-Up                                                          |
| JS3022238 | 2020-08-20          | AMENDMENT | 2 No/<br>2021-01-18 (152 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>MODERNA VACCINE<br>AT WORK ON<br>30DEC2020                               |            | Yes/<br>2020-09-24 (36)                                                 |                                                                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study          |                                   |         | Completed Dosing,                |                            |
|-----------|------------|-------------|--------------------------|-----------------------------------|---------|----------------------------------|----------------------------|
|           | Informed   |             | Date of Study            | Decese for Chudu                  | Date of | Date of Dosing                   | Primary Reason for         |
| Subject   | Consent    | Protocol    |                          | Reason for Study Discontinuation/ |         | Discontinuation<br>or Completion | Dosing<br>Discontinuation/ |
| ID        | Date       | Version     | (Day)                    | Specified Reason                  |         | -                                | Specified Reason           |
| US3032033 | 2020-07-29 | AMENDMENT 3 | 1 No/<br>2021-01-08 (164 | Lost to Follow-Up<br>)            | )       | Yes/<br>2020-09-01 (35)          |                            |
| US3032054 | 2020-07-30 | AMENDMENT : | 1 No/<br>2021-01-22 (177 |                                   |         | Yes/<br>2020-09-03 (36)          |                            |
| US3032093 | 2020-08-05 | AMENDMENT 2 | 2 No/<br>2020-11-13 (101 | Lost to Follow-Up<br>)            | )       | Yes/<br>2020-09-02 (29)          |                            |
| US3032126 | 2020-08-06 | AMENDMENT   | 1 No/<br>2020-11-05 (92) | Lost to Follow-Up                 | )       | No/<br>2020-09-02 (28)           | Lost to Follow-Up          |
| US3032243 | 2020-08-19 | AMENDMENT 2 | 2 No/<br>2021-03-04 (198 | Lost to Follow-Up<br>)            | )       | Yes/<br>2020-09-18 (31)          |                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                                                                                       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3032297     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-10-23 (64)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>MOVED OUT OF<br>STATE, SUBJECT<br>WILL NOT BE ABLE<br>TO COMPLY WITH<br>STUDY VISITS.<br>SUBJECT STATED<br>THE MOVE WAS NOT<br>PLANNED. |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |
| US3032305     | 2020-08-21                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                                                                        |         | No/<br>2020-09-17 (28)                                                           | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                         |
|---------------|-----------------------------|---------------------|---------------------------|-----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3032313     | 2020-08-21                  | AMENDMENT           | 3 No/<br>2020-10-01 (42)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>UNFORESEEN<br>ISSUES |         | No/<br>2020-09-17 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO UNFORESEEN<br>HEALTH ISSUES.<br>SUBJECT DECLINED TO<br>SIGN RELEASE FOR<br>MEDICAL RECORDS AND<br>DENIED COMING TO<br>STUDY SITE FOR END<br>OF STUDY VISIT. |
| US3042001     | 2020-07-27                  | AMENDMENT           | 1 No/<br>2021-03-16 (233) | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C                           |         | Yes/<br>2020-08-31 (36)                                                         |                                                                                                                                                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042004     | 2020-07-28                  | AMENDMENT :         | 1 No/<br>2021-03-16 (232 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-08-25 (29)                                                          |                                                                           |
| US3042005     | 2020-07-28                  | AMENDMENT           | 1 No/<br>2021-03-10 (226 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-08-28 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042010     | 2020-07-28                  | AMENDMENT           | 1 No/<br>2021-03-10 (226 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT TO MOVE<br>INTO PHASE 2<br>CROSSOVER |         | Yes/<br>2020-08-25 (29)                                                          |                                                                           |
| US3042014     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-03-18 (233 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C              |         | Yes/<br>2020-08-27 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042016     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-03-11 (226 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-08-28 (31)                                                          |                                                                           |
| US3042017     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-03-10 (225 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-02 (36)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042021     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-03-17 (232 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT MOVED TO<br>PHASE 2<br>CROSSOVER |         | Yes/<br>2020-08-26 (29)                                                         |                                                                           |
| US3042022     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-03-16 (231 | Other/<br>)PHASE 2<br>CROSSOVER, PART<br>C                                               |         | Yes/<br>2020-08-26 (29)                                                         |                                                                           |
| US3042024     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-03-18 (233 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C          |         | Yes/<br>2020-08-26 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study        | /                                                                               |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------------|---------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                        | Reason for Study<br>Discontinuation/<br>Specified Reason                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3042029     | 2020-07-29                  | AMENDMENT 1         | No/<br>2021-03-12 (227 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-01 (35)                                     |                                                                      |
| US3042031     | 2020-07-29                  | AMENDMENT 1         | No/<br>2021-03-17 (232 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-08-28 (31)                                     |                                                                      |
| US3042032     | 2020-07-29                  | AMENDMENT 1         | No/<br>2020-08-27 (30) | Adverse Event/<br>AE #1                                                         |         | No/<br>2020-08-25 (28)                                      | Adverse Event/<br>AE #1                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042034     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-03-16 (230 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-08-27 (29)                                                          |                                                                           |
| US3042038     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-03-15 (229 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-02 (35)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042039     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-03-12 (226 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT MOVED TO<br>PHASE 2<br>CROSSOVER |         | Yes/<br>2020-08-27 (29)                                                          |                                                                           |
| US3042040     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-03-16 (230 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C          |         | Yes/<br>2020-08-27 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042042     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-03-16 (230 | Withdrawal of<br>OConsent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-02 (35)                                                          |                                                                           |
| US3042044     | 2020-07-31                  | AMENDMENT           | 1 No/<br>2021-03-18 (231 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-08-31 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                      | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042046     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2021-01-27 (178 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>COVID VACCINE<br>THROUGH EMPLOYER<br>PRIOR TO CALLING<br>SITE STAFF |         | Yes/<br>2020-08-31 (29)                                                          |                                                                      |
| US3042048     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2021-03-18 (228 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C                                    |         | Yes/<br>2020-08-31 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject         | Informed<br>Consent<br>Date | Protocol               | or Completion                    | Reason for Study<br>Discontinuation/                                                               | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------------|-----------------------------|------------------------|----------------------------------|----------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|--------------------------------------------------|
| ID<br>US3042058 | 2020-08-03                  | Version<br>AMENDMENT 1 | (Day)<br>No/<br>2021-03-17 (227) | Specified Reason<br>Withdrawal of<br>Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C | Performed: | (Day)<br>Yes/<br>2020-08-31 (29)                                        | Specified Reason                                 |
| US3042071       | 2020-08-05                  | AMENDMENT 1            | No/<br>2021-03-11 (219           | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C                    |            | Yes/<br>2020-09-03 (30)                                                 |                                                  |
| US3042075       | 2020-08-05                  | AMENDMENT 1            | No/<br>2021-02-12 (192)          | Lost to Follow-Up<br>)                                                                             | )          | Yes/<br>2020-09-09 (36)                                                 |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042076     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-03-11 (219 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-03 (30)                                                         |                                                                           |
| US3042077     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-01-05 (154 | Lost to Follow-Up<br>)                                                          | )       | Yes/<br>2020-09-09 (36)                                                         |                                                                           |
| US3042086     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-03-18 (225 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-03 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042092     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-11-05 (92)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CALLED<br>AND WITHDREW<br>FROM STUDY;<br>STATING THEY NO<br>LONGER ARE ABLE<br>TO COMMIT TIME<br>TO VISITS AND<br>FOLLOW UPS. |         | Yes/<br>2020-09-10 (36)                                                         |                                                                           |
| US3042097     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-03-22 (229) | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C                                                                                                      |         | Yes/<br>2020-09-04 (30)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                               | Completed Dosing,                 | /                                                              |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------|-----------------------------------|----------------------------------------------------------------|
|               | Informed        |                     |                          | Reason for Study                                                                | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                   |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                            | <br>or Completion<br>(Day)        | Discontinuation/<br>Specified Reason                           |
| US3042099     | 2020-08-06      | AMENDMENT :         | l No/<br>2021-03-11 (218 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C | Yes/<br>2020-09-03 (29)           |                                                                |
| US3042117     | 2020-08-07      | AMENDMENT :         | 1 No/<br>2021-03-16 (222 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C | Yes/<br>2020-09-04 (29)           |                                                                |
| US3042121     | 2020-08-07      | AMENDMENT :         | l No/<br>2020-09-11 (36) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT'S CHOICE                 | No/<br>2020-09-03 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>PATIENTS CHOICE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042124     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-03-17 (223 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-09 (34)                                                          |                                                                      |
| US3042133     | 2020-08-10                  | AMENDMENT           | 2 No/<br>2021-03-22 (225 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-14 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                      | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042138     | 2020-08-11                  | AMENDMENT :         | 2 No/<br>2021-03-18 (220 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-08 (29)                                                          |                                                                      |
| US3042141     | 2020-08-11                  | AMENDMENT :         | 2 No/<br>2021-03-15 (217 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-08 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042142     | 2020-08-11                  | AMENDMENT :         | 2 No/<br>2021-03-15 (217 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-08 (29)                                                          |                                                                      |
| US3042143     | 2020-08-11                  | AMENDMENT :         | 2 No/<br>2021-03-17 (219 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-08 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|            |                               | Data C Otala                                               |                                                                                                     |                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                           |
|------------|-------------------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Informed   | Ductors                       |                                                            |                                                                                                     |                                                                                                                                                                                                                                   | Date of Dosing<br>Discontinuation                                                                                                                                                                                                                                     | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                                                                                                                                                          |
| Date       | Version                       | (Day)                                                      |                                                                                                     |                                                                                                                                                                                                                                   | (Day)                                                                                                                                                                                                                                                                 | Specified Reason                                                                                                                                                                                                                                                                                                          |
| 2020-08-12 | AMENDMENT 2                   |                                                            | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C                     |                                                                                                                                                                                                                                   | Yes/<br>2020-09-16 (36)                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                           |
| 2020-08-12 | AMENDMENT 2                   | ONGOING                                                    |                                                                                                     |                                                                                                                                                                                                                                   | No/<br>2020-09-08 (28)                                                                                                                                                                                                                                                | Other/<br>SUBJECT WAS ON<br>PREDNISONE FROM<br>STAPEDECTOMY; TOO<br>FAR OUTSIDE OF<br>VISIT 2 WINDOW TO<br>VACCINATE.                                                                                                                                                                                                     |
|            | Consent<br>Date<br>2020-08-12 | Consent Protocol<br>Date Version<br>2020-08-12 AMENDMENT 2 | Consent<br>DateProtocol<br>Versionor Completion<br>(Day)2020-08-12AMENDMENT 2No/<br>2021-03-17 (218 | ConsentProtocolor CompletionDiscontinuation/DateVersion(Day)Specified Reason2020-08-12AMENDMENT 2 No/<br>2021-03-17 (218) Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>CWithdrawal of<br>Participant/<br>PART<br>C | Consent<br>DateProtocol<br>Versionor Completion<br>(Day)Discontinuation/<br>Specified ReasonAutopsy<br>Performed?2020-08-12AMENDMENT 2 No/<br>2021-03-17 (218)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>CWithdrawal of<br>Participant/<br>PART<br>C | Consent<br>DateProtocol<br>Versionor Completion<br>(Day)Discontinuation/<br>Specified ReasonAutopsy<br>Performed?or Completion<br>(Day)2020-08-12AMENDMENT 2 No/<br>2021-03-17 (218)Withdrawal of<br>Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>CYes/<br>2020-09-16 (36)2020-08-12AMENDMENT 2 ONGOINGNo/ |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042160     | 2020-08-12                  | AMENDMENT 2         | 2 No/<br>2020-12-23 (134 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT AFTER<br>BEING UNBLINDED<br>TO RECEIVE<br>VACCINE THROUGH<br>EMPLOYER |         | Yes/<br>2020-09-09 (29)                                                         |                                                                           |
| US3042162     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2021-03-12 (213 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C                                                                   |         | Yes/<br>2020-09-09 (29)                                                         |                                                                           |
| US3042166     | 2020-08-12                  | AMENDMENT 2         | 2 No/<br>2020-10-20 (70) | Lost to Follow-Up                                                                                                                                 | )       | No/<br>2020-09-08 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                   | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042180     | 2020-08-13                  | AMENDMENT :         | 2 No/<br>2021-03-18 (218 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (36)                                                          |                                                                      |
| US3042183     | 2020-08-13                  | AMENDMENT :         | 2 No/<br>2021-03-18 (218 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (36)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                              | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042186     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2021-03-18 (218                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-14 (33)                                                          |                                                                      |
| US3042188     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2021-03-16 (216                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-15 (34)                                                          |                                                                      |
| US3042190     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-09-17 (36)                                                      | Serious Adverse<br>Event/<br>SAE #1                                             |         | No/<br>2020-09-09 (28)                                                           | Serious Adverse<br>Event/<br>SAE #1                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042192     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-09-14 (32) | Adverse Event/<br>AE #1                                                         |         | No/<br>2020-09-10 (28)                                                           | Adverse Event/<br>AE #1                                                   |
| US3042204     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-03-18 (217 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-11 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3042211 2020-08-17 AMENDMENT 2 No/ Protocol Yes/ 2021-01-05 (142) Deviation/ 2020-09-14 (29) PATIENT RECEIVED COVID VACCINE VIA EMPLOYER ON 29DEC2020. PARTICIPANT DID NOT CALL STUDY TEAM PRIOR TO RECEIVING VACCINE. PATIENT WITHDRAWN FROM STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042215     | 2020-08-17                  | AMENDMENT :         | 2 No/<br>2021-03-17 (213 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-15 (30)                                                          |                                                                      |
| US3042217     | 2020-08-17                  | AMENDMENT :         | 2 No/<br>2021-03-15 (211 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-14 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042225     | 2020-08-18                  | AMENDMENT :         | 2 No/<br>2021-03-16 (211 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3042233     | 2020-08-18                  | AMENDMENT :         | 2 No/<br>2021-03-17 (212 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-10-02 (46)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042236     | 2020-08-18                  | AMENDMENT :         | 2 No/<br>2021-03-17 (212 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-15 (29)                                                          |                                                                      |
| US3042243     | 2020-08-19                  | AMENDMENT :         | 2 No/<br>2021-03-18 (212 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-16 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                                                             |         | Completed Dosing                                            | /                                                                                                                                                                          |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                      | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                       |
| US3042249     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2020-09-03 (16) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES<br>THEIR<br>PSYCHIATRIST<br>ADVISED THEM TO<br>DISCONTINUE THE<br>STUDY. SUBJECT<br>WITHDRAW FROM<br>THE STUDY. |         | No/<br>2020-09-02 (15)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES<br>THEIR PSYCHIATRIST<br>ADVISED THEM TO<br>DISCONTINUE THE<br>STUDY. SUBJECT<br>WITHDRAW FROM THE<br>STUDY. |
| US3042250     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-03-17 (211 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C                                                                                               |         | Yes/<br>2020-09-17 (30)                                     |                                                                                                                                                                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042258     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-16 (209 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |
| US3042259     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-17 (210 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042263     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-16 (209 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |
| US3042265     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-17 (210 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042269     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-16 (209 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |
| US3042274     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-11 (204 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042276     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-16 (209 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |
| US3042277     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-18 (211 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-17 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042280     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-17 (209 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-18 (29)                                                          |                                                                      |
| US3042289     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2021-03-22 (214 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-24 (35)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042301     | 2020-08-22                  | AMENDMENT           | 2 No/<br>2021-03-12 (203 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C                                                    |         | Yes/<br>2020-09-25 (35)                                                          |                                                                           |
| US3042307     | 2020-08-22                  | AMENDMENT           | 2 No/<br>2020-12-01 (102 | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT CAN<br>NO LONGER<br>DEDICATE TIME<br>THE STUDY DUE TO<br>JOB PROMOTION |         | Yes/<br>2020-09-25 (35)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3042308     | 2020-08-22                  | AMENDMENT :         | 2 No/<br>2021-03-12 (203 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-21 (31)                                                          |                                                                           |
| US3042311     | 2020-08-22                  | AMENDMENT :         | 2 No/<br>2021-03-18 (209 | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-21 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3042321     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-03-17 (203                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-10-07 (42)                                                          |                                                                      |
| US3052026     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2020-10-20 (83)                                                      | Other/<br>PT MOVED TO<br>FLORIDA                                                |         | Yes/<br>2020-08-26 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                      |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3052292     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-09-28 (39)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT DECIDED<br>THAT THEY WANT<br>TO BE WITHDREW<br>FROM THE STUDY |         | No/<br>2020-09-17 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PT STATED THAT SHE<br>LIVES TO FAR AWAY<br>FORM THE SITE AND<br>THAT SHE NO LONGER<br>WISHES TO<br>PARTICIPATE. |
| US3052301     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-08-24 (1)                                                       | Lost to Follow-Up                                                                                                    | )       | No                                                                               | Discontinuation from<br>Study                                                                                                                                  |
| US3052322     | 2020-08-28                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                                      |         | No/<br>2020-09-24 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>WITHDRAW FROM STUDY                                                                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                     |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| US3052328     | 2020-08-31                  | AMENDMENT           | 2 No/<br>2020-10-30 (61) | Lost to Follow-Up                                                                                           | )       | No/<br>2020-09-27 (28)                                                           | Lost to Follow-Up                                                                                                             |
| US3062103     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-08-31 (27) | Withdrawal of<br>Consent by<br>Participant/<br>TOO MUCH<br>HAPPENING IN<br>PERSONAL LIFE                    |         | No/<br>2020-08-30 (26)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>FOR REASON OF "TOO<br>MUCH HAPPENING IN<br>PERSONAL LIFE". |
| US3062265     | 2020-08-15                  | AMENDMENT           | 2 No/<br>2020-11-20 (98) | Physician<br>Decision/<br>INACCURATE DATA<br>AND TO ALLOW<br>TIME FOR SUBJECT<br>TO GET HEALTH IN<br>ORDER. |         | Yes/<br>2020-09-23 (40)                                                          |                                                                                                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                       |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3082005     | 2020-08-07                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (144 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND POST-EUA               |         | Yes/<br>2020-09-08 (33)                                     |                                                                      |
| US3082050     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-03-09 (204 | Lost to Follow-Up<br>)                                                  | )       | Yes/<br>2020-09-16 (30)                                     |                                                                      |
| US3082051     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-09-08 (22) | Serious Adverse<br>Event/<br>SAE #1                                     |         | No/<br>2020-09-07 (21)                                      | Serious Adverse<br>Event/<br>SAE#1                                   |
| US3082078     | 2020-08-21                  | AMENDMENT           | 3 No/<br>2021-01-07 (140 | Other/<br>)REQUEST TO<br>UNBLIND AND<br>WITHDRAW FROM<br>STUDY POST EUA |         | Yes/<br>2020-09-25 (36)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol  |                          | /<br>Reason for Study<br>Discontinuation/                                                                        |            | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                        |
|-----------|---------------------|-----------|--------------------------|------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                 | Performed? | (Day)                                                                   | Specified Reason                                                                                                                                             |
| US3082151 | 2020-08-29          | AMENDMENT | 3 No/<br>2020-09-01 (4)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WISH TO ATTEND<br>ANY FUTURE STUDY<br>VISITS. |            | No/<br>2020-08-31 (3)                                                   | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT AND STATED<br>SHE DOES NOT INTEND<br>TO RETURN FOR ANY<br>FUTURE STUDY<br>VISITS. |
| US3082231 | 2020-09-11          | AMENDMENT | 3 No/<br>2021-01-05 (117 | Other/<br>)REQUEST TO<br>UNBLIND/EUA<br>COVID-19 VACCINE                                                         |            | Yes/<br>2020-10-09 (29)                                                 |                                                                                                                                                              |
| US3092002 | 2020-08-04          | AMENDMENT | 1 No/<br>2021-03-01 (210 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DECISION                                                 |            | Yes/<br>2020-09-01 (29)                                                 |                                                                                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | /                                                                                                         |         | Completed Dosing,                                           | /                                                                          |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                                                  | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason       |
| JS3092014     | 2020-08-04                  | AMENDMENT :         | 1 No/<br>2021-03-01 (210                                   | Protocol<br>)Deviation/<br>RECEIVED EUA<br>OUTSIDE OF STUDY                                               |         | Yes/<br>2020-09-14 (42)                                     |                                                                            |
| JS3092081     | 2020-08-08                  | AMENDMENT :         | 1 No/<br>2021-02-05 (182                                   | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DECISION                                          |         | Yes/<br>2020-09-09 (33)                                     |                                                                            |
| JS3092119     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2020-09-24 (44)                                   | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT-<br>CONFIRMED NOT<br>DUE TO AE |         | No/<br>2020-09-08 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3092146     | 2020-08-15                  | AMENDMENT 2         | 2 No/<br>2021-03-15 (213 | Lost to Follow-Up<br>)                                                                         | )       | Yes/<br>2020-09-11 (28)                                                          |                                                                           |
| US3092214     | 2020-08-25                  | AMENDMENT 3         | 3 No/<br>2021-02-26 (186 | Withdrawal of<br>)Consent by<br>Participant/<br>NO LONGER WANTS<br>TO PARTICIPATE<br>IN STUDY. |         | Yes/<br>2020-09-25 (32)                                                          |                                                                           |
| US3092239     | 2020-08-27                  | AMENDMENT 3         | 3 No/<br>2020-09-28 (33) | Withdrawal of<br>Consent by<br>Participant/<br>REASON NOT GIVEN                                |         | No/<br>2020-09-23 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>NO REASON GIVEN            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3092279     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-03-09 (190 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT                                     |         | Yes/<br>2020-10-01 (31)                                                          |                                                                      |
| US3102054     | 2020-07-29                  | AMENDMENT           | 1 No/<br>2021-02-08 (195 | Protocol<br>) Deviation/<br>RECEIVED<br>COVID-19<br>VACCINE, DOES<br>NOT KNOW WHICH<br>BRAND/TYPE<br>RECEIVED. |         | Yes/<br>2020-08-25 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | /                                                                                                            |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3102067     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-02-08 (194                                   | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19<br>VACCINE.<br>RECEIVED PFIZER<br>VACCINE. |         | Yes/<br>2020-08-26 (28)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3102086 2020-08-03 AMENDMENT 1 No/ Withdrawal of No/ Withdrawal of 2020-08-13 (11) Consent by 2020-08-12 (10) Consent by Participant/ Participant/ PARTICIPANT PARTICIPANT WITH ILLNESS REFUSED TO DO AN SYMPTOMS REFUSED ILLNESS VISIT TO DO AN ILLNESS (IN-CLINIC OR VISIT (IN-CLINIC AT-HOME). UPON OR AT-HOME). FURTHER CONTACT, UPON FURTHER PARTICIPANT CONTACT, WITHDREW CONSENT PARTICIPANT WITHDREW CONSENT AND DROPPED OUT OF THE STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | 7/                                                                               |         | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3102102     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-01-16 (166                                   | Protocol<br>) Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE       |         | Yes/<br>2020-09-01 (29)                                     |                                                                      |
| US3102141     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-01-19 (166                                   | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE<br>WHILE ON STUDY. |         | Yes/<br>2020-09-04 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                       | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102148     | 2020-08-10                  | AMENDMENT           | 2 No/<br>2021-02-01 (176 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT CALLED<br>ON 01FEB2021 AND<br>STATED HE WANTS<br>TO BE UNBLINDED<br>FROM STUDY AND<br>WITHDRAWS<br>CONSENT. |         | Yes/<br>2020-09-04 (26)                                                         |                                                                           |
| US3102155     | 2020-08-10                  | AMENDMENT           | 2 No/<br>2021-02-22 (197 | Lost to Follow-Up<br>)                                                                                                                                              | )       | Yes/<br>2020-09-04 (26)                                                         |                                                                           |
| US3102156     | 2020-08-10                  | AMENDMENT           | - 1                      | Other/<br>SUBJECT STATED<br>THAT HE IS<br>MOVING OUT OF<br>STATE                                                                                                    |         | Yes/<br>2020-09-04 (26)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                    |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                           | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3102173     | 2020-08-11                  | AMENDMENT :         | 2 No/<br>2021-02-03 (177 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/<br>COVID-19<br>VACCINE. SUBJECT<br>DOES NOT RECALL<br>WHICH VACCINE OR<br>DATE OF<br>IMMUNIZATION. |         | Yes/<br>2020-09-08 (29)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |           | Completed Study          | /                                                                                                                                                                                                          | Completed Dosing                                   | /                                                                                                                                                                                                       |
|-----------|---------------------|-----------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  |                          | Reason for Study<br>Discontinuation/                                                                                                                                                                       | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                                        |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                                                                           | <br>-                                              | Specified Reason                                                                                                                                                                                        |
| US3102183 | 2020-08-11          | AMENDMENT | 2 No/<br>2020-09-14 (35) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>NOT WANTING TO<br>RECEIVE<br>VACCINATION TWO,<br>DUE TO REACTIONS<br>EXPERIENCED WHEN<br>RECEIVED<br>VACCINATION ONE. | No/<br>2020-09-07 (28)                             | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO NOT<br>WANTING TO RECEIVE<br>VACCINATION TWO,<br>DUE TO REACTIONS<br>EXPERIENCED WHEN<br>RECEIVED<br>VACCINATION ONE. |
| US3102260 | 2020-08-24          | AMENDMENT | 2 No/<br>2021-01-14 (144 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE WHILE<br>ON-STUDY                                                                                                                                    | Yes/<br>2020-09-18 (26)                            |                                                                                                                                                                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102282     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-03-17 (203 | Protocol<br>) Deviation/<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF THE<br>STUDY                                          |         | Yes/<br>2020-09-25 (30)                                                          |                                                                           |
| US3102289     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2021-01-13 (139 | Protocol<br>) Deviation/<br>REQUEST TO<br>UNBLIND, MEETS<br>EUA COVID-19 AND<br>RECEIVED PFIZER<br>VACCINE DECEMBER<br>2020. |         | Yes/<br>2020-09-25 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3102321     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-03-08 (186 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE OUTSIDE<br>OF STUDY.                                           |         | Yes/<br>2020-09-30 (27)                                                          |                                                                           |
| US3112101     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-01-26 (175 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT AT<br>DECISION VISIT<br>PER ICF OPTIONS. |         | Yes/<br>2020-09-02 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study,        | /                                                                                                                                                                                                                                                                       |   | Completed Dosing                  | /                                                                                                                                                                                                                                                              |
|---------------|-----------------|---------------------|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|               | Informed        |                     |                         | Reason for Study                                                                                                                                                                                                                                                        |   | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                                                                                                                                                                                   |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                    |   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                           |
| US3112122     | 2020-08-05      | AMENDMENT 1         | No/<br>2020-08-24 (20)  | Physician<br>Decision/<br>ADMITTED TO<br>ALCOHOLISM, PT<br>ADVISED HE IS<br>NOT A GOOD<br>CANDIDATE FOR<br>STUDY. HAS BEEN<br>NON COMPLIANT<br>AND UNABLE TO<br>GIVE AN ACCURATE<br>ACCOUNT OF<br>SYMPTOMS, SOUNDS<br>CONFUSED. BECAME<br>UPSET AND<br>VERBALLY ABUSIVE |   | No/<br>2020-08-23 (19)            | Physician Decision/<br>ADMITTED TO<br>ALCOHOLISM, PT<br>ADVISED HE IS NOT A<br>GOOD CANDIDATE FOR<br>STUDY. HAS BEEN NON<br>COMPLIANT AND<br>UNABLE TO GIVE AN<br>ACCURATE ACCOUNT OF<br>SYMPTOMS, SOUNDS<br>CONFUSED. BECAME<br>UPSET AND VERBALLY<br>ABUSIVE |
| US3112126     | 2020-08-06      | AMENDMENT 1         | No/<br>2020-11-17 (104) | Lost to Follow-Up                                                                                                                                                                                                                                                       | ) | Yes/<br>2020-09-03 (29)           |                                                                                                                                                                                                                                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                     |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| US3112128     | 2020-08-06                  | AMENDMENT           | 1 ONGOING                |                                                                 |         | No/<br>2020-09-02 (28)                                                          | Adverse Event/<br>PT. UNABLE TO<br>CONTINUE DUE TO RLQ<br>(AE#2) CONCERNS<br>RELATED TO ONGOING<br>AE, PER PI |
| US3112141     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-01-20 (168 | Lost to Follow-Up                                               | 0       | Yes/<br>2020-09-08 (34)                                                         |                                                                                                               |
| US3112257     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2021-01-14 (154 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19<br>VACCINE   |         | Yes/<br>2020-09-14 (32)                                                         |                                                                                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                          |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| US3112282     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2020-08-26 (10) | Withdrawal of<br>Consent by<br>Participant/<br>STIPENDS ARE<br>TAXABLE INCOME                                                      |         | No/<br>2020-08-25 (9)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>STIPEND IS TAXABLE<br>INCOME. SUBJECT<br>DOES NOT WANT<br>INCOME THAT IS<br>TAXABLE |
| US3112311     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-10-21 (65) | Withdrawal of<br>Consent by<br>Participant/<br>PT WITHDREW<br>CONSENT DUE TO<br>AVERSION TO<br>REQUIRED<br>CONTINUED<br>BLOODWORK. |         | No/<br>2020-09-14 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PT WITHDREW CONSENT<br>DUE TO AVERSION TO<br>REQUIRED CONTINUED<br>BLOODWORK        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3112313     | 2020-08-18                  | AMENDMENT :         | 2 No/<br>2021-01-05 (141 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT CAME IN<br>TO BE UNBLINDED<br>WITHOUT ANY<br>PROCEDURES DONE. |         | Yes/<br>2020-09-14 (28)                                                          |                                                                           |
| US3112347     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-10-08 (49) | Lost to Follow-Up                                                                                                     | )       | No/<br>2020-09-17 (28)                                                           | Lost to Follow-Up                                                         |
| US3112452     | 2020-09-08                  | AMENDMENT :         | 3 No/<br>2021-01-04 (119 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                            |         | Yes/<br>2020-10-05 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |           | Completed Study,          | /                                                                                                                                                                                                               | Completed Dosing                                   | /                                                |
|-----------|---------------------|-----------|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  |                           | Reason for Study<br>Discontinuation/                                                                                                                                                                            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version   | (Day)                     | Specified Reason                                                                                                                                                                                                | -                                                  | Specified Reason                                 |
| JS3122010 | 2020-07-27          | AMENDMENT | 1 No/<br>2020-11-25 (122) | Physician<br>)Decision/<br>REOCCURRENCE OF<br>THROAT CANCER<br>RESULTED IN<br>UNBLINDING.<br>SUBJECT COULD<br>CONTINUE SAFETY<br>FOLLOW UP AT<br>PHYSICIAN'S<br>DISCRETION WHICH<br>WAS REMOVED ON<br>11/25/20. | No/<br>2020-08-23 (28)                             | Adverse Event/<br>AE#1                           |
| JS3122038 | 2020-07-27          | AMENDMENT | 1 No/<br>2021-02-09 (198) | Protocol<br>)Deviation/<br>SUBJECT TOOK A<br>VACCINE OUTSIDE<br>OF THE STUDY                                                                                                                                    | Yes/<br>2020-08-27 (32)                            |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3122047     | 2020-07-27                  | AMENDMENT 1         | - ONGOING                |                                                                                                         |         | No/<br>2020-08-23 (28)                                                           | Adverse Event/<br>AE #1 - PARTICIPANT<br>CHOICE.                          |
| US3122111     | 2020-07-29                  | AMENDMENT 1         | . No/<br>2020-12-21 (146 | Other/<br>)SUBJECT WAS<br>UNBLINDED<br>21DEC2020 TO SEE<br>IF HE WAS<br>ELIGIBLE FOR<br>PFIZER VACCINE. |         | Yes/<br>2020-08-27 (30)                                                          |                                                                           |
| US3122134     | 2020-07-30                  | AMENDMENT 1         | No/<br>2020-12-16 (140   | Other/<br>)MEETS CDC<br>REQUIREMENTS TO<br>RECEIVE PFIZER<br>VACCINE UNDER<br>EUA                       |         | Yes/<br>2020-08-26 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol  |                          | /<br>Reason for Study<br>Discontinuation/                                                                                    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                                  |
|-----------|---------------------|-----------|--------------------------|------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                             | <br>(Day)                                                               | Specified Reason                                                                                       |
| JS3132016 | 2020-08-14          | AMENDMENT | 2 No/<br>2020-09-18 (36) | Withdrawal of<br>Consent by<br>Participant/<br>PT HAD ANTIBODY<br>TESTING DONE AND<br>REQUESTED TO<br>WITHDRAWAL<br>CONSENT. | No/<br>2020-09-10 (28)                                                  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT HAD AN<br>ANTIBODY TEST AND<br>TESTED NEGATIVE. |
| US3132051 | 2020-09-15          | AMENDMENT | 3 No/<br>2021-01-19 (127 | Protocol<br>)Deviation/<br>PROTOCOL<br>DEVIATION,<br>RECEIVED EUA<br>VACCINE PFIZER                                          | Yes/<br>2020-10-14 (30)                                                 |                                                                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                               |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| US3132094     | 2020-09-30                  | AMENDMENT :         | -                        | Other/<br>SUBJECT<br>UNWILLING TO<br>WAIT FOR<br>AMENDMENT<br>APPROVAL TO BE<br>UNBLINDED  |         | Yes/<br>2020-10-27 (28)                                                          | -<br>-                                                                                                                                  |
| US3142162     | 2020-08-08                  | AMENDMENT 2         | 2 No/<br>2020-12-21 (136 | Lost to Follow-Up<br>)                                                                     | )       | Yes/<br>2020-09-03 (27)                                                          |                                                                                                                                         |
| US3142165     | 2020-08-08                  | AMENDMENT :         | 2 No/<br>2020-09-08 (32) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>FOR PERSONAL<br>REASONS |         | No/<br>2020-09-04 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT VERBALLY<br>WITHDREW CONSENT<br>PRIOR TO VISIT DAY<br>29 FOR PERSONAL<br>REASONS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | //<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| US3142231     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-01-19 (155 | Withdrawal of<br>5) Consent by<br>Participant/<br>PATIENT DID NOT<br>WISH TO CONTINUE<br>WITHOUT<br>GUARANTEED<br>VACCINE<br>INCLUDING 6<br>MONTH BOOSTER |         | Yes/<br>2020-09-16 (30)                                                         |                                                                                          |
| US3142243     | 2020-08-19                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                                           |         | No/<br>2020-09-15 (28)                                                          | Adverse Event/<br>LEFT ARMPIT<br>SWELLING AE#3<br>FATIGUE AE#1 SITE<br>INJECTION PAIN #3 |
| US3152089     | 2020-08-05                  | AMENDMENT 1         | l No/<br>2021-02-02 (182 | Lost to Follow-Ug<br>2)                                                                                                                                   | )       | Yes/<br>2020-09-08 (35)                                                         |                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3152100     | 2020-08-06                  | AMENDMENT 3         | 1 No/<br>2020-10-01 (57) | Lost to Follow-Up                                             | )       | Yes/<br>2020-09-09 (35)                                                          |                                                                           |
| US3152121     | 2020-08-10                  | AMENDMENT 2         | 2 No/<br>2021-02-02 (177 | Lost to Follow-Up<br>)                                        | )       | Yes/<br>2020-09-08 (30)                                                          |                                                                           |
| US3152485     | 2020-10-14                  | AMENDMENT 4         | 4 No/<br>2020-12-10 (58) | Lost to Follow-Up                                             | )       | Yes/<br>2020-11-18 (36)                                                          |                                                                           |
| US3162078     | 2020-08-07                  | AMENDMENT           | 1 ONGOING                |                                                               |         | No/<br>2020-09-03 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3162143     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-01-12 (148 |                                                               |         | Yes/<br>2020-09-21 (35)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol    | or Completion            | Reason for Study<br>Discontinuation/                                      | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|---------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                          | Performed? | (Day)                                                                   | Specified Reason                                 |
| US3162175 | 2020-08-21          | AMENDMENT 2 |                          | Other/<br>)SUBJECT MOVED TO<br>FLORIDA                                    |            | Yes/<br>2020-09-15 (26)                                                 |                                                  |
| US3162177 | 2020-08-21          | AMENDMENT 2 | 2 No/<br>2021-01-12 (145 | Other/<br>)REQUEST TO BE<br>UNBLIND/ EUA<br>COVID-19 VACCINE              |            | Yes/<br>2020-09-15 (26)                                                 |                                                  |
| US3162221 | 2020-09-04          | AMENDMENT : | 3 No/<br>2021-01-27 (146 | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND/ EUA<br>COVID-19 VACCINE |            | Yes/<br>2020-10-02 (29)                                                 |                                                  |
| US3172005 | 2020-08-07          | AMENDMENT 3 | 1 No/<br>2021-02-01 (179 | Withdrawal of<br>)Consent by<br>Participant                               |            | Yes/<br>2020-09-04 (29)                                                 |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study        | 7/                                   |         | Completed Dosing,      | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|---------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of | Date of Dosing         | Primary Reason for                   |
|               | Informed        | _                   |                        | n Reason for Study                   |         | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| US3172008     | 2020-08-07      | AMENDMENT           | 1 No/                  | Lost to Follow-Up                    | D       | No/                    | Other/                               |
|               |                 |                     | 2020-11-05 (91)        | )                                    |         | 2020-09-03 (28)        | MISSED VISIT DAY 29                  |
| US3172031     | 2020-08-11      | AMENDMENT           | 1 No/                  | Lost to Follow-Up                    | )       | No/                    | Other/                               |
|               |                 |                     | 2020-11-30 (112        | .)                                   |         | 2020-09-07 (28)        | MISSED VISIT.                        |
| US3172032     | 2020-08-11      | AMENDMENT           | ,                      | Withdrawal of                        |         | Yes/                   |                                      |
|               |                 |                     | 2021-03-02 (204        | )Consent by<br>Participant/          |         | 2020-09-08 (29)        |                                      |
|               |                 |                     |                        | WITHDREW CONSENT                     |         |                        |                                      |
| US3172036     | 2020-08-11      | AMENDMENT           | 1 No/                  | Other/                               |         | Yes/                   |                                      |
|               |                 |                     | 2021-03-15 (217        | )SUBJECT MOVED<br>OUT OF TOWN        |         | 2020-09-08 (29)        |                                      |
|               |                 |                     |                        | INDEFINITELY.                        |         |                        |                                      |
| US3172039     | 2020-08-11      | AMENDMENT           | 1 No/                  | Lost to Follow-Up                    | )       | No/                    | Other/                               |
|               |                 |                     | 2020-11-30 (112        | 2)                                   |         | 2020-09-07 (28)        | MISSED VISIT.                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | /                                                                                |         | Completed Dosing,                                           | /                                                                                |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason             |
| US3172065     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-10-10 (60)                                   | Lost to Follow-Up                                                                | )       | No/<br>2020-09-08 (28)                                      | Other/<br>MISSED VISIT.                                                          |
| US3172112     | 2020-08-14                  | AMENDMENT 3         | 1 ONGOING                                                  |                                                                                  |         | No/<br>2020-09-10 (28)                                      | Other/<br>MISSED VISIT.                                                          |
| US3172121     | 2020-08-14                  | AMENDMENT :         | 1 No/<br>2020-09-10 (28)                                   | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER WANTS<br>TO PARTICIPATE |         | No/<br>2020-09-09 (27)                                      | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER WANTS TO<br>PARTICIPATE |
| US3172149     | 2020-08-17                  | AMENDMENT           | 1 ONGOING                                                  |                                                                                  |         | No/<br>2020-09-13 (28)                                      | Other/<br>SUBJECT MISSED DAY<br>29 VISIT.                                        |
| US3172161     | 2020-08-17                  | AMENDMENT :         | 1 ONGOING                                                  |                                                                                  |         | No/<br>2020-09-13 (28)                                      | Other/<br>MISSED VISIT.                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3172164     | 2020-08-18                  | AMENDMENT 3         | 1 ONGOING                |                                                                                         |         | No/<br>2020-09-14 (28)                                                           | Other/<br>MISSED VISIT.                                                   |
| US3172167     | 2020-08-18                  | AMENDMENT 2         | l No/<br>2020-11-30 (105 | Lost to Follow-Up<br>)                                                                  | )       | No/<br>2020-09-14 (28)                                                           | Other/<br>MISSED VISIT.                                                   |
| US3172174     | 2020-08-18                  | AMENDMENT :         | 1 No/<br>2020-09-30 (44) | Withdrawal of<br>Consent by<br>Participant/<br>DOES NOT WANT TO<br>CONTINUE IN<br>STUDY |         | No/<br>2020-09-14 (28)                                                           | Other/<br>MISSED VISIT.                                                   |
| US3172186     | 2020-08-18                  | AMENDMENT 3         | 1 No/<br>2020-10-20 (64) | Withdrawal of<br>Consent by<br>Participant                                              |         | Yes/<br>2020-09-15 (29)                                                          |                                                                           |
| US3172212     | 2020-08-19                  | AMENDMENT 3         | 1 No/<br>2021-03-16 (210 | Lost to Follow-Up<br>)                                                                  | )       | Yes/<br>2020-09-16 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study         | 7/                                                         |         | Completed Dosing/                                           |                                                                        |  |
|---------------|-----------------------------|---------------------|-------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|------------------------------------------------------------------------|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                         | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason   |  |
| US3172217     | 2020-08-19                  | AMENDMENT           | 1 No/<br>2020-10-24 (67 | Lost to Follow-U <sub>I</sub><br>)                         | 0       | No/<br>2020-09-15 (28)                                      | Other/<br>MISSED VISIT.                                                |  |
| US3172219     | 2020-08-19                  | AMENDMENT           | 1 ONGOING               |                                                            |         | No/<br>2020-09-15 (28)                                      | Other/<br>MISSED VISIT                                                 |  |
| US3172225     | 2020-08-19                  | AMENDMENT           | 1 ONGOING               |                                                            |         | No/<br>2020-09-15 (28)                                      | Other/<br>MISSED VISIT                                                 |  |
| US3172238     | 2020-08-20                  | AMENDMENT           | 1 ONGOING               |                                                            |         | No/<br>2020-09-16 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>DID NOT WANT TO<br>WAIT |  |
| US3172246     | 2020-08-20                  | AMENDMENT           | 1 No/<br>2020-10-01 (43 | Other/<br>) MOVED OUT OF<br>TOWN                           |         | No/<br>2020-09-16 (28)                                      | Other/<br>SUBJECT MOVED OUT<br>OF TOWN                                 |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study |                    |            | Completed Dosing | /                   |
|-----------|------------|-------------|-----------------|--------------------|------------|------------------|---------------------|
|           |            |             | Date of Study   |                    | Date of    | Date of Dosing   | Primary Reason for  |
|           | Informed   |             |                 | n Reason for Study |            | Discontinuation  | Dosing              |
| Subject   | Consent    | Protocol    | _               | Discontinuation/   |            | or Completion    | Discontinuation/    |
| ID        | Date       | Version     | (Day)           | Specified Reason   | Performed? | (Day)            | Specified Reason    |
| US3172249 | 2020-08-20 | AMENDMENT   | 1 No/           | Withdrawal of      |            | No/              | Other/              |
| 055172249 | 2020 00 20 | AMENDMENT . | 2020-09-21 (33) |                    |            | 2020-09-16 (28)  | SKIPPED VISIT       |
|           |            |             | 2020 09 21 (33) | Participant        |            | 2020 09 10 (20)  |                     |
|           |            |             |                 | 1                  |            |                  |                     |
| US3172265 | 2020-08-21 | AMENDMENT   | 1 ONGOING       |                    |            | No/              | Adverse Event/      |
|           |            |             |                 |                    |            | 2020-09-17 (28)  | A/E #1.             |
| US3172290 | 2020-08-24 | AMENDMENT 2 | 2 No/           | Lost to Follow-Up  | )          | No/              | Other/              |
|           |            |             | 2020-09-10 (18) | -                  |            | 2020-09-09 (17)  | MISSED VISIT DAY 29 |
| US3172313 | 2020-08-25 | AMENDMENT 2 | 2 ONGOING       |                    |            | No/              | Other/              |
|           |            |             |                 |                    |            | 2020-09-21 (28)  | SUBJECT REFUSED 2ND |
|           |            |             |                 |                    |            |                  | DOSE OF IP DUE TO   |
|           |            |             |                 |                    |            |                  | PERSONAL ISSUES     |
|           |            |             |                 |                    |            |                  | DESPITE ALL EFFORTS |
|           |            |             |                 |                    |            |                  | FROM THE SITE TO    |
|           |            |             |                 |                    |            |                  | EDUCATE HIM ON IP   |
|           |            |             |                 |                    |            |                  | TREATMENT           |
|           |            |             |                 |                    |            |                  | COMPLIANCE.         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason               |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| US3172348     | 2020-08-26                  | amendment 3         | 8 No/<br>2021-01-19 (147 | Lost to Follow-Up<br>)                                                             | 0       | Yes/<br>2020-09-22 (28)                                                          |                                                                                    |
| US3172360     | 2020-08-27                  | amendment 3         | ONGOING                  |                                                                                    |         | No/<br>2020-09-23 (28)                                                           | Adverse Event/<br>A/E #1                                                           |
| US3172366     | 2020-08-27                  | AMENDMENT 3         | - 1                      | Other/<br>NO LONGER LIVE<br>IN <b>(b)(6)</b>                                       |         | No/<br>2020-09-23 (28)                                                           | Other/<br>MISSED VISIT                                                             |
| US3172461     | 2020-10-21                  | AMENDMENT 2         | 2 No/<br>2020-11-25 (36) | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER WANTED<br>TO PARTICIPATE. |         | No/<br>2020-11-17 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER WANTED TO<br>PARTICIPATE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study<br>Date of Study    | /                                                                                                                     | Date of           | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------|------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | -                                   | Reason for Study<br>Discontinuation/<br>Specified Reason                                                              | Death/<br>Autopsy | Discontinuation<br>or Completion   | Dosing<br>Discontinuation/<br>Specified Reason |
| US3172474     | 2020-10-22                  | AMENDMENT 3         | <sup>3</sup> No/<br>2021-01-27 (98) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>NOT COMPLIANCE<br>WITH PROTOCOL. |                   | Yes/<br>2020-11-19 (29)            |                                                |
| US3172477     | 2020-10-22                  | AMENDMENT 3         | ONGOING                             |                                                                                                                       |                   | No/<br>2020-11-18 (28)             | Other/<br>MISSED VISIT                         |
| US3172481     | 2020-10-22                  | amendment 3         | ONGOING                             |                                                                                                                       |                   | No/<br>2020-11-18 (28)             | Other/<br>MISSED VISIT                         |
| US3172483     | 2020-10-22                  | amendment 3         | ONGOING                             |                                                                                                                       |                   | No/<br>2020-11-18 (28)             | Other/<br>MISSED VISIT                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3172492     | 2020-10-23                  | AMENDMENT 3         | <sup>3</sup> No/<br>2021-02-22 (123 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT REFUSED<br>ALL PROCEDURES<br>AND WITHDREW<br>CONSENT, NO<br>REASON STATED AS<br>TO WHY. |         | Yes/<br>2020-11-20 (29)                                                          |                                                                           |
| US3172499     | 2020-10-23                  | amendment 3         | ONGOING                             |                                                                                                                                                 |         | No/<br>2020-11-19 (28)                                                           | Other/<br>MISSED VISIT                                                    |
| US3182108     | 2020-07-31                  | AMENDMENT 1         | No/<br>2020-11-24 (117              | Lost to Follow-Up<br>)                                                                                                                          | )       | Yes/<br>2020-08-31 (32)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               | Informed        |                     | Completed Study,<br>Date of Study<br>Discontinuation | Reason for Study                                                                          | Date of<br>Death/ | Completed Dosing/<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|------------------------------------------------------|-------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                                      | Discontinuation/<br>Specified Reason                                                      | Autopsy           | or Completion                                          | Discontinuation/<br>Specified Reason |
| US3182244     | 2020-08-10      | AMENDMENT           | 2 No/<br>2021-02-25 (200)                            | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>PFIZER COVID<br>VACCINE                     |                   | Yes/<br>2020-09-09 (31)                                |                                      |
| US3192056     | 2020-08-04      | AMENDMENT           | 1 No/<br>2021-01-31 (181)                            | Protocol<br>Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |                   | Yes/<br>2020-09-01 (29)                                |                                      |
| US3192063     | 2020-08-05      | AMENDMENT           | 1 No/<br>2021-02-19 (199)                            | Protocol<br>Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.         |                   | Yes/<br>2020-09-02 (29)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192114     | 2020-08-08                  | AMENDMENT :         | l No/<br>2021-01-03 (149                                                      | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.            |         | Yes/<br>2020-09-05 (29)                                                          |                                                                           |
| US3192148     | 2020-08-09                  | AMENDMENT :         | L No/<br>2020-09-06 (29)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PT DECIDED NOT<br>TO CONTINUE<br>PARTICIPATION |         | No/<br>2020-09-05 (28)                                                           | Other/<br>PATIENT REFUSED<br>DOSE 2                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192173     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2021-02-20 (195 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-04 (26)                                                          |                                                                           |
| US3192243     | 2020-08-14                  | AMENDMENT           | 1 No/<br>2021-02-20 (191 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL.  |         | Yes/<br>2020-09-11 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                        | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|------------------------|-------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192296     | 2020-08-16                  | AMENDMENT 1         | No/<br>2021-01-21 (159 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-20 (36)                                                          |                                                                           |
| US3192329     | 2020-08-17                  | AMENDMENT 1         | No/<br>2020-10-14 (53) | Lost to Follow-Up                                                                         | )       | No/<br>2020-09-19 (28)                                                           | Lost to Follow-Up                                                         |
| US3192386     | 2020-08-21                  | AMENDMENT 2         | No/<br>2021-02-20 (184 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192401     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2021-02-12 (176 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>ICF BECAUSE HE<br>NO LONGER WANTS<br>TO HAVE LABS<br>ASSESSMENTS<br>DONE. |         | Yes/<br>2020-09-18 (29)                                                          |                                                                           |
| US3192457     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2021-02-01 (162 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF STUDY                                                     |         | Yes/<br>2020-09-22 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192504     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-02-20 (179 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL.   |         | Yes/<br>2020-09-19 (25)                                                          |                                                                           |
| US3192602     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2021-02-20 (174 | Protocol<br>) Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>VACCINE OUTSIDE<br>OF TRIAL. |         | Yes/<br>2020-09-30 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                                       |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3192707     | 2020-09-05                  | AMENDMENT           | 3 No/<br>2021-02-12 (161 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>ICF, IS NON<br>COMPLIANT WITH<br>E-DIARY ENTRIES<br>AND DOESNT WISH<br>TO CONTINUE. |         | Yes/<br>2020-10-03 (29)                                     |                                                                      |
| US3192750     | 2020-10-07                  | AMENDMENT           | 4 No/<br>2021-01-31 (117 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER EUA<br>VACCINE OUTSIDE<br>OF TRIAL.                                                               |         | Yes/<br>2020-11-04 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3192828     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2021-02-20 (129 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA EUA<br>OUTSIDE OF<br>TRIAL.                               |         | Yes/<br>2020-11-17 (34)                                                          |                                                                           |
| US3192906     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2021-03-15 (145 | Physician<br>)Decision/<br>PATIENT WAS ET<br>FROM TRIAL AND<br>SITE DUE TO<br>VIOLENT BEHAVIOR<br>TOWARDS STAFF. |         | Yes/<br>2020-11-19 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | /                                                                                                                                                               | Data of | Completed Dosing                                            |                                                                                                                               |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                          |
| US3202062     | 2020-08-07                  | AMENDMENT 2         | - /                                                        | Other/<br>)SUBJECT CALLED<br>TO REPORT MOVING<br>FURTHER FROM<br>SITE WITH<br>LIMITED<br>TRANSPORTATION<br>AND NO DESIRE TO<br>CONTINUE B/C OF<br>FULL SCHEDULE |         | Yes/<br>2020-09-03 (28)                                     |                                                                                                                               |
| US3202106     | 2020-08-10                  | AMENDMENT 3         | L No/<br>2020-09-16 (38)                                   | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT OPTED<br>OUT OF GETTING<br>SECOND DOSE                                                                   |         | No/<br>2020-09-06 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT OPTED OUT<br>OF STUDY, DECIDED<br>NOT TO GET SECOND<br>DOSE OF VACCINE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3202112     | 2020-08-10                  | AMENDMENT :         | - /                                                                           | Other/<br>SUBJECT MOVED TO<br>ANOTHER STATE<br>AND IS NOT<br>INTERESTED IN<br>CONTINUED F/UP                                                                            |         | No/<br>2020-09-06 (28)                                                           | Other/<br>SUBJECT MOVED TO<br>ANOTHER STATE AND<br>IS NOT INTERESTED<br>IN CONTINUED F/UP                                                                                                                                       |
| US3202173     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-10-16 (65)                                                      | Protocol<br>Deviation/<br>NONCOMPLIANCE<br>WITH STUDY<br>GUIDELINES<br>(SUBJECT IS<br>NONCOMPLIANT<br>WITH VISIT<br>SCHEDULES OR<br>ACCURATE<br>REPORTING OF<br>HEALTH) |         | No/<br>2020-09-09 (28)                                                           | Protocol Deviation/<br>SUBJECT MISSED DAY<br>29 VISIT AND WAS<br>OUT OF WINDOW BY<br>THE TIME WE REACHED<br>HER AND WE'RE NOT<br>ABLE TO CONFIRM<br>APPROPRIATENESS FOR<br>CONTINUING DUE TO<br>APPARENT<br>PSYCHIATRIC ISSUES. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                                                                                                                  | <br>Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| US3202176     | 2020-08-14                  | AMENDMENT 2         |                                                                               | Withdrawal of<br>Consent by<br>Participant/<br>MULTIPLE<br>STRESSORS IN<br>PERSONAL LIFE<br>UNABLE TO GET<br>SECOND DOSE AND<br>HAS "TOO MUCH<br>STRESS" TO WORRY<br>ABOUT CONTINUING<br>IN STUDY | No/<br>2020-09-10 (28)                                                              | Other/<br>SUBJECT UNABLE TO<br>COMPLETE DAY 29<br>WITHIN WINDOW                 |
| US3202203     | 2020-08-15                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                                                                                   | No/<br>2020-09-11 (28)                                                              | Other/<br>SUBJECT WITHDREW<br>FROM DOSING BUT<br>AGREED TO CONTINUE<br>IN STUDY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                        |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3202232     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2020-09-09 (24) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER<br>INTERESTED IN<br>CONTINUING IN<br>STUDY F/UP OR<br>RECEIVING 2ND<br>DOSE |         | No/<br>2020-09-08 (23)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>NON-RESPONSIVE THEN<br>CONTACTED SITE TO<br>SAY NO LONGER<br>INTERESTED IN<br>FURTHER<br>PARTICIPATION |
| US3202244     | 2020-08-18                  | AMENDMENT           | - 1                      | Other/<br>SUBJECT'S SPOUSE<br>INFORMED SITE<br>THAT HE IS<br>INCARCERATED AND<br>NOT CONTINUING                                                 |         | No/<br>2020-09-14 (28)                                                          | Other/<br>SUBJECT'S SPOUSE<br>INFORMED SITE THAT<br>HE IS INCARCERATED<br>AND NOT CONTINUING                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                               |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3202257     | 2020-08-19                  | AMENDMENT 2         | No/<br>2020-11-01 (75)                                                        | Lost to Follow-Up                                                                                                                 | )       | No/<br>2020-09-15 (28)                                                          | Lost to Follow-Up                                                                                                                                                                                                       |
| US3202263     | 2020-08-19                  | AMENDMENT 2         | No/<br>2020-10-19 (62)                                                        | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT GAVE NO<br>REASONJUST<br>STATED THAT SHE<br>WAS WITHDRAWING<br>FROM STUDY. |         | No/<br>2020-09-15 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DID NOT<br>GIVE REASONSHE<br>RETURNED CALLS BY<br>(b)(6) BUT TOLD<br>RECEPTIONIST TO<br>INFORM ME THAT SHE<br>WAS WITHDRAWING<br>FROM THE STUDY THEN<br>HUNG UP. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3202264     | 2020-08-19                  | AMENDMENT :         | 2 No/<br>2020-12-22 (126                                                      | Protocol<br>) Deviation/<br>RECEIVED<br>NON-STUDY<br>COVID-19 VACCINE<br>PRIOR TO<br>UNBLINDING AND<br>STUDY<br>DISCONTINUATION |         | Yes/<br>2020-09-22 (35)                                                         |                                                                           |
| US3202290     | 2020-08-21                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                 |         | No/<br>2020-09-17 (28)                                                          | Other/<br>UNCONTROLLED<br>HYPERTENSION                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                                     | Autopsy          | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|------------------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| US3202307     | 2020-08-24                  | AMENDMENT           | 3 No/<br>2020-09-22 (30)                                                      | Protocol<br>Deviation/<br>NONCOMPLIANCE<br>WITH STUDY<br>GUIDELINES AND<br>SUBJECT WAS NOT<br>FOLLOWING<br>CONTRACEPTION<br>GUIDELINES |                  | No/<br>2020-09-20 (28)                                                          | Physician Decision/<br>SUBJECT WAS NOT<br>FOLLOWING<br>CONTRACEPTION<br>GUIDELINES. |
| US3202358     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-11-20 (85)                                                      | Lost to Follow-Up                                                                                                                      | )                | No/<br>2020-09-24 (28)                                                          | Lost to Follow-Up                                                                   |
| US3202375     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-04-04 (216                                                      | Death<br>)                                                                                                                             | 2021-04-04,<br>N | /Yes/<br>2020-10-05 (35)                                                        |                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3202383     | 2020-09-02                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                 |         | No/<br>2020-09-29 (28)                                                           | Protocol Deviation/<br>SUBJECT TOO FAR OUT<br>OF WINDOW FOR DOSE<br>2 DUE TO TRAVELLING<br>FOR FUNERAL AND<br>THEN MULTIPLE<br>RESCHEDULED<br>APPOINTMENTS |
| US3202399     | 2020-09-04                  | AMENDMENT 3         | 3 No/<br>2020-11-18 (76)                                                      | Lost to Follow-Up                                               | )       | No/<br>2020-10-01 (28)                                                           | Other/<br>SUBJECT NOT ABLE TO<br>DOSE AT DAY 29 AND<br>NEVER RETURNED FOR<br>R/S VISITS                                                                    |
| US3212034     | 2020-08-04                  | AMENDMENT 1         | No/<br>2020-11-18 (107                                                        | Lost to Follow-Up<br>)                                          | 0       | No/<br>2020-08-31 (28)                                                           | Lost to Follow-Up                                                                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                                                                                                                                                                                                          |                   | Completed Dosing                  |                                         |
|---------------|-----------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|-----------------------------------------|
|               | Informed        |                     | Date of Study            | Reason for Study                                                                                                                                                                                                                                           | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing            |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                          | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                       | Autopsy           | or Completion                     | Discontinuation/<br>Specified Reason    |
| US3212039     | 2020-08-04      | AMENDMENT           | 1 No/<br>2020-10-27 (85) | Withdrawal of<br>Consent by<br>Participant                                                                                                                                                                                                                 |                   | No/<br>2020-08-31 (28)            | Withdrawal of Consent<br>by Participant |
| US3212067     | 2020-08-06      | AMENDMENT           | 1 No/<br>2021-03-17 (224 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>CONTACTED SITE<br>TO INFORM THAT<br>SHE IS NO LONGER<br>INTERESTED IN<br>CONTINUING WITH<br>THE STUDY DUE TO<br>NOT ABLE TO<br>OBTAIN THE<br>ANTIBODY TEST<br>RESULTS FROM THE<br>SPONSOR/SITE. |                   | Yes/<br>2020-09-03 (29)           |                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3212182     | 2020-08-14                  | AMENDMENT           | 2 ONGOING                |                                                                                                                                                                                                                                                                             |         | No/<br>2020-09-10 (28)                                                          | Pregnancy                                                                 |
| US3212398     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-10-13 (33) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CALLED<br>TO WITHDRAW<br>CONSENT FROM THE<br>STUDY. HE WAS<br>OFFERED A SAFETY<br>VISIT AND EVERY<br>EFFORT WAS MADE<br>TO KEEP SUBJECT<br>IN THE STUDY,<br>HOWEVER HE DID<br>NOT WISH TO<br>PROCEED ANY<br>FURTHER. |         | Yes/<br>2020-10-08 (28)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study          | /                                                                                                               |             | Completed Dosing,       | /                                                                                                                                                        |
|-----------|------------|-------------|--------------------------|-----------------------------------------------------------------------------------------------------------------|-------------|-------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
|           |            |             | Date of Study            |                                                                                                                 | Date of     | Date of Dosing          | Primary Reason for                                                                                                                                       |
|           | Informed   |             |                          | Reason for Study                                                                                                |             | Discontinuation         | Dosing                                                                                                                                                   |
| Subject   | Consent    | Protocol    | or Completion            |                                                                                                                 |             | or Completion           | Discontinuation/                                                                                                                                         |
| ID        | Date       | Version     | (Day)                    | Specified Reason                                                                                                | Performed?  | (Day)                   | Specified Reason                                                                                                                                         |
| US3222017 | 2020-08-06 | AMENDMENT   | l No/                    | Death                                                                                                           | 2021-02-04/ | 'Yes/                   |                                                                                                                                                          |
|           |            |             | 2021-02-04 (183          |                                                                                                                 | N           | 2020-09-03 (29)         |                                                                                                                                                          |
| US3222045 | 2020-08-08 | AMENDMENT : | l No/<br>2021-01-23 (169 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WAS<br>DROPPED UNABLE<br>TO MAKE APPTS<br>LIVES TOO FAR |             | Yes/<br>2020-09-07 (31) |                                                                                                                                                          |
| US3222061 | 2020-08-10 | AMENDMENT : | l ongoing                |                                                                                                                 |             | No/<br>2020-09-06 (28)  | Adverse Event/<br>AE #1 LEFT BREAST<br>MASS, HER<br>ONCOLOGIST DIDNT<br>WANT HER TO RECEIV.<br>THE SECOND VACCINE<br>AND THE PI KEPT HE<br>ON THE TRIAL. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                            |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|
| US3222085     | 2020-08-11                  | AMENDMENT :         |                          | Withdrawal of                                                                                   |         | No/<br>2020-09-06 (27)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PT STATED THAT HE<br>HAD TO W/D FOR<br>MEDICAL REASONS<br>UNRELATED TO THIS<br>STUDY. |
| US3222096     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2020-09-15 (36) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT ON<br>FAMILY ADVISE. |         | No/<br>2020-09-07 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT BASED ON<br>FAMILY ADVICE.                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3222119 2020-08-13 AMENDMENT 1 No/ Physician Yes/ 2020-09-10 (29) 2021-02-06 (178) Decision/ THE SUBJECT HAS BEEN DISMISSED FROM OUR SITE DUE TO ABUSIVE BEHAVIOR TOWARDS SITE STAFF. SEVERAL WARNING HAVE BEEN SENT WITHOUT IMPROVEMENT.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Date of Primary Reason for Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3222146 2020-08-14 AMENDMENT 2 No/ Protocol Yes/ 2020-09-13 (31) 2021-01-05 (145) Deviation/ PATIENT RECEIVED PFIZER VACCINE PRIOR TO BEING UNBLINDED. PI UNBLINDED PATIENT AND SHE RECEIVED MODERNA ACTIVE VACCINE ON THE TRIAL.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | '<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222167     | 2020-08-15                  | AMENDMENT           | 2 No/<br>2020-12-16 (124) | Withdrawal of<br>)Consent by<br>Participant/<br>PT W/C DUE TO<br>SCHEDULING<br>CONFLICTS<br>BETWEEN WORK AND<br>STUDY             |         | Yes/<br>2020-09-12 (29)                                                          |                                                                           |
| US3222205     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-10-19 (63)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT UNHAPPY<br>ABOUT WAITING<br>FOR PAYMENT TO<br>BE ADDED TO HER<br>CLINCARD. |         | Yes/<br>2020-09-17 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study                  | 7/                                                            | Data              | Completed Dosing                  |                                      |
|---------------|-----------------|---------------------|----------------------------------|---------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | n Reason for Study                                            | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                          | Autopsy           | or Completion                     | Discontinuation/<br>Specified Reason |
| US3222222     | 2020-08-19      | AMENDMENT           | 2 No/<br>2020-12-02 (106         | Lost to Follow-Up<br>5)                                       | <sup>D</sup>      | Yes/<br>2020-09-14 (27)           |                                      |
| US3222223     | 2020-08-19      | AMENDMENT           | 2 No/<br>2020-12-02 (106         | Lost to Follow-Up                                             | ò                 | Yes/<br>2020-09-14 (27)           |                                      |
| US3222280     | 2020-08-22      | AMENDMENT           | 2 No/<br>2021-01-22 (154         | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE |                   | Yes/<br>2020-09-19 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222296     | 2020-08-23                  | AMENDMENT 2         | 2 No/<br>2021-02-12 (174 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECTS HAS<br>MUCH TO CONTEND<br>WITH HER MEDICAL<br>HISTORY AND<br>DOESNT WANT TO<br>CONTINUE IN THE<br>TRIAL |         | Yes/<br>2020-09-22 (31)                                                         |                                                                           |
| US3222300     | 2020-08-23                  | AMENDMENT 2         | 2 No/<br>2020-12-16 (116 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WC ON<br>16/DEC/2020 FOR<br>AN UNSPECIFIED<br>REASON.                                                    |         | Yes/<br>2020-09-25 (34)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |             | Completed Study                                   | /                                                                         |                              | Completed Dosing                                   | /                                                                         |
|-----------|---------------------|-------------|---------------------------------------------------|---------------------------------------------------------------------------|------------------------------|----------------------------------------------------|---------------------------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | Date of Study<br>Discontinuation<br>or Completion |                                                                           | Date of<br>Death/<br>Autopsy | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                          |
| ID        | Date                | Version     | (Day)                                             | Specified Reason                                                          | Performed?                   | (Day)                                              | Specified Reason                                                          |
| US3222356 | 2020-08-27          | amendment 3 | 8 No/<br>2021-01-31 (158                          | Protocol<br>)Deviation/<br>RECEIVED VACCINE<br>JAN 7 AT PUBLIX            |                              | Yes/<br>2020-09-25 (30)                            |                                                                           |
| US3222433 | 2020-09-01          | amendment 3 | No/<br>2020-10-01 (31)                            | Withdrawal of<br>Consent by<br>Participant/<br>TOO MUCH OF A<br>COMITMENT |                              | No/<br>2020-09-28 (28)                             | Withdrawal of<br>Consent by<br>Participant/<br>TOOMUCH OF A<br>COMMITMENT |
| US3222439 | 2020-09-01          | amendment 3 | No/<br>2021-01-01 (123                            | Lost to Follow-Up<br>)                                                    | )                            | Yes/<br>2020-10-01 (31)                            |                                                                           |
| US3222571 | 2020-09-10          | amendment 3 | 8 No/<br>2020-12-02 (84)                          | Lost to Follow-Up                                                         | )                            | No/<br>2020-10-07 (28)                             | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study<br>Date of Study | /                                                                                                                                          | Date of           | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                  | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                   | Death/<br>Autopsy | Discontinuation<br>or Completion    | Dosing<br>Discontinuation/<br>Specified Reason |
| US3222572     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-11-12 (64)         | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT W/D<br>CONSENT DUE TO<br>PERSONAL ISSUES.                                           |                   | Yes/<br>2020-10-15 (36)             |                                                |
| US3222583     | 2020-09-11                  | AMENDMENT           | 3 No/<br>2020-12-22 (103         | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT MISSED<br>V3 AND WE HAVE<br>BEEN UNABLE TO<br>REACH HER TO<br>COME IN FOR<br>APPTS |                   | Yes/<br>2020-10-17 (37)             |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol    | or Completion            | n Reason for Study<br>Discontinuation/                                                                                                     | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|--------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                                           | Performed? | (Day)                                                                   | Specified Reason                                 |
| US3222609 | 2020-09-16          | AMENDMENT ( | 3 No/<br>2021-01-24 (131 | Withdrawal of<br>)Consent by<br>Participant/<br>AFTER<br>UNBLINDING, THE<br>PATIENT NO<br>LONGER WANTED TO<br>PARTICIPATE IN<br>THE TRIAL. |            | Yes/<br>2020-11-02 (48)                                                 |                                                  |
| US3222627 | 2020-09-21          | AMENDMENT ( | 3 No/<br>2020-11-18 (59) | Lost to Follow-Up                                                                                                                          | )          | Yes/<br>2020-10-19 (29)                                                 |                                                  |
| US3222633 | 2020-09-24          | AMENDMENT 3 | 3 No/<br>2020-10-08 (15) | Lost to Follow-Up                                                                                                                          | )          | No/<br>2020-10-07 (14)                                                  | Lost to Follow-Up                                |
| US3222634 | 2020-09-24          | AMENDMENT ( | 3 No/<br>2020-12-08 (76) | Lost to Follow-Up                                                                                                                          | )          | No/<br>2020-10-21 (28)                                                  | Lost to Follow-Up                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3222639     | 2020-09-25                  | AMENDMENT           | 3 No/<br>2021-03-07 (164 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT REFUSED<br>TO SIGN<br>AMENDMENT 6 AND<br>THE EUA ICF. |         | Yes/<br>2020-10-23 (29)                                                         |                                                                           |
| US3222641     | 2020-09-26                  | AMENDMENT           | 3 No/<br>2020-12-09 (75) | Lost to Follow-Up                                                                                             | )       | No/<br>2020-10-23 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                                                                                       | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                         |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| US3232021     | 2020-08-10                  | AMENDMENT 1         | No/<br>2020-09-28 (50)                                                        | Other/<br>PER SPONSOR,<br>SUBJECT WAS NOT<br>ELIGIBLE FOR<br>RE-ENTRY DUE TO<br>HER STOPPING<br>COMMUNICATION<br>VIA NOT<br>COMPLETING<br>SAFETY CALLS OR<br>VISITS SINCE<br>28SEP2020 |         | No/<br>2020-09-06 (28)                                                           | Physician Decision/<br>SUBJECT ATTRIBUTING<br>WORSENING FINGER<br>JOINT PAIN TO IP<br>DESPITE DIAGNOSIS<br>OF OSTEOARTHRITIS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                        |         | Completed Dosing        | /                                                                                                                                                                                                                                                       |
|---------------|-----------------|---------------------|--------------------------|------------------------------------------|---------|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|               |                 |                     | Date of Study            |                                          | Date of | Date of Dosing          | Primary Reason for                                                                                                                                                                                                                                      |
|               | Informed        | _                   |                          | Reason for Study                         |         | Discontinuation         | Dosing                                                                                                                                                                                                                                                  |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason     |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                    |
| US3232033     | 2020-08-12      | AMENDMENT 2         | 2 ONGOING                |                                          |         | No/<br>2020-09-08 (28)  | Protocol Deviation/<br>PATIENT WAS<br>SCHEDULED TO<br>RECEIVE DOSE 2 ON<br>9/15/2020; HOWEVER<br>SITE WAS CLOSED DUE<br>TO HURRICANE SALLY.<br>UNABLE TO<br>RESCHEDULE SUBJECT.<br>V2 OOW, SPONSOR<br>NOTIFIED, GIVEN OK<br>FOR PATIENT TO<br>CONTINUE. |
| US3242192     | 2020-08-24      | AMENDMENT 2         | 2 No/<br>2021-01-19 (149 | Other/<br>)SUBJECT MOVED<br>OUT OF STATE |         | Yes/<br>2020-09-23 (31) |                                                                                                                                                                                                                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date. Program Path: \\wilbtia\wilbtia01\Moderna MODMRNA1273P301\_U\BLA\TLF\116020101.sas 23JUN2021 16:31

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3242269     | 2020-09-01                  | AMENDMENT 3         | 3 No/<br>2021-01-22 (144                                                      | Other/<br>)MOVED IN WITH<br>SON, TO FAR AWAY<br>TO PARTICIPATE |         | Yes/<br>2020-09-30 (30)                                                          |                                                                           |
| US3242288     | 2020-09-03                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                |         | No/<br>2020-09-30 (28)                                                           | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| JS3242370     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2020-10-19 (27) | Serious Adverse<br>Event/<br>SAE #1 WORSENING<br>OF<br>SCHIZOAFFECTIVE<br>DISORDER,<br>SUBJECT IS<br>UNSTABLE AND PI<br>NOT WILLING TO<br>UPSET SUBJECT OR<br>PUT STAFF AT<br>RISK |         | No/<br>2020-10-18 (26)                                                           | Serious Adverse<br>Event/<br>SAE #1                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                                                                       |   | Completed Dosing                  | ing/                                 |  |
|---------------|-----------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|---|-----------------------------------|--------------------------------------|--|
|               | Informed        |                     |                          | Reason for Study                                                                                                        |   | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |  |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                    |   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |  |
| US3252192     | 2020-08-15      | AMENDMENT 2         | 2 No/<br>2021-01-21 (160 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT<br>PREVIOUSLY<br>RECEIVED MRNA.<br>HE HAS WITHDRAWN<br>CONSENT. |   | Yes/<br>2020-09-12 (29)           |                                      |  |
| US3252322     | 2020-08-24      | AMENDMENT :         | 3 No/<br>2021-03-12 (201 | Protocol<br>)Deviation/<br>POST EUA<br>UNBLINDING-<br>RECEIVED MODERNA<br>DOSE.                                         |   | Yes/<br>2020-09-21 (29)           |                                      |  |
| US3252332     | 2020-08-25      | AMENDMENT 2         | 2 No/<br>2020-10-23 (60) | Lost to Follow-Up                                                                                                       | ) | No/<br>2020-09-21 (28)            | Lost to Follow-Up                    |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                 |                     | Completed Study        |                                      |         | Completed Dosing       | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|---------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of | Date of Dosing         | Primary Reason for                   |
|               | Informed        |                     |                        | Reason for Study                     |         | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
|               |                 |                     | ·                      | 1                                    |         |                        | -                                    |
| JS3252349     | 2020-08-26      | AMENDMENT           | 3 No/                  | Withdrawal of                        |         | Yes/                   |                                      |
|               |                 |                     | 2021-03-22 (209        | )Consent by                          |         | 2020-09-25 (31)        |                                      |
|               |                 |                     |                        | Participant/                         |         |                        |                                      |
|               |                 |                     |                        | PATIENT                              |         |                        |                                      |
|               |                 |                     |                        | RECONSENTED WITH                     |         |                        |                                      |
|               |                 |                     |                        | EUA ADDENDUM AND                     |         |                        |                                      |
|               |                 |                     |                        | AFTER DISCUSSING                     |         |                        |                                      |
|               |                 |                     |                        | FURTHER                              |         |                        |                                      |
|               |                 |                     |                        | REGARDING FUTURE                     |         |                        |                                      |
|               |                 |                     |                        | VISITS, PATIENT                      |         |                        |                                      |
|               |                 |                     |                        | DECIDED TO                           |         |                        |                                      |
|               |                 |                     |                        | WITHDRAW FROM                        |         |                        |                                      |
|               |                 |                     |                        | THE STUDY. HE                        |         |                        |                                      |
|               |                 |                     |                        | DOES NOT LIKE                        |         |                        |                                      |
|               |                 |                     |                        | GETTING BLOOD                        |         |                        |                                      |
|               |                 |                     |                        | DRAWN AND HAS                        |         |                        |                                      |
|               |                 |                     |                        | CHOSEN TO                            |         |                        |                                      |
|               |                 |                     |                        | WITHDRAW.                            |         |                        |                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study/ |                                                          |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------|----------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                  | Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3252356     | 2020-08-28                  | AMENDMENT           | /                | Other/<br>PATIENT HAS BEEN<br>INCARCERATED               |         | No/<br>2020-09-20 (24)                                      | Other/<br>PATIENT IS<br>INCARCERATED                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3252409 2020-09-02 AMENDMENT 3 No/ Withdrawal of No/ Other/ 2020-10-08 (37) Consent by 2020-09-29 (28) PATIENT CANCELLED Participant/ THE VISIT. ATTEMPTED TO CONTACT PATIENT ON 9/29/2020, 9/30/2020, 9/31/2020, 10/1/2020, 10/2/2020, 10/3/2020, 10/5/2020, 10/6/2020, AND 10/7/2020 WITH NO ANSWER. ATTEMPTED TO CONTACT HIS SAFETY CONTACT HOWEVER SH

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3252411 2020-09-02 AMENDMENT 3 No/ Withdrawal of No/ Other/ 2020-10-08 (37) Consent by 2020-09-29 (28) PATIENT CANCELLED Participant/ THE VISIT. UNABLE TO CONTACT PATIENT TO RESCHEDULE HER. ATTEMPTED TO CONTACT HER SAFETY CONTACT HOWEVER SHE DOES NOT ANSWER EITHER. PATIENT ANSWERED ON 10/8/2020 AND INFORMED SITE TO DISCONTINUE

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                             | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3252471     | 2020-09-12                  | AMENDMENT           | 3 No/<br>2021-01-13 (124                                                      | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>MODERNA VACCINE<br>OUT OF STUDY |                                            | Yes/<br>2020-10-10 (29)                                                          |                                                                                                                                                                            |
| US3252489     | 2020-09-16                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                |                                            | No/<br>2020-10-13 (28)                                                           | Other/<br>PATIENT WAS CALLED<br>TO CONFIRM<br>APPOINTMENT, SHE<br>STATED SHE WILL BE<br>OUT OF THE COUNTRY<br>FOR A FEW MORE<br>MONTHS AND WILL NOT<br>BE ABLE TO MAKE IT. |
| US3252527     | 2020-09-22                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                |                                            | No/<br>2020-10-19 (28)                                                           | Due to SARS-CoV-2                                                                                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                                                              |         | Completed Dosing,      | /                                                                      |
|---------------|-----------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------|---------|------------------------|------------------------------------------------------------------------|
|               |                 |                     | Date of Study            |                                                                                                                | Date of | Date of Dosing         | Primary Reason for                                                     |
|               | Informed        |                     | Discontinuation          | Reason for Study                                                                                               | Death/  | Discontinuation        | Dosing                                                                 |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                           |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason                                   |
| JS3252563     | 2020-09-25      | AMENDMENT           | 3 ONGOING                |                                                                                                                |         | No/<br>2020-10-22 (28) | Other/<br>SUBJECT<br>DISCONTINUED<br>TREATMENT DUE TO<br>SIDE AFFECTS. |
| JS3252572     | 2020-09-28      | AMENDMENT           | 3 No/<br>2020-10-30 (33) | Lost to Follow-Up                                                                                              | )       | No/<br>2020-10-25 (28) | Lost to Follow-Up                                                      |
| JS3252582     | 2020-09-30      | AMENDMENT           | /                        | Other/<br>PATIENT STATED<br>THAT HE IS OUT<br>OF TOWN AND WILL<br>NOT BE BACK<br>UNTIL THE END OF<br>NOVEMBER. |         | No/<br>2020-10-25 (26) | Lost to Follow-Up                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3252649 2020-10-10 AMENDMENT 3 No/ Protocol Yes/ 2020-12-17 (69) Deviation/ 2020-11-07 (29) POST EUA-PATIENT GOT UNBLINDED AND RECEIVED MODERNA BUT THEY GOT PFIZER VACCINE OUTSIDE OF THE STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3252671 2020-10-15 AMENDMENT 3 No/ Protocol Yes/ 2021-03-12 (149) Deviation/ 2020-11-12 (29) POST EUA UNBLINDING-PATIENT GOT MODERNA VACCINE OUTSIDE OF THE STUDY AND DID NOT CALL US TO GET UNBLINDED.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3262004     | 2020-07-27                  | AMENDMENT :         | 1 No/<br>2020-09-28 (64)                                                      | other/<br>(b) (6)                                        |         | No/<br>2020-08-23 (28)                                                          | other/<br>(b) (6)                                                         |

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

<sup>\*</sup> indicates re-screen subjects.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |            |           | Completed Study/ |                  |            | Completed Dosing/ |                    |
|-----------|------------|-----------|------------------|------------------|------------|-------------------|--------------------|
|           |            |           | Date of Study    |                  | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |           | Discontinuation  | Reason for Study | Death/     | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol  | or Completion    | Discontinuation/ | Autopsy    | or Completion     | Discontinuation/   |
| ID        | Date       | Version   | (Day)            | Specified Reason | Performed? | (Day)             | Specified Reason   |
|           |            |           |                  |                  |            |                   |                    |
| US3262034 | 2020-07-29 | AMENDMENT | 1 No/            | Other/           |            | Yes/              |                    |
|           |            |           | 2020-09-28 (62)  | SUBJECT IS       |            | 2020-08-27 (30)   |                    |
|           |            |           |                  | UNABLE TO KEEP   |            |                   |                    |
|           |            |           |                  | HER SAFETY       |            |                   |                    |
|           |            |           |                  | APPOINTMENTS     |            |                   |                    |
|           |            |           |                  | RECENTLY MOVED   |            |                   |                    |
|           |            |           |                  | TO OTHER CITY    |            |                   |                    |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                        | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                  |
|---------------|-----------------------------|---------------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3262035     | 2020-07-29                  | AMENDMENT 1         | No/<br>2020-08-26 (29) | Physician<br>Decision/<br>PATIENT WAS<br>HARASSING AND<br>THREATENING THE<br>SITE WHEN WE<br>TOLD HER THE<br>CREDIT CARD<br>PAYMENT WOULD BE<br>GIVEN AS SOON AS<br>THE CARDS CAME<br>IN.PATIENT<br>CALLED DAILY AND<br>THREATENED<br>STAFF, PI AS<br>WOULD NOT WAIT. |         | No/<br>2020-08-25 (28)                                                           | Physician Decision/<br>SPOKE TO STUDY TEAM<br>ABOUT STAFF SAFETY<br>CONCERNS OVER THIS<br>PATIENT, AND AGREED<br>TO DISCONTINUE DUE<br>TO THREATENING AND<br>HARASSING BEHAVIOR.<br>DID NOT WANT TO<br>RISK OUR SAFETY<br>OVER THIS<br>UNBALANCED PATIENT. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study/                 |                                      | Completed Dosing/                 |                                      |  |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------|-----------------------------------|--------------------------------------|--|
|               | Informed        |                     | Discontinuation Reason for Study |                                      | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |  |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason | <br>or Completion<br>(Day)        | Discontinuation/<br>Specified Reason |  |
| US3262046     | 2020-07-30      | AMENDMENT           | 1 No/<br>2020-08-24 (26)         | Other/<br>MOVED OUT OF               | No/<br>2020-08-23 (25)            | Other/<br>MOVED OUT OF AREA          |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3262074 2020-08-03 AMENDMENT 1 No/ Physician Yes/ 2020-09-01 (30) 2020-11-10 (100) Decision/ DUE TO NUMEROUS ABUSIVE CALLS WHERE PT YELLS, ASK FOR REIMBURSEMENT NOT BASED ON THE PROTOCOL JUST BECAUSE HE WANTS. WE ARE DISCONTINUING THE PT HE IS NOT SAFE TO DEAL WITH AS WE DONT KNOW HOW HIS ABU

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3262113 2020-08-06 AMENDMENT 1 No/ Other/ Yes/ 2020-09-03 (29) 2021-03-09 (216) SUBJECT CONTACTED SITE STATING HE WANTED TO BE UNBLINDED DUE TO NOT BEING ABLE TO MAKE IT TO OL VISIT CURRENTLY OVERSEAS AND NOT ABLE TO MAKE IT BEFORE THE DATELINE SUBJECT REQUESTED TO BE UNBLINDED

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study          | /                                                                                                                                                                                                                   |                   | Completed Dosing                  |                              |
|-----------|------------|-------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|------------------------------|
|           | Informed   |             | Date of Study            | Reason for Study                                                                                                                                                                                                    | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing |
| Subject   | Consent    | Protocol    |                          | Discontinuation/                                                                                                                                                                                                    |                   | or Completion                     | Discontinuation/             |
| ID        | Date       | Version     | (Day)                    | Specified Reason                                                                                                                                                                                                    |                   | (Day)                             | Specified Reason             |
| US3262179 | 2020-08-12 | AMENDMENT 2 | - /                      | Other/<br>)PATIENT ASKED TO<br>BE UNBLINDED,<br>AND MOVED TO<br>ANOTHER STATE,<br>SO NOT ABLE TO<br>CONTINUE STUDY<br>PROCEDURES AS<br>PER PROTOCOL<br>AMENDMENT 6<br>SINCE CAN'T<br>COMPLETE ANY<br>OFFICE VISITS. |                   | Yes/<br>2020-09-11 (31)           |                              |
| US3262189 | 2020-08-15 | AMENDMENT 2 | 2 No/<br>2020-10-02 (49) | Lost to Follow-Up                                                                                                                                                                                                   | )                 | Yes/<br>2020-09-21 (38)           |                              |
| JS3262204 | 2020-08-18 | AMENDMENT 2 | 2 No/<br>2020-08-27 (10) | Lost to Follow-Up                                                                                                                                                                                                   | )                 | No/<br>2020-08-26 (9)             | Lost to Follow-Up            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3262254 2020-08-31 AMENDMENT 2 No/ Physician Yes/ 2020-09-28 (29) 2020-12-21 (113) Decision/ THIS PATIENT HAS BEEN ABUSIVE LONG ENOUGH AND WE NO LONGER WANT TO TAKE HIS ABUSE. HE SAID (b) (6) AND MYSELF HUNG UP ON HIM AND WERE RUDE, AND THAT DIDN'T HAPPEN. I TOLD HIM THAT WE WERE ON THE

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3262267 2020-09-08 AMENDMENT 2 No/ Other/ Yes/ 2021-03-04 (178) SUBJECT 2020-10-08 (31) REQUESTED TO UNBLINDED AS HE HAD MOVED TO ANOTHER STATE WAS GIVEN THE OPTION TO TRANSFER TO OTHER SITE PER SUBJECT STATED HE JUST NEEDED TO BE UNBLINDED AS HE NEEDS PROOF OF VACCINE FOR EMPLOY

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3262270     | 2020-10-06                  | AMENDMENT 4         | 4 No/<br>2021-01-14 (101 | Protocol<br>)Deviation/<br>SUBJECT STATED<br>SHE HAD RECEIVED<br>VACCINE WITH<br>EMPLOYER |         | Yes/<br>2020-11-06 (32)                                                          |                                                                           |
| US3262273     | 2020-10-08                  | AMENDMENT 4         | 4 No/<br>2020-10-22 (15) | Lost to Follow-Up                                                                         | )       | No/<br>2020-10-21 (14)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3272013     | 2020-07-30                  | AMENDMENT           | 1 No/<br>2021-02-15 (201 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>THROUGH WORK AND<br>ALSO PREVIOUSLY<br>STATED SHE NO<br>LONGER WANTED TO<br>CONTINUE IN THE<br>STUDY. |         | No/<br>2020-08-26 (28)                                                          | Other/<br>SUBJECT MET<br>EXCLUSION CRITERIA.                              |
| US3272096     | 2020-08-10                  | AMENDMENT           | 2 No/<br>2021-03-03 (206 | Lost to Follow-Up                                                                                                                                                   | )       | Yes/<br>2020-09-09 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3272103 Withdrawal of Yes/ 2020-08-11 AMENDMENT 2 No/ 2020-09-10 (31) 2020-12-10 (122)Consent by Participant/ SUBJECT NO LONGER WANTS TO PARTICIPATE IN STUDY

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                                                                                                                | Data of | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                         | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3272128     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-12-29 (139 | Other/<br>)SUBJECT NO<br>LONGER IN STUDY<br>DUE TO EPIP SENT<br>PRIOR TO<br>AMENDMENT 6 AS<br>SUBJECT WAS<br>PLANNING TO GET<br>EUA VACCINE AND<br>WANTED TO KNOW<br>WHAT THEY<br>RECEIVED PRIOR<br>TO RECEIVING EUA<br>VACCINE. |         | Yes/<br>2020-09-10 (29)                                     |                                                                      |
| US3272133     | 2020-08-13                  | AMENDMENT           | 1 No/<br>2021-03-03 (203 | Lost to Follow-Up<br>)                                                                                                                                                                                                           | )       | Yes/<br>2020-09-10 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3272170     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-03-17 (213 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT AND<br>RECEIVED EUA<br>VACCINE THROUGH<br>WORK SUBJECT WAS<br>UNBLINDED OVER<br>THE PHONE AFTER<br>EPIP WAS SENT |         | Yes/<br>2020-09-14 (29)                                                          |                                                                      |
| US3272294     | 2020-08-22                  | AMENDMENT 2         | 2 No/<br>2020-12-14 (115 | Lost to Follow-Up                                                                                                                                                                           | )       | Yes/<br>2020-09-22 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3282008     | 2020-08-05                  | AMENDMENT           |                          | Other/<br>)PT IS MOVING TO<br>ANOTHER COUNTRY<br>AND DOES NOT<br>WISH TO CONTINUE<br>PARTICIPATION.                                                                 |         | Yes/<br>2020-08-31 (27)                                                          |                                                                      |
| US3292007     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-01-15 (165 | Protocol<br>) Deviation/<br>UNBLINDED FOR<br>SAFETY WITH<br>SPONSOR<br>APPROVAL.<br>PATIENT RECEIVED<br>MODERNA VACCINE.<br>DROPPED FROM<br>TRIAL AS PER<br>SPONSOR |         | Yes/<br>2020-09-01 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason            | Autopsy          | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3292023     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-01-23 (173 | Death<br>)                                                                 | 2021-01-23/<br>N | ′Yes/<br>2020-09-01 (29)                                                         |                                                                      |
| US3292069     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2021-02-03 (180 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY |                  | Yes/<br>2020-09-05 (29)                                                          |                                                                      |
| US3292101     | 2020-08-08                  | AMENDMENT           | 1 No/<br>2021-02-03 (180 | Withdrawal of<br>)Consent by<br>Participant                                |                  | Yes/<br>2020-09-03 (27)                                                          |                                                                      |
| US3292119     | 2020-08-11                  | AMENDMENT           | 2 No/<br>2021-03-12 (214 | Physician<br>)Decision/<br>PI DISCRETION TO<br>WITHDRAW SUBJECT            |                  | Yes/<br>2020-09-11 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                          |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| US3292185     | 2020-08-15                  | AMENDMENT :         | 2 No/<br>2020-12-22 (130                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COMIRNATY BEFORE<br>NOTIFYING SITE.       |         | Yes/<br>2020-09-11 (28)                                                         |                                                                                                    |
| US3292225     | 2020-08-18                  | AMENDMENT :         | 2 No/<br>2020-11-10 (85)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>WD CONSENT AFTER<br>DISCUSSION WITH<br>PI |         | No/<br>2020-09-14 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT W/D CONSENT<br>AFTER DISCUSSION<br>WITH PI. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                   | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                         |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3292241     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-12-31 (134                                                      | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINATION<br>OUTSIDE OF STUDY                 |         | No/<br>2020-09-16 (28)                                                           | Adverse Event/<br>A TEMPERATURE OF<br>99.8CONSTANT<br>HEADACHES AND<br>FATIGUE, SWOLLEN<br>LYMPHNODES,<br>SWELLING AND PAIN<br>AROUND THE LEFT EYE<br>(AE#1, AE#2, AE#3,<br>AE#4) |
| US3292252     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-09-28 (40)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PT VERBALLY W/D<br>CONSENT. HAD<br>SAFETY CONCERNS. |         | No/<br>2020-09-16 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PT VERBALLY W/D<br>CONSENT. HAD SAFETY<br>CONCERNS.                                                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3292310     | 2020-08-25                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                 |         | No/<br>2020-09-21 (28)                                                           | Adverse Event/<br>TINGLING IN HANDS<br>AE#1                               |
| US3292392     | 2020-09-15                  | AMENDMENT 3         | 3 No/<br>2020-10-01 (17)                                                      | Lost to Follow-Up                                               | )       | No/<br>2020-09-30 (16)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study,          | /                                                                                                                                                                                                                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     |                           | Reason for Study                                                                                                                                                                                                    | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)    | Discontinuation/<br>Specified Reason                                                                                                                                                                                | <br>or Completion<br>(Day)        | Discontinuation/<br>Specified Reason |
| JS3292406     | 2020-09-22      | AMENDMENT           | 3 No/<br>2021-01-28 (129) | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT REFUSED<br>TO COMPLETE END<br>OF STUDY<br>PROCEDURES AND<br>WISHED TO<br>WITHDRAW. DID<br>NOT WANT TO<br>COMPLETE ANY<br>OTHER PROCEDURES<br>FOR THE STUDY. | Yes/<br>2020-10-20 (29)           |                                      |
| US3302059     | 2020-08-05      | AMENDMENT           | 1 No/<br>2020-12-21 (139) | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT                                                                                                                                         | Yes/<br>2020-08-31 (27)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuatior | r/<br>n Reason for Study                                                                                                               | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
|---------------|-----------------|---------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                                                                   |                   | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason |
| US3302116     | 2020-08-10      | AMENDMENT :         | 1 No/<br>2021-01-11 (155                            | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE VACCINE<br>THROUGH HIS<br>EMPLOYER AND<br>CALLED SITE TO<br>WITHDRAW FROM<br>STUDY. |                   | Yes/<br>2020-09-08 (30)                                |                                      |
| US3302120     | 2020-08-10      | AMENDMENT           | 1 No/<br>2020-10-23 (75)                            | Lost to Follow-Up                                                                                                                      |                   | Yes/<br>2020-09-08 (30)                                |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                         |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| US3302123     | 2020-08-10                  | AMENDMENT :         | 1 No/<br>2020-09-04 (26) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WISHED<br>TO WITHDRAW DUE<br>TO HIS PCP<br>GUIDANCE  |         | No/<br>2020-09-03 (25)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT FOLLOWED<br>HIS PCP ORDERS TO<br>DISCONTINUE FROM<br>TRIAL |
| US3302188     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2021-03-19 (219 | Other/<br>)SUBJECT IS<br>MOVING OUT OF<br>STATE AND NO<br>LONGER ABLE TO<br>ATTEND ANY IN<br>OFFICE VISITS. |         | Yes/<br>2020-09-10 (29)                                                          |                                                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| US3302203     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-09-21 (39) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES<br>HE IS NO LONGER<br>ABLE TO<br>PARTICIPATE IN<br>TRIAL.                         |         | No/<br>2020-09-10 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES HE<br>IS NO LONGER ABLE<br>TO PARTICIPATE IN<br>TRIAL.                         |
| US3302261     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-09-22 (36) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER ABLE TO<br>TAKE PART IN<br>TRIAL DUE TO<br>HAVING TO CARE<br>FOR HIS MOTHER |         | No/<br>2020-09-14 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO LONGER<br>ABLE TO TAKE PART<br>IN TRIAL DUE TO<br>HAVING TO CARE FOR<br>HIS MOTHER |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                             |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                      | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3302263     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-11-02 (77) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECISION<br>DUE TO PERSONAL<br>CONFLICTS               |         | Yes/<br>2020-09-15 (29)                                     |                                                                      |
| US3302345     | 2020-08-24                  | AMENDMENT           | 3 No/<br>2021-02-02 (163 | Pregnancy<br>)                                                                                                |         | Yes/<br>2020-09-21 (29)                                     |                                                                      |
| US3302377     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2020-11-04 (71) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DECIDED<br>TO DROP DUE TO<br>WORK SCHEDULE<br>CONFLICT |         | Yes/<br>2020-09-24 (30)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               | Informed        |                     | Completed Study<br>Date of Study |                                                                                                                 | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing                                                                          |
|---------------|-----------------|---------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Reason for Study<br>Discontinuation/<br>Specified Reason                                                        | Autopsy           | or Completion                                          | Discontinuation/<br>Specified Reason                                                                       |
| US3302386     | 2020-08-26      | AMENDMENT           | 3 No/<br>2020-09-21 (27)         | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER<br>INTERESTED DUE<br>TO PERSONAL<br>REASONS |                   | No/<br>2020-09-20 (26)                                 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO LONGER<br>INTERESTED DUE TO<br>PERSONAL REASONS  |
| JS3302387     | 2020-08-26      | AMENDMENT           | 3 No/<br>2020-09-21 (27)         | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER<br>INTERESTED DUE<br>TO PERSONAL<br>REASONS |                   | No/<br>2020-09-20 (26)                                 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO LONGER<br>INTERESTED DUE TO<br>PERSONAL REASONS. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol  | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | Reason for Study                                                                                            | Date of<br>Death/<br>Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                      |
|-----------|---------------------|-----------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                                                                | Specified Reason                                                                                            |                              | (Day)                                                                   | Specified Reason                                                                           |
| US3302394 | 2020-08-27          | AMENDMENT | 3 No/<br>2021-02-02 (160                                             | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT AFTER<br>OPEN LABEL VISIT<br>0. |                              | Yes/<br>2020-09-24 (29)                                                 |                                                                                            |
| US3302441 | 2020-08-28          | AMENDMENT | 3 No/<br>2020-09-28 (32)                                             | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT UNABLE<br>TO CONTINUE DUE<br>TO WORK SCHEDULE        |                              | No/<br>2020-09-24 (28)                                                  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>DUE TO WORK<br>SCHEDULE |
| US3302465 | 2020-09-29          | AMENDMENT | 3 No/<br>2021-01-29 (123                                             | Death<br>)                                                                                                  | 2021-01-29/<br>UNK           | ′Yes/<br>2020-10-27 (29)                                                |                                                                                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                        | Autopsy          | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                            |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| US3312006     | 2020-08-10                  | AMENDMENT 3         | 1 No/<br>2021-03-09 (212 | Death<br>)                                                                                                           | 2021-03-09/<br>Y | ′Yes/<br>2020-09-07 (29)                                                         |                                                                                                                      |
| US3312033     | 2020-08-11                  | AMENDMENT 2         | 2 No/<br>2021-03-17 (219 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF STUDY                                       |                  | Yes/<br>2020-09-07 (28)                                                          |                                                                                                                      |
| JS3312063     | 2020-08-13                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                      |                  | No/<br>2020-09-09 (28)                                                           | Adverse Event/<br>AE # 4 AND # 5                                                                                     |
| US3312116     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-10-15 (60) | Other/<br>SUBJECT MOVED<br>OUT OF STATE AND<br>DOES NOT WISH TO<br>CONTINUE FURTHER<br>STUDY PROCEDURES<br>OR VISITS |                  | No/<br>2020-09-13 (28)                                                           | Other/<br>SUBJECT MOVED OUT<br>OF STATE AND DOES<br>NOT WISH TO<br>CONTINUE FURTHER<br>STUDY PROCEDURES OF<br>VISITS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                                                                       | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312191     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-10-02 (44)                                                      | Other/<br>SUBJECT MOVED<br>OUT OF STATE.<br>SUBJECT DECLINED<br>OPTION TO<br>CONTINUE SAFETY<br>PHONE CALLS, AND<br>DECLINED<br>REMAINING IN<br>STUDY. |         | Yes/<br>2020-09-17 (29)                                                         |                                                                           |
| US3312197     | 2020-08-20                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                                        |         | No/<br>2020-09-16 (28)                                                          | Adverse Event/<br>AE#2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312246     | 2020-08-24                  | AMENDMENT :         | 2 No/<br>2020-11-06 (75) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATES<br>THE WEEKLY<br>EDIARY'S HAVE<br>BECOME TOO MUCH<br>FOR HIM TO KEEP<br>UP WITH, THEY<br>WERE CAUSING TOO<br>MUCH<br>INTERFERENCE<br>WITH PERSONAL<br>LIFE. |         | Yes/<br>2020-09-21 (29)                                                          |                                                                           |
| US3312338     | 2020-08-27                  | AMENDMENT 3         | 3 No/<br>2020-09-18 (23) | Lost to Follow-Up                                                                                                                                                                                                         | )       | No/<br>2020-09-17 (22)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |           | Completed Study          | 7/                                                                                                                                                  |   | Completed Dosing                                   | /                                                |
|-----------|---------------------|-----------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  |                          | n Reason for Study<br>Discontinuation/                                                                                                              |   | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                    |   | -                                                  | Specified Reason                                 |
| US3312537 | 2020-09-08          | AMENDMENT | 3 No/<br>2020-09-29 (22  | Lost to Follow-Ug<br>)                                                                                                                              | ) | No/<br>2020-09-28 (21)                             | Lost to Follow-Up                                |
| US3312562 | 2020-09-09          | AMENDMENT | 3 ONGOING                |                                                                                                                                                     |   | No/<br>2020-10-06 (28)                             | Physician Decision/<br>WARM ANTIBODIES           |
| US3312574 | 2020-09-09          | AMENDMENT | 3 No/<br>2021-02-02 (147 | Withdrawal of<br>")Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WISH TO CONTINUE<br>IN TRIAL.<br>SUBJECT DID NOT<br>GIVE A SPECIFIC<br>REASON. |   | Yes/<br>2020-10-07 (29)                            |                                                  |
| US3312637 | 2020-09-11          | AMENDMENT | 3 ONGOING                |                                                                                                                                                     |   | No/<br>2020-10-08 (28)                             | Adverse Event/<br>AE #1                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Baseline | SARS-CoV-2 | Status: | Negative; | Treatment | Group: | mRNA-1273 |
|----------|------------|---------|-----------|-----------|--------|-----------|
|----------|------------|---------|-----------|-----------|--------|-----------|

|               |                 |                     | Completed Study                                   | 7/                                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|---------------------------------------------------|--------------------------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation Reason for Study |                                      | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                            | Discontinuation/<br>Specified Reason | <br>or Completion<br>(Day)        | Discontinuation/<br>Specified Reason |
| US3322015     | 2020-08-17      | AMENDMENT 2         | 2 ONGOING                                         |                                      | No/<br>2020-09-13 (28)            | Other/<br>SKIPPED V2                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3322026 2020-08-18 AMENDMENT 2 No/ Withdrawal of Yes/ 2020-10-28 (72) Consent by 2020-09-15 (29) Participant/ SUBJECT DID NOT SHOW TO HIS VISIT 3 APPOINTMENT ON 2 OCCASIONS. SITE REACHED OUT TO SUBJECT ONE MORE TIME TO RE-SCHEDULE HIS APPOINTMENT AND SUBJECT EXPRESSED HE WITHDREW CONSENT.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                               |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| US3322030     | 2020-08-18                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                            |         | No/<br>2020-09-14 (28)                                                          | Other/<br>SKIPPED V2                                                                                                    |
| US3322121     | 2020-08-27                  | AMENDMENT :         | 3 No/<br>2020-10-05 (40) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS NO<br>LONGER<br>INTERESTED IN<br>PARTICIPATING.                 |         | No/<br>2020-09-23 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS NO<br>LONGER INTERESTED<br>IN PARTICIPATING.                 |
| US3322174     | 2020-09-01                  | AMENDMENT :         | 3 No/<br>2020-09-10 (10) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS NO<br>LONGER<br>INTERESTED IN<br>PARTICIPATING IN<br>THE STUDY. |         | No/<br>2020-09-09 (9)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS NO<br>LONGER INTERESTED<br>IN PARTICIPATING IN<br>THE STUDY. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3322223 2020-09-04 AMENDMENT 3 No/ Withdrawal of Yes/ 2020-10-06 (33) 2021-03-22 (200) Consent by Participant/ SUBJECT WAS CONTACTED TO REMIND THEM ABOUT THE SCHEDULED VISIT 4 APPOINTMENT. SUBJECT STATED THAT HE DOES NOT WISH TO CONTINUE IN THE STUDY AND WOULD LIKE TO WITHDRAW CONSENT

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol  | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | /<br>Reason for Study<br>Discontinuation/                                                                |            | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                                           |
|-----------|---------------------|-----------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                                                                | Specified Reason                                                                                         | Performed? | (Day)                                                                   | Specified Reason                                                                                                |
| US3322291 | 2020-09-09          | AMENDMENT | 3 No/<br>2020-11-23 (76)                                             | Protocol<br>Deviation/<br>NONCOMPLIANCE                                                                  |            | No/<br>2020-10-06 (28)                                                  | Other/<br>SUBJECT WILL SKIP<br>DAY 29 AND PER PI<br>DISCRETION SUBJECT<br>DISCONTINUED DUE TO<br>NON-COMPLIANCE |
| US3322387 | 2020-09-21          | AMENDMENT | 3 No/<br>2021-02-12 (136                                             | Lost to Follow-Up<br>)                                                                                   | )          | Yes/<br>2020-11-12 (44)                                                 |                                                                                                                 |
| US3322404 | 2020-10-01          | AMENDMENT | 3 No/<br>2020-12-08 (69)                                             | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT IS NO<br>LONGER<br>INTERESTED IN<br>PARTICIPATING |            | No/<br>2020-10-28 (28)                                                  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT IS NO<br>LONGER INTERESTED<br>IN PARTICIPATING           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3322417 2020-10-06 AMENDMENT 4 No/ Withdrawal of Yes/ 2020-12-29 (85) Consent by 2020 - 11 - 04 (30) Participant/ SUBJECT WAS SCHEDULED FOR THE DAY 57 VISIT 3 ON 01 DEC 2020. ON 29 DEC 2020, SITE REACHED OUT TO SUBJECT AND SUBJECT EXPRESSED HE IS NO LONGER INTERESTED TO CONTINUE HIS PARTICIPATION IN THE STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|              |                             |                     | Completed Study          | /                                                                                                                                     |         | Completed Dosing                                            | /                                                                                                    |
|--------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                 |
| JS3332089    | 2020-09-17                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                       |         | No/<br>2020-10-14 (28)                                      | Due to SARS-CoV-2                                                                                    |
| US3342017    | 2020-08-11                  | AMENDMENT           | 2 No/<br>2021-01-26 (169 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE STUDY ON<br>30DEC2020 AND<br>20JAN2021<br>(PFIZER PRODUCT) |         | Yes/<br>2020-09-08 (29)                                     |                                                                                                      |
| JS3342018    | 2020-08-11                  | AMENDMENT           | 2 No/<br>2020-10-08 (57) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT VIA<br>PHONE ON<br>080CT2020                               |         | No/<br>2020-09-09 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT VIA PHONE<br>ON 080CT2020 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                      | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3342044     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2020-10-27 (76) | Lost to Follow-Up                                                                                                                                                                                  | )       | Yes/<br>2020-09-17 (36)                                                          |                                                                      |
| US3342050     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2021-03-25 (225 | Withdrawal of<br>)Consent by<br>Participant/<br>PI SPOKE TO PT<br>WHO REPORTS HER<br>PROFESSIONAL<br>LIFE IS TOO BUSY<br>TO BE ABLE TO<br>KEEP UP WITH F/U<br>APPTS AND CALLS.<br>WITHDREW CONSENT |         | Yes/<br>2020-09-16 (35)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3342102 2020-08-18 AMENDMENT 2 No/ Other/ Yes/ 2020-09-22 (36) 2021-03-12 (207) SUBJECT CALLED SITE ON 12MAR2021 TO WITHDRAW CONSENT FROM THE STUDY. SUBJECT INFORMED SITE THAT HE HAD MOVED OUT OF THE AREA AND WAS UNWILLING TO ATTEND FUTURE VISITS.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                            |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3342119     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2020-09-21 (34) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CAME IN<br>FOR SCHEDULED<br>VISIT OF<br>VACCINATION 2 ON<br>21SEP2020 AND<br>VERBALIZED SHE<br>WOULD LIKE TO<br>WITHDRAW AND<br>WITHDRAW CONSENT |         | No/<br>2020-09-15 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CAME IN FOR<br>SCHEDULED VISIT OF<br>VACCINATION 2 ON<br>21SEP2020 AND<br>VERBALIZED SHE<br>WOULD LIKE TO<br>WITHDRAW AND<br>WITHDRAW CONSENT |
| US3342139     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-10-14 (55) | Lost to Follow-Up                                                                                                                                                                                       | )       | Yes/<br>2020-09-23 (34)                                                         |                                                                                                                                                                                                      |
| US3342167     | 2020-09-01                  | AMENDMENT (         | 3 No/<br>2020-11-17 (78) | Lost to Follow-Up                                                                                                                                                                                       | )       | No/<br>2020-09-28 (28)                                                          | Lost to Follow-Up                                                                                                                                                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                         |         | Completed Dosing                                            | /                                                                                                                                   |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                  | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                |
| US3342193     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-15 (103 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT IS OUT<br>OF TOWN UNTIL<br>SEP2021, CHOSE<br>TO WITHDRAW FROM<br>STUDY            |         | No/<br>2020-10-01 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CHOSE TO<br>WITHDRAW                                                         |
| US3342205     | 2020-09-08                  | AMENDMENT           | 3 No/<br>2020-11-06 (60) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CALLED<br>SITE AND<br>EXPRESSED THAT<br>HE WOULD LIKE TO<br>WITHDRAW FROM<br>STUDY |         | No/<br>2020-10-05 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CALLED SITE<br>AND EXPRESSED THAT<br>HE WOULD LIKE TO<br>WITHDRAW FROM STUDY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | /                                                                       |         | Completed Dosing/                                           | ,                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|-------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3342239     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2020-11-11 (63)                                   | Lost to Follow-Up                                                       | )       | No/<br>2020-10-07 (28)                                      | Adverse Event/<br>AE #1                                              |
| US3342272     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2020-10-13 (26)                                   | Physician<br>Decision/<br>PI CHOSE TO<br>WITHDRAW SUBJECT<br>FROM STUDY |         | No/<br>2020-10-12 (25)                                      | Physician Decision/<br>PI CHOSE TO<br>WITHDRAW SUBJECT<br>FROM STUDY |
| US3342286     | 2020-09-26                  | AMENDMENT           | 3 ONGOING                                                  |                                                                         |         | No/<br>2020-10-23 (28)                                      | Adverse Event/<br>AE #1                                              |
| US3342299     | 2020-09-30                  | AMENDMENT           | 3 No/<br>2020-09-30 (1)                                    | Lost to Follow-Up                                                       | )       | No                                                          | Discontinuation from<br>Study                                        |
| US3342342     | 2020-10-06                  | AMENDMENT           | 4 No/<br>2020-11-03 (22)                                   | Lost to Follow-Up                                                       | )       | No/<br>2020-11-02 (21)                                      | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                             | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3342356     | 2020-10-12                  | AMENDMENT 4         | 4 No/<br>2021-01-21 (102 | Protocol<br>)Deviation/<br>RECEIVED VACCINE<br>OUTSIDE OF STUDY                                                           |         | Yes/<br>2020-11-06 (26)                                                          |                                                                      |
| US3352001     | 2020-08-04                  | AMENDMENT :         | 1 No/<br>2020-12-28 (147 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT IS NO<br>LONGER WILLING<br>TO COMPLY WITH<br>STUDY<br>PROCEDURES. |         | Yes/<br>2020-09-01 (29)                                                          |                                                                      |
| US3352017     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-11-05 (94) | Lost to Follow-Up                                                                                                         | )       | Yes/<br>2020-09-01 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study<br>Date of Study |                                                                                                                                              | Date of | Completed Dosing<br>Date of Dosing        | Primary Reason for                                                                                 |
|---------------|-----------------------------|---------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------|----------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                     | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason                                                     |
| US3352089     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2021-03-18 (218         | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATED<br>THAT SITE IS TO<br>FAR AND IS<br>UNABLE TO MAKE<br>IT IN TO ANY<br>VISITS. |         | Yes/<br>2020-09-10 (29)                   |                                                                                                    |
| US3352101     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2020-09-14 (28)         | Physician<br>Decision/<br>SUBJECT NON<br>COMPLIANCE, AS<br>PER PI DECISION<br>SUBJECT WAS<br>WITHDRAWN FROM<br>THE STUDY.                    |         | No/<br>2020-09-13 (27)                    | Physician Decision/<br>SUBJECT NON<br>COMPLIANT AND<br>WITHDRAWN FROM<br>STUDY PER PI<br>DECISION. |

<sup>\*</sup> indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

#### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/ | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason       |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| US3352104     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-01-12 (148 | Lost to Follow-Up<br>)                 | 0       | Yes/<br>2020-09-17 (31)                                                          |                                                                                 |
| US3352107     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-01-28 (164 | Lost to Follow-Up<br>)                 | 0       | Yes/<br>2020-09-17 (31)                                                          |                                                                                 |
| US3352122     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-11-12 (87) | Lost to Follow-Up                      | 2       | Yes/<br>2020-09-17 (31)                                                          |                                                                                 |
| US3352155     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-09-13 (25) | Lost to Follow-Up                      | 2       | No/<br>2020-09-12 (24)                                                           | Lost to Follow-Up                                                               |
| US3352163     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-12-22 (125 |                                        |         | No/<br>2020-09-16 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO CONTINUE<br>WITH STUDY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| US3352255     | 2020-08-25                  | AMENDMENT (         | 3 No/<br>2020-12-13 (111                                                      | Lost to Follow-Up<br>)                                                                                        | )       | Yes/<br>2020-09-24 (31)                                                          |                                                                                                            |
| US3352358     | 2020-09-10                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                                               |         | No/<br>2020-10-07 (28)                                                           | Other/<br>SUBJECT DID NOT<br>FEEL COMFORTABLE<br>RECEIVING SECOND<br>VACCINATION.                          |
| US3352412     | 2020-09-24                  | AMENDMENT (         | 3 No/<br>2020-10-16 (23)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO<br>CONTINUE IN<br>TRIAL DUE TO NEW<br>WORK SCHEDULE. |         | No/<br>2020-10-15 (22)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO CONTINUE<br>IN TRIAL DUE TO NEW<br>WORK SCHEDULE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3352439     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-11-28 (61) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT REFUSED<br>TO DO ANY EDIARY<br>ENTRIES AND<br>STATED THAT HE<br>IS VERY BUSY AND<br>DOES NOT HAVE<br>ANY TIME TO BE<br>WASTING ON THIS<br>TRIAL. |         | Yes/<br>2020-11-03 (36)                                                         |                                                                           |
| US3352460     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-12-24 (87) | Lost to Follow-Up                                                                                                                                                                                       | D       | Yes/<br>2020-11-03 (36)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3352472     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2021-02-23 (148 | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO<br>CONTINUE IN<br>TRIAL DUE TO<br>WORK. |         | Yes/<br>2020-11-03 (36)                                                          |                                                                           |
| US3352498     | 2020-10-06                  | AMENDMENT           | 3 ONGOING                |                                                                                                  |         | No/<br>2020-11-02 (28)                                                           | Other/<br>SUBJECT REFUSED TO<br>RECIEVE SECOND<br>DOSE.                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                               | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|
| US3352502     | 2020-10-06                  | AMENDMENT 🤇         | 3 No/<br>2020-12-11 (67)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>UNABLE TO COMPLY<br>WITH STUDY<br>VISITS DUE TO<br>WORK SCHEDULE<br>AND BEING OUT OF<br>TOWN |         | Yes/<br>2020-11-03 (29)                                                         |                                                                                                                                 |
| US3352506     | 2020-10-06                  | AMENDMENT 3         | 3 No/<br>2021-03-26 (172                                                      | Lost to Follow-Up<br>)                                                                                                                      |         | No/<br>2020-11-02 (28)                                                          | Other/<br>UNABLE TO REACH<br>SUBJECT. POSSIBLE<br>LOST TO FOLLOW-UP.<br>CERTIFIED LETTER<br>HAS BEEN SENT.<br>PENDING RESPONSE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| JS3352514     | 2020-10-08                  | AMENDMENT           | 3 No/<br>2021-02-09 (125 | Withdrawal of<br>) Consent by<br>Participant/<br>SUBJECT STATED<br>THAT HE IS<br>UNABLE TO<br>CONTINUE IN<br>TRIAL AS IT IS<br>VERY DIFFICULT<br>FOR HIM TO COME<br>INTO THE SITE. |         | No/<br>2020-11-04 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT IS UNABLE<br>TO CONTINUE IN<br>TRIAL. |
| JS3352522     | 2020-10-08                  | AMENDMENT           | 4 No/<br>2021-01-29 (114 | Lost to Follow-Ug                                                                                                                                                                  | )       | Yes/<br>2020-11-12 (36)                                                          |                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Discontinuation/ Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3352525 Withdrawal of 2020-10-08 AMENDMENT 4 No/ No/ Withdrawal of 2020-11-06 (30) Consent by 2020-11-04 (28) Consent by Participant/ Participant/ THE SUBJECT THE SUBJECT STATES STATES SHE SHE STARTED A NEW STARTED A NEW JOB AND WON'T BE JOB AND WON'T BE ABLE TO CONTINUE ABLE TO CONTINUE WITH THE STUDY. WITH THE STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                      |   | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason     |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| US3352529     | 2020-10-08                  | AMENDMENT 3         | 3 No/<br>2021-01-29 (114 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WAS<br>UPSET BECAUSE HE<br>DID NOT RECEIVE<br>STIPEND FOR<br>WEEKLY EDIARIES<br>THAT WERE NOT<br>COMPLETED. |   | Yes/<br>2020-11-05 (29)                                                         |                                                                               |
| US3352543     | 2020-10-15                  | AMENDMENT 4         | - /                      | Other/<br>)SUBJECT MOVED TO<br>KENTUCKY                                                                                                                             |   | No/<br>2020-11-11 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT MOVED TO<br>KENTUCKY . |
| US3352569     | 2020-10-15                  | AMENDMENT 4         | 4 No/<br>2020-11-07 (24) | Lost to Follow-Up                                                                                                                                                   | ) | No/<br>2020-11-06 (23)                                                          | Lost to Follow-Up                                                             |

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

<sup>\*</sup> indicates re-screen subjects.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                              | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                   |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| US3352594     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2021-02-12 (114 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATED<br>THAT SHE IN<br>LONGER AVAILABLE<br>TO CONTINUE IN<br>TRIAL DUE TO<br>WORK. |         | No/<br>2020-11-18 (28)                                                          | Other/<br>SUBJECT HAD A GRADE<br>3 REACTION TO THE<br>1ST VACCINE,<br>THEREFORE, SUBJECT<br>WILL NOT BE<br>RECEIVING THE<br>SECOND VACCINE. |
| US3362023     | 2020-08-03                  | AMENDMENT           | 1 ONGOING                |                                                                                                                                              |         | No/<br>2020-08-30 (28)                                                          | Adverse Event/<br>AE # 1                                                                                                                    |
| US3362112     | 2020-08-11                  | AMENDMENT           | 2 No/<br>2021-02-09 (183 | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>PFIZER EUA<br>VACCINE                                                                          |         | Yes/<br>2020-09-09 (30)                                                         |                                                                                                                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                        |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| US3362124     | 2020-08-13                  | AMENDMENT 2         | · •                                                                           |                                                                 |         | No/<br>2020-09-09 (28)                                                           | Due to SARS-CoV-2                                                                                                                           |
| US3362215     | 2020-08-21                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                 |         | No/<br>2020-09-17 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT FOR SECOND<br>INJECTION. PATIENT<br>REFUSED SECOND<br>INJECTION. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               | Informed        |                     |                          | Reason for Study                                                                                                                                                                                                                         | Date of<br>Death/     | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                    |
|---------------|-----------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------------------------------------|-------------------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                                                                                                                     | Autopsy<br>Performed? | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason            |
| US3362243     | 2020-08-24      | AMENDMENT 2         | 2 No/<br>2020-10-30 (68) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED<br>SHE NO LONGER<br>WISHED TO<br>PARTICIPATE AS<br>SHE WAS NO<br>LONGER ABLE TO<br>COMPLY WITH AN<br>"INCLUSION/EXCLU<br>SION CRITERIA"<br>BUT SHE WOULD<br>NOT ELABORATE. |                       | Yes/<br>2020-09-23 (31)                                |                                                 |
| US3362244     | 2020-08-24      | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                                                                                                                          |                       | No/<br>2020-09-20 (28)                                 | Adverse Event/<br>AE # 2, AE # 3,<br>AND AE # 5 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |           | Completed Study          | /                                                                                                                                                                                                               |            | Completed Dosing                                   | /                                                                                                                                                                                                         |
|-----------|---------------------|-----------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  | or Completion            | Reason for Study<br>Discontinuation/                                                                                                                                                                            | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                                          |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                                                                                | Performed? | (Day)                                              | Specified Reason                                                                                                                                                                                          |
| US3362289 | 2020-09-26          | AMENDMENT | 3 No/<br>2020-11-13 (49) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT<br>CONTACTED SITE<br>ON 13NOV2020 TO<br>WITHDRAW HIS<br>PARTICIPATION IN<br>STUDY DUE TO<br>INABILITY TO<br>COMPLY WITH<br>STUDY SCHEDULE<br>AND VISITS. |            | No/<br>2020-10-23 (28)                             | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT CONTACTED<br>SITE ON 13NOV2020<br>TO WITHDRAW HIS<br>PARTICIPATION IN<br>STUDY DUE TO<br>INABILITY TO COMPLY<br>WITH STUDY SCHEDULE<br>AND VISITS. |
| US3372034 | 2020-08-05          | AMENDMENT | 1 No/<br>2021-01-15 (164 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY                                                                                                                                      |            | Yes/<br>2020-09-02 (29)                            |                                                                                                                                                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study/         |                                                                                                                                                               |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3372108     | 2020-08-13                  | AMENDMENT           | 2 No/<br>2021-01-07 (148 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>PFIZER VACCINE                                                                                                 |         | Yes/<br>2020-09-10 (29)                                     |                                                                      |
| US3372112     | 2020-08-13                  | AMENDMENT           | 1 No/<br>2021-01-21 (162 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WAS<br>OFFERED A<br>VACCINE<br>ELSEWHERE, WAS<br>UNBLINDED<br>21JAN2021 AND<br>WITHDREW FROM<br>STUDY |         | Yes/<br>2020-09-14 (33)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3372123     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-11-04 (83) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT HAS<br>DEVELOPED<br>CHRONIC KIDNEY<br>DISEASE AND NO<br>LONGER FEELS<br>THEY CAN<br>CONTINUE |         | Yes/<br>2020-09-14 (32)                                                         |                                                                           |
| US3372157     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-02-10 (177 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WISH TO TRAVEL                                                                  |         | Yes/<br>2020-09-17 (31)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3372306     | 2020-09-01                  | AMENDMENT 3         | 3 No/<br>2020-09-30 (30) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT |         | Yes/<br>2020-09-30 (30)                                                          |                                                                           |
| US3372330     | 2020-09-03                  | AMENDMENT 3         | 3 No/<br>2021-03-23 (202 | Lost to Follow-Up<br>)                                                     | )       | Yes/<br>2020-10-06 (34)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3382029 2020-08-14 AMENDMENT 2 No/ Protocol Yes/ 2021-01-27 (167) Deviation/ 2020-09-11 (29) RECEIVED MANDATORY PFIZER VACCINE AT WORK. MODERNA HAD NOT DECIDED ABOUT UNBLINDING AT THAT TIME. FINAL WORD TO D/C SUBJECTS WHO RECEIVED ANY COVID VACCINE OUTSIDE THE CLINIC CAME AROUND LATE JANUARY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3382040 2020-08-17 AMENDMENT 2 No/ Protocol Yes/ 2021-01-24 (161) Deviation/ 2020-09-14 (29) SUBJECT IS A HEALTHCARE PROVIDER AND RECEIVED PFIZER COVID-19 VACCINE PROVIDED BY THE HOSPITAL SHE WORK AT AS MODERNA HAD NOT DECIDED TO UNBLIND AT THAT TIME.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Protocol Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3382132 2020-08-27 AMENDMENT 3 No/ Protocol Yes/ 2021-01-08 (135) Deviation/ 2020-09-24 (29) SUBJECT IS A HEALTHCARE PROVIDER. SHE RECEIVED PFIZER VACCINATION FROM THE HOSPITAL SHE WORKED BECAUSE MODERNA HAD NOT DECIDED TO UNBLIND AT THAT TIME AND COVID-19 VACCINATION WAS MANDATORY FOR HER.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3382167 2020-09-02 AMENDMENT 3 No/ Protocol Yes/ 2021-01-27 (148) Deviation/ 2020-10-07 (36) SUBJECT ASKED TO BE DISCONTINUED EXPRESSING THAT THE PI AT A STUDY SHE'S BEEN INVOLVED IN, CONCURRENTLY, ADVISED HER TO. SUBJECT HADN'T DISCLOSED INFORMATION ABOUT THE OTHER STUDY AT SCREENING.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|              |                             |                     | Completed Study/         |                                                                                                                                                                                     |         | Completed Dosing/                                           |                                                                      |
|--------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                          | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3382209    | 2020-09-04                  | AMENDMENT :         | 3 No/<br>2021-01-08 (127 | Protocol<br>) Deviation/<br>OBTAINED<br>MANDATORY<br>COVID-19 VACCINE<br>(MANDATORY) AT<br>WORKPLACE AS<br>MODERNA HAD NOT<br>DECIDED TO<br>UNBLIND THE<br>SUBJECT AT THAT<br>TIME. |         | Yes/<br>2020-10-06 (33)                                     |                                                                      |
| JS3382243    | 2020-09-10                  | AMENDMENT           | 3 No/<br>2021-01-26 (139 | Lost to Follow-Up                                                                                                                                                                   | )       | Yes/<br>2020-10-19 (40)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study,          | /                                                                                                                           |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|---------------------------|-----------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3382271     | 2020-09-12                  | AMENDMENT           | 3 No/<br>2021-01-08 (119) | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WANT TO HAVE ANY<br>MORE BLOOD DRAWS<br>OR NASAL SWABS. |         | Yes/<br>2020-10-14 (33)                                     |                                                                      |
| JS3382283     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-12-04 (80)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT<br>CONCERNED WITH<br>LONG TERM SIDE<br>EFFECTS OF<br>VACCINE         |         | Yes/<br>2020-11-06 (52)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3382284     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-11-20 (66) | Serious Adverse<br>Event/<br>AE#2 (SAE)<br>SUBJECT WITHDREW<br>CONSENT                                           |         | No/<br>2020-10-13 (28)                                                           | Serious Adverse<br>Event/<br>AE #2                                        |
| US3392092     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-02-19 (177 | Other/<br>)RELOCATION:<br>SUBJECT IS OUT<br>OF THE COUNTRY<br>AND IS UNABLE TO<br>FOLLOW-UP FOR<br>FUTURE VISITS |         | Yes/<br>2020-09-21 (26)                                                          |                                                                           |
| US3392149     | 2020-09-02                  | AMENDMENT           | 3 ONGOING                |                                                                                                                  |         | No/<br>2020-09-29 (28)                                                           | Serious Adverse<br>Event/<br>AE #1                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                  | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason      |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| US3392186     | 2020-09-09                  | AMENDMENT 3         |                                                                               | Lost to Follow-Up                                                 | )       | No/<br>2020-09-29 (21)                                                          | Lost to Follow-Up                                                              |
| US3392207     | 2020-09-10                  | amendment 3         | 3 No/<br>2020-11-16 (68)                                                      | Lost to Follow-Up                                                 | )       | Yes/<br>2020-10-21 (42)                                                         |                                                                                |
| US3392218     | 2020-09-10                  | AMENDMENT 3         | 3 No/<br>2020-10-14 (35)                                                      | Other/<br>SUBJECT<br>INCARCERATED<br>WITH NO PLANS OF<br>RELEASE. |         | No/<br>2020-10-07 (28)                                                          | Physician Decision/<br>SUBJECT<br>INCARCERATED WITH<br>NO PLANS OF<br>RELEASE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosina Discontinuation/ Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3392278 Withdrawal of 2020-09-14 AMENDMENT 3 No/ No/ Withdrawal of 2020-11-11 (59) Consent by 2020-10-11 (28) Consent by Participant/ Participant/ PATIENT DECISION SUBJECT STATED "HE TO QUIT. (DATA IS NOT COMFORTABLE CORRECT) RETURNING TO THE STUDY" AFTER SYNCOPE DURING VISIT 02 MONTH 1 VISIT.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3402081 2020-07-29 AMENDMENT 1 No/ Other/ Yes/ 2020-08-26 (29) 2020-09-04 (38) SUBJECT WAS BEING PLACED IN AN INPATIENT PSYCHIATRIC FACILITY BY DAUGHTER AND COULD NO LONGER DO WHAT WAS BEING ASKED OF HER IN THE STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3402113 2020-07-30 AMENDMENT 1 No/ Other/ Yes/ 2020-08-27 (29) 2021-02-02 (188) SUBJECT MOVED AND WANTED TO GET ON WITH OTHER SITE HOWEVER DIDN'T WANT TO WAIT FOR THE PROCESS TO TRANSER. GOT SHOT ELSE WHERE.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study |                   |            | Completed Dosing, | /                  |
|-----------|------------|-------------|-----------------|-------------------|------------|-------------------|--------------------|
|           |            |             | Date of Study   |                   | Date of    | Date of Dosing    | Primary Reason for |
|           | Informed   |             | Discontinuation | Reason for Study  | Death/     | Discontinuation   | Dosing             |
| Subject   | Consent    | Protocol    | or Completion   | Discontinuation/  |            | or Completion     | Discontinuation/   |
| ID        | Date       | Version     | (Day)           | Specified Reason  | Performed? | (Day)             | Specified Reason   |
| JS3402189 | 2020-08-02 | AMENDMENT 1 | No /            | Other/            |            | Yes/              |                    |
| 000402109 | 2020 00 02 | AMENDMENT   | - /             | ) THREE ATTEMPTS  |            | 2020-09-04 (34)   |                    |
|           |            |             | 2021 01 21 (1/5 | MADE CERTIFIED    |            | 2020 05 04 (54)   |                    |
|           |            |             |                 | LETTER SENT ON    |            |                   |                    |
|           |            |             |                 | 13NOV2020/12JAN2  |            |                   |                    |
|           |            |             |                 | 021 SUBJECT       |            |                   |                    |
|           |            |             |                 | CALLED SITE       |            |                   |                    |
|           |            |             |                 | WANTED TO BE      |            |                   |                    |
|           |            |             |                 | UNBLINDED         |            |                   |                    |
|           |            |             |                 | VACCINE OUTSIDE   |            |                   |                    |
|           |            |             |                 | THE STUDY.        |            |                   |                    |
|           |            |             |                 | UNSUCCESSF AT     |            |                   |                    |
|           |            |             |                 | RETAIN SUBJECT    |            |                   |                    |
|           |            |             |                 | UNBLINDED         |            |                   |                    |
|           |            |             |                 | ADVISED OF THE    |            |                   |                    |
|           |            |             |                 | VACCINATION       |            |                   |                    |
| US3402224 | 2020-08-04 | AMENDMENT 1 | No/             | Lost to Follow-Up | )          | Yes/              |                    |
|           |            |             | 2021-01-25 (175 | -                 |            | 2020-09-01 (29)   |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study | /                |            | Completed Dosing | /                            |
|-----------|------------|-------------|-----------------|------------------|------------|------------------|------------------------------|
|           |            |             | Date of Study   |                  | Date of    | Date of Dosing   | Primary Reason for           |
|           | Informed   |             | Discontinuation | Reason for Study | Death/     | Discontinuation  | Dosing                       |
| Subject   | Consent    | Protocol    | -               | Discontinuation/ |            | or Completion    | Discontinuation/             |
| ID        | Date       | Version     | (Day)           | Specified Reason | Performed? | (Day)            | Specified Reason             |
| US3402232 | 2020-08-05 | AMENDMENT 1 | No/             | Withdrawal of    |            | Yes/             |                              |
| 555402252 | 2020 00 05 | ANDINDINI   | 2021-03-26 (234 |                  |            | 2020-09-02 (29)  |                              |
|           |            |             | 2021 03 20 (201 | Participant/     |            | 2020 09 02 (29)  |                              |
|           |            |             |                 | SUBJECT REFUSED  |            |                  |                              |
|           |            |             |                 | TO COMPLY WITH   |            |                  |                              |
|           |            |             |                 | CONVALESCENT     |            |                  |                              |
|           |            |             |                 | PERIOD           |            |                  |                              |
|           |            |             |                 | REQUIREMENTS .   |            |                  |                              |
| US3402265 | 2020-08-06 | AMENDMENT 1 | ONGOING         |                  |            | No/              | Physician Decision/          |
|           |            |             |                 |                  |            | 2020-09-02 (28)  | REACTION TO<br>VACCINATION 1 |
| US3402297 | 2020-08-08 | AMENDMENT 2 | 2 No/           | Protocol         |            | Yes/             |                              |
|           |            |             | 2021-01-22 (168 |                  |            | 2020-09-08 (32)  |                              |
|           |            |             | (               | SUBJECT RECEIVED |            | (                |                              |
|           |            |             |                 | PFIZER VACCINE   |            |                  |                              |
|           |            |             |                 | THROUGH          |            |                  |                              |
|           |            |             |                 | EMPLOYER.        |            |                  |                              |
|           |            |             |                 |                  |            |                  |                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study                                            | /                                                                                                |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3402298     | 2020-08-08                  | AMENDMENT           | - 1                                                        | Other/<br>)SUBJECT WAS NOT<br>WILLING TO WAIT<br>UNTIL AMENDMENT<br>6 CAME OUT FOR<br>UNBLINDING |         | Yes/<br>2020-09-08 (32)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|             |                             |                     | Completed Study          | /                                                                                                                                                                                                                          |         | Completed Dosing,                                           | /                                                                            |
|-------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|------------------------------------------------------------------------------|
| ubject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason         |
| JS3402361   | 2020-08-15                  | AMENDMENT 2         | 2 No/<br>2020-09-05 (22) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CALLED<br>THE OFFICE TO<br>SAY THEY MOVED<br>OUT OF STATE AND<br>NO LONGER WISHED<br>TO PARTICIPATE<br>IN THE STUDY AT<br>THAT TIME<br>SUBJECT WITHDREW<br>CONSENT. |         | No/<br>2020-09-04 (21)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT MOVED OUT<br>OF STATE |
| JS3402443   | 2020-09-09                  | AMENDMENT 2         | 2 No/<br>2020-10-02 (24) | Lost to Follow-Up                                                                                                                                                                                                          | )       | No/<br>2020-10-01 (23)                                      | Lost to Follow-Up                                                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |           | Completed Study          | /                                                                    |            | Completed Dosing                                   | /                                                |
|-----------|---------------------|-----------|--------------------------|----------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  |                          | n Reason for Study<br>Discontinuation/                               |            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                     | Performed? | (Day)                                              | Specified Reason                                 |
| US3402477 | 2020-10-23          | AMENDMENT | - /                      | Other/<br>SUBJECT DEPLOYED<br>TO TEXAS                               |            | Yes/<br>2020-11-20 (29)                            |                                                  |
| US3412017 | 2020-07-29          | AMENDMENT | 1 No/<br>2021-03-03 (218 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE PFIZER<br>VACCINE |            | Yes/<br>2020-08-26 (29)                            |                                                  |
| US3412101 | 2020-08-03          | AMENDMENT | 1 No/<br>2020-09-25 (54) | Lost to Follow-Up                                                    | )          | No/<br>2020-08-30 (28)                             | Lost to Follow-Up                                |
| US3412126 | 2020-08-04          | AMENDMENT | 1 ONGOING                |                                                                      |            | No/<br>2020-08-31 (28)                             | Adverse Event/<br>AE#3                           |
| US3412129 | 2020-08-05          | AMENDMENT | 1 ONGOING                |                                                                      |            | No/<br>2020-09-01 (28)                             | Due to SARS-CoV-2                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                      | Autopsy          | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3412151     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2021-04-02 (240 | Death<br>)                                                                                                                                                                                          | 2021-04-02/<br>N | ′Yes/<br>2020-09-03 (29)                                                         |                                                                           |
| US3412172     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-10-26 (81) | Lost to Follow-Up                                                                                                                                                                                   | )                | No/<br>2020-09-03 (28)                                                           | Lost to Follow-Up                                                         |
| US3412317     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-02-24 (184 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>EXPRESSED THAT<br>HE WANTED TO<br>WITHDRAW CONSENT<br>DUE TO LIVING IN<br>INDIA A WHICH IS<br>OVER (6)(6)HOURS<br>AWAY FROM THE<br>SITE. |                  | Yes/<br>2020-09-21 (28)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |           | Completed Study          | 7/                                                                                   |                  | Completed Dosing                                   | /                                                             |
|-----------|---------------------|-----------|--------------------------|--------------------------------------------------------------------------------------|------------------|----------------------------------------------------|---------------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  | or Completion            |                                                                                      | Autopsy          | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/              |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                     | Performed?       | (Day)                                              | Specified Reason                                              |
| US3422244 | 2020-09-09          | AMENDMENT | 3 No/<br>2021-01-03 (117 | Death<br>)                                                                           | 2021-01-03/<br>Y | ′Yes/<br>2020-10-07 (29)                           |                                                               |
| US3422249 | 2020-09-09          | AMENDMENT | 3 No/<br>2021-03-22 (195 | Other/<br>)PARTICIPANT<br>MOVING OUT OF<br>THE COUNTRY DUE<br>TO FAMILY<br>EMERGENCY |                  | Yes/<br>2020-10-07 (29)                            |                                                               |
| US3432054 | 2020-08-07          | AMENDMENT | 1 ONGOING                |                                                                                      |                  | No/<br>2020-09-03 (28)                             | Other/<br>SUBJECT OUT OF TOWN<br>FOR SEVERAL MONTHS           |
| US3432261 | 2020-08-24          | AMENDMENT | 2 ONGOING                |                                                                                      |                  | No/<br>2020-09-20 (28)                             | Other/<br>UNABLE TO BRING<br>PARTICIPANT IN<br>DURING WINDOW. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3432267     | 2020-08-24                  | AMENDMENT 2         | - 1                      | Other/<br>UNBLINDING PRE<br>EUA                                                                                                            |         | Yes/<br>2020-09-22 (30)                                                          |                                                                      |
| US3432334     | 2020-08-28                  | AMENDMENT (         | 3 No/<br>2020-12-29 (124 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>STUDY                                                                        |         | No/<br>2020-09-24 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3432408     | 2020-09-02                  | AMENDMENT (         | 3 No/<br>2021-01-06 (127 | Other/<br>)PARTICIPANT<br>WITHDREW FROM<br>STUDY UPON<br>UNBLINDING VISIT<br>COMPLETED.<br>PARTICIPANT HAS<br>MOVED OUT OF THE<br>COUNTRY. |         | Yes/<br>2020-09-30 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study                                                                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3432531     | 2020-09-19                  | AMENDMENT (         | 3 No/<br>2020-11-16 (59)  | Withdrawal of<br>Consent by<br>Participant/<br>PT DID NOT WANT<br>TO BE PART OF<br>THE STUDY<br>ANYMORE AND<br>WITHDREW FROM<br>THE STUDY. |         | Yes/<br>2020-10-16 (28)                                                          |                                                                      |
| US3442018     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-11-21 (100) | Lost to Follow-Up<br>)                                                                                                                     | )       | No/<br>2020-09-10 (28)                                                           | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                            |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3442059     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2021-01-25 (158                                                      | Protocol<br>) Deviation/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>RECEIVING EUA<br>COVID VACCINE<br>PRIOR TO<br>UNBLINDING |         | Yes/<br>2020-09-18 (29)                                                         |                                                                                                                                                                 |
| US3442087     | 2020-08-25                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                                            |         | No/<br>2020-09-21 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DID NOT<br>LIKE SOLICITED<br>REACTIONS CAUSED B'<br>THE VACCINE AND<br>"DOES NOT TRUST"<br>THE 2ND DOSE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | 7/                                                                                                                             |         | Completed Dosing                                            | /                                                                                                                                        |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                     | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                     |
| US3442146     | 2020-09-14                  | AMENDMENT :         | 3 No/<br>2021-03-25 (193                                   | Withdrawal of<br>Consent by<br>Participant/<br>PHONE CALL FROM<br>SUBJECT, STATES<br>HE WOULD LIKE TO<br>WITHDRAWN<br>CONSENT. |         | Yes/<br>2020-10-09 (26)                                     |                                                                                                                                          |
| US3442165     | 2020-09-15                  | AMENDMENT :         | 3 ONGOING                                                  |                                                                                                                                |         | No/<br>2020-10-12 (28)                                      | Physician Decision/<br>GIVEN THE REACTION<br>POST FIRST<br>VACCINATION PI<br>SUGGESTS SUBJECT<br>NOT RECEIVE SECOND<br>DOSE OF MRNA-1273 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3442248     | 2020-10-22                  | AMENDMENT           | 4 No/<br>2020-12-30 (70) | Protocol<br>Deviation/<br>EXCLUSION #4.<br>SUBJECT RECEIVED<br>COVID VACCINE<br>THROUGH EMPLOYER<br>WHILE IN STUDY.<br>SUBJECT DROPPED<br>FROM STUDY. |         | Yes/<br>2020-11-16 (26)                                                          |                                                                      |
| US3452056     | 2020-07-31                  | AMENDMENT           | 1 No/<br>2021-03-08 (221 | Withdrawal of<br>)Consent by<br>Participant/<br>DUE TO PERSONAL<br>REASONS AND TIME                                                                   |         | Yes/<br>2020-08-31 (32)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|-------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3452061     | 2020-07-31                  | AMENDMENT           | 1 No/<br>2021-03-24 (237  | Other/<br>)SUBJECT MOVING<br>AND WILL NO<br>LONGER BE ABLE<br>TO COME TO SITE<br>FOR F/U.             |         | Yes/<br>2020-09-04 (36)                                                          |                                                                      |
| US3452105     | 2020-08-01                  | AMENDMENT           | 1 No/<br>2020-09-29 (60)  | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CHOSE TO<br>WITHDRAW DUE TO<br>HECTIC SCHEDULE |         | Yes/<br>2020-08-29 (29)                                                          |                                                                      |
| US3452151     | 2020-08-03                  | AMENDMENT           | 1 No/<br>2021-01-26 (177) | Withdrawal of<br>Consent by<br>Participant/<br>GIVEN VACCINE<br>THROUGH WORK                          |         | Yes/<br>2020-08-31 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3452170     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-23 (142 | Withdrawal of<br>)Consent by<br>Participant                                                                                                            |         | Yes/<br>2020-08-31 (28)                                                          |                                                                      |
| US3452196     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-02-09 (190 | Withdrawal of<br>)Consent by<br>Participant/<br>JECT LIVES<br>(b) (6) HOURS AWAY<br>AND HAS BECOME<br>UNABLE TO TRAVEL<br>TO COMPLETE<br>STUDY VISITS. |         | Yes/<br>2020-09-01 (29)                                                          |                                                                      |
| US3452218     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-12-30 (148 | Withdrawal of<br>)Consent by<br>Participant/<br>WITHDREW ICF TO<br>RECEIVE VACCINE<br>FROM EMPLOYER                                                    |         | Yes/<br>2020-09-02 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452222     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2021-01-06 (155                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>W/D DUE TO<br>ANOTHER VACCINE<br>AVAILABLE |         | Yes/<br>2020-09-01 (28)                                                          |                                                                           |
| US3452259     | 2020-08-05                  | AMENDMENT           | - /                                                                           | Other/<br>)SUBJECT IS OUT<br>OF STATE LONG<br>TERM DUE TO<br>FAMILY EMERGENCY              |         | Yes/<br>2020-09-04 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3452274 Withdrawal of Yes/ 2020-08-06 AMENDMENT 1 No/ 2020-09-03 (29) 2021-01-06 (154) Consent by Participant/ SUBJECT CHOSE TO WITHDRAW CONSENT DUE TO WORK SCHEDULE

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                     |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| US3452334     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2020-09-23 (31) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS<br>CONTACTED TO<br>FOLLOW UP ON DAY<br>29 DEHYDRATION,<br>AT THIS TIME<br>SUBJECT INFORMED<br>SITE THAT WANTED<br>TO WITHDRAW<br>CONSENT. |         | No/<br>2020-09-20 (28)                                                           | Physician Decision/<br>SUBJECT VERY<br>DEHYDRATED                                             |
| US3452363     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-09-25 (11) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT ASKED TO<br>BE W/D FROM<br>STUDY                                                                                                              |         | No/<br>2020-09-24 (10)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT ASKED TO BE<br>WITHDRAWN FORM<br>STUDY |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason           | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3452377     | 2020-09-22                  | AMENDMENT 3         | 3 No/<br>2020-11-17 (57) | Lost to Follow-Up                                                        | )       | No/<br>2020-10-19 (28)                                                           | Lost to Follow-Up                                                    |
| US3452378     | 2020-09-22                  | AMENDMENT 3         | 3 No/<br>2020-11-17 (57) | Lost to Follow-Up                                                        | )       | No/<br>2020-10-19 (28)                                                           | Lost to Follow-Up                                                    |
| US3452381     | 2020-09-22                  | AMENDMENT 3         | 3 No/<br>2020-10-22 (31) | Withdrawal of<br>Consent by<br>Participant                               |         | No/<br>2020-10-19 (28)                                                           | Withdrawal of Consent<br>by Participant                              |
| US3452383     | 2020-09-22                  | AMENDMENT 3         | 3 No/<br>2021-03-19 (179 | Withdrawal of<br>)Consent by<br>Participant/<br>UNABLE TO<br>PARTICIPATE |         | Yes/<br>2020-10-19 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|----------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3452395     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2020-10-21 (29)  | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO WORK<br>SCHEDULE.                |         | No/<br>2020-10-20 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>DUE TO WORK<br>SCHEDULE.   |
| US3452397     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2020-09-30 (7)   | Withdrawal of<br>Consent by<br>Participant/<br>PT. WDC DUE TO<br>WORK                  |         | No/<br>2020-09-29 (6)                                                            | Withdrawal of<br>Consent by<br>Participant/<br>PT WDC DUE TO WORK         |
| US3452398     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2021-01-26 (125) | Withdrawal of<br>)Consent by<br>Participant/<br>NO LONGER<br>WILLING TO MAKE<br>VISITS |         | Yes/<br>2020-10-22 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study/ |                                                                                      |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|------------------|--------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                  | Reason for Study<br>Discontinuation/<br>Specified Reason                             | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3452414     | 2020-09-30                  | AMENDMENT           | /                | Other/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>MOVING FROM<br>OKLAHOMA FOR<br>WORK. |         | Yes/<br>2020-11-10 (27)                                     |                                                                      |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                                                                                                   |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                          | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3462016     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2021-03-18 (227 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WAS<br>CONTACTED<br>MULTIPLY TIMES<br>TO SCHEDULE<br>VISIT 209 LVM'S<br>NO CONTACT BACK<br>THEIR FOR<br>SUBJECT IS BEING<br>WITHDRAWN FROM<br>STUDY PER PI. |         | Yes/<br>2020-09-08 (36)                                     |                                                                      |
| US3462066     | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-11-24 (111 | Withdrawal of<br>)Consent by<br>Participant/<br>MOVED                                                                                                                                                               |         | Yes/<br>2020-09-03 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                               |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| US3462071     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-09-02 (27)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>PERSONAL<br>REASONS. |         | No/<br>2020-09-01 (26)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DUE TO<br>PERSONAL PROBLEMS. |
| US3462110     | 2020-08-11                  | AMENDMENT           | 2 No/<br>2020-08-17 (7)                                                       | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WANTED<br>TO WITHDRAW<br>CONSENT FROM THE<br>STUDY |         | No/<br>2020-08-16 (6)                                                            | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WANTED TO<br>WITHDRAW CONSENT<br>FROM THE STUDY. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                      | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                             |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| US3462133     | 2020-08-12                  | AMENDMENT (         | 2 No/<br>2020-09-14 (34) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT DUE TO<br>UNSTABLE HEALTH.                                              |         | No/<br>2020-09-08 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT DUE TO<br>UNSTABLE HEALTH. |
| US3462206     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2021-03-24 (220 | Withdrawal of<br>)Consent by<br>Participant/<br>WITHDRAW OF<br>CONSENT AS<br>PATIENT DOES NOT<br>WANT TO COMPLETE<br>ANY OTHER STUDY<br>PROCEDURES |         | Yes/<br>2020-09-14 (29)                                                          |                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | ,<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3462261     | 2020-08-20                  | AMENDMENT :         | 2 No/<br>2021-03-05 (198 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT WITHDREW<br>CONSENT                                                     |         | Yes/<br>2020-09-15 (27)                                                          |                                                                           |
| US3462270     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-02-05 (170 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE.<br>FIRST DOSE ON 12<br>JAN 2021 AND<br>SECOND DOSE ON 2<br>FEB 2021 |         | Yes/<br>2020-09-18 (30)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol    | or Completion            | Reason for Study<br>Discontinuation/                                                                                          | Autopsy            | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                           |
|-----------|---------------------|-------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|--------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                                                                              | Performed?         | (Day)                                                                  | Specified Reason                                                                                                           |
| US3462343 | 2020-08-26          | AMENDMENT : | 2 No/<br>2021-01-25 (153 | Protocol<br>)Deviation/<br>SUBJECT WAS<br>UNBLINDED AND<br>WITHDREW CONSENT<br>TO GET THE EUA<br>VACCINE.                     |                    | Yes/<br>2020-09-22 (28)                                                |                                                                                                                            |
| US3472001 | 2020-08-31          | AMENDMENT 3 | 3 No/<br>2020-11-27 (89) | Death                                                                                                                         | 2020-11-27,<br>UNK | /Yes/<br>2020-10-01 (32)                                               |                                                                                                                            |
| US3472091 | 2020-10-05          | AMENDMENT : | 3 No/<br>2020-12-07 (64) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>REPORTS HE NEEDS<br>TO WORK AND THE<br>VISITS TAKE TOO<br>LONG. |                    | No/<br>2020-11-01 (28)                                                 | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT REPORTS<br>HE NEEDS TO WORK<br>AND THE VISITS TAKE<br>TOO LONG. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3472125     | 2020-10-21                  | AMENDMENT 4         | 4 ONGOING                                                                     |                                                                    |         | No/<br>2020-11-17 (28)                                                           | Lost to Follow-Up                                                         |
| US3482064     | 2020-08-17                  | AMENDMENT 1         | L ONGOING                                                                     |                                                                    |         | No/<br>2020-09-13 (28)                                                           | Adverse Event/<br>AE#1                                                    |
| US3482284     | 2020-10-09                  | AMENDMENT 4         | 4 ONGOING                                                                     |                                                                    |         | No/<br>2020-11-12 (28)                                                           | Serious Adverse<br>Event/<br>#1 #2 #3 #4 #5 #6                            |
| US3492023     | 2020-08-28                  | AMENDMENT 2         | 2 No/<br>2021-03-11 (196                                                      | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>VACCINE UNDER<br>EUA |         | Yes/<br>2020-09-25 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                                                                                                                         | Completed Dosing/                 |                                                                                                                                                   |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
|               | Informed        | Ductors             |                          | Reason for Study                                                                                                                                                          | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                                                                      |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | (Day)                    | Discontinuation/<br>Specified Reason                                                                                                                                      | <br>or Completion<br>(Day)        | Discontinuation/<br>Specified Reason                                                                                                              |
| US3492087     | 2020-09-08      | AMENDMENT           | 2 No/<br>2020-10-06 (29) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WENT AND<br>HAD AN ANTIBODY<br>TEST AND FELT<br>THAT HE WASN'T<br>ON ACTIVE AND<br>WANTED TO TRY<br>ANOTHER STUDY. | No/<br>2020-10-05 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT HAD AB TEST<br>THAT WAS NEGATIVE<br>AND WANTED TO DROP<br>OUT AND ATTEMPT<br>ANOTHER STUDY |
| US3492095     | 2020-09-08      | AMENDMENT           | 2 No/<br>2021-01-08 (123 | Protocol<br>)Deviation/<br>PARTICIPANT GOT<br>PFIZER<br>VACCINATION<br>PRIOR TO<br>UNBLINDING                                                                             | Yes/<br>2020-10-06 (29)           |                                                                                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3492109     | 2020-09-09                  | AMENDMENT           | 2 No/<br>2021-01-14 (128) | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT NO<br>LONGER WILLING<br>TO PARTICIPATE<br>IN STUDY.<br>DECLINED TO GIVE<br>CONCRETE REASON. |         | Yes/<br>2020-10-09 (31)                                                          |                                                                           |
| US3492155     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2020-11-17 (64)  | Withdrawal of<br>Consent by<br>Participant/<br>ADVANCED AGE,<br>DIFFICULTY<br>AMBULATING,<br>DIFFICULTY<br>FINDING PARKING<br>NEAR CLINIC           |         | Yes/<br>2020-10-13 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|           |                     |           | Completed Study,         | /                                                                                                                             |            | Completed Dosing/                                  |                                                  |
|-----------|---------------------|-----------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol  |                          | Reason for Study<br>Discontinuation/                                                                                          |            | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                              | Performed? | (Day)                                              | Specified Reason                                 |
| US3502066 | 2020-08-31          | AMENDMENT | 3 No/<br>2020-10-30 (61) | Withdrawal of<br>Consent by<br>Participant/<br>SHE CANNOT<br>COMMIT BECAUSE<br>SHE HAS TO TAKE<br>CARE OF HER SICK<br>FATHER. |            | Yes/<br>2020-09-29 (30)                            |                                                  |

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                    | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                     |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| US3502135     | 2020-09-10                  | AMENDMENT (         | 3 No/<br>2020-10-29 (50) | Withdrawal of<br>Consent by<br>Participant/<br>HE LIVES TOO FAR<br>AWAY AND DOES<br>NOT THINK HE<br>COULD COMMIT TO<br>STUDY VISITS,<br>ESPECIALLY SINCE<br>HE DID NOT (AND<br>CANNOT) RECEIVE<br>THE SECOND DOSE. |         | No/<br>2020-10-07 (28)                                                           | Other/<br>PT DID NOT COME IN<br>FOR HIS VISIT 2.                                                         |
| US3502180     | 2020-09-25                  | AMENDMENT (         | 3 ONGOING                |                                                                                                                                                                                                                    |         | No/<br>2020-10-22 (28)                                                           | Other/<br>PT COULD NOT STAY<br>FOR OBSERVATION<br>PERIOD AND COULD<br>NOT RETURN TO<br>CLINIC IN WINDOW. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                         | Autopsy            | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3502218     | 2020-10-23                  | AMENDMENT           | 4 No/<br>2020-11-27 (36) | Lost to Follow-Up                                                                                      | )                  | No/<br>2020-11-19 (28)                                                           | Lost to Follow-Up                                                    |
| US3512042     | 2020-08-12                  | AMENDMENT :         | 2 No/<br>2020-09-01 (21) | Death                                                                                                  | 2020-09-01/<br>UNK | <sup>/</sup> No/<br>2020-09-01 (21)                                              | Death                                                                |
| US3512149     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-02-23 (182 | Protocol<br>)Deviation/<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>PRIOR TO<br>UNBLINDING. |                    | Yes/<br>2020-09-23 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                                                                                                                                                                                                                                |   | Completed Dosing                  | /                                                                                                                                                                                                                                                                          |
|---------------|-----------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|               | Informed        |                     |                          | Reason for Study                                                                                                                                                                                                                                                                 |   | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                                                                                                                                                                                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                             |   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                       |
| US3512237     | 2020-09-05      | AMENDMENT 3         | 3 No/<br>2020-10-07 (33) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED<br>HE IS NO LONGER<br>INTERESTED IN<br>THE STUDY AND<br>DOES NOT WANT TO<br>RECEIVE THE<br>SECOND DOSE. HE<br>STATES HE DOES<br>NOT WANT TO<br>COMPLETE ANYMORE<br>VISITS OR BE<br>CALLED FOR ANY<br>PHONE VISITS. |   | No/<br>2020-10-02 (28)            | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED HE<br>IS NO LONGER<br>INTERESTED IN THE<br>STUDY AND DOES NOT<br>WANT TO RECEIVE THE<br>SECOND DOSE. HE<br>STATES HE DOES NOT<br>WANT TO COMPLETE<br>ANYMORE VISITS OR<br>BE CALLED FOR ANY<br>PHONE VISITS. |
| US3512287     | 2020-09-30      | AMENDMENT 3         | 3 No/<br>2020-10-22 (23) | Lost to Follow-Up                                                                                                                                                                                                                                                                | ) | No/<br>2020-10-21 (22)            | Lost to Follow-Up                                                                                                                                                                                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3512288     | 2020-10-01                  | AMENDMENT :         | 3 No/<br>2021-03-26 (177                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID-19<br>VACCINATION<br>OUTSIDE OF<br>STUDY. |         | Yes/<br>2020-10-29 (29)                                                         |                                                                           |
| US3512312     | 2020-10-23                  | AMENDMENT ·         | 4 ONGOING                                                                     |                                                                                                |         | No/<br>2020-11-19 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                                                   |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                            | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3522182     | 2020-08-18                  | AMENDMENT           | 1 No/<br>2020-09-18 (32) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>CALLED US ON 18<br>SEP 2020 ASKING<br>TO WITHDRAW IN<br>PREPARATION FOR<br>AN FDA APPROVED<br>VACCINE |         | Yes/<br>2020-09-11 (25)                                     |                                                                      |
| US3522266     | 2020-08-21                  | AMENDMENT :         | 2 No/<br>2020-12-21 (123 | Other/<br>)WITHDREW TO<br>RECEIVE EUA<br>APPROVED<br>COVID-19 VACCINE                                                                                               |         | Yes/<br>2020-09-15 (26)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                              | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                     |
|---------------|-----------------------------|---------------------|---------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| US3522351     | 2020-08-25                  | AMENDMENT           | 2 No/<br>2020-09-11 (18)  | Other/<br>PARTICIPANT<br>MOVED AND<br>WITHDREW AS THEY<br>COULD NOT MAKE<br>THE COMMITMENT.                                                                                |         | No/<br>2020-09-10 (17)                                                           | Other/<br>PARTICIPANT MOVED<br>AND WITHDREW AS<br>THEY COULD NOT MAKE<br>THE COMMITMENT. |
| US3522537     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-03-02 (182) | Protocol<br>)Deviation/<br>PARTICIPANT DID<br>NOT DISCLOSE<br>TAKING ANOTHER<br>EUA APPROVED<br>VACCINE. WAS<br>UNBLINDED WHEN<br>THIS WAS<br>DISCOVERED AND<br>WITHDRAWN. |         | Yes/<br>2020-10-01 (30)                                                          |                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                            |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| US3522664     | 2020-09-11                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                 |         | No/<br>2020-10-08 (28)                                                          | Adverse Event/<br>AE#1                                                                               |
| US3522665     | 2020-09-11                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                 |         | No/<br>2020-10-08 (28)                                                          | Other/<br>PARTICIPANT DOES<br>NOT CONSENT TO THE<br>SECOND DOSE, BUT<br>DOES TO ALL FUTURE<br>VISITS |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3532032 2020-08-14 AMENDMENT 2 No/ Withdrawal of Yes/ 2021-01-13 (153)Consent by 2020-09-15 (33) Participant/ DUE TO LIMITED KIT F'S BEING AVAILABLE AT SITE HAD TO CALL SUBJECT TO RESCHEDULE. AFTER SPEAKING THROUGH OPTIONS, SUBJECT WANTED TO BE UNBLINDED FOR EUA. PI INFORMED, SUBJECT UNBLINDED AND WITHDREW

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3532202     | 2020-09-01                  | AMENDMENT 3         | 3 No/<br>2020-12-28 (119                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA PRIOR TO<br>UNBLINDING |         | Yes/<br>2020-09-29 (29)                                                          |                                                                           |
| US3532279     | 2020-09-10                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                           |         | No/<br>2020-10-07 (28)                                                           | Adverse Event/<br>AE #1                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3542048     | 2020-08-24                  | AMENDMENT 2         |                                                                               | Specified Reason   |         | No/<br>2020-09-20 (28)                                                           | Physician Decision/<br>PARTICIPANT WAS<br>VERY DIFFICULT TO<br>SCHEDULE VISIT 2<br>AND RE-SCHEDULED<br>MULTIPLE TIMES.<br>GIVEN DELAY IN<br>SCHEDULING THIS<br>VISIT BECAME<br>EXTREMELY OUT OF<br>WINDOW AND<br>THEREFORE A<br>DECISION WAS MADE<br>TO D/C DOSING. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3542247     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2021-03-16 (181 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED A DOSE<br>OF MRNA-1273<br>OUTSIDE OF THE<br>STUDY VIA EUA. |         | Yes/<br>2020-10-16 (30)                                                         |                                                                           |
| US3542289     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2020-10-29 (36) | Lost to Follow-Up                                                                                             | )       | No/<br>2020-10-21 (28)                                                          | Lost to Follow-Up                                                         |
| US3542293     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2021-01-19 (118 | Lost to Follow-Up<br>)                                                                                        | )       | Yes/<br>2020-10-19 (26)                                                         |                                                                           |
| US3542332     | 2020-10-09                  | AMENDMENT           | 4 ONGOING                |                                                                                                               |         | No/<br>2020-11-05 (28)                                                          | Serious Adverse<br>Event/<br>SAE #1                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                            |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3552024     | 2020-08-13                  | AMENDMENT 2         | 2 ONGOING                                                                     |                    |         | No/<br>2020-09-09 (28)                                                           | Other/<br>SUBJECT CONSENTS<br>FOR FOLLOW-UP, BUT<br>NOT FOR SECOND<br>VACCINATION.                                                                                   |
| US3552035     | 2020-08-14                  | AMENDMENT 2         | 2 ONGOING                                                                     |                    |         | No/<br>2020-09-10 (28)                                                           | Adverse Event/<br>AE #1                                                                                                                                              |
| US3552189     | 2020-08-26                  | AMENDMENT 🤇         | 3 ONGOING                                                                     |                    |         | No/<br>2020-09-22 (28)                                                           | Physician Decision/<br>SUBJECT IS POOR<br>HISTORIAN FOR<br>RECORDING<br>REACTOGENICITY;<br>DOES NOT MAKE<br>OBJECTIVE MEASURES.<br>PI DISCRETION TO<br>NOT VACCINATE |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                   | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|
| US3552326     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-10-05 (32)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WOULD<br>LIKE TO WITHDRAW<br>DUE TO CHANGES<br>IN WORK SCHEDULE<br>AND SCHOOL<br>SCHEDULE. |         | No/<br>2020-10-01 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WOULD LIKE<br>TO WITHDRAW DUE TO<br>CHANGES IN WORK<br>SCHEDULE AND SCHOOL<br>SCHEDULE. |
| US3552371     | 2020-09-08                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                                                                                   |         | No/<br>2020-10-05 (28)                                                           | Adverse Event/<br>AE #2                                                                                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                      |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| US3552450     | 2020-09-17                  | AMENDMENT 3         | 3 No/<br>2021-02-05 (142                                                      | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>EUA VACCINE ON<br>1/14; DID NOT<br>NOTIFY SITE<br>UNTIL 2/5 |         | Yes/<br>2020-10-12 (26)                                                         |                                                                                                |
| US3552497     | 2020-09-26                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                           |         | No/<br>2020-10-23 (28)                                                          | Adverse Event/<br>AE #1                                                                        |
| US3552499     | 2020-09-26                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                           |         | No/<br>2020-10-23 (28)                                                          | Physician Decision/<br>SUBJECT BEING<br>WORKED UP FOR<br>HEADACHE (PART OF<br>MEDICAL HISTORY) |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                                                                                                            | <br>Completed Dosing              | /                                                                                                                   |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------------------|
| Quinting      | Informed        | Duchasal            |                          | Reason for Study                                                                                                                                             | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                                        |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                                                         | <br>or Completion<br>(Day)        | Discontinuation/<br>Specified Reason                                                                                |
| US3552528     | 2020-10-13      | AMENDMENT           | 4 No/<br>2021-02-26 (137 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT UNABLE<br>TO RELIABLY COME<br>IN FOR VISITS<br>DUE TO NEW A JOB<br>THAT REQUIRES<br>LONG TERM TRAVEL | Yes/<br>2020-11-10 (29)           |                                                                                                                     |
| US3562003     | 2020-08-04      | AMENDMENT           | 1 No/<br>2020-08-10 (7)  | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WANT<br>TO CONTINUE WITH<br>STUDY DUE TO<br>PERSONAL REASON<br>AND WITHDREW<br>CONSENT            | No/<br>2020-08-09 (6)             | Withdrawal of<br>Consent by<br>Participant/<br>DOES NOT WANT TO<br>CONTINUE WITH STUDY<br>DUE TO PERSONAL<br>REASON |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|              |                             |                     | Completed Study          | /                                                                                                                                               |         | Completed Dosing                                            | /                                                                    |
|--------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                        | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3562011    | 2020-08-06                  | AMENDMENT           | 1 No/<br>2020-11-03 (90) | Withdrawal of<br>Consent by<br>Participant/<br>PT WAS NOT ABLE<br>TO COMPLIANCE<br>WITH STUDY<br>PROCEDURE AND<br>NEVER RETURN FOR<br>VISIT 3 ! |         | Yes/<br>2020-09-03 (29)                                     |                                                                      |
| US3562017    | 2020-08-07                  | AMENDMENT           | 1 No/<br>2020-10-02 (57) | Withdrawal of<br>Consent by<br>Participant/<br>DURING VISIT 3<br>PATIENT CHANGED<br>HER MIND AND SHE<br>WITHDREW CONSENT<br>!                   |         | Yes/<br>2020-09-04 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |           | Completed Study          | /                                                                                                                                                 |            | Completed Dosing        |                    |
|-----------|------------|-----------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------|--------------------|
|           |            |           | Date of Study            |                                                                                                                                                   | Date of    | Date of Dosing          | Primary Reason for |
|           | Informed   | _         |                          | Reason for Study                                                                                                                                  |            | Discontinuation         | Dosing             |
| Subject   | Consent    | Protocol  | -                        | Discontinuation/                                                                                                                                  |            | or Completion           | Discontinuation/   |
| ID        | Date       | Version   | (Day)                    | Specified Reason                                                                                                                                  | Performed? | (Day)                   | Specified Reason   |
| JS3562051 | 2020-08-17 | AMENDMENT | 2 No/<br>2020-10-12 (57) | Withdrawal of<br>Consent by<br>Participant/<br>PT DOES NOT WANT<br>TO CONTINUE WITH<br>STUDY . SHE<br>STATES HER PCP<br>ADVICE HER TO<br>WITHDREW |            | Yes/<br>2020-09-14 (29) |                    |
| US3562061 | 2020-08-20 | AMENDMENT | 2 No/<br>2021-01-15 (149 | Protocol<br>)Deviation/<br>PT RECEIVED<br>PFIZER VACCINE<br>ON 1/13/2021 AS<br>HE DID NOT<br>DEVLOP<br>ANTIBODIES AFTER<br>MODERNA VACCINE        |            | Yes/<br>2020-09-21 (33) |                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                                                                         |                   | Completed Dosing,                 |                                      |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     |                          | n Reason for Study                                                                                                        | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason                                                                                      |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3562157     | 2020-09-11      | AMENDMENT           | 3 No/<br>2020-12-02 (83) | Serious Adverse<br>Event/<br>SAE # 2                                                                                      |                   | Yes/<br>2020-10-14 (34)           |                                      |
| US3562209     | 2020-10-02      | AMENDMENT           | 4 No/<br>2021-03-26 (176 | Lost to Follow-Up<br>)                                                                                                    | )                 | Yes/<br>2020-11-02 (32)           |                                      |
| US3562230     | 2020-10-15      | AMENDMENT           | 4 No/<br>2020-11-20 (37) | Withdrawal of<br>Consent by<br>Participant/<br>DOE NOT WANT TO<br>CONTINUE WITH<br>STUDY DUE TO<br>RECENT<br>HOSPITALIZED |                   | No/<br>2020-11-11 (28)            | Serious Adverse<br>Event/<br>AE # 2  |
| US3572008     | 2020-08-18      | AMENDMENT           | 2 No/<br>2020-09-08 (22) | Lost to Follow-Up                                                                                                         | )                 | No/<br>2020-09-07 (21)            | Lost to Follow-Up                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | -/                                                                                                                                                                                                                                      | Data of | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3572020     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-03-01 (195 | Withdrawal of<br>O Consent by<br>Participant/<br>SUBJECT WOULD<br>LIKE TO WITHDRAW<br>FROM STUDY. HIS<br>EMPLOYMENT<br>REQUIRES HIM TO<br>WORK LONG HOURS<br>AND HE FEARS HE<br>WILL NOT BE ABLE<br>TO MAKE<br>APPOINTMENTS ON<br>TIME. |         | Yes/<br>2020-09-24 (37)                                     |                                                                      |
| US3572031     | 2020-08-19                  | AMENDMENT           | 2 No/<br>2021-03-04 (198 | Lost to Follow-Up                                                                                                                                                                                                                       | )       | Yes/<br>2020-09-21 (34)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuatio | //<br>n Reason for Study             | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing                                                                                                      |
|---------------|-----------------|---------------------|----------------------------------------------------|--------------------------------------|-------------------|--------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                             | Discontinuation/<br>Specified Reason | 1 1               | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason                                                                                                   |
| US3572066     | 2020-08-24      | AMENDMENT 2         | 2 ONGOING                                          |                                      |                   | No/<br>2020-09-20 (28)                                 | Other/<br>SUBJECT WAS AT SITE<br>FOR D29 BUT LEFT<br>DUE TO WAIT TIME.<br>SUBJECT WAS<br>EDUCATED BY PI ON<br>IMPORTANCE OF<br>VISITS. |
| US3572068     | 2020-08-24      | AMENDMENT 2         | 2 ONGOING                                          |                                      |                   | No/<br>2020-09-20 (28)                                 | Other/<br>NON-RESPONSIVE.                                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol  | or Completion            | Reason for Study<br>Discontinuation/                                                                                                                                                     | Autopsy    | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                                      |
|-----------|---------------------|-----------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                    | Specified Reason                                                                                                                                                                         | Performed? | (Day)                                                                   | Specified Reason                                                                                                                                                                      |
| US3572109 | 2020-08-27          | AMENDMENT | 2 No/<br>2020-09-09 (14) | Withdrawal of<br>Consent by<br>Participant/<br>AS PER LAST<br>COMMUNICATION<br>WITH THE SUBJECT<br>WITH SAFETY<br>NURSE ON 09 SEP.<br>2020, SUBJECT<br>DOES NOT WANT<br>ANY MORE CONTACT |            | No/<br>2020-09-08 (13)                                                  | Withdrawal of<br>Consent by<br>Participant/<br>AS PER LAST<br>COMMUNICATION WITH<br>THE SUBJECT WITH<br>SAFETY NURSE ON 09<br>SEP. 2020, SUBJECT<br>DOES NOT WANT ANY<br>MORE CONTACT |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                                                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                      |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3572161     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-02-02 (155                                                      | Protocol<br>5) Deviation/<br>SUBJECT WOULD<br>LIKE TO WITHDRAW<br>FROM STUDY.<br>SUBJECT ALSO GOT<br>VACCINE OUTSIDE<br>OF THE STUDY. PI<br>AWARE AND AGREES<br>OF SUBJECT<br>WITHDRAW. |         | No/<br>2020-09-28 (28)                                                          | Other/<br>SUBJECT WOULD LIKE<br>TO WITHDRAW FROM<br>STUDY. SUBJECT ALSO<br>GOT VACCINE OUTSIDE<br>OF THE STUDY. PI<br>AWARE AND AGREES OF<br>SUBJECT WITHDRAW. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                          | Autopsy          | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| US3572225     | 2020-09-08                  | AMENDMENT :         | 3 No/<br>2021-02-11 (157                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |                  | Yes/<br>2020-10-05 (28)                                                         |                                                                                     |
| US3572232     | 2020-09-09                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                                                                                             |                  | No/<br>2020-10-06 (28)                                                          | Other/<br>SUBJECT MISSED<br>VISIT 2 DAY 29<br>(DOSE 2) UNDER PART<br>A OF THE STUDY |
| US3572251     | 2020-09-10                  | AMENDMENT :         | 3 No/<br>2021-04-03 (206                                                      | Death<br>)                                                                                                                                                  | 2021-04-03,<br>Y | /Yes/<br>2020-10-06 (27)                                                        |                                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                      |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3572326     | 2020-09-18                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                                                                                                                |         | No/<br>2020-10-25 (28)                                                           | Lost to Follow-Up                                                                                                                                                                              |
| US3572355     | 2020-09-29                  | AMENDMENT 3         | 3 No/<br>2020-11-02 (35)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS NOT<br>ABLE TO GET THE<br>SECOND DOSE PER<br>MODERNA BECAUSE<br>PATIENT WAS FROM<br>MEXICO. HENCE<br>PATIENT DECIDED<br>TO WITHDRAW |         | No/<br>2020-10-26 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS NOT<br>ABLE TO GET THE<br>SECOND DOSE PER<br>MODERNA BECAUSE<br>PATIENT WAS FROM<br>MEXICO. HENCE<br>PATIENT DECIDED TO<br>WITHDRAW |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| US3572368     | 2020-10-06                  | AMENDMENT (         | 3 No/<br>2020-11-03 (29)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS NOT<br>ABLE TO (b) (6)<br>(b) (6) |         | No/<br>2020-11-02 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT WAS NOT<br>ABLE TO (b)(6)<br>(b)(6) |
| US3572372     | 2020-10-06                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                              |         | No/<br>2020-11-02 (28)                                                           | Other/<br>PER MODERNA PATIENT<br>IS NOT ELIGIBLE TO<br>GET DOSED.                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3572390 2020-10-12 AMENDMENT 4 No/ Protocol Yes/ 2021-01-06 (87) Deviation/ 2020-11-12 (32) SUBJECT WITHDRAWN FROM STUDY BECAUSE SHE RECEIVED THE VACCINE OUTSIDE OF STUDY. AS PER SPONSOR DIRECTIVE SUBJECT NEEDS TO BE WITHDRAWN FROM STUDY.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                                                        | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                  |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3582056     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-12 (139 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>NON-STUDY EUA<br>COVID-19 VACCINE |                                            | Yes/<br>2020-09-24 (29)                                                          |                                                                                                                                                                                                                                       |
| US3582148     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-10-23 (38) | Lost to Follow-Up                                                                       |                                            | No/<br>2020-10-13 (28)                                                           | Other/<br>PARTICIPANT UNABLE<br>TO COMPLETE VISIT<br>WITHIN WINDOW DUE<br>TO BEING OUT OF<br>TOWN DURING THAT<br>TIME. PARTICIPANT<br>AGREED TO CONTINUE<br>IN STUDY. DOSE<br>DISCONTINUATION<br>DATE BASED ON DOSE<br>2 TARGET DATE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                 | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                               |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3582178     | 2020-10-07                  | AMENDMENT 4         | 4 No/<br>2020-12-14 (69)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT NO<br>LONGER WISHES TO<br>CONTINUE |         | No/<br>2020-11-03 (28)                                                           | Other/<br>PARTICIPANT UNABLE<br>TO COMPLETE VISIT 2<br>WITHIN WINDOW DUE<br>TO INDECISION ABOUT<br>WHETHER TO CONTINUE<br>IN STUDY. REQUESTED<br>TIME TO THINK ABOUT<br>IT. STILL PENDING.<br>DATE BASED ON DOSE<br>2 TARGET DATE. |
| US3592019     | 2020-08-05                  | AMENDMENT           | L No/<br>2020-09-30 (57)                                                      | Lost to Follow-Up                                                                             | )       | No/<br>2020-09-01 (28)                                                           | Lost to Follow-Up                                                                                                                                                                                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | /<br>Reason for Study                                                                                         | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                                |
|---------------|-----------------|---------------------|-----------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                                          |                   | or Completion<br>(Day)                                 | Discontinuation/<br>Specified Reason                                                                        |
| US3592032     | 2020-08-06      | AMENDMENT 2         | l No/<br>2020-10-05 (61)                            | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CITES<br>BUSY SCHEDULE AS<br>REASON FOR<br>WITHDRAWAL. |                   | No/<br>2020-09-02 (28)                                 | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT CITES BUSY<br>SCHEDULE FOR REASON<br>TO DISCONTINUE. |
| US3592065     | 2020-08-08      | AMENDMENT 2         | - /                                                 | Other/<br>)SUBJECT MOVING<br>OUT OF STATE                                                                     |                   | Yes/<br>2020-09-05 (29)                                |                                                                                                             |
| US3592073     | 2020-08-08      | AMENDMENT 1         | l No/<br>2020-09-18 (42)                            | Lost to Follow-Up                                                                                             | )                 | No/<br>2020-09-04 (28)                                 | Lost to Follow-Up                                                                                           |
| US3592120     | 2020-08-11      | AMENDMENT 2         | 2 ONGOING                                           |                                                                                                               |                   | No/<br>2020-09-07 (28)                                 | Other/<br>SUBJECT TAKING<br>PROHIBITED<br>MEDICATION                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason               | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3592152     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-11-25 (105 | Lost to Follow-Up<br>)                                                      | )       | Yes/<br>2020-09-10 (29)                                                          |                                                                      |
| US3592160     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2020-09-28 (46) | Lost to Follow-Up                                                           | )       | Yes/<br>2020-09-11 (29)                                                          |                                                                      |
| US3592247     | 2020-08-29                  | AMENDMENT 3         | 3 No/<br>2021-01-19 (144 | Protocol<br>)Deviation/<br>RECEVIED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY |         | Yes/<br>2020-09-26 (29)                                                          |                                                                      |
| US3602067     | 2020-09-21                  | AMENDMENT 3         | 3 No/<br>2021-01-06 (108 | Lost to Follow-Up<br>)                                                      | )       | No/<br>2020-10-18 (28)                                                           | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | '<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3602148     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2021-01-05 (83) | Withdrawal of<br>Consent by<br>Participant/<br>REQUEST TO<br>UNBLIND BY THE<br>SUBJECT AND<br>MEETS THE EUA<br>FOR COVID-19<br>VACCINE NOW AND<br>IS ABLE TO GET<br>IT AT WORKPLACE. |         | Yes/<br>2020-11-12 (29)                                                          |                                                                      |
| US3622010     | 2020-08-11                  | AMENDMENT           | 1 No/<br>2021-01-20 (163 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUT OF STUDY.                                                                                              |         | Yes/<br>2020-09-08 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                                    | Autopsy            | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| US3622169     | 2020-09-09                  | AMENDMENT 3         | 3 No/<br>2021-01-21 (135                                                      | Death<br>)                                                                                                          | 2021-01-21,<br>UNK | /Yes/<br>2020-10-07 (29)                                                         |                                                                                                                     |
| US3622180     | 2020-09-12                  | AMENDMENT 3         | <sup>3</sup> No/<br>2020-10-27 (46)                                           | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT                                                     |                    | Yes/<br>2020-10-13 (32)                                                          |                                                                                                                     |
| US3622217     | 2020-09-22                  | AMENDMENT 3         | 3 No/<br>2020-10-08 (17)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>WITHDREW CONSENT<br>AS SHE HAS "TOO<br>MUCH GOING ON" |                    | No/<br>2020-10-07 (16)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>WITHDREW CONSENT AS<br>SHE HAS "TOO MUCH<br>GOING ON" |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                              |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3622221     | 2020-09-23                  | AMENDMENT 3         | 3 No/<br>2021-03-09 (168                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>PARTICIPANT<br>WITHDREW<br>CONSENT.                                                     |         | Yes/<br>2020-10-20 (28)                                                          |                                                                                                                                                                        |
| US3632135     | 2020-10-15                  | AMENDMENT 4         | 4 No/<br>2020-10-20 (6)                                                       | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>ASKED TO<br>WITHDRAW FROM<br>THE STUDY<br>BECAUSE HE FELT<br>OVERWHELMED. |         | No/<br>2020-10-19 (5)                                                            | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT ASKED<br>TO WITHDRAW FROM<br>THE STUDY BECAUSE<br>HE FELT<br>"OVERWHELMED" WITH<br>THE STUDY<br>PROCEDURES. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Dosing/ Completed Study/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Discontinuation/ Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3642017 Yes/ 2020-08-26 AMENDMENT 3 No/ Protocol 2021-01-08 (136) Deviation/ 2020-09-23 (29) PARTICIPANT RECEIVED COVID-19 VACCINE OUTSIDE OF STUDY THROUGH EUA

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                                                                                       |             | Completed Dosing/                                           |                                                                      |  |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------------------------------------------------|----------------------------------------------------------------------|--|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                | Autopsy     | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |  |
|               | 2000                        |                     | (201)                    | <u></u>                                                                                                                                                                                                 | 1011011000. | (200)                                                       | opeorized neaden                                                     |  |
| US3642092     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-03-09 (188 | Withdrawal of<br>)Consent by<br>Participant/<br>PATIENT CHOSE TO<br>WITHDRAWAL<br>CONSENT TO FOCUS<br>ON HIS TIME ON<br>UPCOMING<br>APPOINTMENTS AND<br>TREATMENTS FOR<br>NEW LUNG CANCER<br>DIAGNOSIS. |             | Yes/<br>2020-10-01 (29)                                     |                                                                      |  |
| US3642215     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-03-04 (171 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA.                                                                                                     |             | Yes/<br>2020-10-13 (29)                                     |                                                                      |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                         |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                  | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3642268     | 2020-09-21                  | AMENDMENT           | 3 ONGOING                |                                                                                                           |         | No/<br>2020-10-18 (28)                                      | Adverse Event/<br>AE # 1                                             |
| JS3642284     | 2020-09-28                  | AMENDMENT           | 3 No/<br>2021-01-23 (118 | Protocol<br>)Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |         | Yes/<br>2020-10-30 (33)                                     |                                                                      |
| JS3642296     | 2020-10-02                  | AMENDMENT           | 3 No/<br>2020-12-26 (86) | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA  |         | Yes/<br>2020-10-30 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                            | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3642327     | 2020-10-16                  | AMENDMENT (         | 3 No/<br>2020-12-29 (75)                                                      | Protocol<br>Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>OUTSIDE OF STUDY<br>THROUGH EUA |                                            | Yes/<br>2020-11-20 (36)                                                          |                                                                           |
| US3652038     | 2020-09-24                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                                          |                                            | No/<br>2020-10-22 (28)                                                           | Adverse Event/<br>AE #2                                                   |
| US3662029     | 2020-08-31                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                                          |                                            | No/<br>2020-10-05 (28)                                                           | Other/<br>MET EXCLUSION<br>CRITERIA 12, PRIOR<br>TO ENROLLMENT.           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3672020     | 2020-08-07                  | amendment 1         | No/<br>2021-02-22 (200                                                        | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE |         | Yes/<br>2020-09-11 (36)                                                          |                                                                           |
| US3672092     | 2020-08-13                  | AMENDMENT 2         | ONGOING                                                                       |                                                               |         | No/<br>2020-09-09 (28)                                                           | Adverse Event/<br>AE#1, AE #2                                             |
| US3672110     | 2020-08-14                  | AMENDMENT 2         | 2 No/<br>2021-01-13 (153                                                      | Protocol<br>)Deviation/<br>REQUEST TO<br>UNBLIND              |         | Yes/<br>2020-09-11 (29)                                                          |                                                                           |
| US3672163     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-03-01 (196                                                      | Adverse Event/<br>)AE#2 & AE#3                                |         | No/<br>2020-09-14 (28)                                                           | Adverse Event/<br>AE#2, AE# 3                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study<br>Date of Study          | /                                                                             | Date of                         | Completed Dosing<br>Date of Dosing        | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|-------------------------------------------|-------------------------------------------------------------------------------|---------------------------------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation<br>or Completion<br>(Day) | Reason for Study<br>Discontinuation/<br>Specified Reason                      | Death/<br>Autopsy<br>Performed? | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| US3672209     | 2020-08-19                  | AMENDMENT 2         | 2021-01-18 (153                           | Protocol<br>)Deviation/<br>PT WAS<br>UNBLINDED.                               |                                 | Yes/<br>2020-09-17 (30)                   |                                                |
| US3672210     | 2020-08-19                  | AMENDMENT 2         | 2 No/<br>2021-03-05 (199                  | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER VACCINE<br>THROUGH WORK |                                 | Yes/<br>2020-09-17 (30)                   |                                                |
| US3672240     | 2020-08-20                  | amendment 2         | No/<br>2021-02-22 (187                    | Lost to Follow-Up<br>)                                                        | )                               | Yes/<br>2020-09-21 (33)                   |                                                |
| US3672251     | 2020-08-21                  | AMENDMENT 2         | ONGOING                                   |                                                                               |                                 | No/<br>2020-09-17 (28)                    | Adverse Event/<br>AE#1                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                   | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3672298     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2021-01-21 (150 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE PFIZER COVID<br>VACCINE |         | Yes/<br>2020-09-28 (35)                                                          |                                                                      |
| US3672336     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-01-24 (152 | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>COVID-19<br>VACCINES         |         | Yes/<br>2020-09-24 (30)                                                          |                                                                      |
| US3672346     | 2020-08-27                  | AMENDMENT 3         | 3 No/<br>2020-10-08 (43) | Lost to Follow-Up                                                          | )       | No/<br>2020-09-23 (28)                                                           | Lost to Follow-Up                                                    |
| US3672363     | 2020-08-27                  | AMENDMENT (         | 3 No/<br>2020-12-17 (113 | Other/<br>REQUEST TO<br>UNBLIND                                            |         | Yes/<br>2020-09-23 (28)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study<br>Date of Study | ./                                                          | Date of | Completed Dosing<br>Date of Dosing        | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|-------------------------------------------------------------|---------|-------------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                  | n Reason for Study<br>Discontinuation/<br>Specified Reason  | Autopsy | Discontinuation<br>or Completion<br>(Day) | Dosing<br>Discontinuation/<br>Specified Reason |
| US3672369     | 2020-08-28                  | AMENDMENT 3         | -                                | Protocol                                                    |         | Yes/<br>2020-09-28 (32)                   | *                                              |
| US3672377     | 2020-08-28                  | AMENDMENT 3         | 3 ONGOING                        |                                                             |         | No/<br>2020-09-24 (28)                    | Adverse Event/<br>AE #5,6,7                    |
| US3672379     | 2020-08-28                  | AMENDMENT 3         | 3 No/<br>2021-01-22 (148         | Protocol<br>)Deviation/<br>RECEIVED PFIZER<br>COVID VACCINE |         | Yes/<br>2020-09-25 (29)                   |                                                |
| JS3672392     | 2020-09-01                  | AMENDMENT 3         | 3 No/<br>2020-11-24 (85)         | Lost to Follow-Up                                           | )       | Yes/<br>2020-09-29 (29)                   |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3672411     | 2020-09-04                  | AMENDMENT 3         | 3 No/<br>2021-01-25 (144                                                      | Protocol<br>)Deviation/<br>REPORTS GETTING<br>THE PFIZER COVID<br>VACCINE |         | Yes/<br>2020-10-02 (29)                                                          |                                                                                                                                                               |
| US3682017     | 2020-08-26                  | AMENDMENT 🤇         | 3 ONGOING                                                                     |                                                                           |         | No/<br>2020-09-22 (28)                                                           | Other/<br>PT. MISSING FOR V2<br>BUT CAME IN A WEEK<br>LATER. WE REQUESTED<br>TWICE WITH EPIP TO<br>BE ABLE TO GIVE<br>SECOND INJECTION<br>BUT WERE TOLD "NO". |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                   | Autopsy            | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------|--------------------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| US3702010     | 2020-09-04                  | AMENDMENT (         | 3 No/<br>2021-02-25 (175 | Death                                                                             | 2021-02-25/<br>UNK | /No/<br>2020-10-01 (28)                                                         | Other/<br>PARTICIPANT REFUSED<br>SECOND INJECTION<br>DUE TO SIDE<br>EFFECTS. HE<br>CONSENTED TO<br>CONTINUE STUDY<br>VISITS. |
| US3702046     | 2020-09-23                  | AMENDMENT (         | 3 No/<br>2021-03-09 (166 | Death                                                                             | 2021-03-09/        | /Yes/<br>2020-10-23 (29)                                                        |                                                                                                                              |
| US3712034     | 2020-09-03                  | AMENDMENT (         | 3 No/<br>2021-03-17 (190 | Protocol<br>Deviation/<br>RECEIVED MODERNA<br>VACCINE PRIOR TO<br>BEING UNBLINDED |                    | Yes/<br>2020-10-06 (28)                                                         |                                                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3712062     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2021-03-05 (172 | Lost to Follow-Up<br>)                                                                                                                                     | )       | Yes/<br>2020-10-13 (29)                                                          |                                                                           |
| US3712165     | 2020-09-23                  | AMENDMENT           | 3 No/<br>2021-02-15 (144 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATES<br>THAT HE HAS<br>"DONE THERE<br>PART" AND WANTS<br>TO WITHDRAW<br>AFTER BEING<br>UNBLINDED |         | Yes/<br>2020-10-28 (34)                                                          |                                                                           |
| US3712172     | 2020-10-01                  | AMENDMENT           | 4 No/<br>2021-02-15 (131 | Other/<br>)RELOCATION                                                                                                                                      |         | Yes/<br>2020-11-05 (29)                                                          |                                                                           |
| US3712253     | 2020-10-16                  | AMENDMENT           | 4 No/<br>2021-03-05 (134 | Lost to Follow-Up<br>)                                                                                                                                     | )       | Yes/<br>2020-11-20 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study                                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3722182     | 2020-08-11                  | AMENDMENT 2         | 2 No/<br>2021-02-26 (200 | Other/<br>)SUBJECT<br>REQUESTED TO<br>DROP OUT OF<br>STUDY DUE TO<br>MOVING OUT OF<br>TOWN |         | Yes/<br>2020-09-09 (30)                                                         |                                                                           |
| US3722352     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-10-16 (54) | Lost to Follow-Up                                                                          | )       | No/<br>2020-09-20 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3722371 2020-08-24 AMENDMENT 2 No/ Protocol Yes/ 2020-09-30 (38) 2021-01-11 (141) Deviation/ SUBJECT RECEIVED THE OTHER VACCINE WHILE BEING ON COVE STUDY. SUBJECT DEMANDED TO BE UNBLINDED EARLY AND PER PROTOCOL SUBJECT WAS REMOVED.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3722406 2020-08-28 AMENDMENT 3 No/ Protocol Yes/ 2020-09-30 (34) 2021-01-04 (130) Deviation/ SUBJECT IS A HEALTHCARE PROFESSIONAL AND INFORMED THE SITE THAT HE TOOK THE PFIZER VACCINE. WE UNBLINDED AND HE HAD GOTTEN THE VACCINE. OFF STUDY DUE TO TAKING OTHER VACCINE.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                     | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                          |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|
| US3722418     | 2020-09-12                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                        |         | No/<br>2020-10-09 (28)                                                          | Other/<br>SUBJECT HAD<br>EXCLUSIONARY MEDS<br>AND WAS NOT GIVEN<br>THE 2ND INJECTION.<br>SUBJECT IS STAYING<br>IN THE STUDY FOR<br>SAFETY REASONS. |
| US3732054     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-03-22 (223                                                      | Protocol<br>)Deviation/<br>RECEIVED EUA<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-09-09 (29)                                                         |                                                                                                                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732086     | 2020-08-18                  | AMENDMENT           | 2 No/<br>2021-03-09 (204) | Protocol<br>Deviation/<br>RECEIVED MODERNA<br>EUA VACCINE<br>OUTSIDE OF STUDY<br>ON 31DEC2020.<br>INFORMED SITE ON<br>09MAR2021. |         | Yes/<br>2020-09-15 (29)                                                         |                                                                           |
| US3732134     | 2020-08-21                  | AMENDMENT           | 2 No/<br>2020-10-13 (54)  | Lost to Follow-Up                                                                                                                | )       | No/<br>2020-09-17 (28)                                                          | Lost to Follow-Up                                                         |
| US3732162     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-03-10 (198) | Physician<br>Decision/<br>SUBJECT<br>INABILITY TO<br>COMPLETE<br>IN-CLINIC VISITS                                                |         | Yes/<br>2020-09-22 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study<br>Date of Study | 7/                                                                                  | Date of           | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for                        |
|---------------|-----------------------------|---------------------|----------------------------------|-------------------------------------------------------------------------------------|-------------------|------------------------------------|------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation                  | n Reason for Study<br>Discontinuation/<br>Specified Reason                          | Death/<br>Autopsy | Discontinuation<br>or Completion   | Dosing<br>Discontinuation/<br>Specified Reason |
| JS3732168     | 2020-08-25                  | AMENDMENT           |                                  | Protocol<br>Deviation/<br>RECEIVED PFIZER<br>EUA COVID-19<br>VACCINE                |                   | Yes/<br>2020-09-22 (29)            |                                                |
| JS3732192     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-02-24 (182         | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>EUA PFIZER<br>VACCINE ON<br>11FEB2021 |                   | Yes/<br>2020-09-25 (30)            |                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                    | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732202     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-07 (134 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>PFIZER EUA FIRST<br>DOSE ON<br>01JAN2021.<br>RELAYED TO SITE<br>ON 07JAN2021. |         | Yes/<br>2020-09-25 (30)                                                         |                                                                           |
| US3732272     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2020-12-29 (118 | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>PFIZER EUA<br>VACCINE ON<br>28DEC2020. SITE<br>AWARE 29DEC2020.                 |         | Yes/<br>2020-09-30 (28)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                           | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|---------------------------|--------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732278     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-16 (104  | Protocol<br>)Deviation/<br>RECEIPT OF<br>OUTSIDE VACCINE                                   |         | Yes/<br>2020-10-06 (33)                                                          |                                                                           |
| US3732279     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-11-30 (88)  | Physician<br>Decision/<br>PHYSICIANS<br>DECISION-<br>SECONDARY TO<br>PSYCHOTIC<br>DISORDER |         | Yes/<br>2020-10-02 (29)                                                          |                                                                           |
| US3732286     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-03-25 (203) | Lost to Follow-Up<br>)                                                                     | )       | Yes/<br>2020-10-07 (34)                                                          |                                                                           |
| US3732311     | 2020-09-10                  | AMENDMENT           | 3 ONGOING                 |                                                                                            |         | No/<br>2020-10-07 (28)                                                           | Adverse Event/<br>AE #2                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                           | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732338     | 2020-09-15                  | AMENDMENT           | 3 No/<br>2021-02-16 (155 | Lost to Follow-Up<br>)                                                                                                                                                                                                   | 0       | Yes/<br>2020-10-13 (29)                                                         |                                                                           |
| US3732363     | 2020-09-21                  | AMENDMENT           | 3 No/<br>2021-01-26 (128 | Lost to Follow-Ug<br>)                                                                                                                                                                                                   | 2       | Yes/<br>2020-10-19 (29)                                                         |                                                                           |
| US3732364     | 2020-09-21                  | AMENDMENT           | 3 No/<br>2021-02-15 (148 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT<br>15FEB2021 AFTER<br>BEING REMOTELY<br>UNBLINDED (EPIP<br>APPROVED) DUE TO<br>LEAVING THE<br>COUNTRY<br>INDEFINITELY IN<br>THE NEAR FUTURE. |         | Yes/<br>2020-10-20 (30)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3732395     | 2020-10-15                  | AMENDMENT           | 3 No/<br>2021-03-25 (162 | Lost to Follow-Up<br>)                                                                                                             | þ       | Yes/<br>2020-11-11 (28)                                                         |                                                                           |
| US3742045     | 2020-08-22                  | AMENDMENT           | 2 No/<br>2021-03-20 (211 | Other/<br>)PARTICIPANT<br>MOVED TO VA AND<br>FEELS IT IS TOO<br>FAR TO CONTINUE<br>VISITS.                                         |         | Yes/<br>2020-09-19 (29)                                                         |                                                                           |
| US3742063     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2021-01-20 (150 | Protocol<br>) Deviation/<br>VOLUNTEER<br>RECEIVED THE<br>POST EUA MODERNA<br>VACCINE OUTSIDE<br>OF STUDY BEFORE<br>BEING UNBLINDED |         | Yes/<br>2020-09-21 (29)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| US3742097     | 2020-08-26                  | AMENDMENT :         | 3 ONGOING                                                                     |                                                                                                           |         | No/<br>2020-09-22 (28)                                                           | Other/<br>VOLUNTEER DECLINED<br>2ND VACCINATION.<br>WILL CONTINUE IN<br>STUDY FOR FOLLOW<br>UP. |
| US3742111     | 2020-08-27                  | AMENDMENT :         | 3 No/<br>2021-01-13 (140                                                      | Protocol<br>)Deviation/<br>VOLUNTEER<br>RECEIVED A DOSE<br>OF PFIZER COVID<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-09-24 (29)                                                          |                                                                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study<br>Date of Study | /                                                                                                      | Date of | Completed Dosing.<br>Date of Dosing | Primary Reason for                      |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------------------------------------------------------------------------|---------|-------------------------------------|-----------------------------------------|
|               | Informed        |                     |                                  | Reason for Study                                                                                       |         | Discontinuation                     | Dosing                                  |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason                                                                   | Autopsy | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason    |
| US3742168     | 2020-08-31      | AMENDMENT 3         | 3 No/<br>2021-01-22 (145         | Protocol<br>)Deviation/<br>VOLUNTEER<br>RECEIVED THE<br>POST EUA PFIZER<br>VACCINE OUTSIDE<br>OF STUDY |         | Yes/<br>2020-09-26 (27)             |                                         |
| US3742169     | 2020-08-31      | AMENDMENT 3         | 3 ONGOING                        |                                                                                                        |         | No/<br>2020-09-27 (28)              | Serious Adverse<br>Event/<br>SAE (AE#2) |
| US3742367     | 2020-09-14      | AMENDMENT (         | 3 No/<br>2021-01-08 (117         | Protocol<br>)Deviation/<br>VOLUNTEER<br>RECEIVED POST<br>EUA MRNA-1273<br>VACCINE OUTSIDE<br>OF STUDY  |         | Yes/<br>2020-10-12 (29)             |                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                              | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                           |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| US3742368     | 2020-09-14                  | AMENDMENT           | 3 No/<br>2020-10-22 (32) | Withdrawal of<br>Consent by<br>Participant/<br>HE NO LONGER<br>WANT TO<br>PARTICIPATE<br>BECAUSE HIS<br>FAMILY DOES NOT<br>WANT HIM TO DO. |         | No/<br>2020-10-18 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT STATED<br>FAMILY DOESN'T WANT<br>HIM TO PARTICIPATE |
| US3742391     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-11-18 (64) | Protocol<br>Deviation/<br>SCHEDULING<br>NONCOMPLIANCE                                                                                      |         | Yes/<br>2020-10-13 (28)                                                          |                                                                                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                              |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| US3742397     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2020-10-14 (28) | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT<br>WANTED CASH NOT<br>GIFT CARD AS<br>PAYMENT. |         | No/<br>2020-10-13 (27)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PARTICIPANT WANTED<br>CASH, NOT GIFT CARD<br>AS PAYMENT |
| US3742417     | 2020-09-18                  | AMENDMENT           | 3 No/<br>2021-01-12 (117 | Protocol<br>)Deviation/<br>VOLUNTEER<br>RECEIVED POST<br>EUA PFIZER<br>VACCINE OUTSIDE<br>OF STUDY.       |         | Yes/<br>2020-10-15 (28)                                                         |                                                                                                        |
| US3752004     | 2020-08-07                  | AMENDMENT           | 1 No/<br>2021-03-10 (216 | Lost to Follow-Ug<br>)                                                                                    | þ       | Yes/<br>2020-09-04 (29)                                                         |                                                                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3752020     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2021-02-02 (177 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>THE PFIZER COVID<br>VACCINE OUTSIDE<br>OF THE STUDY. HE<br>IS BEING<br>WITHDRAWN DUE<br>MODERNA<br>GUIDELINES. |         | Yes/<br>2020-09-08 (30)                                                          |                                                                      |
| US3752056     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-03-10 (211 | Lost to Follow-Up<br>)                                                                                                                                        | )       | Yes/<br>2020-09-11 (31)                                                          |                                                                      |
| US3752060     | 2020-08-12                  | AMENDMENT           | 2 No/<br>2021-03-09 (210 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE PFIZER<br>COVID-19 VACCINE                                                                                 |         | Yes/<br>2020-09-17 (37)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273
Completed Study/ Completed Dosing/

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | "<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                       | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752118     | 2020-08-17                  | AMENDMENT           | 2 No/<br>2021-01-07 (144 | Protocol<br>PATIENT RECEIVED<br>THE MODERNA<br>VACCINE OUTSIDE<br>OF THE STUDY.<br>THIS PATIENT WAS<br>UNBLINDED AND<br>WITHDRAWN PER<br>MODERNA THROUGH<br>AN EPIP THAT WAS<br>SENT. |         | Yes/<br>2020-09-23 (38)                                     |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                             | Autopsy          | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3752172     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-02-24 (189 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>THE PFIZER<br>VACCINE OUTSIDE<br>OF THE STUDY SO<br>WAS UNBLINDED<br>AND BEING<br>DROPPED DUE TO<br>MODERNA<br>GUIDELINES. |                  | Yes/<br>2020-09-15 (27)                                                          |                                                                      |
| US3752173     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-11-01 (74) | Death                                                                                                                                                                     | 2020-11-01,<br>N | /Yes/<br>2020-09-18 (30)                                                         |                                                                      |
| US3752224     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-09-28 (36) | Lost to Follow-Up                                                                                                                                                         | )                | No/<br>2020-09-20 (28)                                                           | Lost to Follow-Up                                                    |

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

<sup>\*</sup> indicates re-screen subjects.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3752258     | 2020-08-26                  | AMENDMENT           | 2 No/<br>2021-01-04 (132 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>THE PFIZER<br>VACCINE OUTSIDE<br>OF THE MODERNA<br>STUDY VIA HIS<br>WORKPLACE UNDER<br>THE EUA.      |         | Yes/<br>2020-09-29 (35)                                                          |                                                                           |
| US3752364     | 2020-09-02                  | AMENDMENT           | 3 No/<br>2021-01-04 (125 | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>PFIZER COVID-19<br>VACCINE VIA HIS<br>WORKPLACE UNDER<br>THE EUA OUTSIDE<br>OF THE MODERNA<br>STUDY. |         | Yes/<br>2020-10-02 (31)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |             | Completed Study | /                 |            | Completed Dosing/ |                    |  |
|-----------|------------|-------------|-----------------|-------------------|------------|-------------------|--------------------|--|
|           |            |             | Date of Study   |                   | Date of    | Date of Dosing    | Primary Reason for |  |
|           | Informed   |             |                 | Reason for Study  |            | Discontinuation   | Dosing             |  |
| Subject   | Consent    | Protocol    | or Completion   | Discontinuation/  | Autopsy    | or Completion     | Discontinuation/   |  |
| ID        | Date       | Version     | (Day)           | Specified Reason  | Performed? | (Day)             | Specified Reason   |  |
| US3752414 | 2020-09-04 | AMENDMENT   | 3 No/           | Protocol          |            | Yes/              |                    |  |
| 000702414 | 2020 09 04 | ANDINDINI   | 2020-12-18 (106 |                   |            | 2020-10-05 (32)   |                    |  |
|           |            |             | 2020 12 10 (100 | EAU VACCINE       |            | 2020 10 05 (52)   |                    |  |
|           |            |             |                 | RECEIVED          |            |                   |                    |  |
|           |            |             |                 | KECEIVED          |            |                   |                    |  |
| US3752421 | 2020-09-08 | AMENDMENT   | 3 No/           | Lost to Follow-Up | )          | Yes/              |                    |  |
|           |            |             | 2021-03-10 (184 | -                 |            | 2020-10-06 (29)   |                    |  |
|           |            |             |                 |                   |            | (                 |                    |  |
| US3762177 | 2020-09-03 | AMENDMENT ( | 3 ONGOING       |                   |            | No/               | Adverse Event/     |  |
|           |            |             |                 |                   |            | 2020-09-30 (28)   | INJECTION SITE     |  |
|           |            |             |                 |                   |            |                   | REACTION AE1,      |  |
|           |            |             |                 |                   |            |                   | AE2, AE3, AND AE4  |  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3772012     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-10-13 (70) | Protocol<br>Deviation/<br>SUBJECT WOULD<br>NOT COMPLY WITH<br>THE PROTOCOL AND<br>WOULD NOT SHOW<br>UP TO<br>APPOINTMENTS |         | Yes/<br>2020-09-08 (35)                                                          |                                                                           |
| US3772013     | 2020-08-05                  | AMENDMENT           | 1 No/<br>2020-10-15 (72) | Protocol<br>Deviation/<br>SUBJECT WOULD<br>NOT COMPLY WITH<br>PROTOCOL<br>REQUIRED VISITS                                 |         | Yes/<br>2020-09-08 (35)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Discontinuation Reason for Study Death/ Informed Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3772077 2020-08-17 AMENDMENT 2 No/ Protocol Yes/ 2021-01-14 (151) Deviation/ 2020-09-11 (26) SUBJECT RECEIVED MODERNA COVID-19 VACCINE OUTSIDE OF STUDY WITHOUT NOTIFYING SITE AND WHEN UNBLINDED IT WAS DISCOVERED THEY ALSO RECEIVED VACCINE IN STUDY

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3772087     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2021-03-10 (205 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT REFUSED<br>TO WEAR A MASK<br>IN OUR FACILITY<br>WHEN PROVIDED<br>WITH A MASK AND<br>ASKED TO WEAR<br>IT, THE SUBJECT<br>DEMANDED THEIR<br>VACCINATION CARD<br>AND TO BE<br>DROPPED FORM THE<br>STUDY |         | Yes/<br>2020-09-14 (28)                                                          |                                                                           |
| US3772107     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2020-10-20 (62) | Lost to Follow-Up                                                                                                                                                                                                                                            | )       | No/<br>2020-09-16 (28)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| US3772188     | 2020-08-31                  | AMENDMENT           | 3 No/<br>2021-01-26 (149                                                      | Other/<br>)RELOCATION  |         | Yes/<br>2020-09-28 (29)                                                          |                                                                                                                                          |
| US3772277     | 2020-09-21                  | AMENDMENT           | 3 No/<br>2020-12-18 (89)                                                      | Lost to Follow-Up<br>) | )       | Yes/<br>2020-10-26 (36)                                                          |                                                                                                                                          |
| US3782111     | 2020-08-28                  | AMENDMENT           | 3 ONGOING                                                                     |                        |         | No/<br>2020-09-24 (28)                                                           | Other/<br>PARTICIPANT AGREES<br>TO BE FOLLOWED BUT<br>DECLINES SECOND<br>VACCINATION OVER<br>CONCERN OF<br>POTENTIAL ADVERSE<br>REACTION |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                    |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3782198     | 2020-10-23                  | AMENDMENT 4         | 4 ONGOING                                                                     |                        |         | No/<br>2020-11-19 (28)                                                           | Physician Decision/<br>PHYSICIAN DECISION<br>DUE TO RASH OF<br>UNKNOWN ETIOLOGY<br>AND NO MEDICAL<br>EVALUATION<br>AVAILABLE TO<br>PATIENT BY PCP<br>BEFORE WINDOW<br>CLOSED |
| US3792085     | 2020-10-02                  | AMENDMENT 4         | No/<br>2020-12-17 (77                                                         | Lost to Follow-Up<br>) | )       | Yes/<br>2020-11-19 (49)                                                          |                                                                                                                                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study/         |                                                                                           |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3792091     | 2020-10-07                  | AMENDMENT           | 4 No/<br>2020-12-14 (69) | Withdrawal of<br>Consent by<br>Participant/<br>WITHDRAWAL OF<br>CONSENT OF THE<br>SUBJECT |         | Yes/<br>2020-11-09 (34)                                     |                                                                      |
| JS3802026     | 2020-08-24                  | AMENDMENT           | 2 No/<br>2021-02-19 (180 | Lost to Follow-Up<br>)                                                                    | )       | Yes/<br>2020-09-22 (30)                                     |                                                                      |
| JS3802212     | 2020-09-10                  | AMENDMENT           | 3 No/<br>2021-03-03 (175 | Lost to Follow-Up<br>)                                                                    | )       | Yes/<br>2020-10-13 (34)                                     |                                                                      |
| US3812025     | 2020-08-04                  | AMENDMENT           | 1 No/<br>2020-12-17 (136 | Protocol<br>)Deviation/<br>RECEIVED EUA<br>PFIZER VACCINE                                 |         | Yes/<br>2020-09-01 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                        | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|------------------------|----------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3812032     | 2020-08-04                  | AMENDMENT 1         | No/<br>2020-12-26 (145 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA/PFIZER<br>VACCINE |         | Yes/<br>2020-08-31 (28)                                                          |                                                                           |
| US3812128     | 2020-08-11                  | AMENDMENT 1         | No/<br>2020-09-01 (22) | Lost to Follow-Up                                                    | )       | No/<br>2020-08-31 (21)                                                           | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | r/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                           |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3822010     | 2020-08-05                  | AMENDMENT 1         | . ONGOING                                                                     |                                                                  |         | No/<br>2020-09-01 (28)                                                          | Other/<br>THE SUBJECT<br>RECEIVED THE FIRST<br>DOSE OF STUDY<br>VACCINE AND WAS<br>TEMPORARILY LOST TO<br>FOLLOW-UP AFTER THE<br>DAY 22 PHONE CALL.<br>THE SUBJECT<br>RECEIVED THE 2ND<br>DOSE OF STUDY<br>VACCINE ON<br>30DEC2020. |
| US3822169     | 2020-08-18                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                  |         | No/<br>2020-09-14 (28)                                                          | Lost to Follow-Up                                                                                                                                                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| US3822293     | 2020-08-27                  | AMENDMENT :         | 2 No/<br>2021-02-24 (182                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT REFUSED<br>TO COME IN FOR<br>THE VISIT AND<br>DECIDED THEY DID<br>NOT WANT TO<br>CONTINUE IN THE<br>STUDY. |         | No/<br>2020-09-23 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT REFUSED TO<br>COME IN FOR THE<br>VISIT AND DOES NOT<br>WANT TO CONTINUE IN<br>THE STUDY. |
| US3822380     | 2020-09-09                  | AMENDMENT 3         | 3 No/<br>2020-11-06 (59)                                                      | Lost to Follow-Up                                                                                                                                                  | )       | Yes/<br>2020-10-05 (27)                                                         |                                                                                                                                                 |
| US3822404     | 2020-09-17                  | AMENDMENT :         | 3 ONGOING                                                                     |                                                                                                                                                                    |         | No/<br>2020-10-14 (28)                                                          | Other/<br>PATIENT HAD TO<br>LEAVE THE COUNTRY<br>DUE TO A FAMILY<br>EMERGENCY                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                | Autopsy          | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3822443     | 2020-09-28                  | AMENDMENT 3         | 3 No/<br>2021-02-11 (137 | Death<br>)                                                                                                   | 2021-02-11,<br>N | /Yes/<br>2020-10-27 (30)                                                         |                                                                           |
| US3832184     | 2020-08-13                  | AMENDMENT 2         | 2 No/<br>2020-10-08 (57) | Lost to Follow-Up                                                                                            | )                | Yes/<br>2020-09-10 (29)                                                          |                                                                           |
| US3832242     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2021-03-24 (216 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT STATES<br>SHE NO LONGER<br>WISHES TO<br>PARTICIPATE. |                  | Yes/<br>2020-09-18 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | Reason for Study                                                                                                                                                         | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3832244     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-09-25 (36)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT STATED<br>HE IS NOT LONGER<br>ABLE TO<br>PARTICIPATE IN<br>STUDY DUE TO<br>UNEXPECTED<br>INCREASE IN<br>WORKLOAD. |         | No/<br>2020-09-17 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>PERSONAL DECISION     |
| US3832250     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                                                          |         | No/<br>2020-09-20 (28)                                                           | Pregnancy                                                            |
| US3842060     | 2020-10-21                  | AMENDMENT 4         | 4 ONGOING                                                                     |                                                                                                                                                                          |         | No/<br>2020-11-17 (28)                                                           | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                                                       |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3852056     | 2020-08-28                  | AMENDMENT           | 3 No/<br>2020-10-22 (56) | Withdrawal of<br>Consent by<br>Participant/<br>SHE HAS CHOSEN<br>TO WITHDRAW FROM<br>THE TRIAL DUE TO<br>THE MIX UP OF<br>DOSES BETWEEN<br>HER AND HER<br>SISTER (2057) |         | Yes/<br>2020-09-25 (29)                                     |                                                                      |
| US3852082     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-02-26 (179 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF THE STUDY. PI<br>NOTIFIED. EPIP<br>SENT.                                                           |         | Yes/<br>2020-10-01 (31)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | /                                                                                                                                                                                   |         | Completed Dosing,                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                            | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3852108     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2021-01-14 (133 | Protocol<br>)Deviation/<br>SUBJECT NOTIFIED<br>US THAT SHE<br>RECEIVED THE<br>PFIZER VACCINE<br>WITHOUT<br>UNBLINDING<br>PRIOR. SUBJECT<br>HAS BEEN<br>WITHDRAWN FROM<br>THE STUDY. |         | Yes/<br>2020-10-06 (33)                                     |                                                                      |
| US3852194     | 2020-09-22                  | AMENDMENT           | 3 No/<br>2020-11-27 (67) | Lost to Follow-Up                                                                                                                                                                   | )       | Yes/<br>2020-10-21 (30)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3852200     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2020-12-18 (58) | Protocol<br>Deviation/<br>PATIENT FAILS TO<br>SHOW FOR<br>APPOINTMENTS AND<br>RETURN CALLS.<br>ONLY ONE<br>INJECTION GIVEN<br>FOR VISIT 1. |         | No/<br>2020-11-18 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                                                                                                                                             |                   | Completed Dosing                  |                                      |
|---------------|-----------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study            | Reason for Study                                                                                                                                              | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                          | Discontinuation/<br>Specified Reason                                                                                                                          | Autopsy           | or Completion                     | Discontinuation/<br>Specified Reason |
| US3862003     | 2020-08-11      | AMENDMENT           | 1 No/<br>2021-01-06 (149 | Protocol<br>)Deviation/<br>RECEIVED A 3RD<br>DOSE OF MODERNA<br>VACCINE FROM<br>EMPLOYER AFTER<br>THE 1ST 2 DOSES<br>WERE GIVEN IN<br>PART-A OF THE<br>TRIAL. |                   | Yes/<br>2020-09-15 (36)           |                                      |
| US3862100     | 2020-09-01      | AMENDMENT           | 3 No/<br>2021-01-20 (142 | Protocol<br>)Deviation/<br>#4; SUBJECT<br>RECEIVED ON<br>19JAN2021 EUA<br>COVID19 VACCINE                                                                     |                   | Yes/<br>2020-09-29 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason         | Autopsy            | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3862141     | 2020-09-08                  | AMENDMENT (         | 3 No/<br>2021-03-09 (183 | Death<br>)                                                            | 2021-03-09,<br>UNK | /Yes/<br>2020-10-06 (29)                                                         |                                                                      |
| US3862175     | 2020-09-11                  | AMENDMENT           | 3 ONGOING                |                                                                       |                    | No/<br>2020-10-08 (28)                                                           | Adverse Event/<br>AE #1 AND #2                                       |
| US3862203     | 2020-09-16                  | AMENDMENT (         | 3 No/<br>2020-12-18 (94) | Protocol<br>Deviation/<br>PD FOR NON STUDY<br>EUA COVID 19<br>VACCINE |                    | Yes/<br>2020-10-15 (30)                                                          |                                                                      |
| US3862261     | 2020-09-29                  | AMENDMENT (         | 3 No/<br>2021-02-20 (145 | Lost to Follow-Up<br>)                                                | )                  | Yes/<br>2020-10-27 (29)                                                          |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study                  | /                                                                           |                   | Completed Dosing,                 | /                                    |
|---------------|-----------------|---------------------|----------------------------------|-----------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuation | Reason for Study                                                            | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                        |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| JS3862262     | 2020-09-29      | AMENDMENT :         | 3 No/<br>2020-12-24 (87)         | Protocol<br>Deviation/<br>EUA COVID 19<br>VACCINE (PFIZER<br>VACCINE)       |                   | No/<br>2020-10-26 (28)            | Adverse Event/<br>AE #1              |
| JS3862286     | 2020-10-16      | AMENDMENT ·         | 4 ONGOING                        |                                                                             |                   | No/<br>2020-11-12 (28)            | Adverse Event/<br>AE# 01             |
| US3872126     | 2020-08-11      | AMENDMENT :         | - /                              | Other/<br>)SUBJECT MOVED TO<br>CANADA AND<br>VERBALLY WITH<br>DREW CONSENT. |                   | Yes/<br>2020-09-08 (29)           |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                            | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872153     | 2020-08-12                  | AMENDMENT           | 1 No/<br>2020-09-14 (34)                                                      | Protocol<br>Deviation/<br>SUBJECT WAS NOT<br>COOPERATIVE WHEN<br>TRYING TO<br>RESCHEDULE HIS<br>V2 HE MISSED.<br>SUBJECT SAID HE<br>WAS ON THE EAST<br>COAST AND WAS<br>NOT RETURNING<br>FOR AT LEAST A<br>COUPLE MONTHS.<br>HE WOULD NOT<br>GIVE FURTHER<br>INFORMATION |         | No/<br>2020-09-08 (28)                                                          | Physician Decision/<br>SUBJECT WAS NOT<br>COOPERATIVE WHEN<br>TRYING TO<br>RESCHEDULE HIS V2<br>HE MISSED. SUBJECT<br>SAID HE WAS ON THE<br>EAST COAST AND WAS<br>NOT RETURNING FOR<br>AT LEAST A COUPLE<br>MONTHS. HE WOULD<br>NOT GIVE FURTHER<br>INFORMATION |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy          | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                               |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|------------------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| US3872202     | 2020-08-14                  | AMENDMENT 3         | l ONGOING                                                                     |                                                               |                  | No/<br>2020-09-10 (28)                                                           | Other/<br>NP SWAB RESULTS NOT<br>BACK FROM LAB.                                                                                         |
| US3872276     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                               |                  | No/<br>2020-09-20 (28)                                                           | Protocol Deviation/<br>V2 OOW TOO FAR                                                                                                   |
| US3872318     | 2020-08-27                  | AMENDMENT 2         | 2 No/<br>2020-09-16 (21)                                                      | Death                                                         | 2020-09-16/<br>N | ′No/<br>2020-09-16 (21)                                                          | Death                                                                                                                                   |
| US3872319     | 2020-08-27                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                               |                  | No/<br>2020-09-23 (28)                                                           | Protocol Deviation/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PER PI TO<br>SKIP 2 AND MOVE ON<br>TO V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatio<br>or Completion<br>(Day) | y/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                            |
|---------------|-----------------------------|---------------------|------------------------------------------------------------------------------|------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872321     | 2020-08-27                  | AMENDMENT 3         | 3 ONGOING                                                                    |                                                                  |         | No/<br>2020-09-23 (28)                                                          | Protocol Deviation/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PER PI TO<br>SKIP 2 AND MOVE ON<br>TO V3                              |
| US3872348     | 2020-08-28                  | AMENDMENT :         | 3 ONGOING                                                                    |                                                                  |         | No/<br>2020-09-24 (28)                                                          | Protocol Deviation/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PER MM AND<br>WAS RECOMMENDED TO<br>PI TO SKIP 2 AND<br>MOVE ON TO V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol  | Completed Study<br>Date of Study<br>Discontinuatio<br>or Completion | //<br>n Reason for Study<br>Discontinuation/ | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/                                                                                                                |
|-----------|---------------------|-----------|---------------------------------------------------------------------|----------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ID        | Date                | Version   | (Day)                                                               | Specified Reason                             |                                                                        | Specified Reason                                                                                                                                                     |
| US3872351 | 2020-08-28          | AMENDMENT | 3 ONGOING                                                           |                                              | No/<br>2020-09-24 (28)                                                 | Protocol Deviation/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PER MM AND<br>WAS RECOMMENDED TO<br>PI TO SKIP 2 AND<br>MOVE ON TO V3 |
| US3872358 | 2020-08-29          | AMENDMENT | 3 ONGOING                                                           |                                              | No/<br>2020-09-25 (28)                                                 | Protocol Deviation/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PER MM AND<br>WAS RECOMMENDED TO<br>PI TO SKIP 2 AND<br>MOVE ON TO V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | <u>/</u>                                                   |         | Completed Dosing                                            | /                                                                                                                                                                                                                                                               |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                            |
| US3872371     | 2020-08-29                  | AMENDMENT (         | 3 ONGOING                                                  |                                                            |         | No/<br>2020-09-25 (28)                                      | Protocol Deviation/<br>SWAB RESULTS WERE<br>NOT BACK AT SITE .<br>V2 TOO FAR OOW                                                                                                                                                                                |
| US3872388     | 2020-08-31                  | AMENDMENT (         | 3 ONGOING                                                  |                                                            |         | No/<br>2020-09-27 (28)                                      | Protocol Deviation/<br>SUBJECT WAS<br>SCHEDULED FOR V2<br>BUT BP WAS TOO HIGH<br>TO BE SEEN THAT<br>DAY, RESCHEDULED<br>VISIT. SUBJECT THEN<br>RETURNED FOR R/S V2<br>AND REPORTED FLU<br>VACCINE, V2 WAS<br>SKIPPED BECAUSE TOO<br>FAR OOW. WILL<br>PROCEED V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872396     | 2020-08-31                  | AMENDMENT           | 3 ONGOING                                                                     |                    |         | No/<br>2020-09-27 (28)                                                          | Protocol Deviation/<br>SUBJECT HAD<br>ELECTIVE SURGERY<br>AND WAS ON<br>ANTIBIOTIC , WHEN<br>DONE V2 WAS TOO FAR<br>OOW PER MM AND WAS<br>RECOMMENDED TO PI<br>TO SKIP 2 AND MOVE<br>ON TO V3 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872397     | 2020-08-31                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                 |         | No/<br>2020-09-27 (28)                                                          | Protocol Deviation/<br>DUE TO ELEVATED BP<br>AT PREVIOUS<br>ATTEMPTED V2 PT 'S<br>V2 WAS TOO FAR OOW<br>PER MM AND WAS<br>RECOMMENDED TO PI<br>TO SKIP 2 AND MOVE<br>ON TO V3 |
| US3872417     | 2020-09-02                  | AMENDMENT :         | 2 No/<br>2021-01-14 (135                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>PERSONAL CHOICE |         | No/<br>2020-09-29 (28)                                                          | Protocol Deviation/<br>SWAB RESULTS NOT<br>BACK FROM LAB, V2<br>TOO FAR OOW WHEN<br>WAS RECEIVED. PER<br>SPONSOR SKIP V2 AND<br>GO V3.                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |             | Completed Study                                  | <u>/</u> /                             | Completed Dosing,                                  |                                                                                                                                   |
|-----------|---------------------|-------------|--------------------------------------------------|----------------------------------------|----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | Date of Study<br>Discontinuatio<br>or Completion | n Reason for Study<br>Discontinuation/ | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/                                                                                  |
| ID        | Date                | Version     | (Day)                                            | Specified Reason                       | <br>(Day)                                          | Specified Reason                                                                                                                  |
| US3872423 | 2020-09-02          | AMENDMENT ( | 3 ONGOING                                        |                                        | No/<br>2020-09-29 (28)                             | Protocol Deviation/<br>THE V2 WAS TOO FAR<br>OOW PER MM AND<br>EPIPS IT IS<br>RECOMMENDED TO PI<br>TO SKIP 2 AND MOVE<br>ON TO V3 |
| US3872425 | 2020-09-02          | AMENDMENT 3 | 3 ONGOING                                        |                                        | No/<br>2020-09-29 (28)                             | Protocol Deviation/<br>SWAB RESULT WAS NOT<br>BACK FROM LAB AND<br>BY THE TIME IT WAS<br>RECEIVED THE V2 WAS<br>TOO FAR OOW.      |
| US3872429 | 2020-09-02          | AMENDMENT ( | 3 ONGOING                                        |                                        | No/<br>2020-09-29 (28)                             | Protocol Deviation/<br>V2 TOO FAR OOW WAS<br>INSTRUCTED TO SKIP<br>V2 AND GO TO V3                                                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study<br>Date of Study | 7 /                                  | Date of               | Completed Dosing,<br>Date of Dosing | /<br>Primary Reason for                                                                                               |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------|-----------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
|               | Informed        |                     | -                                | n Reason for Study                   | Death/                | Discontinuation                     | Dosing                                                                                                                |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason | Autopsy<br>Performed? | or Completion<br>(Day)              | Discontinuation/<br>Specified Reason                                                                                  |
| US3872437     | 2020-09-03      | AMENDMENT           | 3 ONGOING                        |                                      |                       | No/<br>2020-09-30 (28)              | Protocol Deviation/<br>V2 TOO FAR OOW WAS<br>INSTRUCTED TO SKIP<br>V2 AND GO TO V3. NO<br>SWAB RESULT BACK IN<br>TIME |
| US3872439     | 2020-09-03      | AMENDMENT :         | 3 ONGOING                        |                                      |                       | No/<br>2020-09-30 (28)              | Protocol Deviation/<br>THE V2 WAS TOO FAR<br>OOW PER MM IT IS<br>RECOMMENDED TO PI<br>TO SKIP 2 AND MOVE<br>ON TO V3  |
| US3872441     | 2020-09-03      | AMENDMENT           | 3 ONGOING                        |                                      |                       | No/<br>2020-09-30 (28)              | Protocol Deviation/<br>SUBJECTS V2 NOT<br>DONE DUE TO LACK OF<br>LAB SUPPLIES FROM<br>SPONSOR ON SITE.                |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date. Program Path: \\wilbtia\wilbtia01\Moderna MODMRNA1273P301\_U\BLA\TLF\116020101.sas 23JUN2021 16:31

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## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                          |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| US3872443     | 2020-09-03                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                  |         | No/<br>2020-09-30 (28)                                                          | Protocol Deviation/<br>V1 SWAB RESULTS NOT<br>BACK BEFORE V2 .<br>WHEN RESULTS<br>RECEIVED AT SITE<br>TOO FAR OOW TO<br>CONDUCT 2, |
| US3872444     | 2020-09-03                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                  |         | No/<br>2020-09-30 (28)                                                          | Protocol Deviation/<br>SUBJECTS VISIT 2<br>WAS TOO FAR OOW TO<br>BE DONE PER EPIP.                                                 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatio<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                         |
|---------------|-----------------------------|---------------------|------------------------------------------------------------------------------|-----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872445     | 2020-09-03                  | AMENDMENT           |                                                                              |                                                                 |         | No/<br>2020-09-30 (28)                                                          | Protocol Deviation/<br>V2NOT COMPLETED DUE<br>TO NO LAB KIT A'S<br>ON SITE DUE TO<br>STUDY SHORTAGE. V2<br>TOO FAR OOW WHEN<br>WAS RECEIVED. PER<br>SPONSOR SKIP V2 AND<br>GO V3. |
| US3872452     | 2020-09-03                  | AMENDMENT           | 3 ONGOING                                                                    |                                                                 |         | No/<br>2020-09-30 (28)                                                          | Protocol Deviation/<br>SUBJECTS SWAB<br>RESULTS NOT BACK ,<br>CXLD V2 AND WAS<br>OVERLOOKED TO<br>RESCHEDULE.                                                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | /                                                                                 |                                            | Completed Dosing                                            | /                                                                                                                                          |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                        | Date of<br>Death/<br>Autopsy<br>Performed? | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                       |
| US3872469     | 2020-09-08                  | AMENDMENT           | 3 ONGOING                                                  |                                                                                   |                                            | No/<br>2020-10-05 (28)                                      | Protocol Deviation/<br>V2 POSTPONED DUE TO<br>RECEIPT OF FLU<br>VACCINE 100CT20 AND<br>THEN MOVED OUT OF<br>STATE PRIOR TO<br>RESCHEDULING |
| US3872479     | 2020-09-08                  | AMENDMENT           |                                                            | Other/<br>)SUBJECT RECEIVED<br>PFIZER VACCINE<br>OUTSIDE OF STUDY<br>ON 05JAN2021 |                                            | No/<br>2020-10-05 (28)                                      | Protocol Deviation/<br>SUBJECT WAS A NO<br>CALL NO SHOW FOR<br>SCHEDULED V2 WAS<br>NOT RESCHEDULED IN<br>OVERSITE.                         |
| US3872485     | 2020-09-09                  | AMENDMENT           | 3 ONGOING                                                  |                                                                                   |                                            | No/<br>2020-10-06 (28)                                      | Protocol Deviation/<br>VISIT 2 WAS TOO FAR<br>OOW TO BE<br>COMPLETED.                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy            | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|
| US3872489     | 2020-09-09                  | AMENDMENT           | 3 ONGOING                                                                     |                                                               |                    | No/<br>2020-10-06 (28)                                                           | Protocol Deviation/<br>VISIT 2 NOT DONE<br>DUE TO NO LAB KITS<br>AND SPONSOR<br>ADVISING SITE NOT<br>TO CONTINUE AND<br>SKIP V2 |
| US3872496     | 2020-09-09                  | AMENDMENT           | 3 No/<br>2020-12-17 (100                                                      | Death<br>)                                                    | 2020-12-17/<br>UNK | Yes/<br>2020-10-09 (31)                                                          |                                                                                                                                 |
| US3872506     | 2020-09-10                  | AMENDMENT           | 3 ONGOING                                                                     |                                                               |                    | No/<br>2020-10-07 (28)                                                           | Other/<br>SUBJECT HAD BACK TO<br>BACK ILLNESS VISITS<br>AFTER V1. SWAB FROM<br>ILLNESS VISIT 1 WAS<br>NEGATIVE                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3882010     | 2020-09-02                  | AMENDMENT (         | 3 No/<br>2021-02-02 (153                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT OPTED TO<br>BE UN BLINDED<br>AND DISENROLL<br>FROM STUDY. |         | Yes/<br>2020-10-02 (30)                                                          |                                                                           |
| US3882016     | 2020-09-03                  | AMENDMENT (         | 3 No/<br>2021-03-04 (182                                                      | Physician<br>)Decision/<br>NON COMPLIANT                                                                          |         | Yes/<br>2020-10-02 (29)                                                          |                                                                           |
| US3882049     | 2020-10-19                  | AMENDMENT 4         | 4 ONGOING                                                                     |                                                                                                                   |         | No/<br>2020-11-19 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3892001     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-09-21 (29)                                                      | Lost to Follow-Up                                                                                                 | )       | Yes/<br>2020-09-21 (29)                                                          |                                                                           |

<sup>\*</sup> indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol    | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | /<br>Reason for Study<br>Discontinuation/                                                                   |                       | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version     | (Day)                                                                | Specified Reason                                                                                            | Autopsy<br>Performed? | -                                                                       | Specified Reason                                      |
| US3892033 | 2020-08-31          | amendment 3 | No/<br>2021-01-27 (150                                               | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OUTSIDE EUA<br>VACCINE (PFIZER<br>MRNA LNP-S)                |                       | Yes/<br>2020-09-28 (29)                                                 |                                                       |
| US3902018 | 2020-09-02          | AMENDMENT 3 | 3 No/<br>2021-02-25 (177                                             | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT ELECTED<br>TO DISCONTINUE<br>STUDY ON EUA<br>CONSENT |                       | Yes/<br>2020-09-30 (29)                                                 |                                                       |
| US3902030 | 2020-09-03          | amendment 3 | 3 ONGOING                                                            |                                                                                                             |                       | No/<br>2020-09-30 (28)                                                  | Adverse Event/<br>AE #1                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

#### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |            |                | Completed Study          | 7/                 |             | Completed Dosing,       | /                   |
|-----------|------------|----------------|--------------------------|--------------------|-------------|-------------------------|---------------------|
|           |            |                | Date of Study            |                    | Date of     | Date of Dosing          | Primary Reason for  |
|           | Informed   |                |                          | n Reason for Study |             | Discontinuation         | Dosing              |
| Subject   | Consent    | Protocol       | -                        | Discontinuation/   |             | or Completion           | Discontinuation/    |
| ID        | Date       | Version        | (Day)                    | Specified Reason   | Performed?  | (Day)                   | Specified Reason    |
| US3902075 | 2020-09-11 | AMENDMENT 3    | 3 No/<br>2020-12-31 (112 | Lost to Follow-Up  | 0           | Yes/<br>2020-10-16 (36) |                     |
| US3902084 | 2020-09-15 | AMENDMENT      | 3 No/                    | Lost to Follow-Up  | 2           | Yes/                    |                     |
| 055502004 | 2020 09 13 | ANDINDINGINI . | 2021-02-16 (155          | -                  |             | 2020-10-13 (29)         |                     |
|           |            |                | (                        | ,                  |             | (,                      |                     |
| US3912024 | 2020-08-28 | AMENDMENT      | 3 No/                    | Death              | 2020-11-22, | /Yes/                   |                     |
|           |            |                | 2020-11-22 (87)          | )                  | Ν           | 2020-09-24 (28)         |                     |
|           |            |                | _ ,                      |                    |             | ,                       |                     |
| US3912061 | 2020-09-01 | AMENDMENT 3    |                          | Lost to Follow-Up  | C           | Yes/                    |                     |
|           |            |                | 2020-10-13 (43)          | )                  |             | 2020-09-29 (29)         |                     |
| US3912114 | 2020-09-04 |                | 3 ONCOTNC                |                    |             | No/                     | Protocol Deviation/ |
| 055912114 | 2020 09 04 | AMENDMENT .    | 5 ONGOING                |                    |             | 2020-10-01 (28)         |                     |
|           |            |                |                          |                    |             | 2020 20 02 (20)         | TO HAVE NOT MET     |
|           |            |                |                          |                    |             |                         | INCLUSION #7        |
|           |            |                |                          |                    |             |                         |                     |
| US3912152 | 2020-09-11 | AMENDMENT 3    |                          | Lost to Follow-Up  | <u>þ</u>    | Yes/                    |                     |
|           |            |                | 2020-11-02 (53)          | )                  |             | 2020-10-09 (29)         |                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study          | /                                    |         | Completed Dosing,       | /                                                                              |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------|---------|-------------------------|--------------------------------------------------------------------------------|
|               |                 |                     | Date of Study            |                                      | Date of | Date of Dosing          | Primary Reason for                                                             |
|               | Informed        | _                   |                          | Reason for Study                     |         | Discontinuation         | Dosing                                                                         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason |         | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason                                           |
| US3912191     | 2020-09-18      | AMENDMENT           | 3 No/<br>2021-01-18 (123 | Lost to Follow-Up<br>)               | þ       | Yes/<br>2020-10-22 (35) |                                                                                |
| US3922036     | 2020-08-26      | AMENDMENT :         | 2 No/<br>2020-11-30 (97) |                                      |         | No/<br>2020-09-22 (28)  | Physician Decision/<br>PHYSICIAN DECISION<br>DUE TO SUBJECT<br>NON-COMPLIANCE. |
| US3922076     | 2020-09-02      | AMENDMENT           | 3 No/<br>2020-09-14 (13) | -                                    |         | No/<br>2020-09-13 (12)  | Physician Decision/<br>PI DECISION DUE TO<br>SUBJECT NON-<br>COMPLIANCE        |
| US3922080     | 2020-09-03      | AMENDMENT           | 3 No/<br>2021-02-25 (176 | Lost to Follow-Up<br>)               | 2       | No/<br>2020-09-30 (28)  | Adverse Event/<br>AE #1                                                        |
| US3922137     | 2020-10-12      | AMENDMENT           | 4 No/<br>2020-11-19 (39) | Lost to Follow-Up                    | 0       | No/<br>2020-11-08 (28)  | Lost to Follow-Up                                                              |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                       | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| US3932008     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-02-22 (181                                                      | Protocol<br>)Deviation/<br>SUBJECT<br>VACCINATED<br>OUTSIDE OF<br>CLINIC |                                            | Yes/<br>2020-09-28 (34)                                                         |                                                                                            |
| US3932086     | 2020-09-08                  | AMENDMENT 3         | ONGOING                                                                       |                                                                          |                                            | No/<br>2020-10-05 (28)                                                          | Lost to Follow-Up                                                                          |
| US3932141     | 2020-09-16                  | AMENDMENT 3         | 8 No/<br>2021-01-05 (112                                                      | Lost to Follow-Ug)                                                       |                                            | No/<br>2020-10-13 (28)                                                          | Protocol Deviation/<br>SECOND VISIT WAS<br>OUT OF WINDOW AND<br>COULD NOT BE<br>CONDUCTED. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                                                      | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3932142     | 2020-09-16                  | AMENDMENT (         | <sup>3</sup> No/<br>2021-02-22 (160                                           | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT<br>EXPRESSED<br>WANTING TO BE<br>UNBLINDED AND<br>WITHDREW FROM<br>STUDY SUBJECT<br>CITED RELIGIOUS<br>REASONS. |         | Yes/<br>2020-10-12 (27)                                                         |                                                                           |
| US3932163     | 2020-09-21                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                                                                                                         |         | No/<br>2020-10-18 (28)                                                          | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Study/ Completed Dosing/ Date of Dosing Date of Study Primary Reason for Date of Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion Discontinuation/ Specified Reason Performed? (Day) Specified Reason ID Date Version (Day) US3932174 2020-09-23 AMENDMENT 3 No/ Other/ Yes/ 2021-01-25 (125) THE PATIENT 2020-10-19 (27) DECIDED TO WITHDRAW CONSENT. THE PATIENT INFORMED SITE THAT THEY ARE MOVING OUT OF STATE AND NEEDS TO DROP FROM STUDY WITH NO FURTHER PARTICIPATION.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                               | Autopsy            | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                    |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3932189     | 2020-09-25                  | AMENDMENT :         | 3 No/<br>2021-03-13 (170                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>OTHER VACCINE<br>OUTSIDE OF STUDY |                    | No/<br>2020-10-22 (28)                                                           | Protocol Deviation/<br>PATIENT WAS<br>SCHEDULED FOR V2<br>BUT WOULD NOT MAKE<br>THE WINDOW DUE TO<br>BEING OUT OF TOWN,<br>V2 DOSE NOT<br>ADMINISTERED. |
| US3932197     | 2020-09-26                  | AMENDMENT 3         | 3 No/<br>2021-03-13 (169                                                      | Death<br>))                                                                      | 2021-03-13,<br>UNK | /Yes/<br>2020-10-27 (32)                                                         |                                                                                                                                                         |
| US3932207     | 2020-09-28                  | AMENDMENT 3         | 3 No/<br>2020-10-06 (9)                                                       | Lost to Follow-Up                                                                | )                  | No/<br>2020-10-05 (8)                                                            | Lost to Follow-Up                                                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study                  | /                                                                           |                   | Completed Dosing                  | /                                    |
|---------------|-----------------|---------------------|----------------------------------|-----------------------------------------------------------------------------|-------------------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study<br>Discontinuatior | n Reason for Study                                                          | Date of<br>Death/ | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                        |                   | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason |
| US3932214     | 2020-09-30      | AMENDMENT :         | 3 No/<br>2021-03-16 (168         | Other/<br>)SUBJECT MOVED<br>OUT OF STATE AND<br>NOT WILLING TO<br>TRANSFER. |                   | Yes/<br>2020-11-03 (35)           |                                      |
| US3932222     | 2020-10-03      | AMENDMENT 4         | 4 ONGOING                        |                                                                             |                   | No/<br>2020-10-30 (28)            | Lost to Follow-Up                    |
| US3932246     | 2020-10-13      | AMENDMENT 4         | 4 No/<br>2021-01-02 (82)         | Death                                                                       | 2021-01-02,<br>Y  | /Yes/<br>2020-11-10 (29)          |                                      |
| US3942021     | 2020-08-28      | AMENDMENT 3         | 3 No/<br>2020-10-08 (42)         | Adverse Event/<br>AE#2                                                      |                   | No/<br>2020-09-24 (28)            | Adverse Event/<br>AE#2               |
| US3942031     | 2020-08-29      | AMENDMENT 3         | 3 No/<br>2020-10-23 (56)         | Lost to Follow-Up                                                           | 0                 | No/<br>2020-09-25 (28)            | Lost to Follow-Up                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study        | /                                    |            | Completed Dosing       | /                                    |
|---------------|-----------------|---------------------|------------------------|--------------------------------------|------------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study          |                                      | Date of    | Date of Dosing         | Primary Reason for                   |
|               | Informed        |                     |                        | Reason for Study                     |            | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |            | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
|               | Date            | VEISION             | (Day)                  | Specified Reason                     | rerrormed. | (Day)                  | Specified Reason                     |
| JS3942037     | 2020-09-01      | AMENDMENT           | 3 No/                  | Withdrawal of                        |            | Yes/                   |                                      |
|               |                 |                     | 2020-10-13 (43)        | Consent by                           |            | 2020-10-06 (36)        |                                      |
|               |                 |                     |                        | Participant/                         |            |                        |                                      |
|               |                 |                     |                        | SUBJECT CALLED                       |            |                        |                                      |
|               |                 |                     |                        | FOR DAY 36 TC                        |            |                        |                                      |
|               |                 |                     |                        | AND YELLED AT                        |            |                        |                                      |
|               |                 |                     |                        | SITE THAT THEY                       |            |                        |                                      |
|               |                 |                     |                        | NEVER WISHED TO                      |            |                        |                                      |
|               |                 |                     |                        | BE CALLED AGAIN                      |            |                        |                                      |
|               |                 |                     |                        | AND THEY ARE                         |            |                        |                                      |
|               |                 |                     |                        | WITHDRAWING FROM                     |            |                        |                                      |
|               |                 |                     |                        | THE STUDY DUE TO                     |            |                        |                                      |
|               |                 |                     |                        | PERSONAL REASONS                     |            |                        |                                      |
| JS3942070     | 2020-09-04      | AMENDMENT           | 3 No/                  | Lost to Follow-Up                    | )          | Yes/                   |                                      |
|               |                 |                     | 2021-02-17 (167)       | )                                    |            | 2020-10-02 (29)        |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|           |                     |             | Completed Study          | /                                                                    |            | Completed Dosing                                   | /                                                |
|-----------|---------------------|-------------|--------------------------|----------------------------------------------------------------------|------------|----------------------------------------------------|--------------------------------------------------|
| Subject   | Informed<br>Consent | Protocol    | or Completion            | n Reason for Study<br>Discontinuation/                               | Autopsy    | Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for<br>Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                    | Specified Reason                                                     | Performed? | (Day)                                              | Specified Reason                                 |
| US3942121 | 2020-09-17          | AMENDMENT   | 3 ONGOING                |                                                                      |            | No/<br>2020-10-21 (28)                             | Other/<br>OOW DUE TO<br>CONVALESCENT PERIOD      |
| US3952019 | 2020-09-01          | AMENDMENT : | 2 No/<br>2020-12-30 (121 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT IS<br>MOVING |            | Yes/<br>2020-10-02 (32)                            |                                                  |
| US3952032 | 2020-09-02          | AMENDMENT : | - /                      | Other/<br>MOVING OVERSEAS                                            |            | Yes/<br>2020-10-02 (31)                            |                                                  |
| US3952092 | 2020-09-15          | AMENDMENT   | 3 No/<br>2021-03-11 (178 | Protocol<br>)Deviation/<br>RECEIVED OUTSIDE<br>EUA VACCINE           |            | Yes/<br>2020-10-15 (31)                            |                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                            | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                       |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| US3952100     | 2020-09-17                  | AMENDMENT (         | 3 No/<br>2021-01-15 (121 | Protocol<br>)Deviation/<br>SUBJECT TOOK<br>EMERGENCY USE<br>AUTHORIZATION<br>VACCINE OUTSIDE<br>OF STUDY ON 09<br>JAN 2021 |         | Yes/<br>2020-10-20 (34)                                                          |                                                                                                                            |
| US3952104     | 2020-09-17                  | AMENDMENT (         | 3 ONGOING                |                                                                                                                            |         | No/<br>2020-10-14 (28)                                                           | Adverse Event/<br>#1                                                                                                       |
| US3952114     | 2020-09-18                  | AMENDMENT (         | 3 ONGOING                |                                                                                                                            |         | No/<br>2020-10-15 (28)                                                           | Other/<br>DAY 1 COVID-19 PCR<br>TEST RESULT NOT<br>AVAILABLE FOR PI<br>REIVEW UNTIL DAY 29<br>VISIT WINDOW HAD<br>EXPIRED. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

#### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study/         |                                      |             | Completed Dosing/      |                                      |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------|-------------|------------------------|--------------------------------------|
|               |                 |                     | Date of Study            |                                      | Date of     | Date of Dosing         | Primary Reason for                   |
|               | Informed        | _                   |                          | Reason for Study                     |             | Discontinuation        | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)   | Discontinuation/<br>Specified Reason |             | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| US3952150     | 2020-09-24      | AMENDMENT           | 3 No/<br>2020-11-13 (51) | Lost to Follow-Up                    | )           | No/<br>2020-10-21 (28) | Lost to Follow-Up                    |
| US3952158     | 2020-09-28      | AMENDMENT (         | 3 ONGOING                |                                      |             | No/<br>2020-10-25 (28) | Adverse Event/<br>#1                 |
| US3952216     | 2020-10-09      | AMENDMENT 4         | 4 ONGOING                |                                      |             | No/<br>2020-11-05 (28) | Adverse Event/<br>#2                 |
| US3962094     | 2020-09-09      | AMENDMENT 3         | 3 No/                    | Death                                | 2020-10-15/ | 'No/                   | Serious Adverse                      |
|               |                 |                     | 2020-10-15 (37)          |                                      | N           | 2020-10-06 (28)        | Event/<br>SAE #1                     |
| US3972010     | 2020-08-24      | AMENDMENT 2         | 2 No/                    | Death                                | 2021-01-08/ | Yes/                   |                                      |
|               |                 |                     | 2021-01-08 (138          | )                                    | UNK         | 2020-09-21 (29)        |                                      |
| US3972045     | 2020-08-27      | AMENDMENT           | 3 No/                    | Death                                | 2020-12-03/ | Yes/                   |                                      |
|               |                 |                     | 2020-12-03 (99)          |                                      | UNK         | 2020-09-24 (29)        |                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3972097     | 2020-09-03                  | AMENDMENT           | 3 No/<br>2021-01-29 (149                                                      | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>EUA DOSE FROM<br>OUTSIDE THE<br>STUDY                     |         | Yes/<br>2020-10-01 (29)                                                          |                                                                           |
| US3972225     | 2020-10-12                  | AMENDMENT           | 4 ONGOING                                                                     |                                                                                                          |         | No/<br>2020-11-08 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3982023     | 2020-08-27                  | AMENDMENT           | 3 No/<br>2021-01-11 (138                                                      | Protocol<br>)Deviation/<br>UNBLINDED/<br>RECEIVED EUA<br>PFIZER COVID 19<br>VACCINE OUTSIDE<br>OF STUDY. |         | Yes/<br>2020-09-24 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

#### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Discontinuation<br>or Completion | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason | Death/<br>Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|----------------------------------|---------------------------------------------------------------|-------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3982026     | 2020-08-27                  | AMENDMENT 3         | 3 No/<br>2020-11-30 (96)         | Lost to Follow-Up                                             | )                 | Yes/<br>2020-09-24 (29)                                                          |                                                                           |
| US3982061     | 2020-08-31                  | AMENDMENT :         | 3 No/<br>2020-12-14 (106         | Lost to Follow-Up<br>)                                        | )                 | Yes/<br>2020-10-02 (33)                                                          |                                                                           |
| US3982091     | 2020-09-03                  | AMENDMENT :         | 3 No/<br>2020-11-02 (61)         | Lost to Follow-Up                                             | )                 | Yes/<br>2020-10-05 (33)                                                          |                                                                           |
| US3982142     | 2020-09-09                  | AMENDMENT 3         | 3 No/<br>2020-09-28 (20)         | Lost to Follow-Up                                             | )                 | No/<br>2020-09-27 (19)                                                           | Lost to Follow-Up                                                         |
| US3982157     | 2020-09-11                  | AMENDMENT :         | 3 No/<br>2020-12-18 (99)         | Lost to Follow-Up                                             | )                 | Yes/<br>2020-10-09 (29)                                                          |                                                                           |
| US3982158     | 2020-09-11                  | AMENDMENT 3         | 3 No/<br>2020-10-16 (36)         | Protocol<br>Deviation/<br>NON-COMPLIANCE                      |                   | No/<br>2020-10-08 (28)                                                           | Physician Decision/<br>AE WORSENING OF<br>PSEUDOTUMOR CEREBRI             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

|               | Informed        |                     | Completed Study/<br>Date of Study<br>Discontinuation Reason for Study |                         | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing                                                                                             |
|---------------|-----------------|---------------------|-----------------------------------------------------------------------|-------------------------|-------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                                                |                         | Autopsy           | or Completion                                          | Discontinuation/<br>Specified Reason                                                                                          |
| US3982213     | 2020-09-21      | AMENDMENT (         | 3 ONGOING                                                             |                         |                   | No/<br>2020-10-18 (28)                                 | Adverse Event/<br>AE#2                                                                                                        |
| US3982222     | 2020-09-22      | AMENDMENT (         | 3 No/<br>2021-02-24 (156                                              | Lost to Follow-Up<br>5) | )                 | Yes/<br>2020-10-20 (29)                                |                                                                                                                               |
| US3982234     | 2020-09-24      | AMENDMENT           | 3 No/<br>2020-12-14 (82)                                              | Lost to Follow-Up<br>)  | )                 | Yes/<br>2020-10-23 (30)                                |                                                                                                                               |
| US3982244     | 2020-09-28      | AMENDMENT (         | 3 ONGOING                                                             |                         |                   | No/<br>2020-10-25 (28)                                 | Protocol Deviation/<br>SUBJECT RECEIVED<br>FLU VACCINE ON SAME<br>DAY OF DAY 29 VISIT<br>BEFORE SUBJECT CAME<br>IN TO CLINIC. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

Baseline SARS-CoV-2 Status: Negative; Treatment Group: mRNA-1273

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Completed Dosing/ Completed Study/ Date of Study Date of Dosing Date of Primary Reason for Informed Discontinuation Reason for Study Death/ Discontinuation Dosing Discontinuation/ Subject Consent Protocol or Completion Discontinuation/ Autopsy or Completion ID Specified Reason Performed? (Day) Specified Reason Date Version (Day) US3992126 Yes/ 2020-10-22 AMENDMENT 4 No/ Protocol 2020-12-31 (71) Deviation/ 2020-11-17 (27) SUBJECT RECEIVED PFIZER VACCINE ON 12/21/20

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason        | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3002352     | 2020-10-08                  | AMENDMENT 4         | 4 ONGOING                |                                                                         |         | No/<br>2020-11-04 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3032211     | 2020-08-18                  | AMENDMENT 2         | 2 ONGOING                |                                                                         |         | No/<br>2020-09-14 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3032320     | 2020-08-22                  | AMENDMENT 2         | 2 No/<br>2021-01-07 (139 | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>VACCINE THROUGH<br>WORK. |         | No/<br>2020-09-18 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3042063     | 2020-08-04                  | AMENDMENT 3         | 1 ONGOING                |                                                                         |         | No/<br>2020-08-31 (28)                                                           | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|               |                             |                     | Completed Study          |                                                                                             | _       | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                    | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3042150     | 2020-08-12                  | AMENDMENT 2         | 2 No/<br>2021-03-17 (218 | Protocol<br>)Deviation/<br>PATIENT REPORTS<br>WILL GET COVID<br>VACCINE THROUGH<br>EMPLOYER |         | No/<br>2020-09-08 (28)                                      | Due to SARS-CoV-2                                                    |
| US3052113     | 2020-08-06                  | AMENDMENT 2         | l No/<br>2020-10-06 (62) | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW OF<br>CONSENT OF PT                 |         | No/<br>2020-09-02 (28)                                      | Due to SARS-CoV-2                                                    |
| US3092114     | 2020-08-11                  | AMENDMENT 2         | 2 No/<br>2021-03-08 (210 | Lost to Follow-Up<br>)                                                                      | )       | Yes/<br>2020-09-16 (37)                                     |                                                                      |
| US3122026     | 2020-07-27                  | AMENDMENT 1         | l ONGOING                |                                                                                             |         | No/<br>2020-08-23 (28)                                      | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |           | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                 |
|---------------|-----------------------------|---------------------|-----------|------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| US3122035     | 2020-07-27                  | AMENDMENT           | 1 ONGOING |                                                            |         | No/<br>2020-08-23 (28)                                                           | Due to SARS-CoV-2                                                                                         |
| US3142145     | 2020-08-07                  | AMENDMENT :         | 2 ONGOING |                                                            |         | No/<br>2020-09-03 (28)                                                           | Due to SARS-CoV-2                                                                                         |
| US3152462     | 2020-10-09                  | AMENDMENT           | 4 ONGOING |                                                            |         | No/<br>2020-11-05 (28)                                                           | Due to SARS-CoV-2                                                                                         |
| US3162159     | 2020-08-20                  | AMENDMENT :         | 2 ONGOING |                                                            |         | No/<br>2020-09-16 (28)                                                           | Due to SARS-CoV-2                                                                                         |
| US3172010     | 2020-08-10                  | AMENDMENT           | 1 ONGOING |                                                            |         | No/<br>2020-09-06 (28)                                                           | Other/<br>SECOND DOSE WAS NOT<br>GIVEN TO SUBJECT<br>DUE TO THE 1ST<br>NASAL SWAB RESULT<br>WAS POSITIVE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------|
| US3172026     | 2020-08-11                  | AMENDMENT           | l ONGOING                                                                     |                                                                                                           |         | No/<br>2020-09-07 (28)                                                           | Due to SARS-CoV-2                                                          |
| US3172037     | 2020-08-11                  | AMENDMENT           | l No/<br>2020-09-15 (36)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>CONSENT DURING<br>THE DAY 29<br>VISIT. |         | No/<br>2020-09-07 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT REFUSED 2ND<br>DOSE |
| US3172038     | 2020-08-11                  | AMENDMENT :         | l ongoing                                                                     |                                                                                                           |         | No/<br>2020-09-07 (28)                                                           | Serious Adverse<br>Event/<br>SAE #2                                        |
| US3172106     | 2020-08-14                  | AMENDMENT 3         | l ONGOING                                                                     |                                                                                                           |         | No/<br>2020-09-10 (28)                                                           | Due to SARS-CoV-2                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|               |                             |                     | Completed Study          | /                                                          |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3172116     | 2020-08-14                  | AMENDMENT 3         | 1 No/<br>2020-11-02 (81) | Withdrawal of<br>Consent by<br>Participant                 |         | Yes/<br>2020-09-11 (29)                                     |                                                                      |
| US3172126     | 2020-08-14                  | AMENDMENT 3         | 1 ONGOING                |                                                            |         | No/<br>2020-09-10 (28)                                      | Due to SARS-CoV-2                                                    |
| US3172166     | 2020-08-18                  | AMENDMENT 2         | 1 ONGOING                |                                                            |         | No/<br>2020-09-14 (28)                                      | Due to SARS-CoV-2                                                    |
| US3172180     | 2020-08-18                  | AMENDMENT 2         | 2 ONGOING                |                                                            |         | No/<br>2020-09-14 (28)                                      | Due to SARS-CoV-2                                                    |
| US3172185     | 2020-08-18                  | AMENDMENT [         | 1 ONGOING                |                                                            |         | No/<br>2020-09-14 (28)                                      | Serious Adverse<br>Event/<br>SAE #1                                  |
| US3172254     | 2020-08-20                  | AMENDMENT 2         | 2 No/<br>2021-02-15 (180 | Withdrawal of<br>)Consent by<br>Participant                |         | Yes/<br>2020-09-17 (29)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                         |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| US3172274     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-09-30 (41)                                                      | Other/<br>MOVED TO (b) (6)<br>TEXAS |         | No/<br>2020-09-17 (28)                                                           | Other/<br>MOVED TO <b>(b) (6)</b><br>TEXAS                                                                                                        |
| US3172361     | 2020-08-27                  | AMENDMENT (         | 3 No/<br>2020-11-04 (70)                                                      | Lost to Follow-Up                   | )       | No/<br>2020-09-23 (28)                                                           | Other/<br>MISSED VISIT                                                                                                                            |
| US3172367     | 2020-08-27                  | AMENDMENT (         | 3 ONGOING                                                                     |                                     |         | No/<br>2020-09-23 (28)                                                           | Other/<br>SUBJECT NP NASAL<br>SWAB FROM SCREENING<br>WAS POSITIVE.<br>THEREFORE SUBJECT<br>WAS NOT ELIGIBLE TO<br>GET THE SECOND<br>VACCINE DOSE. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason        |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| US3172440     | 2020-10-20                  | AMENDMENT 2         | 2 ONGOING                                                                     |                    |         | No/<br>2020-11-16 (28)                                                           | Other/<br>SUBJECT DID NOT<br>DOSE DUE TO COVID<br>NASAL SWAB POSITVE<br>RESULTS. |
| US3172444     | 2020-10-21                  | AMENDMENT 2         | 2 ONGOING                                                                     |                    |         | No/<br>2020-11-17 (28)                                                           | Other/<br>MISSED VISIT                                                           |
| US3172450     | 2020-10-21                  | AMENDMENT 2         | 2 ONGOING                                                                     |                    |         | No/<br>2020-11-17 (28)                                                           | Due to SARS-CoV-2                                                                |
| US3172467     | 2020-10-21                  | AMENDMENT 2         | 2 ONGOING                                                                     |                    |         | No/<br>2020-11-17 (28)                                                           | Due to SARS-CoV-2                                                                |
| US3172493     | 2020-10-23                  | AMENDMENT (         | 3 ONGOING                                                                     |                    |         | No/<br>2020-11-19 (28)                                                           | Other/<br>MISSED VISIT                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|           |                     |             | Completed Study<br>Date of Study | /                                                                                         | Date of    | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for    |
|-----------|---------------------|-------------|----------------------------------|-------------------------------------------------------------------------------------------|------------|------------------------------------|----------------------------|
| Subject   | Informed<br>Consent | Protocol    |                                  | Reason for Study Discontinuation/                                                         | Autopsy    | Discontinuation<br>or Completion   | Dosing<br>Discontinuation/ |
| ID        | Date                | Version     | (Day)                            | Specified Reason                                                                          | Performed? | (Day)                              | Specified Reason           |
| US3182279 | 2020-08-11          | AMENDMENT 2 | 2 ONGOING                        |                                                                                           |            | No/<br>2020-09-07 (28)             | Due to SARS-CoV-2          |
| US3192381 | 2020-08-20          | AMENDMENT 2 | 2 No/<br>2021-02-06 (171         | Protocol<br>)Deviation/<br>PATIENT RECEIVED<br>EUA VACCINE<br>OUTSIDE OF<br>TRIAL.        |            | Yes/<br>2020-09-17 (29)            |                            |
| US3202081 | 2020-08-08          | AMENDMENT : | 1 No/<br>2020-10-27 (81)         | Withdrawal of<br>Consent by<br>Participant/<br>POSITIVE PCR,<br>PARTICIPANT<br>WITHDRAWAL |            | No/<br>2020-09-04 (28)             | Due to SARS-CoV-2          |
| US3212040 | 2020-08-04          | AMENDMENT : | 1 ONGOING                        |                                                                                           |            | No/<br>2020-08-31 (28)             | Due to SARS-CoV-2          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |           | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----------|------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3212066     | 2020-08-06                  | AMENDMENT (         | l ONGOING |                                                                  |         | No/<br>2020-09-02 (28)                                                          | Other/<br>COVID-19 POSITIVE<br>RESULTS                                    |
| US3212087     | 2020-08-07                  | AMENDMENT 3         | l ONGOING |                                                                  |         | No/<br>2020-09-03 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3252285     | 2020-08-21                  | AMENDMENT 2         | 2 ONGOING |                                                                  |         | No/<br>2020-09-17 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3252318     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING |                                                                  |         | No/<br>2020-09-20 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3252519     | 2020-09-21                  | AMENDMENT 2         | 2 ONGOING |                                                                  |         | No/<br>2020-10-18 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3252601     | 2020-10-02                  | AMENDMENT           | 3 ONGOING |                                                                  |         | No/<br>2020-10-29 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|           |            |             | Completed Study | 7/                 |            | Completed Dosing       | /                                                      |
|-----------|------------|-------------|-----------------|--------------------|------------|------------------------|--------------------------------------------------------|
|           |            |             | Date of Study   |                    | Date of    | Date of Dosing         | Primary Reason for                                     |
|           | Informed   |             | Discontinuation | n Reason for Study | Death/     | Discontinuation        | Dosing                                                 |
| Subject   | Consent    | Protocol    | or Completion   |                    |            | or Completion          | Discontinuation/                                       |
| ID        | Date       | Version     | (Day)           | Specified Reason   | Performed? | (Day)                  | Specified Reason                                       |
| US3302384 | 2020-08-26 | AMENDMENT 3 | ONGOING         |                    |            | No/<br>2020-09-22 (28) | Due to SARS-CoV-2                                      |
| US3312020 | 2020-08-11 | AMENDMENT 2 | 2 ONGOING       |                    |            | No/<br>2020-09-07 (28) | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION. |
| US3312049 | 2020-08-12 | AMENDMENT 2 | 2 ONGOING       |                    |            | No/<br>2020-09-08 (28) | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION. |
| US3312216 | 2020-08-21 | AMENDMENT 2 | 2 ONGOING       |                    |            | No/<br>2020-09-17 (28) | Due to SARS-CoV-2                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date. Program Path: \\wilbtia\wilbtia01\Moderna MODMRNA1273P301\_U\BLA\TLF\116020101.sas 23JUN2021 16:31

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# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3312438     | 2020-09-01                  | AMENDMENT           | 3 ONGOING                                                                     |                    |         | No/<br>2020-09-28 (28)                                                           | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION.               |
| US3312495     | 2020-09-03                  | AMENDMENT           | 3 ONGOING                                                                     |                    |         | No/<br>2020-09-30 (28)                                                           | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION.               |
| US3312583     | 2020-09-09                  | AMENDMENT           | 3 ONGOING                                                                     |                    |         | No/<br>2020-10-06 (28)                                                           | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION.               |
| US3312603     | 2020-09-10                  | AMENDMENT           | 3 ONGOING                                                                     |                    |         | No/<br>2020-10-07 (28)                                                           | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION.               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|               |                 |                     | Completed Study          | /                                    |         | Completed Dosing,                 |                                      |
|---------------|-----------------|---------------------|--------------------------|--------------------------------------|---------|-----------------------------------|--------------------------------------|
|               | Informed        |                     | Date of Study            | n Reason for Study                   | Date of | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing         |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                          | Discontinuation/<br>Specified Reason | Autopsy | or Completion                     | Discontinuation/<br>Specified Reason |
| US3322011     | 2020-08-17      | AMENDMENT 2         | 2 ONGOING                |                                      |         | No/<br>2020-09-13 (28)            | Due to SARS-CoV-2                    |
| US3342295     | 2020-09-29      | AMENDMENT 3         | 3 No/<br>2020-10-23 (25) | Lost to Follow-Up                    | 0       | No/<br>2020-10-22 (24)            | Lost to Follow-Up                    |
| US3352045     | 2020-08-11      | AMENDMENT 2         | 2 ONGOING                |                                      |         | No/<br>2020-09-07 (28)            | Due to SARS-CoV-2                    |
| US3352141     | 2020-08-20      | AMENDMENT 2         | 2 ONGOING                |                                      |         | No/<br>2020-09-16 (28)            | Due to SARS-CoV-2                    |
| US3352202     | 2020-08-22      | AMENDMENT 2         | 2 No/<br>2020-12-22 (123 |                                      |         | Yes/<br>2020-09-19 (29)           |                                      |
| US3352220     | 2020-08-22      | AMENDMENT 2         | 2 ONGOING                |                                      |         | No/<br>2020-09-18 (28)            | Due to SARS-CoV-2                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                              | Autopsy      | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3352272     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2021-01-18 (147 | Lost to Follow-Up<br>)                                                                                                                                                                     | <sup>D</sup> | Yes/<br>2020-09-22 (29)                                                         |                                                                           |
| US3352289     | 2020-08-25                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                                                                            |              | No/<br>2020-09-21 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3352292     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-11-16 (84) | Withdrawal of<br>Consent by<br>Participant/<br>THE SUBJECT<br>STATED SHE<br>CANNOT CONTINUE<br>PARTICIPATING IN<br>THE STUDY DUE TO<br>THE JOB<br>SCHEDULE.<br>SUBJECT WITHDREW<br>CONSENT |              | Yes/<br>2020-09-19 (26)                                                         |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | 7/                                                                                           |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|----------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                   | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3352355     | 2020-09-10                  | AMENDMENT 3         | 3 ONGOING                                                  |                                                                                              |         | No/<br>2020-10-07 (28)                                      | Due to SARS-CoV-2                                                    |
| US3352363     | 2020-09-10                  | AMENDMENT 3         | 3 ONGOING                                                  |                                                                                              |         | No/<br>2020-10-07 (28)                                      | Due to SARS-CoV-2                                                    |
| US3352410     | 2020-09-24                  | AMENDMENT 3         | 3 ONGOING                                                  |                                                                                              |         | No/<br>2020-10-21 (28)                                      | Due to SARS-CoV-2                                                    |
| US3352442     | 2020-09-29                  | AMENDMENT (         | 3 No/<br>2021-03-03 (156                                   | Protocol<br>5) Deviation/<br>SUBJECT WAS<br>ENROLLED IN<br>ANOTHER STUDY AT<br>ANOTHER SITE. |         | Yes/<br>2020-11-03 (36)                                     |                                                                      |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                                                       | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                  |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| US3352468     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-11-25 (58) | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO<br>LONGER ABLE TO<br>PROCEED WITH<br>TRIAL DUE TO<br>WORK SCHEDULE.        |         | No/<br>2020-10-26 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT NO LONGER<br>ABLE TO PROCEED<br>WITH TRIAL DUE TO<br>WORK SCHEDULE. |
| US3352487     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-12-11 (74) | Protocol<br>Deviation/<br>THE SUBJECT<br>STATED HE WAS<br>CURRENTLY<br>ADMITTED AT<br>ANOTHER SITE FOR<br>ANOTHER CLINICAL<br>TRIAL. |         | Yes/<br>2020-11-12 (45)                                                          |                                                                                                                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                        | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| US3352521     | 2020-10-08                  | AMENDMENT 3         | 8 No/<br>2021-02-02 (118                                                      | Other/<br>NON-COMPLIANT,<br>SUBJECT IS<br>DETAINED AND<br>RELEASE DATE IS<br>UNKNOWN |                                            | Yes/<br>2020-11-03 (27)                                                          |                                                                          |
| US3362019     | 2020-08-03                  | AMENDMENT 1         | No/<br>2021-03-03 (213)                                                       | Protocol<br>)Deviation/<br>SUBJECT RECEIVED<br>COVID VACCINE                         |                                            | No/<br>2020-08-30 (28)                                                           | Due to SARS-CoV-2                                                        |
| US3362180     | 2020-08-17                  | AMENDMENT 2         | 2 No/<br>2020-10-26 (71)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>WORK SCHEDULE<br>CONFLICT             |                                            | No/<br>2020-09-13 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>WORK SCHEDULE<br>CONFLICT |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>nReason for Study<br>Discontinuation/<br>Specified Reason                                             | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3362183     | 2020-08-18                  | AMENDMENT 2         | 2 No/<br>2020-09-01 (15)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>WITHDRAWAL OF<br>CONSENT DUE TO<br>BASELINE<br>POSITIVE PCR |         | No/<br>2020-08-31 (14)                                                           | Withdrawal of Consent<br>by Participant                              |
| US3372092     | 2020-08-11                  | AMENDMENT [         | 1 ONGOING                                                                     |                                                                                                            |         | No/<br>2020-09-15 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3382035     | 2020-08-14                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                            |         | No/<br>2020-09-10 (28)                                                           | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|               | Informed        |                     | Completed Study<br>Date of Study<br>Discontinuation | /<br>n Reason for Study                                                                                                                                                | Date of<br>Death/ | Completed Dosing<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing    |
|---------------|-----------------|---------------------|-----------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------|--------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)                              | Discontinuation/<br>Specified Reason                                                                                                                                   |                   | or Completion<br>(Day)                                | Discontinuation/<br>Specified Reason |
| US3382280     | 2020-09-15      | AMENDMENT 3         | 3 No/<br>2021-01-26 (134                            | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>MANDATORY<br>MODERNA VACCINE<br>AT HER<br>WORKPLACE.<br>SUBJECT NOTIFIED<br>CLINIC AFTER SHE<br>RECEIVED TWO<br>DOSES. |                   | Yes/<br>2020-10-14 (30)                               |                                      |
| US3392008     | 2020-08-12      | AMENDMENT 2         | 2 ONGOING                                           |                                                                                                                                                                        |                   | No/<br>2020-09-08 (28)                                | Due to SARS-CoV-2                    |
| US3392240     | 2020-09-11      | AMENDMENT 3         | 3 ONGOING                                           |                                                                                                                                                                        |                   | No/<br>2020-10-08 (28)                                | Due to SARS-CoV-2                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

#### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|               |                             |                     | Completed Study | 7/                                                         |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|-----------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                 | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3402446     | 2020-10-16                  | AMENDMENT 4         | 1 ONGOING       |                                                            |         | No/<br>2020-11-12 (28)                                      | Due to SARS-CoV-2                                                    |
| US3402452     | 2020-10-21                  | AMENDMENT 4         | ONGOING         |                                                            |         | No/<br>2020-11-17 (28)                                      | Due to SARS-CoV-2                                                    |
| US3412087     | 2020-07-31                  | AMENDMENT 1         | ONGOING         |                                                            |         | No/<br>2020-08-27 (28)                                      | Due to SARS-CoV-2                                                    |
| US3442204     | 2020-09-30                  | AMENDMENT 3         | 3 ONGOING       |                                                            |         | No/<br>2020-10-27 (28)                                      | Due to SARS-CoV-2                                                    |
| US3452295     | 2020-08-06                  | AMENDMENT 1         | ONGOING         |                                                            |         | No/<br>2020-09-02 (28)                                      | Due to SARS-CoV-2                                                    |
| US3452406     | 2020-09-24                  | AMENDMENT 3         | 3 ONGOING       |                                                            |         | No/<br>2020-10-22 (28)                                      | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |           | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-----------|------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3472090     | 2020-10-02                  | AMENDMENT (         | 3 ONGOING |                                                                  |         | No/<br>2020-10-29 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3482188     | 2020-09-01                  | AMENDMENT 3         | 3 ONGOING |                                                                  |         | No/<br>2020-09-28 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3492030     | 2020-08-31                  | AMENDMENT 2         | 2 ONGOING |                                                                  |         | No/<br>2020-09-27 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3492295     | 2020-10-09                  | AMENDMENT (         | 3 ONGOING |                                                                  |         | No/<br>2020-11-05 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3512063     | 2020-08-13                  | AMENDMENT 2         | 2 ONGOING |                                                                  |         | No/<br>2020-09-09 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3512199     | 2020-09-02                  | AMENDMENT 3         | 3 ONGOING |                                                                  |         | No/<br>2020-09-29 (28)                                                           | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3522353     | 2020-08-25                  | AMENDMENT           | 2 ONGOING                |                                                                 |         | No/<br>2020-09-21 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3522637     | 2020-09-09                  | AMENDMENT           | 3 ONGOING                |                                                                 |         | No/<br>2020-10-06 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3552530     | 2020-10-14                  | AMENDMENT           | 4 ONGOING                |                                                                 |         | No/<br>2020-11-10 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3562079     | 2020-08-26                  | AMENDMENT           | 3 No/<br>2021-02-26 (185 |                                                                 |         | Yes/<br>2020-09-23 (29)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | 7/                                                                                                                                                         |                                            | Completed Dosing                                            |                                                                                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Date of<br>Death/<br>Autopsy<br>Performed? | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                               |
| US3572042     | 2020-08-20                  | AMENDMENT 2         | 2 ONGOING                                                  |                                                                                                                                                            |                                            | No/<br>2020-09-16 (28)                                      | Due to SARS-CoV-2                                                                                                                  |
| US3572088     | 2020-08-25                  | AMENDMENT 3         | 3 No/<br>2021-01-05 (134                                   | Protocol<br>Deviation/<br>SUBJECT RECEIVED<br>VACCINE OUTSIDE<br>OF STUDY<br>CONFINES. AS PER<br>SPONSOR<br>DIRECTIVE<br>SUBJECT NEEDS TO<br>BE WITHDRAWN. |                                            | No/<br>2020-09-21 (28)                                      | Other/<br>PATIENT MISSED THE<br>SECOND DOSING<br>APPOINTMENT,<br>HOWEVER PATIENT<br>WILL CONTINUE<br>PARTICIPATING IN<br>THE STUDY |
| US3572100     | 2020-08-26                  | AMENDMENT 3         | 3 ONGOING                                                  |                                                                                                                                                            |                                            | No/<br>2020-09-22 (28)                                      | Due to SARS-CoV-2                                                                                                                  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

| Informed        |                     |                                  | n Reason for Study                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                            | Completed Dosing,<br>Date of Dosing<br>Discontinuation                                                                                                                                                                                                                                                                                                                                                                | Primary Reason for<br>Dosing                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------|---------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           |                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                            | or Completion<br>(Day)                                                                                                                                                                                                                                                                                                                                                                                                | Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 2020-08-27      | AMENDMENT :         | /                                | Protocol<br>)Deviation/<br>SUBJECT GOT<br>COVID VACCINE<br>OUTSIDE OF STUDY<br>CONFINES.<br>SUBJECT WAS<br>UNBLINDED AND<br>WAS ON PLACEBO<br>ON PART A OF THE<br>STUDY. SUBJECT<br>IS AWARE THAT<br>SUBJECT IS |                                                                                                                                                                                                                                                                                                                                                                            | Yes/<br>2020-09-25 (30)                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                 | Consent<br>Date     | Consent Protocol<br>Date Version | InformedDate of StudyInformedDiscontinuationConsentProtocolor CompletionDateVersion(Day)2020-08-27AMENDMENT 3 No/                                                                                               | Date of Study<br>Discontinuation Reason for Study<br>or Completion Discontinuation/<br>Date Version (Day) Specified Reason<br>2020-08-27 AMENDMENT 3 No/ Protocol<br>2021-02-19 (177) Deviation/<br>SUBJECT GOT<br>COVID VACCINE<br>OUTSIDE OF STUDY<br>CONFINES.<br>SUBJECT WAS<br>UNBLINDED AND<br>WAS ON PLACEBO<br>ON PART A OF THE<br>STUDY. SUBJECT<br>IS AWARE THAT | Date of Study<br>Date of Study<br>Discontinuation Reason for Study Death/<br>Discontinuation/ Autopsy<br>Date Version (Day) Specified Reason Performed?<br>2020-08-27 AMENDMENT 3 No/ Protocol<br>2021-02-19 (177) Deviation/<br>SUBJECT GOT<br>COVID VACCINE<br>OUTSIDE OF STUDY<br>CONFINES.<br>SUBJECT WAS<br>UNBLINDED AND<br>WAS ON PLACEBO<br>ON PART A OF THE<br>STUDY. SUBJECT<br>IS AWARE THAT<br>SUBJECT IS | Informed<br>Consent<br>DateDate of StudyDate of Death /<br>Discontinuation<br>Discontinuation<br>AutopsyDiscontinuation<br>Or Completion<br>Discontinuation/<br>AutopsyDiscontinuation<br>Or Completion<br>Outsign2020-08-27AMENDMENT 3 No/<br>2021-02-19 (177)<br>Deviation/<br>SUBJECT GOT<br>COVID VACCINE<br>OUTSIDE OF STUDY<br>CONFINES.<br>SUBJECT WAS<br>UNBLINDED AND<br>WAS ON PLACEBO<br>ON PART A OF THE<br>STUDY. SUBJECT ISYes/<br>2020-09-25 (30) |

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|              |                             |                     | Completed Study          | /                                                                                                                                                                                                                                                       |         | Completed Dosing                                            | /                                                                    |
|--------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>D | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3572215    | 2020-09-07                  | amendment 3         | 8 No/<br>2021-02-08 (155 | Other/<br>)SUBJECT A<br>TRAVELING NURSE<br>NOT ABLE TO COME<br>IN FOR NEW ICF<br>AND IS BEING<br>OFFERED VACCINE<br>THROUGH<br>EMPLOYER. AS PER<br>SPONSOR (EPIP)<br>DIRECTIVES THEN<br>SUBJECT CAN BE<br>UNBLINDED AND<br>WITHDRAWN FROM<br>THE STUDY. |         | Yes/<br>2020-10-06 (30)                                     |                                                                      |
| US3592196    | 2020-08-16                  | AMENDMENT 2         | ONGOING                  |                                                                                                                                                                                                                                                         |         | No/<br>2020-09-12 (28)                                      | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | 7/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3622066     | 2020-08-24                  | AMENDMENT           | 3 No/<br>2021-01-11 (123 | Protocol<br>) Deviation/<br>PARTICIPANT<br>RECEIVED<br>COVID-19 VACCINE<br>(EUA) OUT OF<br>STUDY. PLEASE<br>REFER TO CONMED<br>#2. |         | No/<br>2020-10-08 (28)                                                          | Adverse Event/<br>#1                                                      |
| US3622118     | 2020-08-31                  | AMENDMENT :         | 2 No/<br>2020-12-29 (121 | Other/<br>)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACCINE                                                                         |         | Yes/<br>2020-09-28 (29)                                                         |                                                                           |
| US3642257     | 2020-09-19                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                    |         | No/<br>2020-10-16 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|               |                             |                     | Completed Study                                            | /                                                                                                    |         | Completed Dosing                                            | /                                                                    |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason                                           | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3672343     | 2020-08-27                  | AMENDMENT (         | 3 No/<br>2020-12-22 (118                                   | Other/<br>)UNBLINDING<br>OCCURRED AND<br>SUBJECT WILL BE<br>RECEIVING THE<br>PFIZER COVID<br>VACCINE |         | Yes/<br>2020-09-25 (30)                                     |                                                                      |
| US3732156     | 2020-08-25                  | AMENDMENT 2         | 2 No/<br>2020-12-28 (123                                   | Protocol<br>)Deviation/<br>EUA VACCINATION                                                           |         | No/<br>2020-09-24 (28)                                      | Adverse Event/<br>AE #1                                              |
| US3742044     | 2020-08-22                  | AMENDMENT 2         | 2 ONGOING                                                  |                                                                                                      |         | No/<br>2020-09-18 (28)                                      | Due to SARS-CoV-2                                                    |
| US3792014     | 2020-08-12                  | AMENDMENT 2         | 2 ONGOING                                                  |                                                                                                      |         | No/<br>2020-09-08 (28)                                      | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject   | Informed<br>Consent | Protocol  | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion | n Reason for Study     |            | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | /<br>Primary Reason for<br>Dosing<br>Discontinuation/ |
|-----------|---------------------|-----------|----------------------------------------------------------------------|------------------------|------------|------------------------------------------------------------------------|-------------------------------------------------------|
| ID        | Date                | Version   | (Day)                                                                | Specified Reason       | Performed? | -                                                                      | Specified Reason                                      |
| US3802190 | 2020-09-09          | AMENDMENT | 3 No/<br>2020-09-30 (22)                                             | Lost to Follow-Up<br>) | þ          | No/<br>2020-09-29 (21)                                                 | Lost to Follow-Up                                     |
| US3802225 | 2020-09-11          | AMENDMENT | 3 ONGOING                                                            |                        |            | No/<br>2020-10-08 (28)                                                 | Due to SARS-CoV-2                                     |
| US3812146 | 2020-08-12          | AMENDMENT | 1 No/<br>2020-09-02 (22)                                             | Lost to Follow-Up<br>) | þ          | No/<br>2020-09-01 (21)                                                 | Due to SARS-CoV-2                                     |
| US3812257 | 2020-08-25          | AMENDMENT | 2 ONGOING                                                            |                        |            | No/<br>2020-09-21 (28)                                                 | Due to SARS-CoV-2                                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                       | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3822175     | 2020-08-18                  | AMENDMENT :         | 2 No/<br>2021-01-19 (155 | Withdrawal of<br>) Consent by<br>Participant/<br>PER PATIENT,<br>SINCE HE WOULD<br>NOT BE RECEIVING<br>SECOND DOSE OF<br>VACCINE, HE<br>WANTED TO<br>WITHDRAW FROM<br>THE STUDY. |         | No/<br>2020-09-14 (28)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PER PATIENT, SINCE<br>HE WOULD NOT BE<br>RECEIVING SECOND<br>DOSE OF VACCINE, HE<br>WANTED TO WITHDRAW<br>FROM THE STUDY. |
| US3822207     | 2020-08-20                  | AMENDMENT :         | 2 ONGOING                |                                                                                                                                                                                  |         | No/<br>2020-09-16 (28)                                                          | Lost to Follow-Up                                                                                                                                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

|             |                 |                     | Completed Study        | /                                                                                                                                                                                                                                               |         | Completed Dosing       | /                                    |
|-------------|-----------------|---------------------|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|------------------------|--------------------------------------|
|             |                 |                     | Date of Study          |                                                                                                                                                                                                                                                 | Date of | Date of Dosing         | Primary Reason for                   |
|             | Informed        |                     |                        | n Reason for Study                                                                                                                                                                                                                              |         | Discontinuation        | Dosing                               |
| ubject<br>D | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day) | Discontinuation/<br>Specified Reason                                                                                                                                                                                                            |         | or Completion<br>(Day) | Discontinuation/<br>Specified Reason |
| JS3852018   | 2020-08-25      | AMENDMENT 3         |                        | Other/<br>)SUBJECT CALLED<br>TO BE UNBLINDED.<br>HE IS MOVING OUT<br>OF STATE THIS<br>MONTH AND WILL<br>NOT BE ABLE TO<br>COME TO VISITS.<br>HE STATES HE<br>WILL HAVE OPTION<br>TO GET THE<br>PFIZER VACCINE<br>AT NEW PLACE OF<br>EMPLOYMENT. |         | No/<br>2020-09-21 (28) | Due to SARS-CoV-2                    |
| S3862127    | 2020-09-04      | AMENDMENT 3         | 3 ONGOING              |                                                                                                                                                                                                                                                 |         | No/<br>2020-10-01 (28) | Due to SARS-CoV-2                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                  | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3862272     | 2020-10-02                  | AMENDMENT 3         | 3 No/<br>2021-02-24 (146 | Lost to Follow-Up<br>)                                                         | )       | No/<br>2020-10-29 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3872312     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2021-02-18 (177 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WANTED<br>TO DROP OUT. |         | No/<br>2020-09-22 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3932080     | 2020-09-04                  | AMENDMENT 3         | 3 No/<br>2020-09-16 (9)  | Lost to Follow-Up                                                              | )       | No/<br>2020-09-15 (8)                                                            | Lost to Follow-Up                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                  | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                       |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| US3942006     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2020-09-28 (36)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WITHDREW<br>FROM STUDY WHILE<br>ONSITE. |         | No/<br>2020-09-20 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT REFUSED TO<br>COMPLETE STUDY<br>PROCEDURES AND<br>DECIDED TO WITHDRAW<br>FROM STUDY |
| US3952193     | 2020-10-05                  | AMENDMENT 4         | 4 ONGOING                                                                     |                                                                                                |         | No/<br>2020-11-01 (28)                                                           | Due to SARS-CoV-2                                                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

|               |                             |                     | Completed Study          | /                                                                                                                                                                                                                                                                                                  |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                                                                                           | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3982068     | 2020-09-01                  | AMENDMENT           | 3 No/<br>2021-03-22 (203 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT CAME TO<br>SITE ON<br>22MAR2021 FOR<br>FIRST OPEN LABEL<br>DOSE. SUBJECT<br>HAD TO LEAVE<br>SITE BEFORE<br>RECEIVING DOSE<br>DUE TO WORK<br>SCHEDULE. SINCE<br>SUBJECT WOULD<br>NOT BE ABLE TO<br>RECEIVE DOSE ON<br>A FUTURE DATE,<br>SUB |         | No/<br>2020-09-28 (28)                                      | Due to SARS-CoV-2                                                    |

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                                     | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3982195     | 2020-09-17                  | AMENDMENT 3         | <sup>3</sup> No/<br>2021-02-19 (156 | Withdrawal of<br>)Consent by<br>Participant/<br>INCL. #2:<br>SUBJECT<br>DISCONTINUED<br>FROM STUDY<br>PARTICIPATION<br>DUE TO MOVING<br>OUT OF STATE AND<br>NOT BEING ABLE<br>TO COMPLY WITH<br>STUDY VISITS AND<br>PROCEDURES. |         | Yes/<br>2020-10-20 (34)                                                         |                                                                           |
| US3982212     | 2020-09-21                  | AMENDMENT 3         | 3 ONGOING                           |                                                                                                                                                                                                                                 |         | No/<br>2020-10-18 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: Placebo

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                           | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3982273     | 2020-10-13                  | AMENDMENT           | 4 No/<br>2020-12-11 (60) | Lost to Follow-Up                                                                                                                    | þ       | Yes/<br>2020-11-17 (36)                                                          |                                                                           |
| US3992066     | 2020-10-06                  | AMENDMENT           | 3 No/<br>2021-02-16 (134 | Withdrawal of<br>)Consent by<br>Participant/<br>DIFFICULTY<br>PARTICIPATING<br>DUE TO WORK<br>SCHEDULE AND<br>DISTANCE FROM<br>HOME. |         | Yes/<br>2020-11-18 (44)                                                          |                                                                           |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study                                                                                                                | Autopsy | Completed Dosing/<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------|
| US3002223     | 2020-09-01                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                                                                   |         | No/<br>2020-09-28 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3002357     | 2020-10-12                  | AMENDMENT           | 4 No/<br>2021-01-05 (86)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT DOES NOT<br>WANT TO CONTINUE<br>IN STUDY JUST<br>WANTED TO BE<br>UNBLINDED |         | Yes/<br>2020-12-07 (57)                                                          |                                                                      |
| US3012118     | 2020-08-12                  | AMENDMENT           | 2 ONGOING                                                                     |                                                                                                                                   |         | No/<br>2020-09-08 (28)                                                           | Due to SARS-CoV-2                                                    |
| US3012352     | 2020-10-20                  | AMENDMENT           | 4 ONGOING                                                                     |                                                                                                                                   |         | No/<br>2020-11-16 (28)                                                           | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3022012     | 2020-07-27                  | AMENDMENT           | 1 ONGOING                                                                     |                                                            |         | No/<br>2020-08-23 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3022208     | 2020-08-20                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                            |         | No/<br>2020-09-16 (28)                                                           | Serious Adverse<br>Event/<br>SAE #1                                       |
| US3032088     | 2020-08-04                  | AMENDMENT (         | 1 No/<br>2021-01-07 (157                                                      | Protocol<br>)Deviation/<br>PFIZER VACCINE<br>THROUGH WORK. |         | Yes/<br>2020-09-02 (30)                                                          |                                                                           |
| US3042054     | 2020-08-03                  | AMENDMENT 3         | 1 ONGOING                                                                     |                                                            |         | No/<br>2020-08-30 (28)                                                           | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study<br>Date of Study | <i>!</i> /                                                                       | Date of | Completed Dosing<br>Date of Dosing | /<br>Primary Reason for              |
|---------------|-----------------|---------------------|----------------------------------|----------------------------------------------------------------------------------|---------|------------------------------------|--------------------------------------|
|               | Informed        |                     | -                                | n Reason for Study                                                               |         | Discontinuation                    | Dosing                               |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)           | Discontinuation/<br>Specified Reason                                             |         | or Completion<br>(Day)             | Discontinuation/<br>Specified Reason |
| US3042235     | 2020-08-18      | AMENDMENT :         | 2 No/<br>2021-03-17 (212         | Withdrawal of<br>2)Consent by<br>Participant/<br>PHASE 2<br>CROSSOVER, PART<br>C |         | Yes/<br>2020-09-15 (29)            |                                      |
| US3052191     | 2020-08-13      | AMENDMENT :         | 2 ONGOING                        |                                                                                  |         | No/<br>2020-09-09 (28)             | Due to SARS-CoV-2                    |
| US3052219     | 2020-08-14      | AMENDMENT :         | 2 ONGOING                        |                                                                                  |         | No/<br>2020-09-10 (28)             | Due to SARS-CoV-2                    |
| US3062235     | 2020-08-14      | AMENDMENT :         | 2 ONGOING                        |                                                                                  |         | No/<br>2020-09-10 (28)             | Due to SARS-CoV-2                    |
| US3092099     | 2020-08-10      | AMENDMENT :         | 2 ONGOING                        |                                                                                  |         | No/<br>2020-09-06 (28)             | Pregnancy                            |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | 7/                                                                     |              | Completed Dosing                                            | /                                                                                      |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|------------------------------------------------------------------------|--------------|-------------------------------------------------------------|----------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason             | Autopsy      | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                   |
| US3152201     | 2020-08-14                  | AMENDMENT           | 2 No/<br>2020-09-04 (22)                                   | Lost to Follow-Up<br>)                                                 | <sup>D</sup> | No/<br>2020-09-03 (21)                                      | Lost to Follow-Up                                                                      |
| US3152492     | 2020-10-15                  | AMENDMENT           | 4 ONGOING                                                  |                                                                        |              | No/<br>2020-11-11 (28)                                      | Due to SARS-CoV-2                                                                      |
| US3172011     | 2020-08-10                  | AMENDMENT           | 1 No/<br>2021-02-18 (193                                   | Withdrawal of<br>Consent by<br>Participant/<br>NO LONGER<br>INTERESTED |              | No/<br>2020-09-06 (28)                                      | Due to SARS-CoV-2                                                                      |
| US3172014     | 2020-08-10                  | AMENDMENT           | 1 ONGOING                                                  |                                                                        |              | No/<br>2020-09-06 (28)                                      | Other/<br>SUBJECT DID NOT<br>DOSE DUE TO<br>CONTINUING POSITIVE<br>FOR PCR NASAL SWAB. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                        | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason     |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| US3172085     | 2020-08-13                  | AMENDMENT 1         | . ONGOING                                                                     |                                                                                        |         | No/<br>2020-09-09 (28)                                                          | Other/<br>COVID POSITIVE ON<br>DAY 1. SUBJECT DID<br>NOT RECEIVE 2ND<br>DOSE. |
| US3172091     | 2020-08-13                  | AMENDMENT 1         | ONGOING                                                                       |                                                                                        |         | No/<br>2020-09-09 (28)                                                          | Due to SARS-CoV-2                                                             |
| US3172204     | 2020-08-19                  | AMENDMENT 1         | No/<br>2020-11-03 (77)                                                        | Withdrawal of<br>Consent by<br>Participant/<br>DID NOT WANT TO<br>CONTINUE IN<br>STUDY |         | Yes/<br>2020-09-16 (29)                                                         |                                                                               |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                   | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3172223     | 2020-08-19                  | AMENDMENT :         | 1 No/<br>2021-03-02 (196 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WAS<br>CONTACTED FOR<br>EDIARIES AND<br>EXPRESSED<br>CONCERNS FOR<br>HEALTHY AND<br>ASKED TO BE<br>REMOVED FROM<br>STUDY. |         | Yes/<br>2020-09-16 (29)                                                         |                                                                           |
| US3172233     | 2020-08-20                  | AMENDMENT 3         | 1 No/<br>2020-10-19 (61) | Lost to Follow-Up                                                                                                                                                                 | )       | No/<br>2020-09-16 (28)                                                          | Other/<br>MISSED VISIT                                                    |
| US3172261     | 2020-08-21                  | AMENDMENT :         | 1 ONGOING                |                                                                                                                                                                                   |         | No/<br>2020-09-17 (28)                                                          | Other/<br>MISSED VISIT                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                                          | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3172268     | 2020-08-21                  | AMENDMENT 1         | ONGOING                                                                       |                                                                                                                                             |         | No/<br>2020-09-17 (28)                                                          | Lost to Follow-Up                                                         |
| US3172298     | 2020-08-24                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                                                                                                             |         | No/<br>2020-09-20 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3172301     | 2020-08-24                  | AMENDMENT 2         | 2 No/<br>2021-02-22 (183                                                      | Withdrawal of<br>)Consent by<br>Participant/<br>WITHDRAWAL OF<br>CONSENT BY<br>PARTICIPANT, NO<br>LONGER WANTED TO<br>CONTINUE IN<br>STUDY. |         | No/<br>2020-09-20 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason                                               | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|---------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3172314     | 2020-08-25                  | AMENDMENT :         | 3 No/<br>2020-09-28 (35) | Withdrawal of<br>Consent by<br>Participant/<br>WITHDREW CONSENT                                               |         | No/<br>2020-09-21 (28)                                                           | Other/<br>SUBJECT DID NOT<br>COME TO THE VISIT.                           |
| US3172330     | 2020-08-25                  | AMENDMENT :         | 3 No/<br>2021-03-24 (212 | Withdrawal of<br>)Consent by<br>Participant/<br>SUBJECT WOULD NO<br>LONGER LIKE TO<br>PARTICIPATE IN<br>STUDY |         | Yes/<br>2020-09-21 (28)                                                          |                                                                           |
| US3172382     | 2020-10-16                  | AMENDMENT 3         | 3 ONGOING                |                                                                                                               |         | No/<br>2020-11-12 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3172443     | 2020-10-21                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                               |         | No/<br>2020-11-17 (28)                                                           | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                 |                     | Completed Study         | /                                    | Completed Dosing                  |                                                                                                         |
|---------------|-----------------|---------------------|-------------------------|--------------------------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------|
|               | Informed        |                     |                         | n Reason for Study                   | Date of Dosing<br>Discontinuation | Primary Reason for<br>Dosing                                                                            |
| Subject<br>ID | Consent<br>Date | Protocol<br>Version | or Completion<br>(Day)  | Discontinuation/<br>Specified Reason | or Completion<br>(Day)            | Discontinuation/<br>Specified Reason                                                                    |
| US3172464     | 2020-10-21      | AMENDMENT :         | 2 ONGOING               |                                      | No/<br>2020-11-17 (28)            | Due to SARS-CoV-2                                                                                       |
| US3172466     | 2020-10-21      | AMENDMENT :         | 2 No/<br>2021-01-14 (86 | Lost to Follow-U <sub>l</sub> )      | No/<br>2020-11-17 (28)            | Other/<br>SUBJECT DID NOT<br>RECEIVE VACCINE DUE<br>TO POSITIVE NASAL<br>SWAB RESULTS FROM<br>BASELINE. |
| US3182107     | 2020-07-31      | AMENDMENT           | 1 ONGOING               |                                      | No/<br>2020-08-27 (28)            | Due to SARS-CoV-2                                                                                       |
| US3182280     | 2020-08-11      | AMENDMENT :         | 2 ONGOING               |                                      | No/<br>2020-09-07 (28)            | Due to SARS-CoV-2                                                                                       |
| US3192300     | 2020-08-16      | AMENDMENT           | 1 ONGOING               |                                      | No/<br>2020-09-16 (28)            | Other/<br>PATIENT HAD<br>POSITIVE PCR SWAB.                                                             |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study                                            | /                                                                       |         | Completed Dosing                                            | /                                                                       |
|---------------|-----------------------------|---------------------|------------------------------------------------------------|-------------------------------------------------------------------------|---------|-------------------------------------------------------------|-------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | n Reason for Study<br>Discontinuation/<br>Specified Reason              | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason    |
| US3192462     | 2020-08-24                  | AMENDMENT           | 2 ONGOING                                                  |                                                                         |         | No/<br>2020-09-20 (28)                                      | Due to SARS-CoV-2                                                       |
| US3192889     | 2020-10-21                  | AMENDMENT           | 4 ONGOING                                                  |                                                                         |         | No/<br>2020-11-17 (28)                                      | Other/<br>ASYMPTOMATIC<br>POSITIVE PCR SWAB                             |
| US3202277     | 2020-08-20                  | AMENDMENT           | 2 No/<br>2020-10-12 (54)                                   | Withdrawal of<br>Consent by<br>Participant/<br>SUBJ WITHDREW<br>CONSENT |         | No/<br>2020-09-16 (28)                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJ WITHDREW<br>CONSENT |
| US3212005     | 2020-08-03                  | AMENDMENT           | 1 ONGOING                                                  |                                                                         |         | No/<br>2020-08-30 (28)                                      | Due to SARS-CoV-2                                                       |
| US3212016     | 2020-08-03                  | AMENDMENT           | 1 ONGOING                                                  |                                                                         |         | No/<br>2020-08-30 (28)                                      | Due to SARS-CoV-2                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | ./                                                                                                                                                         | Data of | Completed Dosing                                            |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                 | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| JS3212358     | 2020-09-04                  | AMENDMENT :         | 3 ONGOING                |                                                                                                                                                            |         | No/<br>2020-10-01 (28)                                      | Due to SARS-CoV-2                                                    |
| US3222631     | 2020-09-24                  | AMENDMENT :         | 3 No/<br>2021-02-19 (149 | Protocol<br>PATIENT REFUSES<br>TO COMPLY WITH<br>STUDY PROCEDURES<br>AND WON'T COME<br>IN FOR D 57<br>CLINIC VISIT AND<br>NOT COMPLIANT<br>WITH E-DIARIES. |         | Yes/<br>2020-10-22 (29)                                     |                                                                      |
| JS3242082     | 2020-08-13                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                                            |         | No/<br>2020-09-09 (28)                                      | Due to SARS-CoV-2                                                    |
| JS3242119     | 2020-08-17                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                                            |         | No/<br>2020-09-13 (28)                                      | Due to SARS-CoV-2                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

#### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3242224     | 2020-08-26                  | AMENDMENT (         | 3 ONGOING                |                                                                 |         | No/<br>2020-09-22 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3252075     | 2020-08-07                  | AMENDMENT 3         | 1 ONGOING                |                                                                 |         | No/<br>2020-09-03 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3252609     | 2020-10-02                  | AMENDMENT 2         | 2 ONGOING                |                                                                 |         | No/<br>2020-10-29 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3262062     | 2020-07-31                  | AMENDMENT           | 1 ONGOING                |                                                                 |         | No/<br>2020-08-27 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3262219     | 2020-08-20                  | AMENDMENT 2         | 2 ONGOING                |                                                                 |         | No/<br>2020-09-16 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3272074     | 2020-08-07                  | AMENDMENT 3         | 1 No/<br>2020-10-12 (67) | Lost to Follow-Up                                               | )       | No/<br>2020-09-03 (28)                                                           | Adverse Event/<br>POSITIVE COVID19                                        |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------|---------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3272180     | 2020-08-18                  | AMENDMENT 2         | 2 ONGOING                                                                     |                    |         | No/<br>2020-09-14 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3272241     | 2020-08-21                  | AMENDMENT 2         | 2 No/<br>2020-09-24 (35)                                                      | Lost to Follow-Up  | )       | No/<br>2020-09-17 (28)                                                           | Lost to Follow-Up                                                         |
| JS3272260     | 2020-08-22                  | AMENDMENT 2         | 2 ONGOING                                                                     |                    |         | No/<br>2020-09-18 (28)                                                           | Due to SARS-CoV-2                                                         |
| JS3292412     | 2020-09-24                  | AMENDMENT 3         | 3 ONGOING                                                                     |                    |         | No/<br>2020-10-21 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3312409     | 2020-08-31                  | AMENDMENT 3         | 3 ONGOING                                                                     |                    |         | No/<br>2020-09-27 (28)                                                           | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION.                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatio<br>or Completion<br>(Day) | y/<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|------------------------------------------------------------------------------|------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3312571     | 2020-09-09                  | AMENDMENT           | 3 ONGOING                                                                    |                                                                  |         | No/<br>2020-10-06 (28)                                                          | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION                     |
| US3312584     | 2020-09-09                  | AMENDMENT           | 3 ONGOING                                                                    |                                                                  |         | No/<br>2020-10-06 (28)                                                          | Other/<br>KNOWN HISTORY OF<br>SARS-COV-2<br>INFECTION.                    |
| US3322048     | 2020-08-20                  | AMENDMENT           | 2 ONGOING                                                                    |                                                                  |         | No/<br>2020-09-16 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                  |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| US3322364     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2020-10-09 (23) | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT VERBALLY<br>REQUESTED TO<br>WITHDRAW FROM<br>THE STUDY DURING<br>SAFETY CALL DAY<br>22 |         | No/<br>2020-10-08 (22)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>PATIENT VERBALLY<br>REQUESTED TO<br>WITHDRAW FROM THE<br>STUDY DURING SAFETY<br>CALL DAY 22 |
| US3342198     | 2020-09-04                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                               |         | No/<br>2020-10-01 (28)                                                          | Due to SARS-CoV-2                                                                                                                          |
| US3342296     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-10-27 (29) | Lost to Follow-Up                                                                                                                             | )       | No/<br>2020-10-26 (28)                                                          | Lost to Follow-Up                                                                                                                          |
| US3342326     | 2020-10-02                  | AMENDMENT           | 3 ONGOING                |                                                                                                                                               |         | No/<br>2020-11-08 (28)                                                          | Due to SARS-CoV-2                                                                                                                          |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject   | Informed<br>Consent | Protocol  |                         | /<br>n Reason for Study<br>Discontinuation/ |   | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion | Primary Reason for |
|-----------|---------------------|-----------|-------------------------|---------------------------------------------|---|------------------------------------------------------------------------|--------------------|
| ID        | Date                | Version   | (Day)                   | Specified Reason                            |   | (Day)                                                                  | Specified Reason   |
| US3352055 | 2020-08-11          | AMENDMENT | 2 ONGOING               |                                             |   | No/<br>2020-09-07 (28)                                                 | Due to SARS-CoV-2  |
| US3352143 | 2020-08-20          | AMENDMENT | 2 ONGOING               |                                             |   | No/<br>2020-09-16 (28)                                                 | Due to SARS-CoV-2  |
| US3352150 | 2020-08-20          | AMENDMENT | 2 ONGOING               |                                             |   | No/<br>2020-09-16 (28)                                                 | Due to SARS-CoV-2  |
| US3352151 | 2020-08-20          | AMENDMENT | 2 ONGOING               |                                             |   | No/<br>2020-09-16 (28)                                                 | Due to SARS-CoV-2  |
| US3352169 | 2020-08-20          | AMENDMENT | 2 No/<br>2020-11-24 (97 | Lost to Follow-Up<br>)                      | þ | Yes/<br>2020-09-29 (41)                                                |                    |
| US3352199 | 2020-08-22          | AMENDMENT | 2 ONGOING               |                                             |   | No/<br>2020-09-18 (28)                                                 | Due to SARS-CoV-2  |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                                         | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                    |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| US3352244     | 2020-08-25                  | AMENDMENT           | 3 No/<br>2020-09-15 (22)                                                      | Lost to Follow-Up                                                                                                          | þ       | No/<br>2020-09-14 (21)                                                           | Lost to Follow-Up                                                                                                       |
| US3352331     | 2020-09-10                  | AMENDMENT           | 3 ONGOING                                                                     |                                                                                                                            |         | No/<br>2020-10-07 (28)                                                           | Due to SARS-CoV-2                                                                                                       |
| US3352443     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-11-16 (49)                                                      | Other/<br>THE SUBJECT<br>STATED HE MOVED<br>TO (b) (6) AND<br>WILL NO LONGER<br>BE ABLE TO<br>PARTICIPATE IN<br>THE STUDY. |         | No/<br>2020-10-26 (28)                                                           | Other/<br>THE SUBJECT STATED<br>HE MOVED TO (b) (6)<br>AND WILL NO LONGER<br>BE ABLE TO<br>PARTICIPATE IN THE<br>STUDY. |
| US3352474     | 2020-09-29                  | AMENDMENT           | 3 No/<br>2020-10-24 (26)                                                      | Lost to Follow-Up                                                                                                          | D       | No/<br>2020-10-23 (25)                                                           | Lost to Follow-Up                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                          | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                              |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| US3352491     | 2020-10-06                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                                                        |         | No/<br>2020-11-02 (28)                                                           | Protocol Deviation/<br>SUBJECT DID NOT<br>COMPLETE DAY 29<br>VISIT.                                                    |
| US3352512     | 2020-10-08                  | AMENDMENT 4         | 4 No/<br>2020-12-30 (84)                                                      | Lost to Follow-Up                                                                                                      | )       | No/<br>2020-11-04 (28)                                                           | Due to SARS-CoV-2                                                                                                      |
| US3352549     | 2020-10-15                  | AMENDMENT 4         | 4 No/<br>2021-01-15 (93)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT UNABLE<br>TO CONTINUE OR<br>COMPLY DUE TO NO<br>TRANSPORTATION. |         | No/<br>2020-11-11 (28)                                                           | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT UNABLE TO<br>COMPLY OR CONTINUE<br>DUE TO NO<br>TRANSPORTATION. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study     | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                         |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|------------------------|---------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| US3352593     | 2020-10-22                  | AMENDMENT 4         | 4 No/<br>2020-11-12 (22)                                                      | Lost to Follow-Ug      | )       | No/<br>2020-11-11 (21)                                                           | Other/<br>UNABLE TO REACH<br>SUBJECT. POSSIBLE<br>LOST TO FOLLOW-UP.<br>CERTIFIED LETTER TO<br>BE SENT. PENDING<br>RESPONSE. |
| US3352596     | 2020-10-22                  | AMENDMENT 4         | 4 No/<br>2021-03-26 (156                                                      | Lost to Follow-Ug<br>) | )       | No/<br>2020-11-18 (28)                                                           | Other/<br>SUBJECT HAS BEEN<br>OUT OF TOWN.                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason                                                                                                                                                             | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3382010     | 2020-08-10                  | AMENDMENT 2         | 2 No/<br>2021-01-25 (169 | Protocol<br>) Deviation/<br>SUBJECT RECEIVED<br>AN MRNA VACCINE<br>FROM OUTSIDE THE<br>RESEARCH CLINIC.<br>WE GOT A FINAL<br>WORD VIA OUR<br>MONITOR THAT<br>SUCH<br>PARTICIPANTS CAN<br>NO LONGER BE IN<br>THE STUDY. |         | No/<br>2020-09-06 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3432187     | 2020-08-18                  | AMENDMENT 2         | 2 ONGOING                |                                                                                                                                                                                                                        |         | No/<br>2020-09-14 (28)                                                          | Due to SARS-CoV-2                                                         |
| US3442211     | 2020-10-05                  | AMENDMENT (         | 3 ONGOING                |                                                                                                                                                                                                                        |         | No/<br>2020-11-01 (28)                                                          | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

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# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | 7/                                                         |         | Completed Dosing                                            | /                                                                                                                                          |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                       |
| US3452200     | 2020-08-04                  | AMENDMENT           | 1 ONGOING                |                                                            |         | No/<br>2020-08-31 (28)                                      | Due to SARS-CoV-2                                                                                                                          |
| US3452401     | 2020-09-24                  | AMENDMENT           | 3 No/<br>2020-11-17 (55) | Lost to Follow-Up<br>)                                     | p       | No/<br>2020-10-21 (28)                                      | Lost to Follow-Up                                                                                                                          |
| US3492237     | 2020-09-22                  | AMENDMENT           | 3 ONGOING                |                                                            |         | No/<br>2020-10-19 (28)                                      | Due to SARS-CoV-2                                                                                                                          |
| US3502203     | 2020-10-13                  | AMENDMENT           | 4 ONGOING                |                                                            |         | No/<br>2020-11-09 (28)                                      | Other/<br>PT TESTED POSITIVE<br>FOR COVID-19<br>(ASYMPTOMATIC) AT<br>BASELINE. PT<br>RECEIVED HIS SECOND<br>DOSE AT OL- DAY 1<br>19JAN2021 |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study          | 7/                                                         | Data a C     | Completed Dosing                                            |                                                                                         |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|--------------|-------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy      | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                    |
|               | Date                        | VEISION             | (Day)                    | Specified Reason                                           | reriormed:   | (Day)                                                       | Specified Reason                                                                        |
| US3512297     | 2020-10-15                  | AMENDMENT           | 4 No/<br>2021-02-16 (125 | Lost to Follow-Ug<br>5)                                    | <sup>D</sup> | No/<br>2020-11-11 (28)                                      | Due to SARS-CoV-2                                                                       |
| US3522157     | 2020-08-17                  | AMENDMENT           | 1 ONGOING                |                                                            |              | No/<br>2020-09-13 (28)                                      | Due to SARS-CoV-2                                                                       |
| US3522426     | 2020-08-28                  | AMENDMENT           | 3 ONGOING                |                                                            |              | No/<br>2020-09-24 (28)                                      | Due to SARS-CoV-2                                                                       |
| US3572129     | 2020-08-28                  | AMENDMENT           | 3 ONGOING                |                                                            |              | No/<br>2020-09-24 (28)                                      | Other/<br>MISSED THEIR VISIT<br>2 DAY 29 VISIT<br>(DOSE 2) UNDER PART<br>A OF THE STUDY |
| US3572158     | 2020-09-01                  | AMENDMENT           | 3 ONGOING                |                                                            |              | No/<br>2020-09-28 (28)                                      | Other/<br>PATIENT MISSED<br>APPOINTMENT AND IS<br>NOW OUT OF WINDOW                     |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuatior<br>or Completion<br>(Day) | Reason for Study                                                                                                                                                                                         | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                                                          |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3572307     | 2020-09-16                  | AMENDMENT 3         | 3 No/<br>2020-10-07 (22)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>CALLED PATIENT<br>REGARDING<br>MISSING<br>APPOINTMENTS.<br>PATIENT WISHES<br>TO WITHDRAW FROM<br>THE STUDY DUE TO<br>INTERFERENCE<br>WITH SCHOOL<br>EXAMS |         | No/<br>2020-10-06 (21)                                                          | Withdrawal of<br>Consent by<br>Participant/<br>CALLED PATIENT<br>REGARDING MISSING<br>APPOINTMENTS.<br>PATIENT WISHES TO<br>WITHDRAW FROM THE<br>STUDY DUE TO<br>INTERFERENCE WITH<br>SCHOOL EXAMS |
| US3572404     | 2020-10-16                  | AMENDMENT 4         | 4 ONGOING                                                                     |                                                                                                                                                                                                          |         | No/<br>2020-11-12 (28)                                                          | Other/<br>PER MODERNA PATIEN<br>IS NOT ELIGIBLE TO<br>GET DOSED.                                                                                                                                   |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|           |            |             | Completed Study | 7/                                     |         | Completed Dosing,                 | /                                                       |
|-----------|------------|-------------|-----------------|----------------------------------------|---------|-----------------------------------|---------------------------------------------------------|
|           | Informed   |             | Date of Study   | - Deecen fan Otudu                     | Date of | Date of Dosing<br>Discontinuation | Primary Reason for                                      |
| Subject   | Consent    | Protocol    | or Completion   | n Reason for Study<br>Discontinuation/ |         | or Completion                     | Dosing<br>Discontinuation/                              |
| ID        | Date       | Version     | (Day)           | Specified Reason                       |         | -                                 | Specified Reason                                        |
| US3652030 | 2020-09-16 | AMENDMENT 3 | 3 ONGOING       |                                        |         | No/<br>2020-10-26 (28)            | Due to SARS-CoV-2                                       |
| US3652060 | 2020-10-19 | AMENDMENT   | 4 ONGOING       |                                        |         | No/<br>2020-11-17 (28)            | Other/<br>PARTICIPANT UNABLE<br>TO COME INTO<br>CLINIC. |
| US3672077 | 2020-08-12 | AMENDMENT 2 | 2 ONGOING       |                                        |         | No/<br>2020-09-08 (28)            | Due to SARS-CoV-2                                       |
| US3672261 | 2020-08-21 | AMENDMENT 2 | 2 ONGOING       |                                        |         | No/<br>2020-09-17 (28)            | Due to SARS-CoV-2                                       |
| US3712041 | 2020-09-04 | AMENDMENT 3 | 3 ONGOING       |                                        |         | No/<br>2020-10-07 (28)            | Due to SARS-CoV-2                                       |
| US3712171 | 2020-09-24 | AMENDMENT 3 | 3 ONGOING       |                                        |         | No/<br>2020-10-22 (28)            | Due to SARS-CoV-2                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                                                                 | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                  |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|---------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| US3712209     | 2020-10-14                  | AMENDMENT 4         | 4 No/<br>2021-03-08 (145                                                      | Withdrawal of<br>OConsent by<br>Participant/<br>SUBJECT REFUSING<br>TO COME IN AND<br>BE UNBLINDED |         | No/<br>2020-11-11 (28)                                                          | Due to SARS-CoV-2                                                                                          |
| US3732262     | 2020-09-02                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                    |         | No/<br>2020-09-29 (28)                                                          | Adverse Event/<br>SYMPTOMATIC<br>COVID-19 AE #1                                                            |
| US3742401     | 2020-09-17                  | AMENDMENT (         | 3 ONGOING                                                                     |                                                                                                    |         | No/<br>2020-10-18 (28)                                                          | Other/<br>PARTICIPANT REFUSED<br>FOR SECOND<br>VACCINATION BUT<br>WILL CONTINUE OTHER<br>STUDY PROCEDURES. |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | n Reason for Study                                          | Date of<br>Death/<br>Autopsy<br>Performed? | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| US3742416     | 2020-09-18                  | amendment 3         | <sup>3</sup> No/<br>2021-01-12 (117                                           | Other/<br>/)REQUEST TO<br>UNBLIND / EUA<br>COVID-19 VACINNE |                                            | No/<br>2020-10-15 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3802162     | 2020-09-08                  | amendment 3         | ONGOING                                                                       |                                                             |                                            | No/<br>2020-10-05 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3802254     | 2020-09-15                  | amendment 3         | ONGOING                                                                       |                                                             |                                            | No/<br>2020-10-12 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3812267     | 2020-08-26                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                             |                                            | No/<br>2020-09-22 (28)                                                           | Due to SARS-CoV-2                                                         |
| US3812293     | 2020-08-28                  | AMENDMENT 2         | 2 ONGOING                                                                     |                                                             |                                            | No/<br>2020-09-24 (28)                                                           | Due to SARS-CoV-2                                                         |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               | Informed        |                     | Completed Study<br>Date of Study | //<br>n Reason for Study             | Date of<br>Death/ | Completed Dosing,<br>Date of Dosing<br>Discontinuation | /<br>Primary Reason for<br>Dosing                                                                                                       |
|---------------|-----------------|---------------------|----------------------------------|--------------------------------------|-------------------|--------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| Subject<br>ID | Consent<br>Date | Protocol<br>Version |                                  | Discontinuation/<br>Specified Reason | Autopsy           | or Completion                                          | Discontinuation/<br>Specified Reason                                                                                                    |
| US3822149     | 2020-08-17      | AMENDMENT 2         | 2 ONGOING                        |                                      |                   | No/<br>2020-09-13 (28)                                 | Other/<br>PATIENT TESTED<br>POSITIVE AT VISIT 1<br>AND DISCONTINUED<br>PROTOCOL TREATMENT.<br>PATIENT CONTINUING<br>ON STUDY FOLLOW UP. |
| US3832216     | 2020-08-19      | AMENDMENT 2         | 2 ONGOING                        |                                      |                   | No/<br>2020-09-15 (28)                                 | Due to SARS-CoV-2                                                                                                                       |
| US3832225     | 2020-08-19      | AMENDMENT 2         | 2 ONGOING                        |                                      |                   | No/<br>2020-09-15 (28)                                 | Due to SARS-CoV-2                                                                                                                       |
| US3862147     | 2020-09-08      | AMENDMENT (         | 3 ONGOING                        |                                      |                   | No/<br>2020-10-05 (28)                                 | Due to SARS-CoV-2                                                                                                                       |
| US3862218     | 2020-09-18      | AMENDMENT 3         | 3 ONGOING                        |                                      |                   | No/<br>2020-10-15 (28)                                 | Due to SARS-CoV-2                                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version | Completed Study<br>Date of Study<br>Discontinuation<br>or Completion<br>(Day) | /<br>Reason for Study<br>Discontinuation/<br>Specified Reason                                                | Autopsy | Completed Dosing,<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                            |
|---------------|-----------------------------|---------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872313     | 2020-08-26                  | AMENDMENT 2         | 2 No/<br>2020-10-27 (63)                                                      | Withdrawal of<br>Consent by<br>Participant/<br>SUBJECT WAS NOT<br>HAPPY ABOUT NOT<br>RECEIVING<br>VACCINE 2. |         | No/<br>2020-09-22 (28)                                                           | Protocol Deviation/<br>SWAB RESULT NOT<br>BACK AND THEY CAME<br>BACK THE V2 WAS TOO<br>FAR OOW PER MM AND<br>WAS RECOMMENDED TO<br>PI TO SKIP 2 AND<br>MOVE ON TO V3 |
| US3872416     | 2020-09-02                  | AMENDMENT 3         | 3 ONGOING                                                                     |                                                                                                              |         | No/<br>2020-09-29 (28)                                                           | Due to SARS-CoV-2                                                                                                                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

#### Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | /<br>n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Completed Dosing<br>Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | /<br>Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason                                                                                               |
|---------------|-----------------------------|---------------------|--------------------------|-----------------------------------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| US3872453     | 2020-09-03                  | AMENDMENT :         | 3 ONGOING                |                                                                 |         | No/<br>2020-09-30 (28)                                                          | Protocol Deviation/<br>V2 WAS TOO FAR OOW<br>, TRIED TO SCHEDULE<br>SUBJECT 2 TIMES AND<br>HE COULD NOT MAKE<br>IT IN FOR EITHER<br>VISIT FOR V2. WILL<br>MOVE ON TO V3 |
| US3872501     | 2020-09-10                  | AMENDMENT 3         | 3 ONGOING                |                                                                 |         | No/<br>2020-10-07 (28)                                                          | Due to SARS-CoV-2                                                                                                                                                       |
| US3912235     | 2020-10-08                  | AMENDMENT 4         | 4 No/<br>2021-02-09 (125 | Lost to Follow-Up                                               | 0       | Yes/<br>2020-11-09 (33)                                                         |                                                                                                                                                                         |
| US3932186     | 2020-09-24                  | AMENDMENT 3         | 3 No/<br>2020-10-08 (15  | Lost to Follow-Up<br>)                                          | 0       | No/<br>2020-10-07 (14)                                                          | Lost to Follow-Up                                                                                                                                                       |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

## Listing 16.2.1.1

#### Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study/         |                                                            |         | Completed Dosing,                                           | /                                                                    |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3942051     | 2020-09-02                  | AMENDMENT           | 3 ONGOING                |                                                            |         | No/<br>2020-09-29 (28)                                      | Due to SARS-CoV-2                                                    |
| US3942066     | 2020-09-04                  | AMENDMENT           | 3 No/<br>2020-12-02 (90) | Lost to Follow-Up<br>)                                     | )       | No/<br>2020-10-01 (28)                                      | Lost to Follow-Up                                                    |
| US3942091     | 2020-09-10                  | AMENDMENT           | 3 ONGOING                |                                                            |         | No/<br>2020-10-08 (28)                                      | Due to SARS-CoV-2                                                    |
| US3972184     | 2020-09-16                  | AMENDMENT           | 3 No/<br>2020-12-11 (87) | Lost to Follow-Up<br>)                                     | )       | Yes/<br>2020-10-19 (34)                                     |                                                                      |
| US3972224     | 2020-10-12                  | AMENDMENT           | 4 ONGOING                |                                                            |         | No/<br>2020-11-08 (28)                                      | Due to SARS-CoV-2                                                    |
| US3982123     | 2020-09-07                  | AMENDMENT           | 3 No/<br>2020-10-02 (26) | Lost to Follow-Up<br>)                                     | )       | No/<br>2020-10-01 (25)                                      | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.

# Listing 16.2.1.1

Subject Disposition for Subjects Who Discontinued from Participation in the Study or Discontinued from Study Vaccine Randomization Set

Baseline SARS-CoV-2 Status: Positive; Treatment Group: mRNA-1273

|               |                             |                     | Completed Study/         |                                                            |         | Completed Dosing/                                           |                                                                      |
|---------------|-----------------------------|---------------------|--------------------------|------------------------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------------------|
| Subject<br>ID | Informed<br>Consent<br>Date | Protocol<br>Version |                          | n Reason for Study<br>Discontinuation/<br>Specified Reason | Autopsy | Date of Dosing<br>Discontinuation<br>or Completion<br>(Day) | Primary Reason for<br>Dosing<br>Discontinuation/<br>Specified Reason |
| US3982190     | 2020-09-17                  | AMENDMENT           | 3 No/<br>2020-10-06 (18) | Lost to Follow-Up                                          | )       | No/<br>2020-10-05 (17)                                      | Lost to Follow-Up                                                    |

\* indicates re-screen subjects.

For subjects who did not receive any injection, day is defined as study day from randomization date; for subjects who received at least one injection, day is defined as study day from first injection date.