

**CERTIFICATION: FINANCIAL INTERESTS AND
ARRANGEMENTS OF CLINICAL INVESTIGATORS**

TO BE COMPLETED BY APPLICANT

With respect to all covered clinical studies (or specific clinical studies listed below (if appropriate)) submitted in support of this application, I certify to one of the statements below as appropriate. I understand that this certification is made in compliance with 21 CFR part 54 and that for the purposes of this statement, a clinical investigator includes the spouse and each dependent child of the investigator as defined in 21 CFR 54.2(d).

Please mark the applicable check box.

- (1) As the sponsor of the submitted studies, I certify that I have not entered into any financial arrangement with the listed clinical investigators (enter names of clinical investigators below or attach list of names to this form) whereby the value of compensation to the investigator could be affected by the outcome of the study as defined in 21 CFR 54.2(a). I also certify that each listed clinical investigator required to disclose to the sponsor whether the investigator had a proprietary interest in this product or a significant equity in the sponsor as defined in 21 CFR 54.2(b) did not disclose any such interests. I further certify that no listed investigator was the recipient of significant payments of other sorts as defined in 21 CFR 54.2(f).

Clinical investigators (See attached)

- (2) As the applicant who is submitting a study or studies sponsored by a firm or party other than the applicant, I certify that based on information obtained from the sponsor or from participating clinical investigators, the listed clinical investigators (attach list of names to this form) did not participate in any financial arrangement with the sponsor of a covered study whereby the value of compensation to the investigator for conducting the study could be affected by the outcome of the study (as defined in 21 CFR 54.2 (a)); had no proprietary interest in this product or significant equity interest in the sponsor of the covered study (as defined in 21 CFR 54.2(b)); and was not the recipient of significant payments of other sorts (as defined in 21 CFR 54.2(f)).

Clinical investigators (See attached)

- (3) As the applicant who is submitting a study or studies sponsored by a firm or party other than the applicant, I certify that I have acted with due diligence to obtain from the listed clinical investigators (attach list of names) or from the sponsor the information required under 54.4 and it was not possible to do so. The reason why this information could not be obtained is attached.

Clinical investigators (See attached)

NAME (b) (6)	TITLE (b) (6)
FIRM/ORGANIZATION Pfizer Inc.	
SIGNATURE (b) (6)	DATE 11/29/2021

This section applies only to the requirements of the Paperwork Reduction Act of 1995. Do NOT send your completed form to the PRA Staff email address below.
An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this Department of Health and Human Services collection of information is estimated to average 1 hour per response, including time for reviewing Food and Drug Administration instructions, searching existing data sources, gathering and maintaining the necessary data, and Office of Operations completing and reviewing the collection of information. Send comments regarding this burden PRAStaff@fda.hhs.gov estimate or any other aspect of this collection of information to the address to the right:

"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Number."

Product's Established Name:	bnt162
Protocol Number:	C4591001
Study Name:	A PHASE 1/2/3, PLACEBO-CONTROLLED, RANDOMIZED, OBSERVER-BLIND, DOSE-FINDING STUDY TO EVALUATE THE SAFETY, TOLERABILITY, IMMUNOGENICITY, AND EFFICACY OF SARS-COV-2 RNA VACCINE CANDIDATES AGAINST COVID-19 IN HEALTHY INDIVIDUALS
Disclosure Start Date:	04/29/2020
Disclosure Cut-off Date:	11/03/2021

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1005****Institution Name: Rochester Clinical Research, Inc.****List of Investigator Names**

Dr. Matthew Gilruth Davis

Jenna Baer

Chelsea Bork

Mrs. Michelle Lyn Burgett

Julie M. Callahan

Cristina Cramer-Mills

Kara Elizabeth Cristales

Mrs. Therese Eileen Dayton

Mrs. Kathleen Kowaliczko Ebeling

Ms. Victoria F. Fink

Jennifer Foley

Geesue Hah

(b) (6)

Mrs. Deborah S Hodges

Sarah Michelle Ingalsbe-Geno

Diana Lynn Kailburn

Mackay Brenna Kanaley

(b) (6)

Mrs. Patricia S. Larrabee

Joseph Ira Mann

Abigail Miller

Julie A. Mooney

Mrs. Katherine Anne Pagenkemper

Jennifer Rowlinson

Dr. Tammi Louise Shlotzhauer

Ms. Sally Anne Thomas

Julie E. Wentworth

Ms. Susan J Willer

Mrs. Jaclyn P. Zona

Zerina Zornic

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1006****Institution Name: J. Lewis Research, Inc. / Foothill Family Clinic****List of Investigator Names**

Dr. James Todd Peterson

Ms. Natalie Saley Bee

Dr. Alexander Paul Clark

Dr. Shana Kinsey Eborn

Dr. Dan C. Henry

Dr. Heather Anne Jackson

Mr. Gerald Gilbert Kelty

Issac Guillermo Pena-Renteria

Dr. Jacqueline Leann Rohrer

Dr. Jack Alan Taylor

Center Number: 1007**Institution Name: Cincinnati Children's Hospital Medical Center****List of Investigator Names**

Dr. Robert Wilson Frenck

Dr. David Isaac Bernstein

Dr. Rebecca Charlene Brady

Kristen Buschle

Prof. Michelle Dickey

Grant C. Paulsen

Felicia Scaggs-Huang

Dr. Paul W. Spearman

Eleanor Widdice

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1008****Institution Name: Clinical Research Professionals****List of Investigator Names**

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(b) (6)

Tonya Clausen

Dr. Siddharth Kaul

Dr. Anthony J. Margherita

Stacey Miner

Mrs. Brittany Nguyen

Mrs. Ann M Patek

Dr. Fredric Prater

Dawn M Ringling

Richard A. Summa

Dr. Terry Joe Weis

Ms. Alaina Yarber

Center Number: 1009**Institution Name: J. Lewis Research, Inc. / Foothill Family Clinic South****List of Investigator Names**

Dr. Shane Glade Christensen

Dr. Holly Marie Anderson

Ms. Emily Kathleen Bloomquist

Dr. Ross Anthony Brunetti

Dr. Thomas Kane Conner

Dr. Gina Marie Cox

Dr. Diana G. Grazulis

Dr. Wesley James Lewis

Dr. James P. Longe

Mr. Christopher Michael Matich

Dr. Bryan Lee Nelson

Emily Marie Raming

Ms. Sarah Jane Scott

Dr. John Edward Teddy Witbeck

Dr. Stephen D. Wood

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1013****Institution Name: Clinical Neuroscience Solutions, Inc. Dba Cns Healthcare****List of Investigator Names**

Dr. Michael Edward Dever

Mrs. Chanel K Adams

Dr. Patricia Ann W. Brown

Joan Elizabeth Cutillo

Ms. Letitia Mechelle Griffin

Dr. Linda S. Harper

Rebecca Ann Klipper

Geraldine Marino

Dr. Robert Bond Molpus

Priya Moorhouse

Mrs. Penny Louise ONeal

Ms. Deborah Ann Renner

Mr. Trey (William) Schesser

Dr. Felipe Suplicy

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1016****Institution Name: Kentucky Pediatric/ Adult Research****List of Investigator Names**

Dr. Daniel J. Finn

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Dr. Lindsay B. Blackmon

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Mrs. Kayla Nicole Hamilton

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Mrs. Wendy Marie Mattingly

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Andrea Yates

Mrs. Tabitha Lorean Yates

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1039****Institution Name: Arc Clinical Research At Wilson Parke****List of Investigator Names**

Dr. Gretchen Crook

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Dr. Kristen Jill Hansen Peterman

Dr. Sarah Higgs

Mrs. Camille Horony

Linda Nguyen

Ana Paez

Mrs. Bandana Risal

Dr. Amy Siegel

Center Number: 1044**Institution Name: Virginia Research Center LLC****List of Investigator Names**

Dr. Aaron N. Hartman

Dr. Raymond G Decker

Rachel Stockstill Martin

Center Number: 1057**Institution Name: Clinical Neuroscience Solutions, Inc.****List of Investigator Names**

Dr. Fadi Chalhoub

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Sujeeta Dhakhwa

Mr. Brett Gellers

Dr. Nandita Jones

Dr. John Mark Joyce

Dr. N keta Kamble

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1066****Institution Name: Solaris Clinical Research****List of Investigator Names**

Dr. David J. Butuk

Janelle T Barney

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Melissa Conrad

Scott T Frisby

Meredith K. Mangum

Paige Paige McKenzie

Kaylee Christine Ruckrigl

Center Number: 1077**Institution Name: Meridian Clinical Research LLC****List of Investigator Names**

Dr. Suchet R. Patel

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Michael Federico

Dr. Demetrios Gasparis

Dr. Lazarus Brent Christian Gehring

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Miss Katherine Macarak

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John Simon Perry

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THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1084****Institution Name: Clinical Trials Of Texas, Inc.****List of Investigator Names**

Dr. Douglas Scott Denham

Darlington Akahara

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Kimberly R. Evans

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Dr. Parke Hedges

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Ms. Cynthia Ramos

Clayton Alexander Stone

Thomas Weiss

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1091****Institution Name: Aventiv Research Inc (Facility And Drug Shipment Address)****List of Investigator Names**

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Mrs. Grazia Cannon

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Dr. Sridhar Guduri

Jessica Harpel

Chelsea Hughes

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Grace Ann Purkey

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Jessica Spear

Dr. Roy C. St. John

Sarah Elizabeth Ruth Wilcox

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1123****Institution Name: Meridian Clinical Research, LLC****List of Investigator Names**

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Roni Gray

Miss Cassie Heisey

Linda Jessie Layton

Dr. Jay Robert Meyer

Kimberly D Mueller

Tiffany Nemecek

Dr. Frederick Conrad Raiser

Mrs. Akossiwa Essi Yovogan

Center Number: 1124**Institution Name: Velocity Clinical Research, Providence****List of Investigator Names**

Dr. David L. Fried

Amanda T. Cole

Jacqueline DiFazio

Patricia A. Feole

Helena L Godley

Kristina M. Haughey

Ms. Lynne A. Haughey

Courtney L. Langlois

Amanda Z O'Neill

Johnna A. Pezzullo

Lisa S. Rameaka

Molly J. Savitzky

Ariana C. Stanton

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1125****Institution Name: Meridian Clinical Research, LLC****List of Investigator Names**

Dr. Charles Harold Harper

Sally Eppenbach

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Cathy Laflan

Mr. Wendell Lewis

Brett Milan Loseke

Chelsie Victoria Nutsch

Kari Kay Uhler

Dr. Keith Vrbicky

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1126****Institution Name: Kaiser Permanente Santa Clara****List of Investigator Names**

Rebecca Alexander

Janelle Baires

Mrs. Jessica M Barraza

Latisha Burun

Christine Cash

Gail L. Cook

Dr. David Michael Cooper

JOLYNNE GERARDO ENCARNACION

Douglas Ernst

Lauren Blair Fontenot

La Vonne Freed

Jeremy Giese

Julia R. Glanternik

Sarah Kang

Lisa Kennedy

Rebekah Danell Kotyk

Roma Kaur Kullar

Ludy Pasaoa Lemus

Mrs. Eva S Lowe

Lorrie Aileen Lowe

Nicole Makram

Ms. Shannon Mullen

Courtney Oxandale

Kenneth Purdy

Sally A Upadhyaya

Dana Maria Weisshaar

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THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1131****Institution Name: Primed Clinical Research****List of Investigator Names**

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Dr. Elizabeth Page Harauscz

Dr. Kristopher Paolino

Dr. Stephen James Thomas

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1142****Institution Name: University Of Texas Medical Branch****List of Investigator Names**

Dr. Richard Earl Rupp

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Cori M. Burkett

Elizabeth Ginn

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Center Number: 1147**Institution Name: Ochsner Clinic Foundation****List of Investigator Names**

Assoc. Prof. Julia Garcia-Diaz

Hailey Lynn Anderson

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Julie Castex

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Lisa Anne Fredenburg

Jenna D. Griffin

Samuel Travis King

James Nelson McMullen

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Sarah A. Ball

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Christopher Fackelmann

Caitlin Rose Fillioe

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Katie S. Passarell

Eliza K. Pereira

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1152****Institution Name: California Research Foundation****List of Investigator Names**

Donald M. Brandon

Dr. William B. Davis

Daniel Thomas Lawler

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Center Number: 1156**Institution Name: Acevedo Clinical Research Associates****List of Investigator Names**

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Dr. Armando Acevedo

Dr. Armando Garcia

Yeniska Hall Nieves

Lizz Laura Hernandez Diaz

Iris Izquierdo

Elaine Martinez

Alina Monteagudo Canto

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Israel Zagales

Center Number: 1223**Institution Name: Yale University****List of Investigator Names**

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Thilinie Bandaranayake

Melissa M. Campbell

Frances Santiago

Jessica Tuan

Center Number: 1235**Institution Name: Lsu Health Sciences Center At Shreveport Clinical Trials Office****List of Investigator Names**

Dr. John A. Vanchiere

Pat Farrington Bass

Dr. Paul D. Cooper

Kieth L Scott

THOSE LISTED BELOW HAVE DISCLOSED THAT THEY HAVE NO FINANCIAL INTERESTS IN THIS PRODUCT**Protocol Number: C4591001****Center Number: 1270****Institution Name: Kaiser Permanente Santa Clara****List of Investigator Names**

Rebecca Alexander

Janelle Baires

Mrs. Jessica M Barraza

Latisha Burun

Christine Cash

(b) (6)

Gail L. Cook

Dr. David Michael Cooper

JOLYNNE GERARDO ENCARNACION

Douglas Ernst

Lauren Blair Fontenot

La Vonne Freed

Jeremy Giese

Sarah Kang

Lisa Kennedy

Roma Kaur Kullar

Ludy Pasaoa Lemus

Mrs. Eva S Lowe

Lorrie Aileen Lowe

Nicole Makram

Ms. Shannon Mullen

Courtney Oxandale

Kenneth Purdy

Sally A Upadhyaya

Dana Maria Weisshaar

Amy Wiesner