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**Toxicology Review of COVID-19 Vaccine (BNT162, PF-07302048)  
(Final Report)**

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**Proposed indication:** Prophylactic immunization against COVID-19 in adults  $\geq 18$  years  
of age

**Division name:** OVRD/DVRPA

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#### Précis:

##### Study number 1:

In this repeat (groups 1 to 5 and 7 animals were dosed by IM on study days 1, 8, and 15 and group 6 animals were dosed on study days 1 and 8) dose toxicology study, rats were assigned to 7 different groups and treated with control or test article (see experimental design). Animals, 18 per sex per group, were treated with a final dose concentration of 0, 10, 30, or 100 [ $\mu$ g/animal]. Animals were euthanized on study days 10 and 17. Except for group 6 (30  $\mu$ g/animal [LNP saRNA RBD] test item 5), immune responses were reported in all other treated groups.

##### Study number 2:

In this repeat (study days 1, 8, and 15) dose toxicology study, rats were assigned to 3 different groups and treated with control or test article (see experimental design). Animals, 15 per sex per group, were treated with a final dose concentration of 30 [ $\mu\text{g}/\text{animal}$ ]. Animals were euthanized on study days 17 and 22. Immune responses were reported in all treated groups.

Study number 3 (Developmental toxicology study):

Animals were randomized and assigned to 4 different groups. Each group consisted of 22 females. Animals were administered 4 doses of saline or test article (30 [ $\mu\text{g}/\text{animal}$ ]) on study day 1 (21 days before mating, M-21) and day 8 (14 days before mating, M-14) and on gestation days 9 and 20. Animals were euthanized according to the following schedule:

F0 Females: Cesarean subset: On GD21.

Littering subset: After weaning of the F1 pups (females that fail to produce a viable litter by GD26 will be euthanized and necropsied).

Unmated Females: After completion of the mating period.

Pups: On PND4 (unselected pups) or on PND21.

**Introduction:**

Coronavirus infection 2019 (COVID-19) are increasing every day and spreading globally, affecting more and more countries.

The World Health Organization (WHO) characterized the COVID-19 outbreak as pandemic on March 11th, 2020. At the time of writing this report, more than 15 million people around the world were affected and more than 600 thousand people were died. Currently, no approved vaccines or antiviral drugs to prevent or treat SARS-CoV-2 infections or its associated disease COVID-2019 (1).

Significant advantage over more conventional vaccine approaches when using an RNA-based vaccine encoding a viral antigen that is translated to protein by the vaccinated organism to induce a protective immune response. RNA vaccines do not carry the risks associated with infection, unlike live attenuated vaccines. This kind of vaccines may be given to people who cannot be administered live virus (such as pregnant women and immunocompromised persons). The manufacturing of the RNA-based vaccines is via a cell-free *in vitro* transcription process. This method allows an easy and rapid production, and the prospect of producing high numbers of vaccination doses within a shorter time period than achieved with conventional vaccine approaches. In outbreak scenarios, this capability is pivotal to enable the most effective response.

The core innovation of the RNA vaccine is based on *in vivo* delivery of a pharmacologically optimized, antigen-encoding RNA to induce robust neutralizing antibodies and a concomitant T cell response to achieve protective immunization with minimal vaccine doses (2-4).

There are three different RNA platforms under development at BioNTech. These platforms are nonmodified uridine containing mRNA (uRNA, BNT162a), nucleoside modified mRNA (modRNA, BNT162b), and self-amplifying mRNA (saRNA, BNT162c). In more than a dozen non-clinical GLP safety studies, all three RNA platforms have been tested. As for uRNA and modRNA, there is pre-existing clinical safety data. These data have been obtained primarily with

RNAs formulated with (b) (4) which are related, but not identical, to those to be used in this trial.

Generated by BioNTech, the non-clinical toxicity data suggest a favorable safety profile for uRNA and modRNA, as well as saRNA formulated with different nanoparticles for various administration routes, including (b) (4) injection. After (b) (4) dosing, the favorable safety profile is notable because it results in a higher systemic exposure than the planned IM dosing in this trial. The findings from this study were mild and mostly related to the mode-of-action and the RNA-intrinsic stimulation of innate immune sensors. In rodents, the non-clinical safety profile of uRNA and modRNA was predictive for clinical safety.

RNA	Relevant non-clinical safety studies
uRNA	(b) (4)
modRNA	<p><b>GLP toxicity studies in mouse:</b></p> <ul style="list-style-type: none"> <li>• 2 studies testing modRNA formulated in LNPs (b) (4)</li> <li>• 2 studies testing modRNA formulated in (b) (4)</li> </ul> <p><b>Non-GLP safety studies in (b) (4) :</b></p> <ul style="list-style-type: none"> <li>• 4 studies testing modRNA formulated in LNPs (b) (4)</li> </ul> <p>Route: (b) (4), (other routes in R&amp;D studies)</p> <p>Dose: up to 200 µg/mouse, up to 1.6 mg/kg in (b) (4) monkeys</p> <p><b>Overall safety conclusions:</b></p> <p>No test-item related findings were noted for (b) (4) formulated modRNA after (b) (4) administration. (b) (4) administration of high doses of LNP formulated modRNA was generally well tolerated. Slight to moderate effects on hematology, clinical parameters (liver enzymes) and on lymphoid organs (spleen, liver) were noted, but were ameliorated after a 2-3 wk recovery period.</p> <p>In studies in (b) (4) monkeys almost no test-item related findings were noted on safety parameters. Slight, but reversible effects on hematology were detected, that were attributed to the mode of action of the encoded proteins.</p>
saRNA	(b) (4)

(b) (4)

Figure 1: BioNTech non-clinical platform experience

Pre-IND meeting was held for this IND on April 06, 2020.

Nonclinical:

*Sponsor Question 2:*

Does CBER agree that the proposed contents of the nonclinical package, including interim results of the ongoing pivotal GLP rat toxicity study (38166), will be sufficient to support initiation of the planned Phase 1/2 study in the US?

Regarding the ongoing pivotal GLP rat toxicity study (38166), the initial IND will include an interim report with the in-life endpoints (including clinical pathology and partial cytokine results) from the dosing phase. The dosing phase histology, remaining cytokine results, all serology results, and all the recovery phase endpoint results will be submitted as soon as they become available, but no later than 120 days after submission of the IND. Does CBER agree?

*FDA Response to Question 2:*

We agree that the proposed contents of the nonclinical package, including interim results of the ongoing pivotal GLP rat toxicity study (38166), will be sufficient to support initiation of the planned Phase 1/2 study in the US. We also agree to accept an interim report of the in-life endpoints in the initial IND with the remainder being submitted at a later point in time but no later than 120 days after submission of the IND.

**Proposed clinical study:**

The clinical study is a multi-site, phase I/II, 2-part, dose-escalation trial investigating the safety and immunogenicity of four prophylactic SARS-CoV-2 RNA vaccines against COVID-2019 using different dosing regimens in healthy adults.

In this study four different vaccines (BNT162a1, BNT162b1, BNT162b2, and BNT162c2) will be tested. Two parts will be included in this study:

*Part A*

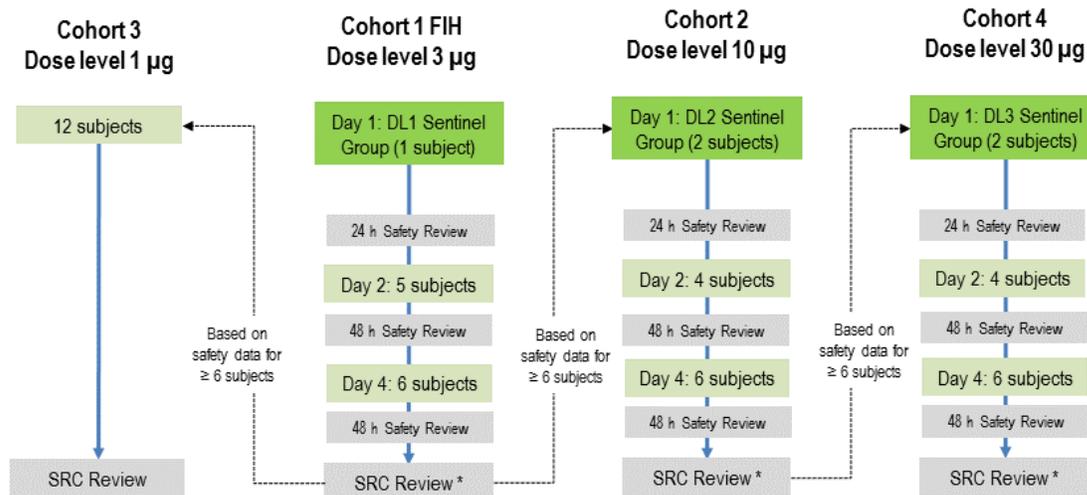
A dose-finding part with four dose cohorts (treatment groups) for each vaccine and one pre-defined and one optional dose level for a de-escalation approach. A dose-escalation design will be followed in the first part of the trial (part A). Subjects in this trial (first-in-human [FIH] immunization) will be immunized using a sentinel dosing/subject staggering (EMA 2017 guidance “Strategies to Identify and Mitigate Risks for First-in-Human and Early Clinical Trials with Investigational Medicinal Products”). The table below shows the FIH starting dose and the planned escalation/de-escalation doses:

Vaccine	mRNA type	Vaccine encoded antigen	Vaccine IM dosing regimen	Part A - Dose Groups & Dose ( $\mu\text{g}$ ) (12 subjects per cohort)				Part B - Optional Expansion Cohorts
				1 Starting dose	2	3 De-escalation dose	4	
BNT162a 1	uRNA	RBD of the SARS-CoV-2 S protein	Prime: Day 1 Boost: Day 22	1A 3 $\mu\text{g}$	2A 10 $\mu\text{g}$	3A 1 $\mu\text{g}$	4A 30 $\mu\text{g}$	Doses to be selected based on Part A data
BNT162b 1	modRN A	RBD of the S protein	Prime: Day 1 Boost: Day 22	1B 10 $\mu\text{g}$	2B 30 $\mu\text{g}$	3B 1 $\mu\text{g}$	4B 100 $\mu\text{g}$	As above
BNT162b 2	modRN A	A modified version of the S protein	Prime: Day 1 Boost: Day 22	1C 10 $\mu\text{g}$	2C 30 $\mu\text{g}$	3C 1 $\mu\text{g}$	4C 100 $\mu\text{g}$	As above
BNT162c 2	saRNA	A modified version of the S protein	Prime only: Day 1	1D 3 $\mu\text{g}$	2D 10 $\mu\text{g}$	3D 1 $\mu\text{g}$	4D 30 $\mu\text{g}$	As above

IM = intramuscular; RBD = Receptor Binding Domain; S protein = SARS-CoV-2 Spike protein

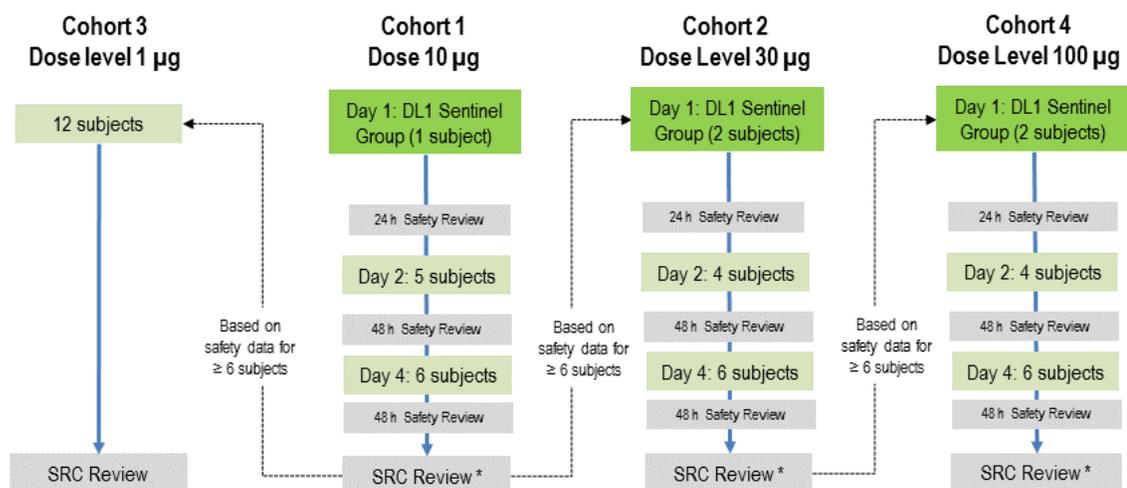
Figure 2: Summary of vaccine dose regimens in the clinical study

**PART A : Dose Cohort Scheme for uRNA (BNT162a1) and saRNA (BNT162c1)**



\*The data assessed by the SRC for progression comprises 48 h data for 6 subjects

Figure 3: Part A; Dose cohort scheme for uRNA (BNT162a1) and saRNA (BNT162c1)

**PART A: Dose Cohort Scheme for modified RNA groups (BNT162b1 and BNT162b2)**

\*The data assessed by the SRC for progression comprises 48 h data for 6 subjects

Figure 4: Part A; Dose cohort scheme for modified RNA groups (BNT162b1 and BNT162b2)

DL = Dose level; SRC = Safety Review Committee.

Figure of graphical depiction of the dose-finding process in part A

**Part B**

Dedicated to recruit expansion cohorts with dose levels which are selected from data generated in part A. Using a P/B regimen, the vaccines BNT162a1, BNT162b1, and BNT162b2 will be administered. For the vaccine BNT162c2, SD regimen will be used. After evaluation of aggregate data from part A, details of part B will be defined using a protocol amendment. Based on analysis of both immunogenicity and safety data gathered in part A, progression to part B will be decided. Immunogenicity and safety will be thoroughly assessed to select the vaccine and the dose(s) to be further evaluated in part B.

Safety data to be evaluated includes the package used by the SRC to assess individual dose levels. Immunogenicity of all doses will be assessed. In the protocol amendment, a summary of relevant safety and tolerability data collected in part A will be included. Also, the protocol amendment will include part B specific inclusion/exclusion criteria, objectives/endpoints, a description of the planned statistical analyses, and descriptions of any added trial assessments and procedures.

The design of part B will be a randomized, placebo-controlled in the likely target population (e.g., high risk populations such as elderly and/or immunocompromised populations). Part B may employ a surrogate marker as a measure of vaccine efficacy.

**Studies reviewed for this BLA:**

- 1- Repeat-dose toxicity study of three LNP-formulated RNA platforms encoding for viral proteins by repeated intramuscular administration to Wistar Han rats. Study number: 38166 (submitted in amendment 0).

- 2- 17-day intramuscular toxicity study of BNT162B2 (V9) and BNT162B3C In Wistar Han rats with a 3-week recovery. Study number: 20GR142 (submitted in amendment 32).
- 3- A Combined Fertility and Developmental Study (Including Teratogenicity and Postnatal Investigations) of BNT162b1, BNT162b2 and BNT162b3 by the Intramuscular Administration in the Wistar Rat. Study number: 20256434 (submitted in amendment 141).

**Studies not reviewed in all amendments:**

None.

**Toxicology Study Review**

**Study number 1:**

**Title and study number:** Repeat-dose toxicity study of three LNP-formulated RNA platforms encoding for viral proteins by repeated intramuscular administration to Wistar Han rats. Study number: 38166.

**Performing laboratory:** (b) (4)

**Study initiation date:** March 17, 2020

**Final report date:** July 1, 2020

**Test article batch/lot:**

Test Article	Batch Number	Stability
Buffer (PBS/300 mM Sucrose)	090320 23 hours	Not reported
RBL063.3" (BNT162a - 1)	CoVAC/090320	Not reported
RBP020.3" (BNT162b - 1)	CoVAC/100320	Not reported
RBP020.1" (BNT162b - 2)	CoVAC/160320	Not reported
RBS004.3" (BNT162c - 1)	CoVAC/130320	Not reported

**Animal species and strain:** Rat/Wistar/Crl:WI(Han)

**Breeder/supplier:** (b) (4)

**Number of animal per group and sex:** 15/sex/group

**Age:** Approximately 10-14 weeks at 1<sup>st</sup> dosing

**Body weight range:**

Males: 252.8g-343.9g

Females: 188.3g-267.3g

**Route and site of administration:** Intramuscular (IM)

**Volume of injection:** 0.5 mL

**Frequency of administration and study duration:**

For groups 1 to 5 and 7:

On test days 1, 8 and 15; in total 3 administration days at one-week intervals per animal.

For group 6:

On test days 1 and 8; in total 2 administration days at one-week interval per animal.

**Dose:** See study design

**Stability:** Analysis of stability, homogeneity and concentration of the test article under test conditions was not performed as part of the study. Stability studies were performed by the sponsor of the IND. At the time of submitting this study, stability studies with the first clinical trial material batch have just been started. Up to now no results are available. Stability data will be included in any upcoming amendment. The table below shows the protocol of stability study I for CTM drug substance batches:

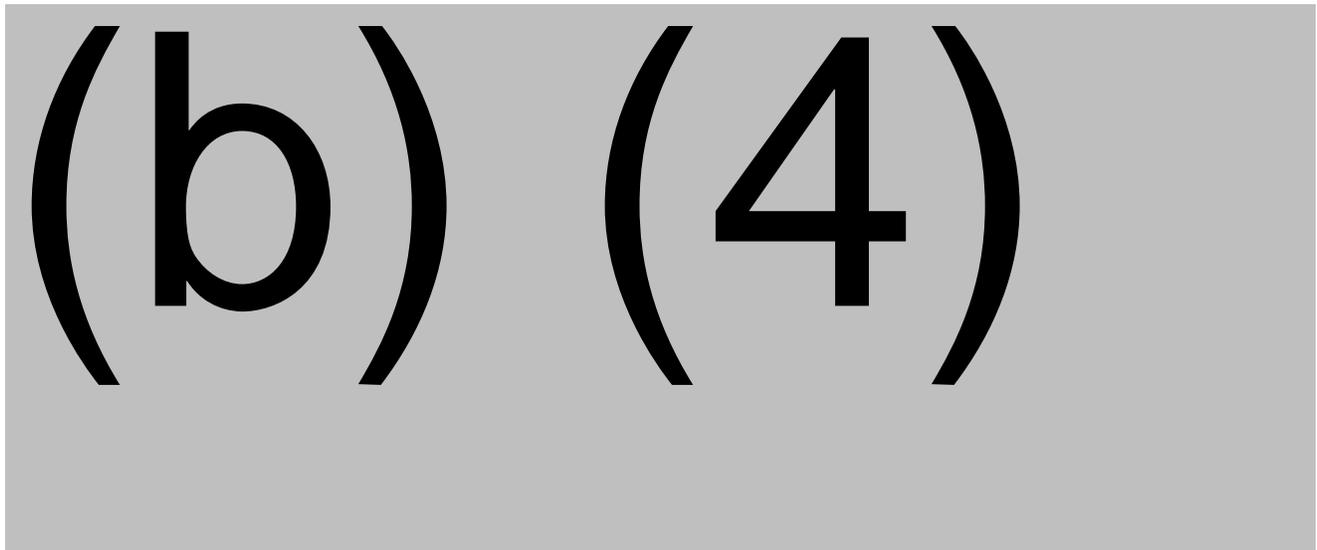


Table 1: Protocol of stability study I for CTM drug substance batches at different storage conditions

**Means of administration:** Intramuscular (IM)

**Report status:** Interim report

**Experimental design:**

Animals were randomized and assigned to 7 different groups. Each group consisted of 18/sex/group. Groups 1 to 5 and 7 animals were dosed by IM on study days 1, 8, and 15. Groups 6 animals were dosed by IM on study days 1 and 8. The details of the study design are listed in the following table:

Group	Dose level [µg/animal] (Test item / Control)	No. and sex of animals MS+RP+SA	Rat number		
			MS	RP	SA
1	0 (Buffer) Control	10 + 5 + 3 m 10 + 5 + 3 f	1-10 16-25	11-15 26-30	211-213 214-216
2	30 (LNPU RNA RBD) Test item 1	10 + 5 + 3 m 10 + 5 + 3 f	31-40 46-55	41-45 56-60	217-219 220-222

Group	Dose level [µg/animal] (Test item / Control)	No. and sex of animals MS+RP+SA	Rat number		
			MS	RP	SA
3	10 (LNP uRNA RBD) Test item 1	10 + 5 + 3 m 10 + 5 + 3 f	61-70 76-85	71-75 86-90	223-225 226-228
4	30 (LNP modRNA RBD) Test item 3	10 + 5 + 3 m 10 + 5 + 3 f	91-100 106-115	101-105 116-120	229-231 232-234
5	100 (LNP modRNA RBD) Test item 3	10 + 5 + 3 m 10 + 5 + 3 f	121-130 136-145	131-135 146-150	235-237 238-240
6	30 (LNP saRNA RBD) Test item 5	10 + 5 + 3 m 10 + 5 + 3 f	151-160 166-175	161-165 176-180	241-243 244-246
7	100 (LNP modRNA Sp2) Test item 4	10 + 5 + 3 m 10 + 5 + 3 f	181-190 196-205	191-195 206-210	247-249 250-252
Erroneously treated animals#:	100 (LNP uRNA RBD) Test item 1	0 + 0 + 3 m	-	-	253-255

m: male, f: female, MS: Main study, RP: Recovery period, SA: Satellite animals for cytokine analysis (except last group). #: Due to shortly planned dose reduction of group 3, three animals had already been dosed as originally planned with 100 µg/animal. These three animals were replaced by 3 spare animals in group 3. The three erroneously treated animals were maintained for at least 48 hours as a non-GLP group with observations reported informally to the sponsor (body weight (test day 1 and 24 and 48 hours post injection), body temperature (24 and 48 hours post injection) and local tolerance (24 and 48 hours post injection)).

Table 2: Experimental design

### Methods:

**Randomization procedure:** Yes

**Statistical analysis plan:** Yes.

**The following parameters were evaluated:** Clinical observations (twice daily), local tolerance [Draize scoring] (4, 24, and 48 hours after each injection), body weights (prior to injection on study days 1, 8, and 15, after treatment on study days 2, 9, and 16, and at necropsy on study days 10 or 17), food consumption (weekly), ophthalmology (before first dosing and at the end of the dosing period), body temperature (4 and 24 hours post injection on study days 1, 8, and 15), cytokines (study days 1, 8, 10, 15, and 17), clinical chemistry, hematology, coagulation, and

acute phase proteins (study days 4, 10, and 17), urinalysis (study days 10 and 17), serology (day 10 [BNT162c1] or at day 17 after first immunization [BNT162a1, BNT162b1, and BNT162b2]). Postmortem evaluations were performed on study days 10 (groups 6 and 7) and 17 (groups 1 to 5).

Parameters	Frequency of Testing
Cageside observation <sup>1</sup>	Twice daily
Clinical observations <sup>2</sup>	Twice daily
Body weight	Prior to injection on study days 1, 8, and 15, after treatment on study days 2, 9, and 16, and at necropsy on study days 10 or 17
Food consumption	Weekly
Body temperature	4 and 24 hours post injection on study days 1, 8, and 15
Ophthalmologic exam	Before first dosing and at the end of the dosing period
Clinical chemistry*	Study days 4, 10, and 17
Hematology*	Study days 4, 10, and 17
Coagulation*	Study days 4, 10, and 17
Local tolerance [Draize scoring]	4, 24, and 48 hours after each injection
Serology	Day 10 (BNT162c1) or at day 17 after first immunization (BNT162a1, BNT162b1, and BNT162b2)
Cytokines	Study days 1, 8, 10, 15, and 17
Urinalysis	Study days 10 and 17
Postmortem study evaluations	Study days 10 (groups 6 and 7) and 17 (groups 1 to 5)

\* Site collection of blood samples were retrobulbar venous plexus.

Day of sampling	Animals	Parameters
Test day 4:	The first 5 main study animals per sex and group and all recovery animals.	Hematology Clinical chemistry Acute phase proteins
At main study termination (on the day of dissection, i.e. on test days 10 or 17):	All main study animals	Hematology Coagulation Clinical chemistry Acute phase proteins

Table 3: Blood sampling schedule for laboratory examinations

<sup>1</sup> Cageside observations include mortality, morbidity, general health and signs of toxicity.

<sup>2</sup> Clinical observations include evaluation of skin and fur, eye and mucous membranes, respiratory, circulatory, autonomic and central nervous systems, somatomotor and behavior.

Parameter	Matrix	Total amount of sample	Aliquots prepared	Storage temperature	ELISA Kit
$\alpha$ 1-acid glycoprotein	Serum	150 $\mu$ L	2 x 75 $\mu$ L	-20 °C $\pm$ 10%	Rat Alpha 1 Acid Glycoprotein / AGP ELISA Kit (ab157729)
$\alpha$ 2 macroglobulin	Serum	150 $\mu$ L	2 x 75 $\mu$ L	-20 °C $\pm$ 10%	Rat alpha 2 Macroglobulin ELISA Kit (ab157730)

Table 4: Acute phase proteins

Cytokines	Matrix	Total amount of sample	Aliquots prepared	Storage temperature	Method
IFN- $\gamma$ TNF- $\alpha$ IL-1- $\beta$ IL-6 IL-10	Serum	150 $\mu$ L	2 x 75 $\mu$ L	-20 °C $\pm$ 10%	Cytometric bead array (ProcartaPlex) using Cytomics FC 500 (Beckman Coulter GmbH, 47704 Krefeld, Germany)

Table 5: Cytokine analysis

**Postmortem procedures:**

## Table of weighed organs

Adrenal gland (2)	Ovary (2)
Brain	Pituitary gland
Epididymis (2)	Prostate
Heart	Spleen
Kidney (2)	Testicle (2)
Liver	Thymus
Lungs	Thyroid (1) (including parathyroids)
Lymph nodes (cervical (1), mesenteric (1))	

Table 6: Weighed organs

**Results:**

No test article-related mortality was reported.

**Clinical chemistry and hematology:**

CLINICAL CHEMISTRY		
MEASUREMENT RELATED TO	END POINTS DIFFERENT THAN THE CONCURRENT CONTROL (LIST THE ENDPOINT STUDY DAY (SD), SEX, DOSE GROUP (G), DIRECTION, FOLD CHANGE if great than 1.5 so indicated otherwise $\geq 1.5$ )	NOT OF NOTE
ELECTROLYTE BALANCE		Calcium, chloride, potassium, sodium, phosphorus
CARBOHYDRATE METABOLISM		Glucose
LIVER FUNCTION: A) HEPATOCELLULAR	Alanine aminotransferase (ALT or SGPT) SD4 F ↓ = 0.6 G7	Aspartate aminotransferase (AST or SGOT)
B) HEPATOBILIARY		Total bilirubin Alkaline phosphatase (ALP)
ACUTE PHASE REACTANTS		Fibrinogen (also under coagulation)
KIDNEY FUNCTION		Creatinine Blood Urea Nitrogen (BUN)
OTHERS (ACID/BASE BALANCE, CHOLINESTERASES, HORMONES, LIPIDS, METHEMOGLOBIN, AND PROTEINS)	<p>Fasting triglycerides SD4 M ↓ = 0.6 G2 SD4 M ↓ = 0.3 G3 SD4 M ↓ = 0.3 G5 SD4 M ↓ = 0.3 G6 SD4 M ↓ = 0.3 G7 SD4 F ↓ = 0.3 G3 SD4 F ↓ = 0.6 G4 SD4 F ↓ = 0.3 G5 SD4 F ↓ = 0.4 G6 SD4 F ↓ = 0.3 G7 SD17 F ↑ = 1.8 G3</p> <p>Total Cholesterol SD17 M ↓ = 0.6 G2 SD17 M ↓ = 0.6 G4</p> <p>Creatine kinase (CK) SD4 M ↑ = 1.7 G4</p> <p>Gamma-GT SD4 M ↑ = 4.4 G2 SD4 M ↑ = 3.1 G3 SD4 M ↑ = 2.7 G4 SD4 M ↑ = 3.5 G5 SD4 M ↑ = 3.8 G6 SD4 M ↑ = 3.4 G7 SD17 M ↑ = 2.7 G2 SD17 M ↑ = 1.9 G3 SD17 M ↑ = 2.2 G4 SD17 M ↑ = 2.6 G5 SD17 M ↑ = 3.0 G7</p>	<p>Albumin (A) Total protein Carbon dioxide Globulin A/G ratio</p>

CLINICAL CHEMISTRY		
MEASUREMENT RELATED TO	END POINTS DIFFERENT THAN THE CONCURRENT CONTROL (LIST THE ENDPOINT STUDY DAY (SD), SEX, DOSE GROUP (G), DIRECTION, FOLD CHANGE if great than 1.5 so indicated otherwise $\geq 1.5$ )	NOT OF NOTE
	SD4 F $\uparrow$ = 4.2 G2 SD4 F $\uparrow$ = 3.1 G3 SD4 F $\uparrow$ = 2.6 G4 SD4 F $\uparrow$ = 4.2 G5 SD4 F $\uparrow$ = 4.3 G6 SD4 F $\uparrow$ = 4.6 G7  Lactate dehydrogenase (LDH) SD4 F $\uparrow$ = 1.7 G6	

Table 7: Serum chemistry results

Clinical chemistry results showed a decrease in ALT levels in group 7 females at study day 4. Triglyceride levels were decreased in groups 2, 3, 5, 6, and 7 males at study day 4. Triglyceride levels were decreased in groups 3, 4, 5, 6, and 7 females at study day 4. Triglyceride levels were increased in group 3 females at study day 17. Cholesterol levels were decreased in groups 2 and 4 males at study day 17. Creatine kinase levels were increased in group 4 males at study day 4. Gamma-GT levels were increased in groups 2, 3, 4, 5, 6, and 7 males at study day 4. Gamma-GT levels were increased in groups 2, 3, 4, 5, and 7 males at study day 17. Gamma-GT levels were increased in groups 2, 3, 4, 5, 6, and 7 females at study day 4. LDH levels were increased in group 6 females at study day 4.

Figure 5: Gamma-glutamyltransferase plasma activity in male rats mean values per group and standard deviation. TD = Treatment day.

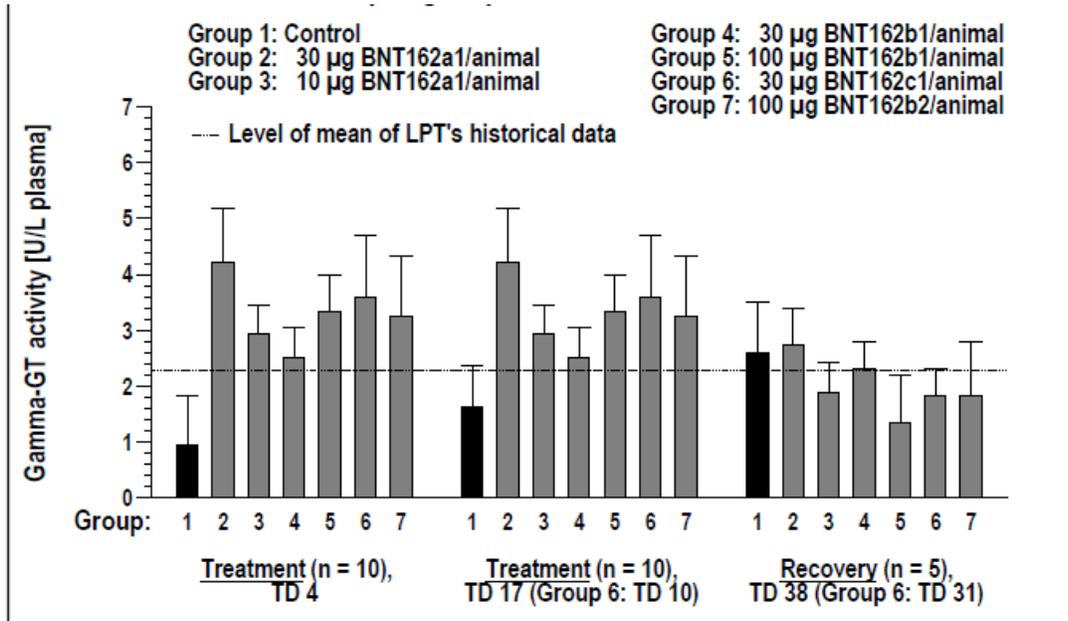


Figure 6: Gamma-glutamyltransferase plasma activity in female rats mean values per group and standard deviation. TD = Treatment day.

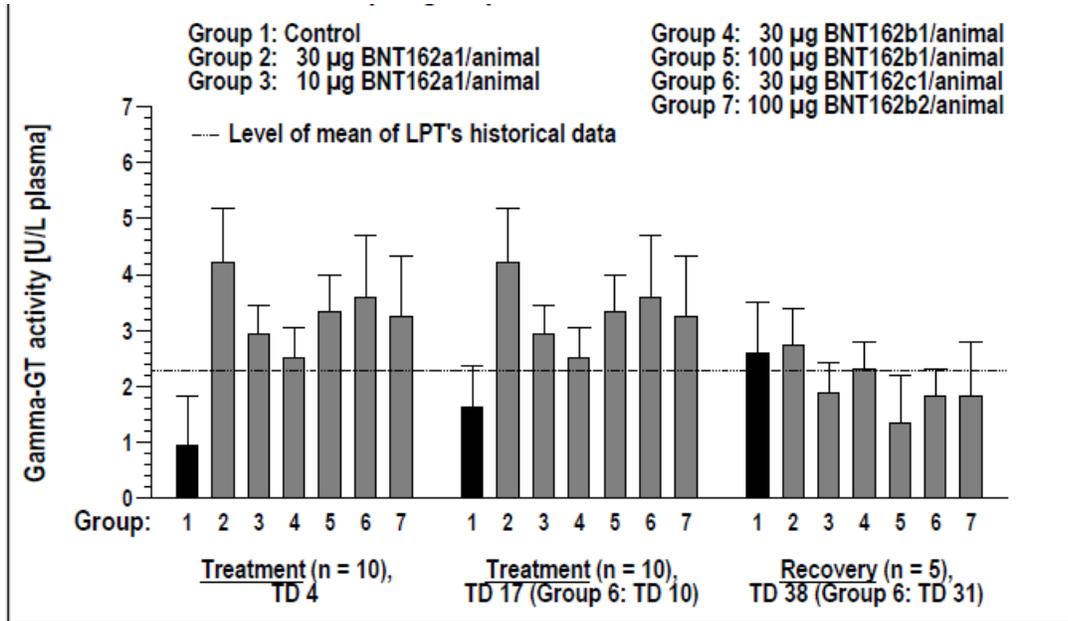


Figure 7: Test article-related changes in plasma activity of gamma-glutamyltransferase compared to the control group in %

Test day	BNT162a1				BNT162b1			
	Group 3: 10 µg/animal		Group 2: 30 µg/animal		Group 4: 30 µg/animal		Group 5: 100 µg/animal	
	Males	Females	Males	Females	Males	Females	Males	Females
4	+208.4**	+212.5**	+343.2**	+317.0**	+165.3**	+163.6	+249.5**	+322.7**
17	+87.7**	+174.4**	+173.5**	+228.1**	+121.6**	+225.6**	+158.0**	+263.6**
Test day	Group 6: 30 µg BNT162c1/animal				Group 7: 100 µg BNT162b2/animal			
	Males		Females		Males		Females	
4	+278.9**		+328.4**		+242.1**		+355.7**	
10	↑		↑					
17					+198.1**		+317.4**	

\*/\*\* Statistically significant at  $p \leq 0.01$  /  $p \leq 0.05$  (based on numerical data, not on percent difference).

↑ Increase relative to study control range, but % difference not quantifiable due to lacking concurrent controls.

In all test article-treated groups, an increase in albumin plasma levels and a decrease in globulin plasma levels, resulting in an altered albumin/globulin ratio, were reported. These changes are consistent with an acute phase response in albumin and globulin where albumin goes down and globulin goes up with inflammation, and the albumin/globulin ratio decreases. The following table lists the statistically significant changes reported in albumin and globulin levels and the alb./glob. ratio.

Statistically significant differences in albumin and globulin levels and the albumin/ globulin ratio compared to the control group						
Parameter	Group	Test item	Dose [µg/animal]	Sex	Test day	Change [%]
Albumin	2	BNT162a1	30	m	4	-9.4**
					17	-5.5**
				f	4	-14.1**
					17	-8.8**
	3	BNT162a1	10	m	4	-6.8**
					17	-5.9**
				f	4	-11.3**
					17	-8.8**
	4	BNT162b1	30	m	4	-4.1**
					17	-3.9**
				f	4	-8.4*
					17	-9.8**
5	BNT162b1	100	m	4	-7.0**	
				17	-3.8**	

Statistically significant differences in albumin and globulin levels and the albumin/ globulin ratio compared to the control group							
Parameter	Group	Test item	Dose [µg/animal]	Sex	Test day	Change [%]	
				f	4	-10.8**	
					17	-10.5**	
	6	BNT162c1	30	m	4	-7.7**	
					f	4	-11.7**
	7	BNT162b2	100	m	4	-9.1**	
					17	-5.9**	
				f	4	-12.6**	
					17	-11.0**	
	Globulin	2	BNT162a1	30	m	4	+9.5**
						17	+9.7**
					f	17	+13.6**
		4	BNT162b1	30	m	4	+15.9**
17						+18.6**	
f					17	+9.5**	
					17	+17.9**	
5		BNT162b1	100	m	4	+9.1**	
					17	+26.3**	
				f	17	+14.4**	
6		BNT162c1	30	m	4	+6.5*	
					7	BNT162b2	100
17		+23.1**					
f		17	+17.7**				
Albumin/Globulin Ratio		2	BNT162a1	30	m	4	-17.1**
	17					-13.9**	
	f				4	-18.0**	
					17	-19.3**	
	3	BNT162a1	10	m	4	-8.4**	
					17	-11.7**	
	4	BNT162b1	30	m	4	-17.1**	
					17	-18.9**	
				f	4	-16.3**	
					17	-23.6**	
	5	BNT162b1	100	m	4	-14.6**	

Statistically significant differences in albumin and globulin levels and the albumin/ globulin ratio compared to the control group						
Parameter	Group	Test item	Dose [µg/animal]	Sex	Test day	Change [%]
					17	-23.8**
				f	4	-17.0**
					17	-21.7**
	6	BNT162c1	30	m	4	-13.2**
				f	4	-10.1**
	7	BNT162b2	100	m	4	-15.1**
					17	-23.6**
				f	4	-15.7**
					17	-24.4**

m = Male  
f = Female

\*/\*\* Statistically significant at p = 0.01 / p = 0.05 (based on numerical data, not on percent difference).

Table 8: Differences in albumin and globulin levels and the albumin/ globulin ratio compared to the control group

HEMATOLOGY		
MEASUREMENT RELATED TO	END POINTS DIFFERENT THAN THE CONCURRENT CONTROL (LIST THE ENDPOINT, STUDY DAY (SD), SEX, DOSE GROUP (G), DIRECTION, FOLD CHANGE if great or less than 1.53, ie, ≥1.6 or ≤ 1.6	Not of NOTE
Red blood cells	Reticulocytes SD4 M ↓ = 0.2 G2 SD4 M ↓ = 0.4 G3 SD4 M ↓ = 0.6 G4 SD4 M ↓ = 0.4 G5 SD4 M ↓ = 0.3 G6 SD4 M ↓ = 0.3 G7 SD4 F ↓ = 0.4 G2 SD4 F ↓ = 0.5 G3 SD4 F ↓ = 0.6 G5 SD4 F ↓ = 0.4 G6 SD4 F ↓ = 0.5 G7	Hematocrit (Hct) Hemoglobin Conc. (Hb) Mean Corp. Hb. (MCH) Mean Corp. Hb. Conc. (MCHC), Mean Corp. Volume (MCV) Total Erythrocyte Count (RBC)
White blood cells	Monocyte count: SD4 F ↑ = 2.3 G2 SD4 F ↑ = 1.9 G6 SD17 F ↑ = 2.0 G2 SD17 F ↑ = 2.3 G3 SD17 F ↑ = 2.3 G4	Macrophage Leukocytes

<sup>3</sup> With rounding up at the tenth decimal place. Therefore, 1.54 or less becomes 1.5 and is not reported and 1.55 or greater becomes 1.6 and is reported.

HEMATOLOGY		
MEASUREMENT RELATED TO	END POINTS DIFFERENT THAN THE CONCURRENT CONTROL (LIST THE ENDPOINT, STUDY DAY (SD), SEX, DOSE GROUP (G), DIRECTION, FOLD CHANGE if great or less than 1.53, ie, $\geq 1.6$ or $\leq 1.6$ )	Not of NOTE
	<p>SD17 F <math>\uparrow</math> = 2.1 G5 SD17 F <math>\uparrow</math> = 1.6 G7</p> <p>Lymphocyte count SD17 M <math>\downarrow</math> = 0.5 G2 SD17 M <math>\downarrow</math> = 0.6 G5 SD17 M <math>\downarrow</math> = 0.5 G7</p> <p>Neutrophil count SD4 M <math>\uparrow</math> = 1.8 G2 SD4 M <math>\downarrow</math> = 0.6 G5 SD17 M <math>\uparrow</math> = 3.0 G2 SD17 M <math>\uparrow</math> = 2.3 G3 SD17 M <math>\uparrow</math> = 2.5 G4 SD17 M <math>\uparrow</math> = 2.9 G5 SD17 M <math>\uparrow</math> = 3.2 G7 SD4 F <math>\uparrow</math> = 3.5 G2 SD4 F <math>\uparrow</math> = 1.6 G5 SD4 F <math>\uparrow</math> = 2.0 G6 SD4 F <math>\uparrow</math> = 2.3 G7 SD17 F <math>\uparrow</math> = 6.9 G2 SD17 F <math>\uparrow</math> = 4.4 G3 SD17 F <math>\uparrow</math> = 5.9 G4 SD17 F <math>\uparrow</math> = 7.4 G5 SD17 F <math>\uparrow</math> = 7.8 G7</p> <p>Eosinophils count SD4 M <math>\downarrow</math> = 0.6 G6 SD17 M <math>\downarrow</math> = 0.5 G2 SD17 M <math>\downarrow</math> = 0.6 G3 SD17 M <math>\uparrow</math> = 1.7 G5 SD17 M <math>\uparrow</math> = 2.2 G7 SD17 F <math>\uparrow</math> = 1.6 G3 SD17 F <math>\uparrow</math> = 3.3 G4 SD17 F <math>\uparrow</math> = 5.4 G5 SD17 F <math>\uparrow</math> = 6.1 G7</p> <p>Basophils SD4 M <math>\uparrow</math> = 1.8 G3 SD4 M <math>\uparrow</math> = 1.6 G5 SD4 M <math>\uparrow</math> = 2.3 G6 SD4 M <math>\uparrow</math> = 2.5 G7 SD17 M <math>\uparrow</math> = 2.1 G2 SD17 M <math>\uparrow</math> = 2.3 G3 SD17 M <math>\uparrow</math> = 2.0 G4 SD17 M <math>\uparrow</math> = 2.1 G5 SD17 M <math>\uparrow</math> = 2.5 G7 SD4 F <math>\uparrow</math> = 2.2 G2</p>	

HEMATOLOGY		
MEASUREMENT RELATED TO	END POINTS DIFFERENT THAN THE CONCURRENT CONTROL (LIST THE ENDPOINT, STUDY DAY (SD), SEX, DOSE GROUP (G), DIRECTION, FOLD CHANGE if great or less than 1.53, ie, $\geq 1.6$ or $\leq 1.6$ )	Not of NOTE
	<p>SD4 F <math>\uparrow</math> = 1.8 G6                      SD4 F <math>\uparrow</math> = 1.7 G7                      SD17 F <math>\uparrow</math> = 3.2 G2                      SD17 F <math>\uparrow</math> = 2.1 G3                      SD17 F <math>\uparrow</math> = 2.2 G4                      SD17 F <math>\uparrow</math> = 2.3 G5                      SD17 F <math>\uparrow</math> = 2.1 G7</p> <p>White Blood Cells (WBC)                      SD17 M <math>\uparrow</math> = 1.6 G2                      SD17 M <math>\uparrow</math> = 1.6 G3                      SD17 M <math>\uparrow</math> = 1.6 G4                      SD17 M <math>\uparrow</math> = 1.8 G5                      SD17 M <math>\uparrow</math> = 2.2 G7                      SD17 F <math>\uparrow</math> = 2.0 G2                      SD17 F <math>\uparrow</math> = 1.6 G3                      SD17 F <math>\uparrow</math> = 1.8 G4                      SD17 F <math>\uparrow</math> = 2.0 G5                      SD17 F <math>\uparrow</math> = 2.1 G7</p> <p>Large Unstained Cells (LUC)                      SD4 M <math>\uparrow</math> = 5.6 G2                      SD4 M <math>\uparrow</math> = 2.2 G3                      SD4 M <math>\uparrow</math> = 2.2 G5                      SD4 M <math>\uparrow</math> = 3.2 G6                      SD4 M <math>\uparrow</math> = 2.8 G7                      SD17 M <math>\uparrow</math> = 7.1 G2                      SD17 M <math>\uparrow</math> = 3.4 G3                      SD17 M <math>\uparrow</math> = 1.7 G4                      SD17 M <math>\uparrow</math> = 3.5 G5                      SD17 M <math>\uparrow</math> = 3.4 G7                      SD4 F <math>\uparrow</math> = 6.7 G2                      SD4 F <math>\uparrow</math> = 2.1 G3                      SD4 F <math>\uparrow</math> = 3.5 G5                      SD4 F <math>\uparrow</math> = 3.8 G6                      SD4 F <math>\uparrow</math> = 4.2 G7                      SD17 F <math>\uparrow</math> = 11.2 G2                      SD17 F <math>\uparrow</math> = 6.2 G3                      SD17 F <math>\uparrow</math> = 5.6 G4                      SD17 F <math>\uparrow</math> = 8.1 G5                      SD17 F <math>\uparrow</math> = 4.2 G7</p>	
Clotting potential	<p>Platelet count                      SD17 F <math>\downarrow</math> = 0.6 G2</p> <p>Fibrinogen                      SD17 M <math>\uparrow</math> = 2.9 G2                      SD17 M <math>\uparrow</math> = 2.6 G3                      SD17 M <math>\uparrow</math> = 2.6 G4</p>	<p>Activated partial-thromboplastin time                      clotting time                      Prothrombin time</p>

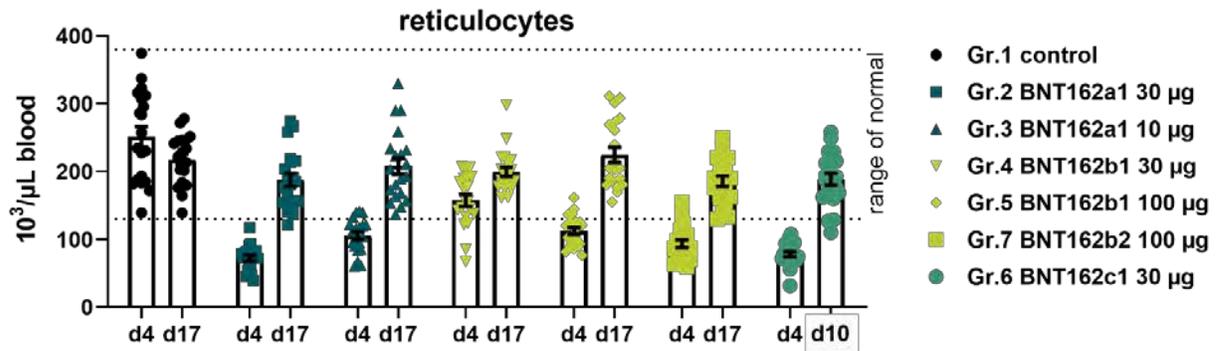
HEMATOLOGY		
MEASUREMENT RELATED TO	END POINTS DIFFERENT THAN THE CONCURRENT CONTROL (LIST THE ENDPOINT, STUDY DAY (SD), SEX, DOSE GROUP (G), DIRECTION, FOLD CHANGE if great or less than 1.53, ie, $\geq 1.6$ or $\leq 1.6$ )	Not of NOTE
	SD17 M $\uparrow$ = 2.9 G5 SD17 M $\uparrow$ = 3.1 G7 SD17 F $\uparrow$ = 2.7 G2 SD17 F $\uparrow$ = 2.4 G3 SD17 F $\uparrow$ = 2.5 G4 SD17 F $\uparrow$ = 2.6 G5 SD17 F $\uparrow$ = 2.6 G7  PCT % SD17 M $\downarrow$ = 0.6 G3 SD17 M $\downarrow$ = 0.6 G5 SD17 M $\downarrow$ = 0.6 G7 SD17 F $\downarrow$ = 0.6 G2 SD17 F $\downarrow$ = 0.5 G3 SD17 F $\downarrow$ = 0.6 G5 SD17 F $\downarrow$ = 0.6 G7	
Others		Bone marrow cytology

Table 9: Hematological results

Day: 10 Relative to Start Date		Haematological Parameters						
Sex: Male		HGB	RBC	WBC	Reti	Reti	PLT	HCT
		(mmol/L)	(x10E6/ $\mu$ L)	(x10E3/ $\mu$ L)	(%)	(x10E3/ $\mu$ L)	(x10E3/ $\mu$ L)	(%)
		[a]	[a]	[a]	[a]	[a]	[a]	[a]
Group 6:	Mean	8.75n	7.708n	20.115n	2.49n	192.67n	708.8n	41.46n
30 $\mu$ g/	SD	0.37	0.319	4.492	0.50	36.61	100.9	1.90
animal	N	10	10	10	10	10	10	10
T. item 5		-	-	-	-	-	-	-

Hematology results showed decrease in reticulocyte levels in groups 2, 3, 4, 5, 6, and 7 males at study day 4. Reticulocyte levels were decreased in groups 2, 3, 5, 6, and 7 females at study day 4. Reticulocytes levels were decreased after the 1<sup>st</sup> dose but recovered by the end of in-life of the toxicity study.

Figure 8: Reticulocyte's levels



Monocyte levels were increased in groups 2 and 6 females at study day 4. Monocyte levels were increased in groups 2, 3, 4, 5, and 7 females at study day 17. Lymphocyte levels were decreased in groups 2, 5, and 7 males at study day 17. Neutrophil levels were increased in group 2 males at study day 4. Neutrophil levels were decreased in group 5 males at study day 4. Neutrophil levels were increased in groups 2, 3, 4, 5, and 7 males and females at study day 17. Neutrophil levels were increased in groups 2, 5, 6, and 7 females at study day 4. Eosinophil levels were decreased in group 6 males at study day 4. Eosinophil levels were decreased in groups 2 and 3 males at study day 17. Eosinophil levels were increased in groups 5 and 7 males at study day 17. Eosinophil levels were increased in groups 3, 4, 5, and 7 females at study day 17. Basophil levels were increased in groups 3, 5, 6, and 7 males at study day 4. Basophil levels were increased in groups 2, 3, 4, 5, and 7 males at study day 17. Basophil levels were increased in groups 2, 6, and 7 females at study day 4. Basophil levels were increased in groups 2, 3, 4, 5, and 7 females at study day 17. WBC levels were increased in groups 2, 3, 4, 5, and 7 males and females at study day 17. LUC levels were increased in groups 2, 3, 5, 6, and 7 males and females at study day 4. LUC levels were increased in groups 2, 3, 4, 5, and 7 males and females at study day 17.

Platelet count were decreased in group 2 females at study day 17. Fibrinogen levels were increased in groups 2, 3, 4, 5, and 7 males and females at study day 17. PCT% levels were decreased in groups 3, 5, and 7 males at study day 17. PCT% levels were decreased in groups 2, 3, 5, and 7 females at study day 17.

### Groups 2 and 3:

Decreases in the absolute and relative reticulocyte count, the number of platelets, and red cell mass, and increases in the numbers of leucocytes, neutrophils, monocytes, large unstained cells (LUC), basophils and/or the levels of fibrinogen were reported in the test article-treated groups. At the end of the recovery phase, all changes were fully reversed.

<b>Test item-related changes in hematological and coagulation parameters, groups 2 and 3 compared to the control group in %</b>				
<b>Parameter</b>	<b>BNT162a1</b>			
	<b>Group 3: 10 µg/animal</b>		<b>Group 2: 30 µg/animal</b>	
	<b>Males</b>	<b>Females</b>	<b>Males</b>	<b>Females</b>
<u>Test day 4</u>				
Platelets (PLT)	None	None	None	-22.7**
Reticulocytes (relative)	-64.1**	-52.0**	-75.3**	-62.1**
Reticulocytes (absolute)	-62.1**	-51.5**	-75.6**	-64.4**
Neutrophils (Neut), abs.	None	None	+128.8**	+245.1**
Monocytes (Mono), abs.	None	None	+39.0	+129.5**
Large unclassified cells (LUC), abs.	None	None	+644.9**	+574.7**
Basophils (Baso), abs.	None	None	None	+119.2**
<u>Test day 17</u>				
Haemoglobin (HGB)	None	-7.7**	None	None
Erythrocytes (RBC)	None	-5.4*	None	None
Haematocrit (HCT)	None	-9.7**	None	None
Leucocytes (WBC)	None	None	+79.1**	+104.1**
Platelets (PLT)	-26.1**	-34.6**	-26.1**	-41.7**
Neutrophils (Neut), abs.	+267.1**	+338.0**	+430.6**	+589.4**
Monocytes (Mono), abs.	+103.6**	+131.7**	+84.7*	+97.4*
Large unclassified cells, (LUC) abs.	+455.7**	+520.8**	+1226.1**	+1022.1**
Basophils (Baso), abs.	+130.0**	+105.3*	+110.0**	+215.8**
Fibrinogen	+155.4**	+144.5*	+191.3**	+174.4**

abs. = absolute

None = No test item-related change.

\*/\*\* = Statistically significant at  $p \leq 0.01$  /  $p \leq 0.05$  (based on numerical data, not on percent difference).

Table 10: Test article-related changes in hematological and coagulation parameters for the treatment with BNT162a1

#### Groups 4 and 5:

Decreases in the absolute and relative reticulocyte count, the number of platelets, and red cell mass, and increases in the numbers of leucocytes, neutrophils, monocytes, large unstained cells (LUC), basophils and/or the levels of fibrinogen were reported in the test article-treated groups. At the end of the recovery phase, all changes were fully reversed.

<b>Test item-related changes in hematological and coagulation parameters, groups 4 and 5 compared to the control group in %</b>				
<b>Parameter</b>	<b>BNT162b1</b>			
	<b>Group 4: 30 µg/animal</b>		<b>Group 5: 100 µg/animal</b>	
	<b>Males</b>	<b>Females</b>	<b>Males</b>	<b>Females</b>
<u>Test day 4</u>				
Reticulocytes (relative)	-43.0	None	-65.6**	-42.6**
Reticulocytes (absolute)	-44.3**	None	-63.3**	-42.6**
Large unclassified cells (LUC), abs.	None	None	None	+250.6**
<u>Test day 17</u>				
Haemoglobin (HGB)	None	-10.5**	-10.9**	-13.5**
Erythrocytes (RBC)	None	-8.2**	-5.6	-9.5**
Haematocrit (HCT)	None	-9.1**	-13.9**	-14.7**
Leucocytes (WBC)	None	+79.3**	+82.2**	+102.7**
Platelets (PLT)	None	None	-25.0**	-34.4**
Neutrophils (Neut), abs.	+304.2**	+486.1**	+447.3**	+636.3**
Monocytes (Mono), abs.	+102.3**	+134.4**	+77.9*	+113.8**
Eosinophils (Eos), abs.	+111.9**	+227.7**	+230.3**	+440.4**
Large unclassified cells (LUC), abs.	+169.3**	+457.1**	+575.0**	+714.3**
Basophils (Baso), abs.	+100.0**	+121.1**	+110.0**	+126.3**
Fibrinogen	+155.7**	+146.2**	+192.1**	+161.4**

abs. = absolute

None = No test item-related change.

\*/\*\* = Statistically significant at  $p \leq 0.01$  /  $p \leq 0.05$  (based on numerical data, not on percent difference).

Table 11: Test article-related changes in hematological and coagulation parameters for the treatment with BNT162b1

#### BNT162c1 - Group 6

##### Treatment period

Decreases in the absolute and relative reticulocyte count, the number of platelets, and red cell mass, and increases in the numbers of leucocytes, neutrophils, monocytes, large unstained cells (LUC), basophils and/or the levels of fibrinogen were reported in the test article-treated groups. At the end of the recovery phase, all changes were fully reversed.

Test item-related changes in hematological and coagulation parameters, group 6 compared to the control group in %		
Parameter	Group 6: 30 µg BNT162c1/animal	
	Males	Females
<u>Test day 4</u>		
Reticulocytes (relative)	-76.5**	-59.0**
Reticulocytes (absolute)	-74.9**	-59.3**
Neutrophils (Neut), abs.	+68.4**	+104.9**
Monocytes (Mono), abs.	+38.7	+93.7**
Large unclassified cells (LUC), abs.	+360.7**	+283.9**
Basophils (Baso), abs.	+130.8**	None
<u>Test day 10</u>		
Haemoglobin (HGB)	None	↓
Erythrocytes (RBC)	None	↓
Haematocrit (HCT)	None	↓
Leucocytes (WBC)	↑	↑
Platelets (PLT)	↓	↓
Neutrophils (Neut), abs.	↑	↑
Monocytes (Mono), abs.	↑	↑
Large unclassified cells (LUC), abs.	↑	↑

abs. = absolute. ↑ Increase relative to study control range, but % difference not quantifiable due to lacking concurrent controls. ↓ Decrease relative to study control range, but % difference not quantifiable due to lacking concurrent controls. None = No test item-related change. \*/\*\* = Statistically significant at  $p \leq 0.01$  /  $p \leq 0.05$  (based on numerical data, not on percent difference).

Table 12: Test article-related changes in hematological and coagulation parameters for the treatment with BNT162c1

#### BNT162b2 - Group 7

Test article-related changes included decreases in the absolute and relative reticulocyte count, the number of platelets, and red cell mass, and increases in the numbers of leucocytes, neutrophils, monocytes, large unstained cells (LUC), basophils and/or the levels of fibrinogen. All changes fully reversed by the end of the recovery phase.

Test item-related changes in hematological and coagulation parameters, group 7 compared to the control group in %		
Parameter	Group 7: 100 µg BNT162b2/animal	
	Males	Females
<u>Test day 4</u>		
Reticulocytes (relative)	-74.3**	-47.7**
Reticulocytes (absolute)	-72.1**	-48.2**
Large unclassified cells (LUC), abs.	+295.5**	+319.5**
Basophils (Baso), abs.	+150.0**	None
<u>Test day 17</u>		
Hemoglobin (HGB)	-9.1**	-12.7**
Erythrocytes (RBC)	None	-9.8**
Hematocrit (HCT)	-11.9**	-13.5**
Leucocytes (WBC)	+118.7**	+111.0**
Platelets (PLT)	-29.2**	-34.1**
Neutrophils (Neut), abs.	+605.8**	+679.8**
Eosinophils (Eos), abs.	+419.3**	+509.6**
Large unclassified cells, (LUC) abs.	+685.2**	+594.8**
Basophils (Baso), abs.	+146.7**	+105.3*
Fibrinogen	+205.2**	+160.2**

abs. = absolute. None = No test item-related change. \*/\*\* = Statistically significant at  $p \leq 0.01$  /  $p \leq 0.05$  (based on numerical data, not on percent difference).

Table 13: test article-related changes in hematological and coagulation parameters for the treatment with BNT162b2

#### Acute phase protein levels:

		ELISA Parameters-Male		ELISA Parameters-Female	
		Alpha1-acid Glycoprotein (ng/mL)	Alpha2 Macroglob. (ng/mL)	Alpha1-acid Glycoprotein (ng/mL)	Alpha2 Macroglob. (ng/mL)
		[a]	[a1]	[a]	[a1]
Group 1: Control	Mean SD N	64658.6 6727.8 5	39774.6 3460.7 5	79798.8 17269.9 5	18098.2 5486.8 5
Group 2: 30 µg/	Mean SD	465027.0** 68141.1	727036.0** 243939.8	401386.0** 32156.3	126189.4** 63343.9

		ELISA Parameters-Male		ELISA Parameters-Female	
		Alpha1-acid Glycoprotein (ng/mL)	Alpha2 Macroglob. (ng/mL)	Alpha1-acid Glycoprotein (ng/mL)	Alpha2 Macroglob. (ng/mL)
		[a]	[a1]	[a]	[a1]
animal T. item 1	N %Diff	5 619.2	5 1727.9	5 403.0	5 597.2
Group 3: 10 µg/ animal T. item 1	Mean SD N %Diff	304707.0** 34632.5 5 371.3	222958.2 118385.8 5 460.6	323645.0** 46893.3 5 305.6	57146.0** 15460.1 5 215.8
Group 4: 30 µg/ animal T. item 3	Mean SD N %Diff	381868.0** 30666.8 5 490.6	1434571.0** 522399.7 5 3506.8	378897.0** 29869.1 5 374.8	330428.0** 292586.3 5 1725.8
Group 5: 100 µg/ animal T. item 3	Mean SD N %Diff	454853.0** 23446.8 5 603.5	2143050.0** 71797.8 5 5288.0	444957.0** 21643.8 5 457.6	1639367.0** 557054.1 5 8958.2
Group 6: 30 µg/ animal T. item 5	Mean SD N %Diff	431128.0** 60320.6 5 566.8	685548.0** 364534.3 5 1623.6	390580.0** 23209.4 5 389.5	169592.0** 138784.7 5 837.1
Group 7: 100 µg/ animal T. item 4	Mean SD N %Diff	446781.0** 64502.0 5 591.0	2159010.0** 78652.0 5 5328.1	445614.0** 27975.1 5 458.4	1362630.0** 257962.6 5 7429.1

[a] - Anova & Dunnett (Log): \*\* = p ≤ 0.01

[a1] - Anova & Dunnett (Rank): \*\* = p ≤ 0.01

Table 14: Acute phase protein levels, day 4 relatives to start date

At study day 4, alpha1-acid glycoprotein and alpha2 macroglobulin levels were increased significantly (p ≤ 0.01) in all treated male's and female's groups.

		ELISA Parameters-Male		ELISA Parameters-Female	
		Alpha1-acid Glycoprotein (ng/mL)	Alpha2 Macroglob. (ng/mL)	Alpha1-acid Glycoprotein (ng/mL)	Alpha2 Macroglob. (ng/mL)
		[a]	[a]	[a]	[a]
Group 6: 30 µg/ animal T. item 5	Mean SD N	416278.0n 34413.2 10	- - -	409704.5n 31388.8 -	- - -

[a] - Anova & Dunnett (Log): \*\* = p ≤ 0.01

Table 15: Acute phase protein levels, day 10 relatives to start date

		ELISA Parameters-Male		ELISA Parameters-Female	
		Alpha1-acid Glycoprotein (ng/mL)	Alpha2 Macroglob. (ng/mL)	Alpha1-acid Glycoprotein (ng/mL)	Alpha2 Macroglob. (ng/mL)
		[a]	[a1]	[a]	[a1]
Group 1: Control	Mean	50334.7	-	52001.7	-
	SD	11962.9	-	10058.1	-
	N	10	-	10	-
	-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	429643.0**	-	467670.5**	-
	SD	17527.1	-	35882.2	-
	N	10	-	10	-
	%Diff	753.6	-	799.3	-
Group 3: 10 µg/ animal T. item 1	Mean	737003.5**	-	649429.5**	-
	SD	124583.7	-	236844.1	-
	N	10	-	10	-
	%Diff	1364.2	-	1148.9	-
Group 4: 30 µg/ animal T. item 3	Mean	437627.0**	-	463014.0**	-
	SD	54732.7	-	31240.3	-
	N	10	-	10	-
	%Diff	769.4	-	790.4	-
Group 5: 100 µg/ animal T. item 3	Mean	970915.5**	-	980874.0**	-
	SD	72264.9	-	86180.9	-
	N	10	-	10	-
	%Diff	1828.9	-	1786.2	-
Group 7: 100 µg/ animal T. item 4	Mean	1043631.5**	-	826053.0**	-
	SD	80157.0	-	274115.3	-
	N	10	-	10	-
	%Diff	1973.4	-	1488.5	-

[a] - Anova & Dunnett (Log): \*\* =  $p \leq 0.01$

[a1] - Anova & Dunnett (Rank): \*\* =  $p \leq 0.01$

Table 16: Acute phase protein levels, day 17 relatives to start date

At study days 10 and 17, alpha1-acid glycoprotein levels were increased significantly ( $p \leq 0.01$ ) in all treated male's and female's groups.

**Cytokine levels:**

Sex: Male		Day 1 Relative to Start Date (PreDs) Cytokine Levels				
		IFN-gamma	TNF-alpha	IL-1beta	IL-6	IL-10
		(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)
		[a]	[a]	[a]	[a]	[a]
Group 1: Control	Mean	7.23	7.10	12.60	3.00	9.90
	SD	5.60	0.00	0.00	0.00	0.00
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	4.00	15.50	29.20	3.00n	9.90n
	SD	0.00	8.83	26.62	0.00	0.00
	N	3	3	3	3	3
	%Diff	-44.7	118.3	131.7	0.0	0.0
Group 4: 30 µg/ animal T. item 3	Mean	4.00	7.10	12.60	3.00n	9.90n
	SD	0.00	0.00	0.00	0.00	0.00
	N	3	3	3	3	3
	%Diff	-44.7	0.0	0.0	0.0	0.0
Day: 1 Relative to Start Date (6 h pa)						
Group 1: Control	Mean	99.17	66.10	349.93	12.33	212.37
	SD	7.60	14.69	115.46	8.31	116.87
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	123.47	87.53	464.57	6.80	157.93
	SD	33.70	19.00	114.06	4.59	127.75
	N	3	3	3	3	3
	%Diff	24.5	32.4	32.8	-44.9	-25.6
Group 4: 30 µg/ animal T. item 3	Mean	82.40	64.43	347.47	9.20	190.77
	SD	11.49	7.01	38.18	1.44	38.89
	N	3	3	3	3	3
	%Diff	-16.9	-2.5	-0.7	-25.4	-10.2

[a] - Anova &amp; Dunnett

[a1] - Anova &amp; Dunnett(Log)

[a2] - Anova &amp; Dunnett(Rank): n - Inappropriate for statistics

Table 17: Cytokine levels in males at study day 1

The levels of IFN-gamma, TNF-alpha, IL-1beta, IL-6, and IL-10 were increased in groups 1, 2, and 4 males at 6 hours post day 1 treatment.

Sex: Male		Day 8 Relative to Start Date (PreDs)				
		IFN-gamma	TNF-alpha	IL-1beta	IL-6	IL-10
		(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)
		[a]	[a]	[a]	[a]	[a]
Group 1: Control	Mean	109.77	92.47	447.53	14.57	365.60
	SD	20.35	19.99	87.14	16.21	74.22
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal	Mean	59.07	84.57	432.77	6.67	258.53
	SD	50.08	26.63	188.55	3.25	225.11
	N	3	3	3	3	3
T. item 1	%Diff	-46.2	-8.5	-3.3	-54.2	-29.3
Group 4: 30 µg/ animal	Mean	22.10	22.13*	93.40*	3.00	68.67
	SD	30.92	26.04	139.95	0.00	101.79
	N	3	3	3	3	3
T. item 3	%Diff	-79.9	-76.1	-79.1	-79.4	-81.2
Sex: Male		Day 8 Relative to Start Date (6 h pa)				
Group 1: Control	Mean	88.43	56.80	269.07	4.50	220.07
	SD	19.95	20.82	111.47	2.60	106.23
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal	Mean	117.03	75.83	377.60	3.00	191.67
	SD	20.22	18.32	79.12	0.00	56.91
	N	3	3	3	3	3
T. item 1	%Diff	32.3	33.5	40.3	-33.3	-12.9
Group 4: 30 µg/ animal	Mean	56.60	41.20	208.17	3.00	84.37
	SD	7.54	13.40	74.37	0.00	86.87
	N	3	3	3	3	3
T. item 3	%Diff	-36.0	-27.5	-22.6	-33.3	-61.7

[a] - Anova &amp; Dunnett

[a1] - Anova &amp; Dunnett (Log)

[a2] - Anova &amp; Dunnett (Rank): n - Inappropriate for statistics

Table 18: Cytokine levels in males at study day 8

The levels of IFN-gamma, TNF-alpha, IL-1beta, and IL-10 were increased in groups 1, 2, and 4 males at pre-dose and 6 hours post day 8 treatment when compared to day 1. However, the levels of TNF-alpha and IL-1beta decreased significantly in group 4 when compared to group 1 at pre dose at study day 8. Also, the levels of IFN-gamma and IL-10 decreased in group 4 when compared to group 1 at 6 hours post dose at study day 8.

Sex: Male		Day 15 Relative to Start Date (PreDs)				
		IFN-gamma	TNF-alpha	IL-1beta	IL-6	IL-10
		(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)
		[a]	[a]	[a]	[a1]	[a]
Group 1: Control	Mean	84.90	66.80	269.17	3.00	178.57
	SD	61.87	52.44	231.66	0.00	147.46
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	55.63	87.87	362.97	4.63	167.80
	SD	78.85	80.06	383.08	2.83	273.49
	N	3	3	3	3	3
	%Diff	-34.5	31.5	34.8	54.4	-6.0
Group 4: 30 µg/ animal T. item 3	Mean	44.80	35.77	145.90	3.00	81.00
	SD	45.08	46.23	230.88	0.00	123.15
	N	3	3	3	3	3
	%Diff	-47.2	-46.5	-45.8	0.0	-54.6
Sex: Male		Day 15 Relative to Start Date (6 h pa)				
Group 1: Control	Mean	125.33	82.30	381.77	3.53	238.63
	SD	24.16	36.60	149.65	0.92	102.97
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	190.80*	112.80	499.80	3.00	270.73
	SD	35.23	26.42	83.83	0.00	13.59
	N	3	3	3	3	3
	%Diff	52.2	37.1	30.9	-15.1	13.5
Group 4: 30 µg/ animal T. item 3	Mean	124.07	102.80	471.40	5.37	234.17
	SD	18.46	27.35	129.00	2.05	107.20
	N	3	3	3	3	3
	%Diff	-1.0	24.9	23.5	51.9	-1.9

[a] - Anova &amp; Dunnett

[a1] - Anova &amp; Dunnett (Log)

[a2] - Anova &amp; Dunnett (Rank): n - Inappropriate for statistics

Table 19: Cytokine levels in males at study day 15

The levels of IFN-gamma, TNF-alpha, IL-1beta, and IL-10 were increased in groups 1, 2, and 4 males at pre-dose and 6 hours post day 15 treatment when compared to day 1.

Sex: Male		Cytokine Levels				
		IFN-gamma	TNF-alpha	IL-1beta	IL-6	IL-10
		(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)
		[a]	[a]	[a]	[a1]	[a1]
Group 1: Control	Mean	4.00	7.10	12.60	3.00	9.90
	SD	0.00	0.00	0.00	0.00	0.00
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	111.17**	25.20	69.83	3.00	9.90
	SD	16.10	23.53	84.67	0.00	0.00
	N	3	3	3	3	3
	%Diff	2679.2	254.9	454.2	0.0	0.0
Group 4: 30 µg/ animal T. item 3	Mean	31.20	41.97	176.10	7.83	44.70
	SD	47.11	60.39	283.19	8.37	60.28
	N	3	3	3	3	3
	%Diff	680.0	491.1	1297.6	161.1	351.5

[a] - Anova &amp; Dunnett

[a1] - Anova &amp; Dunnett (Log)

[a2] - Anova &amp; Dunnett (Rank): n - Inappropriate for statistics

Table 20: Cytokine levels in males at study day 17 relatives to start date (48h pa)

The levels of IFN-gamma, TNF-alpha, and IL-1beta were increased in groups 2 and 4 males at 48 hours post day 17 treatment when compared to day 1 and group 1 at study day 17. The levels of IL-10 were increased in group 4 males at 48 hours post day 17 treatment when compared to day 1 and group 1 at study day 17. IFN-gamma levels were significantly increased in group 2 at 48 hours post day 17 treatment when compared to group 1.

Sex: Female		Day 1 Relative to Start Date (PreDs)				
		IFN-gamma	TNF-alpha	IL-1beta	IL-6	IL-10
		(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)	(pg/mL)
		[a]	[a1]	[a1]	[a1]	[a1]
Group 1: Control	Mean	30.67	28.57	119.00	3.00	71.90
	SD	46.19	23.95	135.10	0.00	107.39
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	4.00	7.10	12.60	3.00n	9.90
	SD	0.00	0.00	0.00	0.00	0.00
	N	3	3	3	3	3
	%Diff	-87.0	-75.1	-89.4	0.0	-86.2
Group 4: 30 µg/ animal T. item 3	Mean	8.20	7.10	12.60	3.00n	9.90
	SD	7.27	0.00	0.00	0.00	0.00
	N	3	3	3	3	3
	%Diff	-73.3	-75.1	-89.4	0.0	-86.2
Day: 1 Relative to Start Date (6 h pa)						
Group 1: Control	Mean	86.50	65.83	345.70	5.77	168.03
	SD	8.29	29.96	188.07	3.19	78.07

Sex: Female		Day 1 Relative to Start Date (PreDs)				
		IFN-gamma (pg/mL) [a]	TNF-alpha (pg/mL) [a1]	IL-1beta (pg/mL) [a1]	IL-6 (pg/mL) [a1]	IL-10 (pg/mL) [a1]
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal	Mean	97.87	46.83	246.17	6.07	84.67
	SD	32.96	14.73	113.44	4.55	70.78
	N	3	3	3	3	3
T. item 1	%Diff	13.1	-28.9	-28.8	5.2	-49.6
Group 4: 30 µg/ animal	Mean	73.37	46.73	235.47	5.50	132.57
	SD	29.11	8.39	52.21	4.07	27.24
	N	3	3	3	3	3
T. item 3	%Diff	-15.2	-29.0	-31.9	-4.6	-21.1

[a] - Anova &amp; Dunnett

[a1] - Anova &amp; Dunnett (Log)

[a2] - Anova &amp; Dunnett (Rank): n - Inappropriate for statistics

Table 21: Cytokine levels in females at study day 1

Sex: Female		Day 8 Relative to Start Date (PreDs)				
		IFN-gamma (pg/mL) [a]	TNF-alpha (pg/mL) [a]	IL-1beta (pg/mL) [a1]	IL-6 (pg/mL) [a1]	IL-10 (pg/mL) [a1]
Group 1: Control	Mean	23.27	12.80	48.37	3.00	17.80
	SD	31.91	9.87	61.95	0.00	13.68
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal	Mean	31.00	27.47	126.83	3.00n	74.30
	SD	46.25	35.28	197.86	0.00	111.54
	N	3	3	3	3	3
T. item 1	%Diff	33.2	114.6	162.2	0.0	317.4
Group 4: 30 µg/ animal	Mean	54.43	34.17	148.90	3.00n	112.93
	SD	39.53	46.88	236.08	0.00	178.46
	N	3	3	3	3	3
T. item 3	%Diff	134.0	166.9	207.9	0.0	534.5
		Day 8 Relative to Start Date (6 h pa)				
Group 1: Control	Mean	77.80	43.67	213.37	3.00	125.70
	SD	18.19	19.70	99.74	0.00	98.90
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal	Mean	103.77	42.77	220.37	3.00n	115.83
	SD	53.24	23.93	146.31	0.00	92.56
	N	3	3	3	3	3
T. item 1	%Diff	33.4	-2.1	3.3	0.0	-7.8
Group 4: 30 µg/ animal	Mean	80.93	51.47	260.00	3.00n	202.23
	SD	30.62	14.82	89.54	0.00	86.64
	N	3	3	3	3	3

T. item 3	%Diff	4.0	17.9	21.9	0.0	60.9
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[a] - Anova &amp; Dunnett

[a1] - Anova &amp; Dunnett (Log)

[a2] - Anova &amp; Dunnett (Rank): n - Inappropriate for statistics

Table 22: Cytokine levels in females at study day 8

The levels of IFN-gamma, TNF-alpha, IL-1beta, and IL-10 were increased in groups 2 and 4 females at pre dose at study day 8 treatment when compared to group 1. The levels of IFN-gamma were increased in group 2 females at 6 hours post dose at study day 8 treatment when compared to group 1. The levels of IL-10 were increased in group 4 females at 6 hours post dose at study day 8 treatment when compared to group 1.

Sex: Female		Day 15 Relative to Start Date (PreDs)				
		IFN-gamma (pg/mL) [a]	TNF-alpha (pg/mL) [a]	IL-1beta (pg/mL) [a]	IL-6 (pg/mL) [a1]	IL-10 (pg/mL) [a]
Group 1: Control	Mean	37.33	26.27	116.57	3.00	66.90
	SD	57.74	33.20	180.08	0.00	98.73
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	79.63	60.53	252.57	3.00n	148.53
	SD	23.68	39.81	182.59	0.00	120.84
	N	3	3	3	3	3
	%Diff	113.3	130.5	116.7	0.0	122.0
Group 4: 30 µg/ animal T. item 3	Mean	34.30	7.10	12.60	3.00n	9.90
	SD	36.98	0.00	0.00	0.00	0.00
	N	3	3	3	3	3
	%Diff	-8.1	-73.0	-89.2	0.0	-85.2
Sex: Female		Day 15 Relative to Start Date (6 h pa)				
Group 1: Control	Mean	121.37	90.97	420.53	3.27	230.10
	SD	18.61	29.50	143.71	0.46	89.38
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	185.67	96.20	468.70	3.10	246.37
	SD	51.68	23.88	100.85	0.17	46.35
	N	3	3	3	3	3
	%Diff	53.0	5.8	11.5	-5.1	7.1
Group 4: 30 µg/ animal T. item 3	Mean	134.57	108.27	504.70	3.67	253.23
	SD	23.73	26.68	112.68	0.61	35.48
	N	3	3	3	3	3
	%Diff	10.9	19.0	20.0	12.2	10.1

[a] - Anova &amp; Dunnett

[a1] - Anova &amp; Dunnett (Log)

[a2] - Anova &amp; Dunnett (Rank): n - Inappropriate for statistics

Table 23: Cytokine levels in females at study day 15

The levels of IFN-gamma, TNF-alpha, IL-1beta, and IL-10 were increased in group 2 females at pre dose at study day 15 treatment when compared to group 1. The levels of TNF-alpha, IL-1beta, and IL-10 were decreased in group 4 females at pre dose at study day 15 treatment when compared to group 1.

Sex: Female		Cytokine Levels				
		IFN-gamma (pg/mL) [a]	TNF-alpha (pg/mL) [a]	IL-1beta (pg/mL) [a1]	IL-6 (pg/mL) [a1]	IL-10 (pg/mL) [a]
Group 1: Control	Mean	32.37	20.03	77.83	3.00	45.87
	SD	49.13	22.40	112.99	0.00	62.30
	N	3	3	3	3	3
		-	-	-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	143.07*	26.20	97.60	6.10	91.10
	SD	28.57	33.08	147.22	5.37	140.64
	N	3	3	3	3	3
	%Diff	342.0	30.8	25.4	103.3	98.6
Group 4: 30 µg/ animal T. item 3	Mean	14.73	7.10	12.60	3.00	9.90
	SD	18.59	0.00	0.00	0.00	0.00
	N	3	3	3	3	3
	%Diff	-54.5	-64.6	-83.8	0.0	-78.4

[a] - Anova & Dunnett

[a1] - Anova & Dunnett (Log)

[a2] - Anova & Dunnett (Rank): n - Inappropriate for statistics

Table 24: Cytokine levels in females at study day 17 relatives to start date (48h pa)

The levels of IFN-gamma, IL-1beta, and IL-10 were increased in group 2 females at 48 hours post study day 17 treatment when compared to group 1. The levels of IFN-gamma, TNF-alpha, IL-1beta, and IL-10 were decreased in group 4 females at 48 hours post study day 17 treatment when compared to group 1.

### Urinalysis:

No test article-related effects on the urinalysis tests were reported.

Sex: Male		Urinalysis		
		Specific Gravity (g/mL) [a]	pH [a]	Urine Volume - relative - (mL/kg b.w./24 h) [a]
Group 6: 30 µg/ animal T. item 5	Mean	1.0385n	6.94n	37.00n
	SD	0.0130	0.42	12.32
	N	10	10	10
		-	-	-

Table 25: Urinalysis results in males at day 10 relatives to start date

Sex: Male		Urinalysis		
		Specific Gravity (g/mL) [a]	pH [a1]	Urine Volume - relative - (mL/kg b.w./24 h) [a1]
Group 1: Control	Mean	1.0309	6.55	45.80
	SD	0.0057	0.20	5.62
	N	10	10	10
		-	-	-
Group 2: 30 µg/ animal T. item 1	Mean	1.0377	6.82	43.72
	SD	0.0109	0.40	13.40
	N	10	10	10
	%Diff	0.7	4.1	-4.5
Group 3: 10 µg/ animal T. item 1	Mean	1.0355	6.77	38.80
	SD	0.0050	0.23	7.32
	N	10	10	10
	%Diff	0.4	3.4	-15.3
Group 4: 30 µg/ animal T. item 3	Mean	1.0445**	6.62	30.81**
	SD	0.0081	0.26	6.55
	N	10	10	10
	%Diff	1.3	1.1	-32.7
Group 5: 100 µg/ animal T. item 3	Mean	1.0458**	6.62	33.79*
	SD	0.0145	0.32	9.05
	N	10	10	10
	%Diff	1.4	1.1	-26.2
Group 7: 100 µg/ animal T. item 4	Mean	1.0463**	6.35	31.67**
	SD	0.0122	0.27	9.65
	N	10	10	10
	%Diff	1.5	-3.1	-30.9

Table 26: Urinalysis results in males at day 17 relatives to start date

Specific gravity was increased significantly in groups 4, 5, and 7 males at study day 17. Urine volume was decreased significantly in groups 4, 5, and 7 males at study day 17.

Sex: Female		Urinalysis		
		Specific Gravity (g/mL) [a]	pH [a]	Urine Volume - relative - (mL/kg b.w./24 h) [a]
Group 6: 30 µg/ animal T. item 5	Mean	1.0377n	6.4	47.65n
	SD	0.0130	6n	15.30
	N	10	0.3	10
		-	3	-

Table 27: Urinalysis results in females at day 10 relatives to start date

Sex: Female		Urinalysis		
		Specific Gravity (g/mL) [a]	pH [a1]	Urine Volume - relative - (mL/kg b.w./24 h) [a1]
Group 1: Control	Mean	1.0349	6.26	45.54
	SD	0.0047	0.26	10.71
	N	10	10	10
Group 2: 30 µg/ animal T. item 1	Mean	1.0391	6.39	48.55
	SD	0.0177	0.28	21.35
	N	10	10	10
	%Diff	0.4	2.1	6.6
Group 3: 10 µg/ animal T. item 1	Mean	1.0408	6.27	42.62
	SD	0.0129	0.18	13.71
	N	10	10	10
	%Diff	0.6	0.2	-6.4
Group 4: 30 µg/ animal T. item 3	Mean	1.0555**	6.15	32.31
	SD	0.0199	0.28	11.72
	N	10	10	10
	%Diff	2.0	-1.8	-29.1
Group 5: 100 µg/ animal T. item 3	Mean	1.0464	6.27	38.55
	SD	0.0157	0.21	13.43
	N	10	10	10
	%Diff	1.1	0.2	-15.4
Group 7: 100 µg/ animal T. item 4	Mean	1.0400	6.26	38.35
	SD	0.0099	0.20	15.62
	N	10	10	10
	%Diff	0.5	0.0	-15.8

Table 28: Urinalysis results in females at day 17 relatives to start date

Specific gravity was increased significantly in group 4 females at study day 17. Urine volume was decreased, not to significance, in groups 4, 5, and 7 females at study day 17.

### Systemic toxicity:

No treatment-related, mortality, nor any toxicologically relevant changes in clinical signs, food consumption, body temperature, ophthalmic changes, urinalysis, or auditory examination were reported.

### Treatment period for BNT162a1 - Groups 2 and 3

On study days 1, 8, and 15, very slight to moderate edema were reported for all animals following the 1<sup>st</sup>, 2<sup>nd</sup>, and/or 3<sup>rd</sup> injection of 10 or 30 µg BNT162a1/animal. Following the 1<sup>st</sup> or 2<sup>nd</sup> injection (up to 96 h after administration), and/or the 3<sup>rd</sup> injection (up to 48 h after administration), male and female animals treated with 10 or 30 µg BNT162a1/animal (group 2) revealed very slight to well-defined erythema. At 96 hr's after the 1<sup>st</sup> administration (on study day 4), all male and female animals of administered 30 µg BNT162a1/animal revealed a scabby skin at the injection site. On test day 14 (144 hr's after the 2<sup>nd</sup> administration on test day 8), severe erythema (grade 4) for 5 of 15 males and 4 of 15 females treated with 10 µg BNT162a1/animal (group 3) was reported. This finding was resolved prior to the 3<sup>rd</sup> injection. Following administration of the 2<sup>nd</sup> dose on test day 8 (test day 9), the injection site appeared to

be painful for 4 of 15 male animals and 12 of 15 female animals treated with the high dose of 30 µg BNT162a1/animal.

On study day 14 (day before 3<sup>rd</sup> administration), eschar formation was reported at the injection site for 5 males and 6 females treated with 30 µg BNT162a1/animal (group 2). Therefore, on study day 15, the male animals nos. 32, 34, 37, 39 and 42, and the female animal no. 60 were dosed intramuscularly in the left hind leg instead of the right hind leg as during the previous administrations.

The macroscopic examination revealed an indurated and/or thickened injection site for all main study animals treated with 30 µg BNT162a1/animal and for the majority of animals treated with 10 µg BNT162a1/animal. For a few animals, an incrustation was reported at the injection site (high dose: 2 males and 2 females, low dose: one male).

Based on the increase's incidence and/or severity reported compared with buffer controls, all findings described above are considered to be test article-related.

#### Treatment period for BNT162b1 - Groups 4 and 5

On test days 1, 8, and/or 15, very slight (mostly) to moderate (rarely) edema were reported for all animals following the 1<sup>st</sup>, 2<sup>nd</sup>, and/or 3<sup>rd</sup> injection of 30 or 100 µg BNT162b1/animal. In the high dose group (group 5), the two injection sites were occasionally affected to a different degree. Reported only at 24 hr's following injection, individual animals of the low dose group treated with 30 µg BNT162b1/animal (group 4) revealed very slight erythema. These findings were not dose dependence.

On study day 14 (144 hr's after the 2<sup>nd</sup> administration on study day 8), severe erythema (grade 4) for 3 female animals treated with 100 µg BNT162b1/animal (group 5) was reported. This finding is considered test article-related. Prior to the 3<sup>rd</sup> injection, this observation was no longer present. At macroscopic examination, an indurated and/or thickened injection site was reported for 7 males and 6 females animals per group for the main study animals treated with 30 or 100 µg BNT162b1/animal.

#### Treatment period for BNT162c1 - Group 6

On test days 1 and/or 8, very slight (mostly) to moderate (rarely) edema were reported for all animals following the 1<sup>st</sup> and/or 2<sup>nd</sup> injection of 30 µg BNT162c1/animal. Observed only at 96 hr's after the 1<sup>st</sup> injection, individual male and female animals also revealed very slight erythema. After the first injection (test day 7), all effects had subsided by 144 hr's.

The macroscopic examination revealed an indurated and/or thickened injection site for all male and female main study animals treated with 30 µg BNT162c1/animal. In addition, an incrustation was reported at injection site of one male and one female animal.

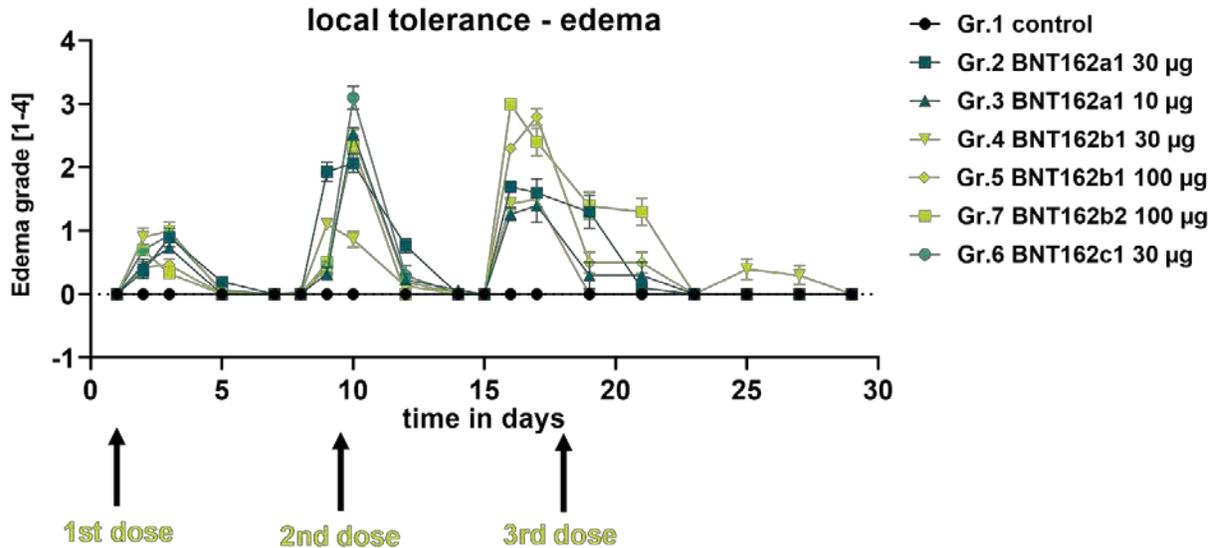
#### Treatment period for BNT162b2 - Group 7

On study days 1, 8, and/or 15, very slight to severe (rarely) edema were reported for all animals following the 1<sup>st</sup>, 2<sup>nd</sup>, and/or 3<sup>rd</sup> injection of 100 µg BNT162b2/animal. All edema reported after the 1<sup>st</sup> or 2<sup>nd</sup> injection had subsided by 96 hr's post administration. In addition, a few female animals revealed very slight erythema following 24 to 96 hr's following the 1<sup>st</sup> or 2<sup>nd</sup> injection.

Skin reddening (scored as "severe" erythema) was reported in individual male and female animals at 144 hr's after the 2<sup>nd</sup> injection only but was resolved prior to the 3<sup>rd</sup> injection.

The macroscopic inspection at necropsy revealed an indurated and/or thickened injection site for 7 of 10 male and 9 of 10 female main study animals treated with 100 µg BNT162b2/animal.

Figure 9: Local reactions



Local reactions were slight after first immunization but more pronounced after boost with a reduced immunization interval.

### Histopathological examination of injection sites at treatment period

Characterized mostly by moderate inflammation (up to marked) in males and moderate inflammation in females, the histopathological examination revealed test article-related injection site findings in all groups. The most severe findings were reported consistently in animals administered 100 µg BNT162b1/animal and 100 µg BNT162b2/animal, followed by animals administered 30 µg BNT162a1/animal. The inflammation was characterized by infiltrates of macrophages, granulocytes, and lymphocytes into the muscle, and variably into the dermis and subcutis. Injection site inflammation was associated with mostly moderate edema, mostly mild myofiber degeneration, occasional muscle necrosis, and mostly mild fibrosis. Skin ulceration (mild and moderate) was reported in some males and females administered either 10 or 30 µg BNT162a1/animal and one animal administered 30 µg BNT162c1/animal. Inflammation extended into tissues adjacent to the injection site, including mammary tissue, perineural tissue of sciatic nerve, tissue around the femur / knee and to the draining lymph node (iliac). No notable injection site findings in the control group was reported.

### Body weight gain:

Test article-related treatment decreases in male's body weight gains were reported in all groups. In females, this effect was less severe in groups 2, 3, 4, and 5. The decrease in groups 6 and 7 body weight gains were higher. The results of the body weight gains are reported in the figures below.

Figure 10: Body weight gain of male rats

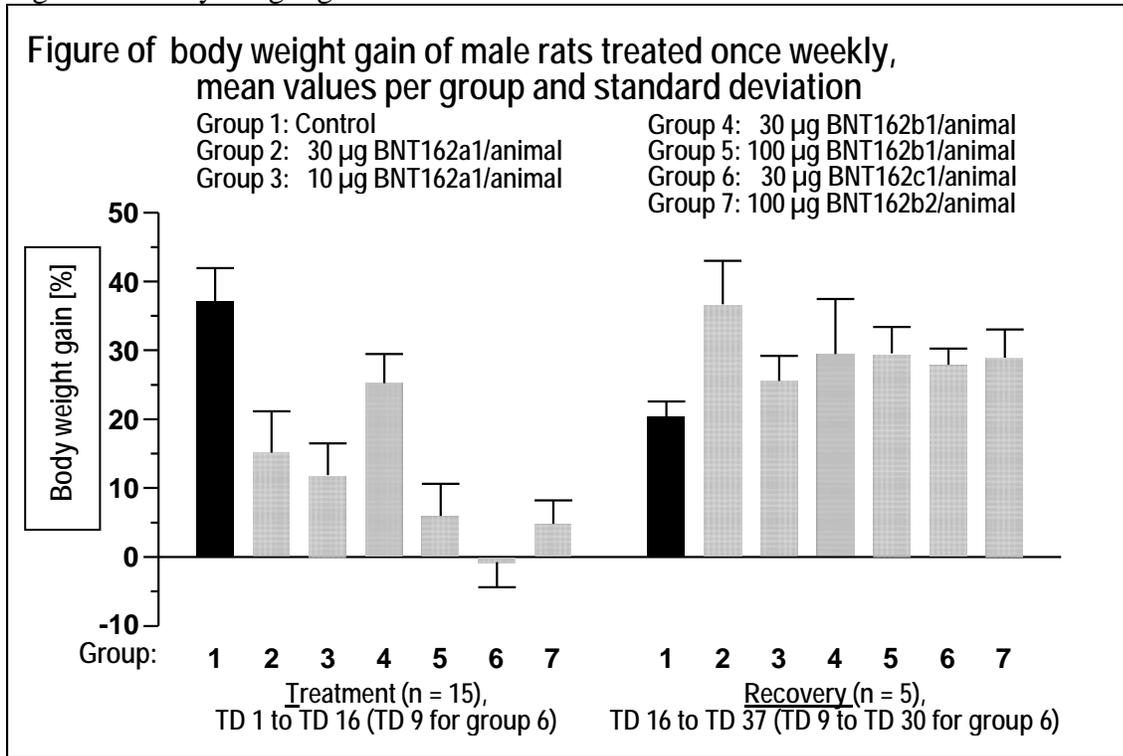
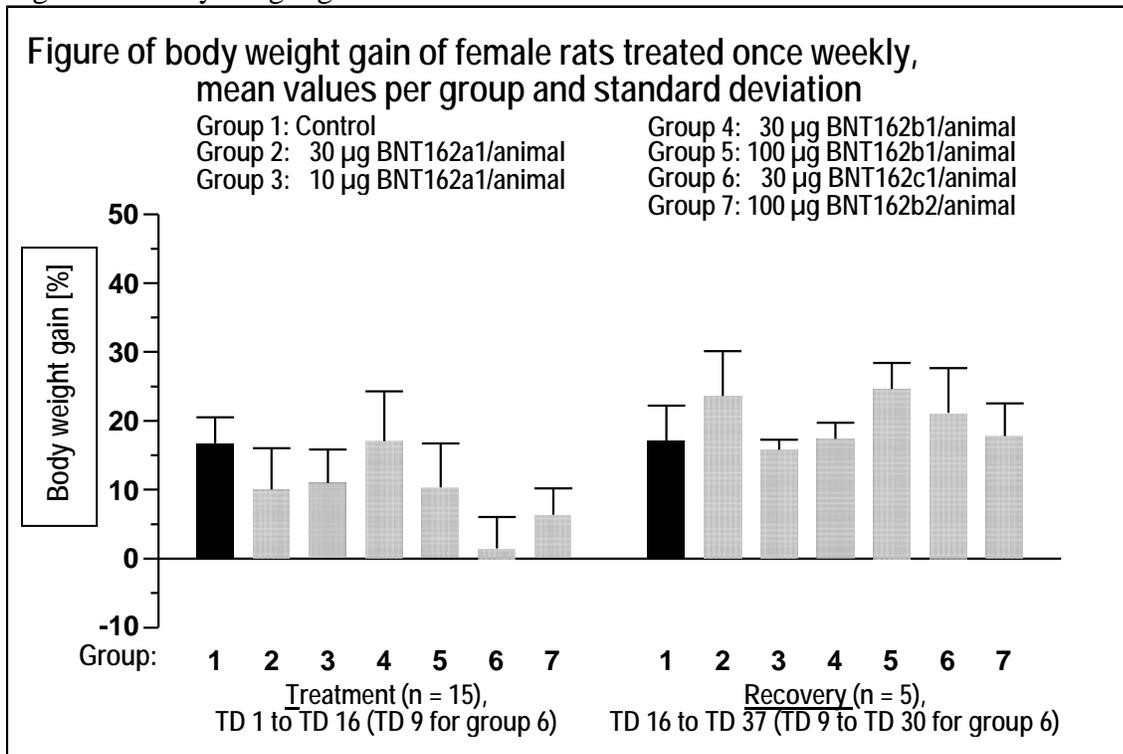


Figure 11: Body weight gain of female rats



**Organ Weight:**

SEX	Males SD (10/17)						
GROUPS	1 (CONTROL)	2	3	4	5	6	7
NUMBER OF ANIMALS	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]
BODY WEIGHT (terminal)	NC/327	NC/272	NC/328	NC/303	NC/309	272/ NC	NC/299
BRAIN	NC/2.00	NC/1.95	NC/1.98	NC/1.96	NC/1.98	1.96/NC	NC/1.94
ADRENALS-LEFT	NC/0.038	NC/0.042	NC/0.041	NC/0.042	NC/0.043	0.040/NC	NC/0.043
ADRENALS-RIGHT	NC/0.035	NC/0.041	NC/0.041	NC/0.039	NC/0.043	0.039/NC	NC/0.037
EPIDIDYMIDES-L	NC/0.457	NC/0.449	NC/0.577**	NC/0.490	NC/0.53	0.459/NC	NC/0.55*
EPIDIDYMIDES-R	NC/0.419	NC/0.439	NC/0.524**	NC/0.462	NC/0.54**	0.468/NC	NC/0.51*
HEART	NC/1.14	NC/1.10	NC/1.16	NC/1.10	NC/1.17	1.09/NC	NC/1.14
KIDNEYS-L	NC/1.426	NC/1.309	NC/1.430	NC/1.404	NC/1.483	1.351/NC	NC/1.390
KIDNEYS-R	NC/1.479	NC/1.334	NC/1.461	NC/1.418	NC/1.466	1.343/NC	NC/1.431
LIVER	NC/13.02	NC/10.58*	NC/12.78	NC/11.72**	NC/13.18	11.03/ NC	NC/12.16
LUNGS	NC/1.936	NC/1.853	NC/2.019	NC/1.787	NC/1.912	1.702/NC	NC/1.877
CERV LYMPH NODES	NC/0.021	NC/0.020	NC/0.019	NC/0.023	NC/0.019	0.017/NC	NC/0.016
INGUINAL LYMPH NODES	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC
MANDIBULAR LYMPH NODES	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC
MESENTERIC LYMPH NODES	NC/0.033	NC/0.039	NC/0.040	NC/0.033	NC/0.044	0.033/NC	NC/0.050
POPLITEAL LYMPH NODES	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC
PROSTATE	NC/0.927	NC/0.789	NC/0.878	NC/0.847	NC/0.790	0.779/NC	NC/0.813
SPLEEN	NC/0.838	NC/0.976	NC/1.079**	NC/0.951	NC/1.030**	1.024/NC	NC/1.049**
TESTES-L	NC/1.80	NC/1.74	NC/1.87	NC/1.79	NC/1.84	1.75/NC	NC/1.84
TESTES-R	NC/1.78	NC/1.76	NC/1.86	NC/1.77	NC/1.80	1.78/NC	NC/1.82
PITUITARY	NC/0.013	NC/0.012	NC/0.013	NC/0.013	NC/0.012	0.010/NC	NC/0.011
THYROID and PARATHYROID	NC/0.013	NC/0.014	NC/0.012	NC/0.013	NC/0.011	0.011/NC	NC/0.011
THYMUS	NC/0.538	NC/0.463	NC/0.527	NC/0.468	NC/0.435	0.465/NC	NC/0.388**
OVARIES							
UTERUS							

NC = Not collected. L = Left; R = Right. CERV = Cervical. [a] - Anova & Dunnett: \* =  $p \leq 0.05$ ;  
\*\* =  $p \leq 0.01$

Table 29: Male's organ weights results. Absolute weights are expressed as mean (grams). Entries in table are expressed both as organ weight from animals taken at the end of the terminal phase and recovery phase of the study (main phase organ weight/recovery phase organ weight).

*Study day 17 male's results:*

Body weight was decreased 17% in group 2. Left adrenal weight was increased 11% in groups 2 and 4. Right adrenal weight was increased 13% in groups 5 and 7. Right adrenal weight was increased 17% in groups 2 and 3. Right adrenal weight was increased 11% and 23% in groups 4 and 5, respectively. Left epididymides weight was increased 26%, 16%, and 20% in groups 3, 5, and 7, respectively. Right epididymides weight was increased 25%, 10%, 29%, and 22% in groups 3, 4, 5, and 7, respectively. Liver weight was decreased 19% in group 2. Cervical lymph node's weight was decreased 24% in group 7. Mesenteric lymph node's weight was increased 18%, 22%, 33%, and 52% in groups 2, 3, 5, and 7, respectively. Prostate weight was decreased 15%, 15%, and 12% in group 2, 5, and 7, respectively. Spleen weight was increased 16%, 29%, 13%, 23%, and 25% in groups 2, 3, 4, 5, and 7, respectively. Thymus weight was decreased 14%, 13%, 19%, and 28% in groups 2, 4, 5, and 7, respectively.

SEX	Females SD (10/17)						
GROUPS	1 (CONTROL)	2	3	4	5	6	7
NUMBER OF ANIMALS	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]
BODY WEIGHT (terminal)	NC/221	NC/208	NC/217	NC/231	NC/231	195/NC	NC/219
BRAIN	NC/1.86	NC/1.84	NC/1.85	NC/1.88	NC/1.87	1.78/NC	NC/1.87
ADRENALS-L	NC/0.045	NC/0.047	NC/0.045	NC/0.046	NC/0.052	0.044/NC	NC/0.049
ADRENALS-R	NC/0.044	NC/0.046	NC/0.046	NC/0.045	NC/0.051	0.041/NC	NC/0.049
EPIDIDYMIDES-L							
EPIDIDYMIDES-R							
HEART	NC/0.914	NC/0.863	NC/0.862	NC/0.952	NC/0.879	0.781/NC	NC/0.866
KIDNEYS-L	NC/0.938	NC/0.991	NC/0.983	NC/0.998	NC/1.044	0.884/NC	NC/1.009
KIDNEYS-R	NC/0.989	NC/1.019	NC/0.988	NC/1.027	NC/1.079	0.923/NC	NC/1.057
LIVER	NC/8.35	NC/9.12	NC/8.82	NC/9.67**	NC/10.07**	8.08 /NC	NC/9.95**
LUNGS	NC/1.333	NC/1.489	NC/1.494	NC/1.565	NC/1.494	1.452/NC	NC/1.524
CERV LYMPH NODES	NC/0.016	NC/0.018	NC/0.019	NC/0.018	NC/0.017	0.014/NC	NC/0.017
INGUINAL LYMPH NODES	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC
MANDIBULAR LYMPH NODES	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC
MESENTERIC LYMPH NODES	NC/0.034	NC/0.028	NC/0.039	NC/0.033	NC/0.043	0.022/NC	NC/0.037
POPLITEAL LYMPH NODES	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC
PROSTATE							
SPLEEN	NC/0.595	NC/0.941**	NC/0.734	NC/0.777*	NC/0.925**	0.762/NC	NC/0.957**
TESTES-L							
TESTES-R							
PITUITARY	NC/0.015	NC/0.013	NC/0.015	NC/0.014	NC/0.014	0.012/NC	NC/0.014
THYROID and PARATHYROID	NC/0.013	NC/0.012	NC/0.011	NC/0.009	NC/0.009	0.009/NC	NC/0.011
THYMUS	NC/0.456	NC/0.435	NC/0.487	NC/0.457	NC/0.387	0.355/NC	NC/0.390

SEX	Females SD (10/17)						
GROUPS	1 (CONTROL)	2	3	4	5	6	7
NUMBER OF ANIMALS	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]	10/10 [a]
OVARY-L	NC/0.054	NC/0.056	NC/0.058	NC/0.056	NC/0.055	0.044/NC	NC/0.049
OVARY-R	NC/0.058	NC/0.054	NC/0.061	NC/0.053	NC/0.059	0.046/NC	NC/0.056
UTERUS	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC	NC/NC

NC = Not collected. L = Left; R = Right. CERV = Cervical. [a] - Anova & Dunnett: \* =  $p \leq 0.05$ ; \*\* =  $p \leq 0.01$

Table 30: Female's organ weight: Absolute weights are expressed as mean (grams). Entries in table are expressed both as organ weight from animals taken at the end of the terminal phase and recovery phase of the study (main phase organ weight/recovery phase organ weight).

*Study day 17 female's results:*

Left and right adrenal weight was increased 16% in group 5. Left kidney weight was increased 11% in group 5. Liver weight was increased 16%, 21%, and 19% in groups 4, 5, and 7, respectively. Lungs weight was increased 12%, 12%, 17%, 12%, and 14% in groups 2, 3, 4, 5, and 7, respectively. Cervical lymph node's weight was increased 13%, 19%, and 13% in groups 2, 3, and 4, respectively. Mesenteric lymph node's weight was decreased 18% in group 2. Mesenteric lymph node's weight was increased 15% and 26% in groups 3 and 5, respectively. Spleen weight was increased 58%, 23%, 31%, 55%, and 61% in groups 2, 3, 4, 5, and 7, respectively. Thyroid weight was decreased 15%, 31%, 31%, and 15% in groups 3, 4, 5, and 7, respectively. Thymus weight was decreased 15% and 14% in groups 5 and 7, respectively.

**Gross pathology:**

Test article-related findings in all groups included injection site findings, enlarged iliac lymph nodes, and enlarged spleen. All other findings were considered incidental.

Groups	Findings
1M	Emphysematous-lungs (1/10); reddened thymus (1/10)
2M	Indurated injections site I (9/10); enlarged spleen (2/10); thickened injections site I (4/10); enlarged iliac lymph nodes (1/10); reduced in size prostate (1/10); reduced in size seminal vesicles (1/10); enlarged adrenals (1/10); incrustated injections site I and II (2/10); thickened muscle at injections site I (2/10)
3M	Indurated injections site I (4/10); enlarged iliac lymph nodes (4/10); enlarged spleen (5/10); indurated muscle at injections site I (3/10); thickened injections site I (3/10); skin incrustated (1/10)
4M	Indurated injections site I (4/10); enlarged iliac lymph nodes (6/10); enlarged spleen (1/10); indurated muscle at injections site I (2/10); thickened indurated muscle at injections site I (1/10)
5M	Enlarged injections site I+II (1/10); indurated injections site I+II (2/10); enlarged iliac lymph nodes (7/10); enlarged spleen (5/10); indurated muscle at injections site I+II (3/10); thickened injection sites I+II (2/10); enlarged adrenals (2/10)

Groups	Findings
6M	Indurated injections site I (4/10); enlarged iliac lymph nodes (1/10); enlarged spleen (5/10); indurated muscle at injections site I (6/10); thickened injection sites I (9/10)
7M	Indurated injections site I+II (5/10); enlarged iliac lymph nodes (5/10); enlarged renal lymph nodes (1/10); enlarged spleen (2/10); thickened injection sites I+II (1/10)

Table 31: Male's gross pathology results.

Groups	Findings
1F	No findings
2F	Incrusted injections site I (1/10); indurated injections site I (3/10); enlarged iliac lymph nodes (1/10); enlarged spleen (4/10); indurated muscle at injections site I (4/10); indurated muscle thickened at injections site I (3/10); thickened injection sites I (4/10); dilated uterus (1/10); skin incrustated (1/10)
3F	Enlarged injections site I (1/10); indurated injections site I (5/10); enlarged iliac lymph nodes (3/10); enlarged spleen (2/10); indurated muscle at injections site I (1/10); thickened injection sites I (1/10); enlarged adrenals (1/10); dilated uterus [filled with clear liquid] (3/10)
4F	Indurated injections site I (5/10); enlarged iliac lymph nodes (4/10); enlarged spleen (1/10); dilated uterus (1/10); thickened indurated muscle at injection sites I (1/10)
5F	Indurated injections site I+II (2/10); indurated muscles at injections sites I+II (2/10); enlarged iliac lymph nodes (8/10); enlarged spleen (7/10); indurated muscle at injections site I (1/10); thickened injection sites I+II (2/10); sciatic nerve adhered to injection site I (1/10)
6F	Indurated injections site I (2/10); enlarged iliac lymph nodes (2/10); enlarged spleen (1/10); indurated muscle at injections site I (7/10); thickened injection sites I (9/10); incrustated injection site I (1/10)
7F	Indurated injections site I (3/10); indurated injections site I+II (4/10); enlarged iliac lymph nodes (7/10); enlarged spleen (7/10); thickened injection sites I (2/10); muscle jellied [adhered to sciatic nerve and bone] at injection site I (1/10); dilated uterus [filled with clear liquid] (1/10); sciatic nerve adhered to injection site I (2/10)

Table 32: Female's gross pathology results.

**Microscopic findings:****Terminal sacrifice**

Inflammation at the injection site and surrounding tissues, increased cellularity of germinal centers and increased plasma cells in the draining (iliac) lymph node, increased cellularity (hematopoiesis) in the bone marrow and spleen, and vacuolation of hepatocytes in the portal regions were the test article-related microscopic findings reported at the end of dosing period. At the end of the 3-week recovery phase, all microscopic findings were partially or fully recovered.

In all groups, test article-related injection site reactions were reported. Site reactions were mostly characterized by moderate inflammation (up to marked) in males and moderate inflammation in females. In groups 5 and 7 (100 µg BNT162b1/animal and 100 µg BNT162b2/animal), the most severe findings were consistently reported. Followed by the animals administered 30 µg BNT162a1/animals. The inflammation at the injection site was characterized by infiltrates of macrophages, granulocytes, and lymphocytes into the muscle, and variably into the dermis and subcutis. Injection site inflammation was associated with mostly moderate edema, mostly mild myofiber degeneration, occasional muscle necrosis, and mostly mild fibrosis. In some males and females treated with either 10 or 30 µg BNT162a1/animal and one animal administered 30 µg BNT162c1/animal, skin ulceration (mild and moderate) was reported. At the end of the 3-week recovery phase, injection site findings were partially recovered. The inflammation at the injection sites were extended into tissues adjacent to it. The adjacent tissues included mammary tissue, perineural tissue of sciatic nerve, tissue around the femur/knee and to the draining lymph node (iliac). At the end of the 3-week recovery phase, these findings were mostly recovered.

In the draining (iliac) lymph node, test article-related findings were characterized by increased cellularity of the follicular germinal centers and increased plasma cells (plasmacytosis) and were variably present in all groups. In all test article-treated groups, minimal to mild increases in the cellularity of bone marrow were reported. They were likely secondary to inflammation-related platelet activation and consumption. Also, extramedullary hematopoiesis in the spleen were reported. A test article-related vacuolation of hepatocytes in the portal regions of the liver was reported in all groups.

A few other minor microscopic changes were recorded for other organs and were not considered test article-related. All changes are regarded to be spontaneous in nature being within the normal background pathology commonly reported in rats of this strain and age.

Incidences of test item-related microscopic findings in male and female main study animals after terminal sacrifice on test day 17				
Organ / Finding	BNT162 a1			
	Group 3: 10 µg/animal		Group 2: 30 µg/animal	
	Males	Females	Males	Females
<u>Bone marrow:</u>				
- Increased cellularity	10/10**	10/10**	10/10**	10/10**
<u>Injection site I (left):</u>	10/10**	10/10**	10/10**	10/10**
- Fibrosis intramuscular/interstitial				
- Fibrosis inter-/perimuscular	10/10**	10/10**	10/10**	10/10**
- Inflammation, mixed.	10/10**	10/10**	10/10**	10/10**
- Myofiber degeneration	9/10**	9/10**	9/10**	9/10**
- Oedema, subcutis	10/10**	9/10**	6/10*	10/10**
- Oedema intramuscular/interstitial	7/10**	8/10**	2/10	10/10**
- Oedema inter-/ perimuscular	10/10**	10/10**	7/10**	10/10**
- Hyperplasia, epidermis	9/10**	7/10**	10/10**	9/10**
<u>Surrounding tissue of injection sites:</u>				
Perineural tissue of sciatic nerve:	0/10	1/10	3/10	0/10

Incidences of test item-related microscopic findings in male and female main study animals after terminal sacrifice on test day 17				
Organ / Finding	BNT162 a1			
	Group 3: 10 µg/animal		Group 2: 30 µg/animal	
	Males	Females	Males	Females
- Inflammation (perineural) Bone, os femoris with joint (surrounding tissue):				
- Inflammation	0/10	1/10	0/10	1/10
Mammary gland (Interstitial tissue):				
- Inflammation	0/10	3/10	0/10	0/10
<u>Lymph node (iliac):</u>				
- Plasmacytosis	7/10**	7/10**	5/10*	3/10
- Inflammation	0/10	3/10	5/10*	6/10*
- Increased cellularity, germinal center	9/10	10/10**	9/10	8/10
<u>Spleen:</u>				
- Increased haematopoiesis	3/10	2/10	0/10	0/10
<u>Liver</u>				
- Vacuolation, hepatocellular, periportal	1/10	6/10*	1/10	10/10**

.../... number of animals affected per number of animals examined

\* significantly different from control ( $p \leq 0.05$ )

\*\* significantly different from control ( $p \leq 0.01$ )

Table 33: Incidences of test article-related microscopic findings for the animals treated with BNT162a1

Incidences of test item-related microscopic findings in male and female main study animals after terminal sacrifice on test day 17				
Organ / Finding	BNT162 b1			
	Group 4: 30 µg/animal		Group 5: 100 µg/animal	
	Males	Females	Males	Females
<u>Bone marrow:</u>				
- Increased cellularity	10/10**	10/10**	10/10**	10/10**
<u>Injection site I and/or II (left/right):</u>				
- Fibrosis intramuscular/interstitial	9/10**	10/10**	10/10**	10/10**
- Fibrosis inter-/perimuscular	9/10**	10/10**	10/10**	10/10**
- Inflammation, mixed.	10/10**	10/10**	10/10**	10/10**
- Myofiber degeneration	9/10**	10/10**	10/10**	10/10**
- Oedema, subcutis	9/10**	10/10**	10/10**	10/10**
- Oedema intramuscular/interstitial	8/10**	9/10**	10/10**	10/10**
- Oedema inter-/ perimuscular	10/10**	10/10**	10/10**	10/10**
- Hyperplasia, epidermis	9/10**	8/10**	10/10**	10/10**
<u>Surrounding tissue of injection sites:</u>				
Perineural tissue of sciatic nerve:	1/10	4/10	7/10**	10/10**

Incidences of test item-related microscopic findings in male and female main study animals after terminal sacrifice on test day 17				
Organ / Finding	BNT162 b1			
	Group 4: 30 µg/animal		Group 5: 100 µg/animal	
	Males	Females	Males	Females
- Inflammation (perineural) Bone, os femoris with joint (surrounding tissue):				
- Inflammation	0/10	0/10	4/10	6/10*
Mammary gland (Interstitial tissue):				
- Inflammation	0/10	0/10	2/10	1/10
<u>Lymph node (iliac):</u>				
- Plasmacytosis	9/10**	8/10**	8/10**	10/10**
- Inflammation	0/10	0/10	5/10*	8/9**
- Increased cellularity, germinal center	10/10	8/10	10/10	10/10**
<u>Spleen:</u>				
- Increased haematopoiesis	0/10	0/10	2/10	7/10**
<u>Liver</u>				
- Vacuolation, hepatocellular, periportal	0/10	10/10**	8/10**	10/10**

.../... number of animals affected per number of animals examined

\* significantly different from control ( $p \leq 0.05$ )

\*\* significantly different from control ( $p \leq 0.01$ )

Table 34: Incidences of test article-related microscopic findings for the animals treated with BNT162b1

Incidences of test item-related microscopic findings in male and female main study animals after terminal sacrifice on test day 10 (group 6) or test day 17 (group 7)				
Organ / Finding	BNT162 c1		BNT162 b2	
	Group 6: 30 µg/animal		Group 7: 100 µg/animal	
	Males	Females	Males	Females
<u>Bone marrow:</u>				
- Increased cellularity	10/10**	10/10**	10/10**	10/10**
<u>Injection site I and/or II (left/right):</u>				
- Fibrosis intramuscular/interstitial	9/10**	10/10**	10/10**	10/10**
- Fibrosis inter-/perimuscular	9/10**	10/10**	10/10**	10/10**
- Inflammation, mixed	9/10**	10/10**	10/10**	10/10**
- Myofiber degeneration	8/10**	9/10**	10/10**	10/10**
- Oedema, subcutis	9/10**	10/10**	10/10**	10/10**
- Oedema intramuscular/interstitial	9/10**	10/10**	10/10**	10/10**
- Oedema inter-/ perimuscular	9/10**	10/10**	10/10**	10/10**
- Hyperplasia, epidermis	9/10**	10/10**	9/10**	10/10**
<u>Surrounding tissue of injection sites:</u>				

Incidences of test item-related microscopic findings in male and female main study animals after terminal sacrifice on test day 10 (group 6) or test day 17 (group 7)				
Organ / Finding	BNT162 c1		BNT162 b2	
	Group 6: 30 µg/animal		Group 7: 100 µg/animal	
	Males	Females	Males	Females
Perineural tissue of sciatic nerve: - Inflammation (perineural)	0/10	0/10	10/10**	10/10**
Bone, os femoris with joint (surrounding tissue): - Inflammation	0/10	0/10	2/10	9/10**
Mammary gland (Interstitial tissue): - Inflammation	0/10	4/10	2/10	0/10
<u>Lymph node (iliac):</u> - Plasmacytosis - Inflammation - Increased cellularity, germinal center	6/10* 4/10 10/10	7/10** 7/10** 10/10**	10/10** 9/10** 10/10	10/10** 6/10* 10/10**
<u>Skeletal muscle:</u> - Infiltration, lymphohistiogranulocyt.	0/10	0/10	5/10*	0/10
<u>Spleen:</u> - Increased haematopoiesis	0/10	0/10	2/10	8/10**
Liver				
- Vacuolation, hepatocellular, periportal	1/10	10/10**	9/10**	10/10**

.../... number of animals affected per number of animals examined

\* significantly different from control ( $p \leq 0.05$ )

\*\* significantly different from control ( $p \leq 0.01$ )

Table 35: Incidences of test article-related microscopic findings for the animals treated with BNT162c1 and BNT162b2

Table 36: Microscopic findings at terminal sacrifice

	MALES							FEMALES							Removal Reasons: All of those SELECTED						
	Group 1:	Group 2:	Group 3:	Group 4:	Group 5:	Group 6:	Group 7:	Group 1:	Group 2:	Group 3:	Group 4:	Group 5:	Group 6:	Group 7:	Group 1:	Group 2:	Group 3:	Group 4:	Group 5:	Group 6:	Group 7:
	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/
Number of Animals on Study:	15	15	15	15	15	15	15	15	15	15	15	15	15	15	15	15	15	15	15	15	15
Number of Animals Completed:	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
<b>ADRENAL GLAND, LEFT;</b>																					
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	86.7%	73.3%	66.7%	73.3%	80.0%	86.7%	73.3%	80.0%	80.0%	60.0%	80.0%	93.3%	86.7%	80.0%	80.0%	60.0%	80.0%	93.3%	86.7%	80.0%	80.0%
Dilation;vascular.....	13.3%	26.7%	33.3%	26.7%	20.0%	13.3%	20.0%	20.0%	20.0%	33.3%	20.0%	6.7%	13.3%	20.0%	20.0%	33.3%	20.0%	6.7%	13.3%	20.0%	20.0%
<b>ADRENAL GLAND, RIGHT;</b>																					
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	86.7%	80.0%	80.0%	100.0%	80.0%	86.7%	73.3%	86.7%	86.7%	86.7%	86.7%	86.7%	100.0%	93.3%	86.7%	86.7%	86.7%	100.0%	93.3%	86.7%	80.0%
Dilation;vascular.....	13.3%	20.0%	20.0%	0.0%	20.0%	13.3%	20.0%	13.3%	13.3%	6.7%	13.3%	13.3%	0.0%	6.7%	13.3%	6.7%	13.3%	13.3%	0.0%	6.7%	20.0%
<b>BONE, OS FEMORIS WITH JOINT;</b>																					
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	100.0%	100.0%	100.0%	100.0%	66.7%	100.0%	86.7%	100.0%	93.3%	93.3%	100.0%	53.3%	100.0%	40.0%	100.0%	93.3%	93.3%	100.0%	40.0%	100.0%	40.0%
Inflammation; mixed; surrounding tissue.	0.0%	0.0%	0.0%	0.0%	26.7%	0.0%	13.3%	0.0%	6.7%	6.7%	0.0%	40.0%	0.0%	60.0%	0.0%	6.7%	6.7%	0.0%	60.0%	0.0%	60.0%
<b>BONE MARROW, OS FEMORIS WITH JOINT;</b>																					
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	100.0%	33.3%	33.3%	33.3%	33.3%	33.3%	33.3%	100.0%	33.3%	33.3%	33.3%	33.3%	33.3%	33.3%	100.0%	33.3%	33.3%	33.3%	33.3%	33.3%	33.3%
IncreasedCellularity.....	0.0%	66.7%	66.7%	66.7%	66.7%	66.7%	66.7%	0.0%	66.7%	66.7%	66.7%	66.7%	66.7%	66.7%	0.0%	66.7%	66.7%	66.7%	66.7%	66.7%	66.7%
<b>CERVIX;</b>																					
Examined.....	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(15)	(15)	(15)	(15)	(15)	(15)	(14)	(15)	(15)	(15)	(15)	(15)	(15)	(14)
WithinNormalLimits.....	-	-	-	-	-	-	-	80.0%	53.3%	66.7%	66.7%	73.3%	66.7%	78.6%	80.0%	53.3%	66.7%	66.7%	73.3%	66.7%	78.6%
Keratinization;epithelial.....	-	-	-	-	-	-	-	20.0%	46.7%	33.3%	33.3%	26.7%	33.3%	21.4%	20.0%	46.7%	33.3%	33.3%	26.7%	33.3%	21.4%
<b>EPIDIDYMISS, LEFT;</b>																					
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)
WithinNormalLimits.....	26.7%	13.3%	13.3%	53.3%	33.3%	33.3%	26.7%	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infiltration,Lymphocytic.....	73.3%	86.7%	86.7%	46.7%	66.7%	66.7%	73.3%	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>EPIDIDYMISS, RIGHT;</b>																					
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)
WithinNormalLimits.....	33.3%	13.3%	20.0%	33.3%	26.7%	26.7%	13.3%	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infiltration,Lymphocytic.....	66.7%	86.7%	80.0%	60.0%	73.3%	73.3%	86.7%	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>HEART;</b>																					
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	100.0%	80.0%	86.7%	80.0%	93.3%	100.0%	86.7%	100.0%	86.7%	93.3%	93.3%	93.3%	93.3%	100.0%	100.0%	86.7%	93.3%	93.3%	93.3%	93.3%	100.0%
Infiltration;lymphohistiocytic.....	0.0%	6.7%	6.7%	6.7%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%
Infiltration;mixed.....	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%
Infiltration,Lymphocytic.....	0.0%	13.3%	6.7%	6.7%	6.7%	0.0%	13.3%	0.0%	6.7%	6.7%	0.0%	6.7%	6.7%	0.0%	6.7%	6.7%	0.0%	6.7%	6.7%	0.0%	0.0%

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Observations:Neo-PlasticandNonNeo-Plastic-----MALES-----FEMALES-----Removal Reasons: All of those SELECTED Group 1: Group 2: Group 3: Group 4: Group 5: Group 6: Group 7:  
 Group 1: Group 2: Group 3: Group 4: Group 5: Group 6: Group 7:

	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/
Number of Animals on Study :	15	15	15	15	15	15	15	15	15	15	15	15	15	15
Number of Animals Completed:	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)

INJECTION SITE I;

Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	60.0%	0.0%	0.0%	0.0%	0.0%	33.3%	0.0%	66.7%	0.0%	0.0%	0.0%	0.0%	6.7%	6.7%
Fibrosis;intramuscular /interstitial..	0.0%	66.7%	86.7%	86.7%	86.7%	60.0%	93.3%	0.0%	86.7%	73.3%	93.3%	73.3%	66.7%	93.3%
Fibrosis;inter- /perimuscular.....	0.0%	100.0%	100.0%	93.3%	100.0%	66.7%	100.0%	0.0%	100.0%	100.0%	100.0%	100.0%	93.3%	93.3%
Inflammation; lymphohistiocytic; intramuscular /interstitial.....	0.0%	13.3%	13.3%	33.3%	26.7%	0.0%	20.0%	0.0%	26.7%	6.7%	26.7%	6.7%	0.0%	26.7%
Inflammation;lymphohistiocytic;inter- / perimuscular .....	0.0%	33.3%	26.7%	33.3%	33.3%	6.7%	33.3%	0.0%	26.7%	20.0%	33.3%	26.7%	20.0%	26.7%
Inflammation;mixed;subcutis.....	0.0%	66.7%	66.7%	66.7%	66.7%	60.0%	66.7%	0.0%	66.7%	66.7%	66.7%	66.7%	66.7%	66.7%
Inflammation;mixed;intramuscular / interstitial .....	0.0%	60.0%	66.7%	66.7%	60.0%	60.0%	66.7%	0.0%	66.7%	66.7%	66.7%	66.7%	66.7%	66.7%
Inflammation;mixed;inter- / perimuscular .....	0.0%	66.7%	66.7%	66.7%	66.7%	60.0%	66.7%	0.0%	66.7%	66.7%	66.7%	66.7%	66.7%	66.7%
Degeneration; myofiber .....	6.7%	60.0%	60.0%	60.0%	66.7%	53.3%	66.7%	0.0%	60.0%	60.0%	66.7%	66.7%	60.0%	66.7%
Edema;subcutis.....	0.0%	40.0%	66.7%	60.0%	53.3%	60.0%	66.7%	0.0%	66.7%	60.0%	66.7%	66.7%	66.7%	66.7%
Edema;intramuscular /interstitial.....	0.0%	13.3%	46.7%	53.3%	53.3%	60.0%	66.7%	0.0%	66.7%	53.3%	60.0%	66.7%	66.7%	66.7%
Edema;inter- /perimuscular .....	0.0%	46.7%	66.7%	66.7%	53.3%	60.0%	66.7%	0.0%	66.7%	66.7%	66.7%	66.7%	66.7%	66.7%
Hyperplasia; epidermal .....	0.0%	60.0%	60.0%	60.0%	66.7%	60.0%	60.0%	0.0%	60.0%	46.7%	53.3%	66.7%	66.7%	66.7%

INJECTION SITE II;

Examined.....	(15)	(5)	(0)	(0)	(15)	(0)	(15)	(15)	(1)	(0)	(0)	(15)	(0)	(15)
WithinNormalLimits.....	66.7%	20.0%	0.0%	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	0.0%	0.0%	6.7%	0.0%	6.7%
Degeneration;myofiber.....	0.0%	60.0%	0.0%	0.0%	66.7%	0.0%	66.7%	6.7%	0.0%	0.0%	0.0%	66.7%	0.0%	66.7%
Regeneration;muscle.....	0.0%	20.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Hyperplasia;epidermal.....	0.0%	80.0%	0.0%	0.0%	66.7%	0.0%	46.7%	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	60.0%
Scab;epidermal.....	0.0%	40.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Edema;subcutis.....	0.0%	60.0%	0.0%	0.0%	66.7%	0.0%	66.7%	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	66.7%
Edema;inter- /perimuscular .....	0.0%	40.0%	0.0%	0.0%	66.7%	0.0%	66.7%	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	66.7%
Edema;intramuscular /interstitial .....	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	66.7%	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	66.7%
Necrosis;myofiber.....	0.0%	20.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%
Necrosis;dermis;subcutis.....	0.0%	20.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Necrosis;traumatic;myofiber.....	0.0%	20.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Fibrosis;subcutis.....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Fibrosis;inter- /perimuscular .....	0.0%	60.0%	0.0%	0.0%	100.0%	0.0%	100.0%	0.0%	100.0%	0.0%	0.0%	93.3%	0.0%	93.3%
Fibrosis;intramuscular /interstitial ..	0.0%	60.0%	0.0%	0.0%	93.3%	0.0%	86.7%	0.0%	0.0%	80.0%	0.0%	80.0%	0.0%	80.0%

Observations:Neo-PlasticandNonNeo-Plastic-----MALES-----FEMALES-----Removal Reasons: All of those SELECTED Group 1: Group 2: Group 3: Group 4: Group 5: Group 6: Group 7:

	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/
Number of Animals on Study :	15	15	15	15	15	15	15	15	15	15	15	15	15	15
Number of Animals Completed:	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
Inflammation;mixed;subcutis.....	0.0%	80.0%	0.0%	0.0%	66.7%	0.0%	66.7%	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	66.7%
Inflammation;mixed;inter-/perimuscular .....	0.0%	80.0%	0.0%	0.0%	66.7%	0.0%	66.7%	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	66.7%
Inflammation;mixed;intramuscular/interstitial .....	0.0%	80.0%	0.0%	0.0%	66.7%	0.0%	66.7%	0.0%	0.0%	0.0%	0.0%	66.7%	0.0%	66.7%
<b>INTESTINE, RECTUM;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	86.7%	80.0%	73.3%	86.7%	60.0%	93.3%	86.7%	80.0%	80.0%	93.3%	60.0%	86.7%	93.3%	46.7%
Infiltration,Eosinophilic;increased...Hyperplasia;mucosa-associatedlymphoid tissue .....	0.0%	0.0%	0.0%	13.3%	26.7%	0.0%	0.0%	6.7%	0.0%	0.0%	33.3%	13.3%	0.0%	40.0%
13.3%	20.0%	26.7%	0.0%	20.0%	6.7%	13.3%	6.7%	20.0%	6.7%	6.7%	6.7%	6.7%	6.7%	13.3%
<b>KIDNEY, LEFT;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%
Congestion .....	93.3%	100.0%	100.0%	100.0%	100.0%	100.0%	93.3%	100.0%	100.0%	100.0%	93.3%	100.0%	100.0%	100.0%
Basophilia;tubule.....	13.3%	6.7%	6.7%	13.3%	6.7%	20.0%	13.3%	13.3%	0.0%	0.0%	6.7%	6.7%	0.0%	0.0%
Infiltration,Lymphocytic.....	26.7%	6.7%	26.7%	20.0%	6.7%	0.0%	20.0%	6.7%	13.3%	6.7%	6.7%	13.3%	6.7%	0.0%
<b>KIDNEY, RIGHT;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Congestion .....	93.3%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Basophilia;tubule.....	0.0%	0.0%	6.7%	6.7%	6.7%	13.3%	26.7%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%
Infiltration,Lymphocytic.....	6.7%	6.7%	20.0%	6.7%	6.7%	0.0%	6.7%	6.7%	0.0%	0.0%	0.0%	6.7%	6.7%	0.0%
<b>LIVER;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	0.0%	6.7%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Congestion .....	100.0%	93.3%	93.3%	100.0%	100.0%	93.3%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Hematopoiesis;extramedullary.....	13.3%	26.7%	13.3%	20.0%	6.7%	6.7%	13.3%	20.0%	20.0%	6.7%	46.7%	33.3%	40.0%	33.3%
Infiltration;mixed.....	6.7%	0.0%	0.0%	0.0%	0.0%	20.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	6.7%	6.7%
Necrosis .....	6.7%	0.0%	0.0%	13.3%	0.0%	13.3%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Infiltration,Neutrophilic.....	6.7%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Infiltration,Lymphocytic.....	60.0%	33.3%	66.7%	53.3%	40.0%	13.3%	33.3%	60.0%	26.7%	46.7%	40.0%	13.3%	33.3%	13.3%
Vacuolation;hepatocellular.....	6.7%	0.0%	0.0%	13.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Vacuolation;hepatocellular;periportal.	6.7%	6.7%	6.7%	0.0%	53.3%	6.7%	60.0%	0.0%	66.7%	40.0%	66.7%	66.7%	73.3%	66.7%

Observations:Neo-PlasticandNonNeo-Plastic-----MALES-----FEMALES-----Removal Reasons: All of those SELECTED Group 1: Group 2: Group 3: Group 4: Group 5: Group 6: Group 7:

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	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/
Number of Animals on Study :	15	15	15	15	15	15	15	15	15	15	15	15	15	15
Number of Animals Completed:	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
<b>LUNGS WITH BRONCHI; (continued)</b>														
Hemorrhage;acute.....	26.7%	20.0%	33.3%	33.3%	20.0%	26.7%	33.3%	6.7%	13.3%	13.3%	6.7%	6.7%	6.7%	0.0%
Hyperplasia;bronchial-associated lymphoidtissue.....	46.7%	60.0%	33.3%	60.0%	40.0%	40.0%	20.0%	13.3%	26.7%	26.7%	46.7%	26.7%	33.3%	33.3%
Infiltration,Eosinophilic;perivascular	20.0%	6.7%	6.7%	13.3%	20.0%	6.7%	6.7%	6.7%	0.0%	13.3%	26.7%	13.3%	0.0%	53.3%
<b>LYMPH NODE, CERVICAL;</b>														
Examined.....	(13)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(14)
WithinNormalLimits.....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Histocytosis .....	100.0%	100.0%	93.3%	86.7%	100.0%	93.3%	86.7%	93.3%	86.7%	100.0%	93.3%	100.0%	86.7%	92.9%
Erythrophagocytosis .....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%
Pigmentation;brown;macrophage.....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	20.0%	6.7%	0.0%
Hemorrhage .....	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Plasmacytosis .....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
IncreasedCellularity;germinalcenter..	100.0%	100.0%	100.0%	93.3%	100.0%	100.0%	93.3%	86.7%	100.0%	100.0%	93.3%	100.0%	100.0%	85.7%
<b>LYMPH NODE, ILIAC;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(14)	(15)	(14)
WithinNormalLimits.....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Histocytosis .....	100.0%	93.3%	100.0%	93.3%	100.0%	93.3%	93.3%	93.3%	93.3%	73.3%	66.7%	100.0%	100.0%	92.9%
Plasmacytosis .....	0.0%	33.3%	46.7%	73.3%	73.3%	40.0%	73.3%	0.0%	40.0%	66.7%	73.3%	100.0%	53.3%	100.0%
Infiltration,Eosinophilic.....	6.7%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	7.1%	0.0%	0.0%
Hemorrhage;acute.....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Inflammation .....	0.0%	33.3%	0.0%	0.0%	33.3%	26.7%	60.0%	0.0%	40.0%	20.0%	0.0%	50.0%	46.7%	42.9%
Infiltration;macrophage.....	0.0%	0.0%	6.7%	6.7%	33.3%	20.0%	33.3%	0.0%	20.0%	0.0%	20.0%	35.7%	33.3%	28.6%
IncreasedCellularity;germinalcenter..	86.7%	93.3%	93.3%	100.0%	100.0%	100.0%	100.0%	46.7%	86.7%	100.0%	86.7%	100.0%	93.3%	100.0%
<b>NERVE, SCIATIC;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(14)	(15)
WithinNormalLimits.....	100.0%	80.0%	100.0%	93.3%	53.3%	100.0%	20.0%	100.0%	100.0%	86.7%	73.3%	26.7%	100.0%	26.7%
Inflammation;perineural.....	0.0%	20.0%	0.0%	6.7%	46.7%	0.0%	80.0%	0.0%	0.0%	6.7%	26.7%	73.3%	0.0%	73.3%
Vacuolation .....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%
<b>PROSTATE GLAND;</b>														
Examined.....	(15)	(14)	(15)	(15)	(14)	(15)	(15)	(-)	(-)	(-)	(-)	(-)	(-)	(-)
WithinNormalLimits.....	80.0%	85.7%	66.7%	60.0%	85.7%	73.3%	86.7%	-	-	-	-	-	-	-
Infiltration;mixed.....	0.0%	7.1%	6.7%	6.7%	0.0%	0.0%	0.0%	-	-	-	-	-	-	-
Inflammation;purulent.....	6.7%	0.0%	0.0%	6.7%	7.1%	13.3%	0.0%	-	-	-	-	-	-	-
Infiltration,Lymphocytic.....	13.3%	7.1%	26.7%	26.7%	7.1%	13.3%	13.3%	-	-	-	-	-	-	-

Observations:Neo-PlasticandNonNeo-Plastic-----MALES-----FEMALES-----Removal Reasons: All of those SELECTED Group 1: Group 2: Group 3: Group 4: Group 5: Group 6: Group 7:

	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/	Control	30 µg/	10 µg/	30 µg/	100 µg/	30 µg/	100 µg/
Number of Animals on Study :	15	15	15	15	15	15	15	15	15	15	15	15	15	15
Number of Animals Completed:	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
<b>SPLEEN;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	20.0%	6.7%	53.3%	26.7%	33.3%	53.3%	53.3%	20.0%	20.0%	46.7%	20.0%	40.0%	20.0%	13.3%
Congestion .....	80.0%	93.3%	40.0%	73.3%	53.3%	46.7%	40.0%	80.0%	80.0%	46.7%	80.0%	40.0%	80.0%	66.7%
Hematopoiesis;increased.....	0.0%	0.0%	20.0%	0.0%	13.3%	0.0%	13.3%	0.0%	0.0%	13.3%	0.0%	46.7%	0.0%	53.3%
<b>STOMACH, GLANDULAR;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	6.7%	0.0%	33.3%	13.3%	6.7%	33.3%	0.0%	6.7%	20.0%	40.0%	0.0%	0.0%	46.7%	20.0%
Infiltration,Eosinophilic.....	93.3%	93.3%	60.0%	86.7%	93.3%	60.0%	93.3%	93.3%	66.7%	53.3%	100.0%	100.0%	46.7%	73.3%
Infiltration,Lymphocytic.....	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%	0.0%	0.0%	0.0%
Dilation;glandular.....	0.0%	13.3%	13.3%	6.7%	6.7%	6.7%	6.7%	0.0%	6.7%	13.3%	6.7%	6.7%	6.7%	13.3%
<b>THYMUS;</b>														
Examined.....	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	66.7%	46.7%	46.7%	60.0%	60.0%	46.7%	53.3%	46.7%	33.3%	80.0%	60.0%	66.7%	53.3%	40.0%
Cyst .....	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	6.7%	0.0%
Hemorrhage;acute.....	33.3%	53.3%	53.3%	40.0%	40.0%	53.3%	46.7%	53.3%	66.7%	20.0%	40.0%	33.3%	40.0%	60.0%
<b>UTERUS;</b>														
Examined.....	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	-	-	-	-	-	-	-	100.0%	80.0%	66.7%	86.7%	93.3%	100.0%	93.3%
Dilation .....	-	-	-	-	-	-	-	0.0%	20.0%	33.3%	13.3%	6.7%	0.0%	6.7%
<b>VAGINA;</b>														
Examined.....	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(15)	(15)	(15)	(15)	(15)	(15)	(15)
WithinNormalLimits.....	-	-	-	-	-	-	-	73.3%	46.7%	53.3%	66.7%	60.0%	60.0%	60.0%
Keratinization;epithelial.....	-	-	-	-	-	-	-	26.7%	53.3%	46.7%	33.3%	40.0%	40.0%	40.0%

### Recovery sacrifice

At the end of the recovery period (day 31 for group 6 and day 38 for all other groups), most of the microscopic findings reported at the injection sites, iliac lymph node, surrounding tissue of the injection sites (surrounding tissue of bone, os femoris with joint; perineural tissue of sciatic nerve; interstitial tissue of mammary gland; skeletal muscle) and spleen were partially or completely recovered in all animals.

Some inflammatory lesions were still reported at the injection sites and the surrounding tissues in some animals. These lesions were less severe (minimal to mild).

The infiltration of macrophages in the iliac lymph nodes of recovery animals were regarded as a consequence of phagocytosis relating to the inflammatory reactions at the injection sites. Test article-related minimal to mild increases in the cellularity of bone marrow and extramedullary hematopoiesis in the spleen was fully recovered at the end of recovery phase.

Test article-related vacuolation of hepatocytes in the portal regions of the liver was fully recovered at the end of recovery phase. The incidence and the severity of the remaining findings were markedly reduced when compared to the main study animals.

### Discussion synopsis

Inflammation was generally most at the end of dosing in groups 5 and 7. This is followed by 30 µg BNT162a1/animal group. Ulceration at the injection site was present only in rats administered BNT162a1. The inflammation was partially or fully resolved at the end of the recovery phase.

Increased cellularity of the germinal centers of the draining (iliac) lymph node and plasmacytosis were reported. This is consistent with the anticipated immune activation by the test articles. Increases in bone marrow cellularity (increased hematopoiesis) and extramedullary hematopoiesis in the spleen were reported. This is consistent with a response to inflammation and immune responses induced by the test article.

Test article-related vacuolation of portal hepatocytes was reported in all groups. The vacuolation was unassociated with markers of hepatocyte damage (i.e. ALAT, ASAT) and has been reported in animals administered pegylated compounds only. This finding was fully reversed at the end of the recovery phase.

**Body temperature:**

No test article-related effects on body temperature were reported.

Figure 12: Body temperature of male rats treated once weekly, mean values per group

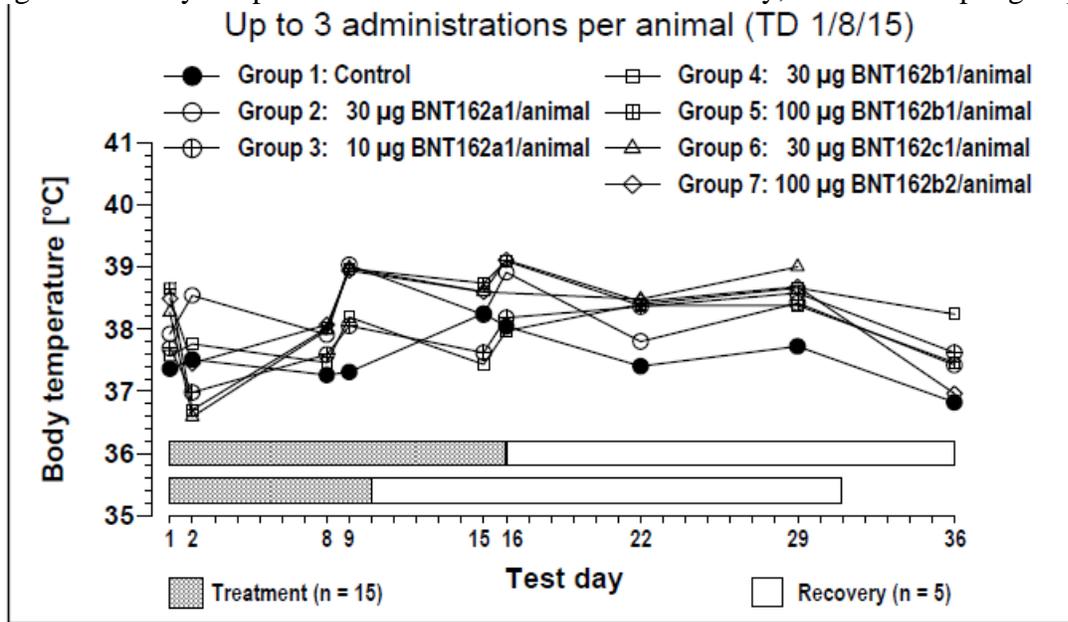
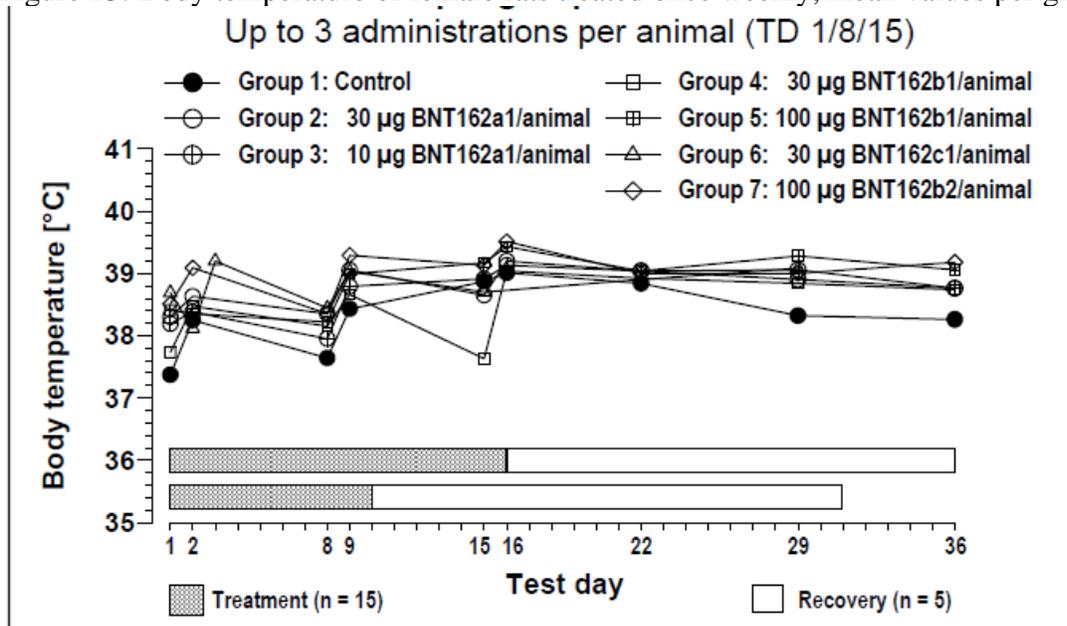


Figure 13: Body temperature of female rats treated once weekly, mean values per group



**Serology:**

In this study the immunogenicity of the administered SARS-CoV-2-S protein targeted RNA vaccines BNT162a1, BNT162b1, BNT162b2, and BNT162c1 was investigated. At study day 10, serum samples were collected from animals treated with BNT162c1 (group 6). At study day 17 serum samples were collected from animals treated with BNT162a1, BNT162b1, and BNT162b2



Test article related effects	Effects considered incidental
↓ Triglycerides ↑ Gamma-GT ↓ Reticulocytes ↓ Platelet ↑ Monocytes ↑ Neutrophils ↑ Eosinophils ↑ Basophils ↑ WBC ↑ LUC ↑ Fibrinogens ↓ PCT% ↑ Alpha1-acid glycoproteins ↑ Alpha2-macroglobulins ↑ Epididymides weight ↑ Mesenteric lymph nodes weight ↑ Spleen weight ↑ Thyroid weight for females Injection site findings (indurated, incrustated, and thickened skin) Enlarged iliac lymph nodes Enlarged spleen ↑ Cellularity of bone marrow Immune responses in groups 2, 3, 4, 5, and 7	↓ Thymus weight IFN-gamma, TNF-alpha, IL-1beta, and IL-10

Table 37: Test article related effects

**Assessment:**

No treatment-related, mortality, nor any toxicologically relevant changes in clinical signs, food consumption, body temperature, ophthalmic changes, urinalysis, or auditory examination were reported.

A triglyceride is an ester derived from glycerol and three fatty acids.<sup>4</sup> Triglycerides are the main constituents of body fat in humans and animals, as well as vegetable fat.<sup>5</sup> They are also present in the blood to enable the bidirectional transference of adipose fat and blood glucose from the liver, and are a major component of human skin oils.<sup>6</sup> In the human body, high levels of triglycerides in the bloodstream have been linked to atherosclerosis and, by extension, the risk of

<sup>4</sup> "[Nomenclature of Lipids](#)". IUPAC-IUB Commission on Biochemical Nomenclature (CBN). Retrieved 2007-03-08.

<sup>5</sup> Nelson, D. L.; Cox, M. M. (2000). *Lehninger, Principles of Biochemistry* (3rd ed.). New York: Worth Publishing. [ISBN 1-57259-153-6](#).

<sup>6</sup> Lampe, M. A.; Burlingame, A. L.; Whitney, J.; Williams, M. L.; Brown, B. E.; Roitman, E.; Elias, M. (1983). "Human stratum corneum lipids: characterization and regional variations". *J. Lipid Res.* **24**: 120–130. [PMID 6833889](#)

heart disease<sup>7</sup> and stroke.<sup>8</sup> The decrease in triglyceride levels were not considered of any toxicological importance.

Gamma-glutamyl transferase (GGT) is a membrane-bound enzyme catabolizing reduced glutathione to cysteine and glycine in Meister's  $\gamma$ -glutamyl cycle (Orlowski and Meister, 1970).<sup>9</sup> This delivers cysteine for intracellular synthesis of glutathione, the major thiol anti-oxidant. Elevated serum levels of GGT are markers of oxidative stress, resulting from factors including alcohol, heavy metals, cardiovascular disease and diabetes. Furthermore, higher serum levels of GGT, within the normal range, are associated with an increased cancer risk. High levels of GGT seem to increase the risk of progression of high-grade cervical dysplasia to invasive carcinoma.<sup>10</sup>

Reticulocytes are immature red blood cells (RBCs). In the process of erythropoiesis (red blood cell formation), reticulocytes develop and mature in the bone marrow and then circulate for about a day in the blood stream before developing into mature red blood cells. Like mature red blood cells, in mammals, reticulocytes do not have a cell nucleus.<sup>11</sup> Abnormally low numbers of reticulocytes can be attributed to chemotherapy, aplastic anemia, pernicious anemia, bone marrow malignancies, problems of erythropoietin production, various vitamin or mineral deficiencies (iron, vitamin B<sub>12</sub>, folic acid), disease states (anemia of chronic disease) and other causes of anemia due to poor RBC production.<sup>12</sup>

The cells that circulate within our blood and bind together when they recognize damaged blood vessels are called **platelets**. The platelets bind to the site of the damaged vessel in any cut, thereby causing a blood clot to stop bleeding. Platelets are literally shaped like small plates in their non-active form. A damaged blood vessel will send out a signal and when platelets receive that signal, they'll respond by traveling to that area and transform into their "active" formation. To make contact with the broken blood vessel, platelets grow long tentacles and then resemble a spider or an octopus. A normal platelet count ranges from 150,000 to 450,000 platelets per microliter of blood. Having more than 450,000 platelets is a condition called *thrombocytosis*; having less than 150,000 is known as *thrombocytopenia*. A decrease in platelet levels is called thrombocytopenia. Easy bruising, and frequent bleeding from the gums, nose, or GI tract are the symptoms of *thrombocytopenia*. *Thrombocytopenia happens* when something is preventing your body from producing platelets. There are a wide range of causes, including: medications, an inherited condition, certain types of cancer (such as leukemia or lymphoma), chemotherapy treatment for cancer, kidney infection or dysfunction, or too much alcohol.<sup>13</sup>

<sup>7</sup> ["Boston scientists say triglycerides play key role in heart health"](#). *The Boston Globe*. Retrieved 2014-06-18.

<sup>8</sup> Drummond, K. E.; Brefere, L. M. (2014). *Nutrition for Foodservice and Culinary Professionals (8th ed.)*. John Wiley & Sons. ISBN 978-0-470-05242-6.

<sup>9</sup> Orlowski M, Meister A. The  $\gamma$ -glutamyl cycle: a possible transport system for amino acids. PNAS. 1970;67:1248–1255.

<sup>10</sup> <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3341856/>

<sup>11</sup> <https://en.wikipedia.org/wiki/Reticulocyte>

<sup>12</sup> <https://www.uofmhealth.org/health-library/hw203366>

<sup>13</sup> [https://www.hopkinsmedicine.org/heart\\_vascular\\_institute/centers\\_excellence/women\\_cardiovascular\\_health\\_center/patient\\_information/health\\_topics/platelets.html](https://www.hopkinsmedicine.org/heart_vascular_institute/centers_excellence/women_cardiovascular_health_center/patient_information/health_topics/platelets.html)

Monocytosis could be indicative of the intended immune response or could be secondary to muscle damage at the site of injection as an indication of inflammation and repair. The increases in the monocyte count might be related to test article treatment.

Neutrophils are key components in the system of defense against infection. An individual with absence or scarcity of neutrophils (neutropenia) is vulnerable to infection. The increase in neutrophils might be related to the immune responses initiated by the test article treatment.

Eosinophils are one of the immune system components responsible for combating multicellular parasites and certain infections in vertebrates. They are granulocytes that develop during hematopoiesis in the bone marrow before migrating into blood.

Basophils play a role in both parasitic infections and allergies. Basopenia has been reported in association with autoimmune urticaria.

White blood cells (WBCs) (also called leukocytes or leucocytes) are the cells of the immune system that are involved in protecting the body against both infectious disease and foreign invaders. All white blood cells are produced and derived from multipotent cells in the bone marrow known as hematopoietic stem cells. Leukocytes are found throughout the body, including the blood and lymphatic system.<sup>14</sup> The increase in WBC might be related to the immune response induced by the test article treatment.

LUC is a measurement of the large, peroxidase-negative cells which cannot be further characterized (i.e. as large lymphocytes, virocytes, or stem cells) present in a biological specimen. In LUC are found large lymphoid cells, more immature lymphocytes and other cells. If the value is higher than normal, blood counts should be checked under a microscope slide.

The increases in fibrinogen levels were not considered frank toxicity but rather an anticipated effect associated with an immunological response.

Relative volume of thrombocytes (very large cells in the bone marrow called megakaryocytes)/Plateletcrit (measure of total platelet mass) percent (PCT%) was decreased in groups 3, 5, and 7 males and females at study day 17. This is crucial to normal blood clotting.

Alpha-1-acid glycoprotein ( $\alpha_1AGp$ ,<sup>[1]</sup> *AGP* or *AAG*), which is modulated by two polymorphic genes, is an acute phase (acute phase protein) plasma alpha-globulin glycoprotein. It has a normal plasma concentration between 0.6-1.2 mg/mL (1-3% plasma protein) and is synthesized primarily in hepatocytes (5). Plasma levels are affected by pregnancy, burns, certain drugs, and certain diseases, particularly HIV (5). The function of alpha-1-acid glycoprotein is to act as a carrier of basic and neutrally charged lipophilic compounds. It is known as the primary carrier of basic (positively charged) drugs (whereas albumin carries acidic (negatively charged) and neutral drugs), steroids, and protease inhibitors (5, 6). AGP shows a complex interaction with thyroid homeostasis. Alpha-1-acid glycoprotein (in low concentrations) was reported to stimulate the

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<sup>14</sup> Maton, D., Hopkins, J., McLaughlin, Ch. W., Johnson, S., Warner, M. Q., LaHart, D., & Wright, J. D., Deep V. Kulkarni (1997). *Human Biology and Health*. Englewood Cliffs, New Jersey, US: Prentice Hall. [ISBN 0-13-981176-1](#).

thyrotropin (TSH) receptor and intracellular accumulation of cyclic AMP. However, high AGP concentrations inhibited TSH signaling (7, 8). Alpha-1-acid glycoprotein has been identified as one of four potentially useful circulating biomarkers for estimating the five-year risk of all-cause mortality (the other three are albumin, very low-density lipoprotein particle size, and citrate) (9). Alpha-1-acid glycoprotein increases in obstructive jaundices while diminishes in hepatocellular jaundice and in intestinal infections.<sup>15</sup>

Alpha-2-macroglobulin ( $\alpha$ 2M) is a large plasma protein found in the blood, mainly produced by the liver, and also locally synthesized by macrophages, fibroblasts, and adrenocortical cells. It acts as an antiprotease and is able to inactivate an enormous variety of proteinases. It functions as an inhibitor of fibrinolysis by inhibiting plasmin and kallikrein and as an inhibitor of coagulation by inhibiting thrombin. Because it also binds to numerous growth factors and cytokines, such as platelet-derived growth factor, basic fibroblast growth factor, TGF- $\beta$ , insulin, and IL-1 $\beta$ , it may act as a carrier protein. In the nephrotic syndrome when other lower molecular weight proteins are lost in the urine, the concentration of alpha-2-macroglobulin rises 10-fold or more<sup>16</sup>.

The epididymis is a tube that connects a testicle to a vas deferens in the male reproductive system. It is present in all male reptiles, birds, and mammals. It is a single, narrow, tightly-coiled tube connecting the efferent ducts from the rear of each testicle to its vas deferens. An inflammation of the epididymis is called epididymitis. It is much more common than testicular inflammation, termed orchitis.<sup>17</sup>

The increases in the weights of mesenteric lymph nodes and the enlargement of the iliac lymph nodes might be related to the immune response due to test article treatment.

The external iliac lymph nodes are eight to ten in number, that lie along the external iliac vessels. They are arranged in three groups, one on the lateral, another on the medial, and a third on the anterior aspect of the vessels; the third group is, however, sometimes absent. Their principal afferents are derived from the inguinal lymph nodes, the deep lymphatics of the abdominal wall below the umbilicus and of the adductor region of the thigh, and the lymphatics from the glans penis, glans clitoris, the membranous urethra, the prostate, the fundus of the urinary bladder, the cervix uteri, and upper part of the vagina<sup>18</sup>.

Spleen weight increase might be related to the intended immune response. The spleen plays important roles in regard to red blood cells and the immune system<sup>19</sup>. It removes old red blood cells and holds a reserve of blood in case of hemorrhagic shock while also recycling iron. As a part of the mononuclear phagocyte system, it metabolizes hemoglobin removed from senescent erythrocytes. The globin portion of hemoglobin is degraded to its constitutive amino acids, and the heme portion is metabolized to bilirubin, which is subsequently shuttled to the liver for

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<sup>15</sup> <https://en.wikipedia.org/wiki/Orosomucoid>

<sup>16</sup> <https://en.wikipedia.org/wiki/Alpha-2-Macroglobulin>

<sup>17</sup> <https://en.wikipedia.org/wiki/Epididymis>

<sup>18</sup> [https://en.wikipedia.org/wiki/External\\_iliac\\_lymph\\_nodes](https://en.wikipedia.org/wiki/External_iliac_lymph_nodes)

<sup>19</sup> Spleen, Internet Encyclopedia of Science.

removal<sup>20</sup>. It synthesizes antibodies in its white pulp and removes antibody-coated bacteria along with antibody-coated blood cells by way of blood and lymph node circulation.

The thyroid gland controls how quickly the body makes proteins and uses energy. And, controls how sensitive the body is to other hormones. It produces the thyroid hormones [triiodothyronine (T<sub>3</sub>) and thyroxine (sometimes referred to as tetraiodothyronine (T<sub>4</sub>)]. These hormones regulate the growth and rate of function of many other systems in the body. T<sub>3</sub> and T<sub>4</sub> are synthesized from iodine and tyrosine. The thyroid also produces calcitonin, which plays a role in calcium homeostasis. Hormonal output from the thyroid is regulated by thyroid-stimulating hormone (TSH) produced by the anterior pituitary. TSH is regulated by thyrotropin-releasing hormone (TRH) produced by the hypothalamus.

Test article-related injection site findings (indurated, incrustated, and thickened skin) were reported. Inflammation is a relatively common occurrence as part of the acute phase response following administration of some vaccines.

In all test article-treated groups, minimal to mild increases in the cellularity of bone marrow were reported. They were likely secondary to inflammation-related platelet activation and consumption.

Test article-related immune responses in groups 2, 3, 4, 5, and 7 were reported.

The thymus is a specialized primary lymphoid organ of the immune system. Within the thymus, T cells or T lymphocytes mature. T cells are critical to the adaptive immune system, where the body adapts specifically to foreign invaders. The thymus is composed of two identical lobes and is located anatomically in the anterior superior mediastinum, in front of the heart and behind the sternum.<sup>21</sup> One of the major characteristics of vertebrate immunology is thymic involution, the shrinking of the thymus with age, resulting in changes in the architecture of the thymus and a decrease in tissue mass.<sup>22</sup> T-cells are named for the thymus where T-lymphocytes migrate from the bone marrow to mature. Its regression has been linked to the reduction in immunosurveillance in the elderly.<sup>23</sup>

No clear important changes in the levels of cytokines (IFN-gamma, TNF-alpha, IL-1beta, and IL-10) were reported.

Adverse gross alteration that could be indicative of systemic or local toxicity was not reported.

Based on the overall findings in this study, it can be concluded that in Wistar rats, repeat dose on study days 1, 8, and 15 had no adverse effects in terms of systemic toxicity at the dose level of

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<sup>20</sup> Mebius RE, Kraal G. (2005). Structure and function of the spleen. *Nat Rev Immunol.* 5(8):606-16.

<sup>21</sup> <https://en.wikipedia.org/wiki/Thymus>.

<sup>22</sup> Shanley D.P.; Danielle A.W.; Manley N.R.; Palmer D.B.; et al. (2009). "An evolutionary perspective on the mechanisms of immunosenescence". *Trends Immunol.* 30 (7): 374–381. doi:10.1016/j.it.2009.05.001. PMID 19541538

<sup>23</sup> Linton P.J.; Dorshkind K. (2004). "Age-related changes in lymphocyte development and function". *Nat. Immunol.* 5 (2): 133–139. doi:10.1038/ni1033. PMID 14749784

10, 30, or 100 µg/animal. However, due to the significant decrease in the reticulocyte levels, hematology results should be closely monitored during any clinical trial.

**GLP study deviations or amendments:** Deviations or amendments were not included in this study submission and expected to be included in the final study report.

**Investigators Brochure:** Having read and evaluated the Investigators Brochure, is it a fair, objective and reasonable summary of the toxicology data – yes (X) or no ().

**Internal Communication:**

Due to the significant decreases in the platelet's and reticulocyte's levels, close monitoring to the hematology data in any clinical trial is highly recommended.

**Conclusions:**

Based on nonclinical toxicity assessments, there are no significant safety issues to preclude the IND from going into effect

**Study number 2:**

**Title and study number:** 17-day intramuscular toxicity study of BNT162B2 (V9) and BNT162B3C In Wistar Han rats with a 3-week recovery. Study number: 20GR142.

**Performing laboratory:** Pfizer Worldwide Research & Development Drug Safety Research & Development Eastern Point Road Groton, CT 06340 USA.

**Study initiation date:** June 23, 2020

**Final report date:** August 13, 2020

**Test article batch/lot:**

Test Article	Lot Number	Expiration Date
BNT162b2 (V9)	COVVAC/270320	27 Sep 2020
BNT162b3c	BCV/040620	04 Dec 2020
0.9% sterile saline	J8L247	31 Mar 2021

**Animal species and strain:** Rat/Wistar/Crl:WI(Han)

**Breeder/supplier:** Charles River Laboratories Raleigh, NC

**Number of animal per group and sex:** 15/sex/group

**Age:** 9 weeks

**Body weight range:**

Males: 243.1 grams - 291.6 grams

Females: 172.9 grams - 209.5 grams

**Route and site of administration:** Intramuscular (IM)

**Volume of injection:** 60 µL

**Frequency of administration and study duration:**

Animals were treated on study days 1, 8, and 15 into the left hindlimb quadriceps muscle

**Dose:** See study design

**Stability:** Analysis of stability, homogeneity and concentration of the test article under test conditions was not performed as part of the study. Stability studies were performed by the sponsor of the IND. At the time of submitting this study, stability studies with the first clinical trial material batch have just been started. Up to now no results are available. Stability data will be included in any upcoming amendment. The table below shows the protocol of stability study I for CTM drug substance batches:

Table of protocol of stability study I for CTM drug substance batches at different storage conditions

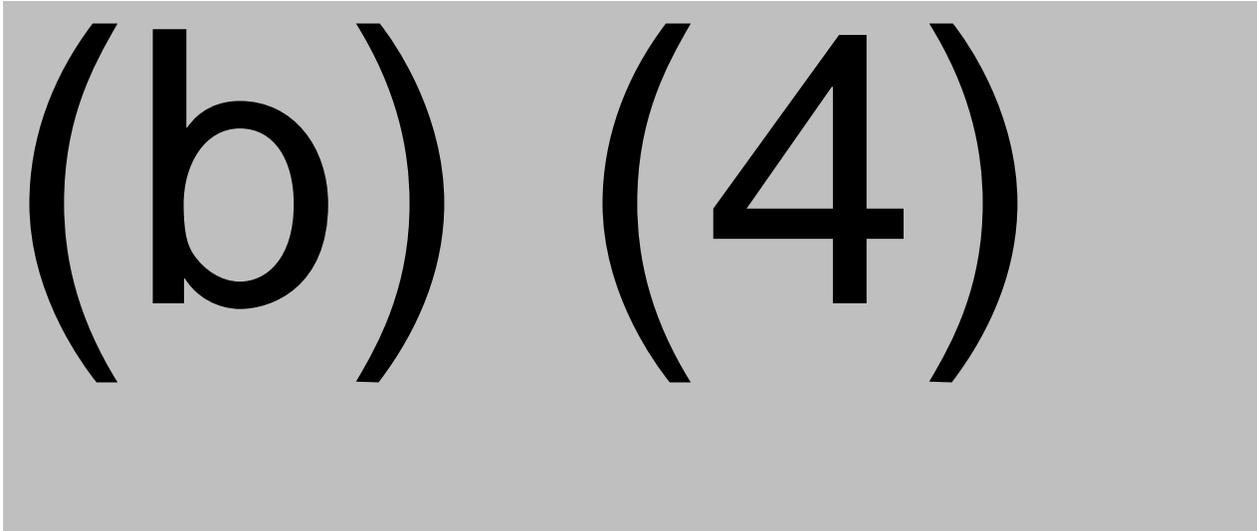


Table 38: Protocol of stability study I for CTM drug substance batches at different storage conditions

Stability of (b) (4) was reported.

**Means of administration:** Intramuscular (IM)

**Report status:** Final report

**Experimental design:**

Animals were randomized and assigned to 3 different groups. Each group consisted of 15/sex/group. The first 10 animals/sex/group, by ascending animal order, were designated for necropsy at the end of the dosing phase. The remaining 5 animals were retained for the recovery phase. Animals were dosed by IM on study days 1, 8, and 15. The details of the study design are listed in the following table:

Table of experimental design

Group Number	Test Article or Vehicle Dose (µg RNA/Dose Day)	Dose Volume (µL/injection site) <sup>a</sup>	Animal Numbers	
			Males	Females
1	0 <sup>b</sup>	60	1-15	46-60
2	30 <sup>c</sup>	60	16-30	61-75
3	30 <sup>d</sup>	60	31-45	76-90

a. Each animal received a single intramuscular injection on each dose day.

- b. Sterile saline.
- c. BNT162b2 (V9).
- d. BNT162b3c.

**Methods:**

**Randomization procedure:** Yes

**Statistical analysis plan:** Yes.

The following parameters were evaluated:

General (Cageside) Clinical Observations:	Days of Study	Time Points
	Prior to the Initiation of Dosing (PID)	Once daily
	Non-dosing Days (Dosing Phase)	Twice daily, except on days when detailed clinical observations were performed, then only once daily
	Dosing Days (Dosing Phase)	Pre dose, except on days that pre dose detailed clinical observations were performed, 4 hours after the last animal was dosed, and at the end of the workday. On 06 Jul 2020 (day 1), clinical signs were not conducted at the end of the workday for Animals 001-090.
	Recovery Phase Days	Twice daily
Detailed Clinical Observations:	Detailed clinical observations were performed twice prior to the initiation of dosing, twice weekly at approximately the same time body weights were performed, and on the day(s) of necropsy.	
Body Weight:	All animals were weighed twice prior to the initiation of dosing on PID Phase days 1 and 6, pre dose on dosing phase days 1, 8, and 15; on dosing phase days 4 and 11 (non-dosing), and a fasted weight was collected just prior to scheduled necropsy. Body weights were collected on recovery phase days 1, 4, 8, 11, 15, 18, and 21.	
Food Consumption:	Quantitative food consumption was recorded on dosing phase days 4, 8, 11, and 15 and on recovery phase days 4, 8, 11, 15, 18, and 21.	
Ophthalmology:	<p>Ophthalmic examinations were performed once prior to the initiation of dosing (following randomization) on PID phase days 7/8 (males/females) and on dosing phase days 15/16 (males/females).</p> <p>Recovery animals were not examined at the end of the recovery phase.</p> <p>See the ophthalmology report in Appendix B for complete materials and methods.</p>	

General (Cageside) Clinical Observations:	<b>Days of Study</b>	<b>Time Points</b>
	Prior to the Initiation of Dosing (PID)	Once daily
	Non-dosing Days (Dosing Phase)	Twice daily, except on days when detailed clinical observations were performed, then only once daily
	Dosing Days (Dosing Phase)	Pre dose, except on days that pre dose detailed clinical observations were performed, 4 hours after the last animal was dosed, and at the end of the workday. On 06 Jul 2020 (day 1), clinical signs were not conducted at the end of the workday for Animals 001-090.
	Recovery Phase Days	Twice daily
Injection Site Scoring (Dermal Assessment):	<p>Injection sites were observed during the dosing phase once pre dose and approximately 4 and 24 hours post dose on all animals. Animals with a score of 2 or greater at 24 hours post dose had additional evaluations at 48- and 72-hours post-dose. Animals with a continued score of 2 or greater at 72 hours post-dose had additional evaluations at 120 and 144 hours post-dose. After dosing on day 15, a 72-hour post dose evaluation was conducted on recovery animals only. Injection site score was recorded according to a standardized rating scale (Draize, 1959)<sup>24</sup>.</p> <p>On dosing phase day 1 (06 Jul 2020), pre dose dermal assessments were collected on all animals for right-side injection sites (non-injection site), and at 4 hours post dose, dermal assessments were collected on animals 1-7, 9 (group 1, males), and 46-58 (group 1, females) for right-side injection sites (non-injection site).</p>	
Body Temperature:	Body temperature was collected on all animals once prior to the initiation of dosing on PID phase day 6, pre-dose on dosing phase days 1, 8, and 15, and at approximately 4- and 24-hours post-dose from all animals.	

Table 39: parameters evaluated

## Clinical laboratory measurements

Schedule for Collection of Samples for Clinical Laboratory Measurements			
Parameter	Day of Study		
	Dosing Phase		Recovery Phase
	Day 4	Day 17 <sup>e</sup>	Day 22
Hematology	X <sup>a,c</sup>	X <sup>c</sup>	X <sup>c</sup>
Coagulation	NA	X <sup>c</sup>	X <sup>c</sup>
Clinical Chemistry (Core Chemistry)	X <sup>b,c</sup>	X <sup>c</sup>	X <sup>c</sup>
Clinical Chemistry (Other Biomarkers – Acute Phase Proteins)/Serum <sup>d</sup>	X <sup>b,c</sup>	X <sup>c</sup>	X <sup>c</sup>
Urinalysis	NA	X	X

NA = Not applicable; X = Scheduled collection.

<sup>24</sup> Draize JH. 1959 (2nd printing 1965). Appraisal of the Safety of Chemicals in Foods, Drugs and Cosmetics. Dermal Toxicity, pp. 46-59. Published by: The Association of Food and Drug Officials of the United States, Topeka, Kansas.

- a. First 7 animals/sex/group.
- b. Last 8 animals/sex/group.
- c. Blood samples were collected from animals in a fasted state, with the exception of same day redraws.
- d. Assay performed using shared clinical chemistry sample.
- e. Evaluated on animals scheduled for necropsy.

Table 40: Clinical laboratory measurements

Antibody (Serology) response to vaccine components

Sample Collection and Storage Conditions	
Groups:	1-3
Collection Intervals:	PID Phase Day 8 and Dosing Phase Day 17 <sup>a</sup> , and Recovery Phase Day 21 <sup>a</sup>
Collection Time Points:	PID Phase Day 8, Dosing Phase Day 17, and Recovery Phase Day 21: Once
Animals/Time Point:	All animals
Anticoagulant:	No Anticoagulant
Collection Volume per Sample:	PID Phase Day 8: Approximately 0.7 mL Dosing Phase Day 17 and Recovery Phase Day 21: Approximately 1 mL
Sample Processing:	Samples were processed and stored as appropriate within 2 hours of collection
Sample Storage Conditions:	Approximately -60°C or lower

PID = Prior to initiation of dosing.

a. Samples collected prior to necropsy.

Table 41: Antibody (Serology) response to vaccine components

**Postmortem procedures:**

Animals (10/sex/group) were euthanized on dosing phase day 17 (2 days after the last dose). Remaining animals were euthanized on recovery phase day 22.

Necropsy, tissue collection, organ weights, macroscopic tissue evaluation, and microscopic examination were performed. Bone marrow smears were collected from all animals.

Tissues Collected	Organs Weighed (All Dose Groups)	Tissues Processed for Slide Preparation (X)		
		Dose Group		
		Group 1	Group 2	Group 3
Artery, Aorta		X	X	X
Bone Marrow, Sternum		X	X	X
Bone, Sternum		X	X	X
Brain	X	X	X	X
Cervix		X	X	X
Epididymis	X	X	X	X
Esophagus		X	X	X
Eye		X	X	X
Gland, Adrenal	X	X	X	X
Gland, Harderian		X	X	X
Gland, Lacrimal (Extraorbital)		X	X	X
Gland, Mammary		X	X	X
Gland, Parathyroid		X	X	X
Gland, Pituitary		X	X	X
Gland, Prostate	X	X	X	X
Gland, Salivary		X	X	X
Gland, Seminal Vesicle		X	X	X

Tissues Collected	Organs Weighed (All Dose Groups)	Tissues Processed for Slide Preparation (X)		
		Dose Group		
		Group 1	Group 2	Group 3
Gland, Thyroid		X	X	X
Gut-Associated Lymphoid Tissue		X	X	X
Heart	X	X	X	X
Joint		X	X	X
Kidney	X	X	X	X
Large Intestine, Cecum		X	X	X
Large Intestine, Colon		X	X	X
Larynx				
Liver	X	X	X	X
Lung		X	X	X
Lymph Node, Draining		X	X	X
Lymph Node, Inguinal		X	X	X
Lymph Node, Mesenteric		X	X	X
Macroscopic Findings		X	X	X
Muscle, Skeletal		X	X	X
Nerve, Optic		X	X	X
Nerve, Peripheral		X	X	X
Ovary	X	X	X	X
Oviduct		X	X	X
Pancreas		X	X	X
Site, Injection		X	X	X
Skin		X	X	X
Small Intestine, Duodenum		X	X	X
Small Intestine, Ileum		X	X	X
Small Intestine, Jejunum		X	X	X
Spinal Cord		X	X	X
Spleen	X	X	X	X
Stomach		X	X	X
Testis	X	X	X	X
Thymus	X	X	X	X
Tongue		X	X	X
Trachea		X	X	X
Ureter		X	X	X
Urinary Bladder		X	X	X
Uterus		X	X	X
Vagina		X	X	X

Table 42: Tissue collection, organ weights and tissues processed for slide preparation – Dosing phase

Tissues Collected	Organs Weighed (All Dose Groups)	Tissues Processed for Slide Preparation (X)		
		Dose Group		
		Group 1	Group 2	Group 3
Artery, Aorta				
Bone Marrow, Sternum		X	X	X
Bone, Sternum				

Tissues Collected	Organs Weighed (All Dose Groups)	Tissues Processed for Slide Preparation (X)		
		Dose Group		
		Group 1	Group 2	Group 3
Brain	X			
Cervix				
Epididymis	X			
Esophagus				
Eye				
Gland, Adrenal	X			
Gland, Harderian				
Gland, Lacrimal (Extraorbital)				
Gland, Mammary				
Gland, Parathyroid				
Gland, Pituitary				
Gland, Prostate	X			
Gland, Salivary				
Gland, Seminal Vesicle				
Gland, Thyroid				
Gut-Associated Lymphoid Tissue				
Heart	X			
Joint		X	X	X
Kidney	X			
Large Intestine, Cecum				
Large Intestine, Colon				
Larynx				
Liver	X	X	X	X
Lung				
Lymph Node, Draining		X	X	X
Lymph Node, Inguinal		X	X	X
Lymph Node, Mesenteric				
Macroscopic Findings		X	X	X
Muscle, Skeletal		X	X	X
Nerve, Optic				
Nerve, Peripheral				
Ovary	X			
Oviduct				
Pancreas				
Site, Injection		X	X	X
Skin				
Small Intestine, Duodenum				
Small Intestine, Ileum				
Small Intestine, Jejunum				
Spinal Cord				
Spleen	X	X	X	X
Stomach				
Testis	X			
Thymus	X			
Tongue				
Trachea				

Tissues Collected	Organs Weighed (All Dose Groups)	Tissues Processed for Slide Preparation (X)		
		Dose Group		
		Group 1	Group 2	Group 3
Ureter				
Urinary Bladder				
Uterus				
Vagina				

Table 43: Tissue collection, organ weights and tissues processed for slide preparation – Recovery phase

### Results:

No test article-related mortality was reported.

### Clinical chemistry and hematology:

#### Clinical chemistry

CLINICAL CHEMISTRY		
MEASUREMENT RELATED TO	END POINTS DIFFERENT THAN THE CONCURRENT CONTROL (LIST THE ENDPOINT STUDY DAY (SD), SEX, DOSE GROUP (G), DIRECTION, FOLD CHANGE if great than 1.5 so indicated otherwise $\geq 1.5$ )	NOT OF NOTE
ELECTROLYTE BALANCE		Calcium, chloride, potassium, sodium, phosphorus
CARBOHYDRATE METABOLISM		Glucose
LIVER FUNCTION: A) HEPATOCELLULAR	Alkaline phosphatase (ALP) SD17 F $\uparrow = 1.9$ G3	Aspartate aminotransferase (AST or SGOT) Alanine aminotransferase (ALT or SGPT)
B) HEPATOBILIARY		Total bilirubin
ACUTE PHASE REACTANTS	Fibrinogen (also under coagulation)**	
KIDNEY FUNCTION		Creatinine Blood Urea Nitrogen (BUN)
OTHERS (ACID/BASE BALANCE, CHOLINESTERASES, HORMONES, LIPIDS, METHEMOGLOBIN, AND PROTEINS)	Albumin (A)* GLOB* A/G ratio* A1A GP* A2M*	Total protein Carbon dioxide Globulin Fasting triglycerides Total Cholesterol Creatine kinase (CK) Gamma-GT Lactate dehydrogenase (LDH)

\* See table below. \*\* See table on page 16

Table 44: Serum chemistry results for males and females

Clinical chemistry results showed an increase in ALP levels in group 3 females at study day 17.

Dosing phase

In groups 2 and 3 males and females, higher mean alpha-1 acid glycoprotein (A1AGP) and alpha-2-macroglobulin (A2M) and lower Albumin:Globulin (AG) ratios (primarily due to lower albumin with slight contribution from higher globulins) on study days 4 and 17 were reported.

Dose ( $\mu\text{g RNA/Dose Day}$ )						
Parameter	Males			Females		
Test Article	Vehicle	BNT162b2(V9)	BNT162b3c	Vehicle	BNT162b2(V9)	BNT162b3c
	0	30	30	0	30	30
ALB (g/dL)						
4D	3.98	0.93x	0.92x	4.16	0.86x	0.90x
17D	3.50	-	-	3.60	0.85x	0.86x
GLOB (g/dL)						
4D	2.13	-	-	2.10	-	1.05x
17D	1.89	1.10x	1.07x	1.84	1.04x	-
AG						
4D	1.88	0.90x	0.90x	1.98	0.86x	0.85x
17D	1.85	0.89x	0.89x	1.96	0.82x	0.85x
A1AGP						
4D	174.358	9.42x	13.49x	239.774	7.95x	6.99x
17D	47.672	38.51x	42.40x	95.959	15.55x	17.21x
A2M						
4D	113.4	20.44x	34.99x	212.1	3.32x	4.18x
17D	14.0	70.76x	128.16x	33.1	15.74x	17.89x

Control mean values and the ratio of the test article-related findings relative to control means are listed.

- = Not test article related; A1AGP = alpha-1 acid glycoprotein; A2M = alpha-2-macroglobulin;

AG = Albumin/globulin ratio; ALB = Albumin; D = Day; GLOB = Globulin; TP = Protein, total.

Table 45: Test article-related clinical chemistry parameter effects (mean control values and ratio relative to control mean)

Recovery phase

At study 22 (recovery), all test article related changes were fully reversed, with the exception of higher globulins in group 2 males and groups 2 and 3 females, and lower AG ratio in group 2 females.

Dose ( $\mu\text{g RNA/Dose Day}$ )						
Parameter	Males			Females		
Test Article	Vehicle	BNT162b2(V9)	BNT162b3c	Vehicle	BNT162b2(V9)	BNT162b3c
	0	30	30	0	30	30
GLOB (g/dL)						
R22	2.10	1.08x	-	2.26	1.06x	1.07x
AG						
R22	1.76	-	-	1.90	0.91x	-

Control mean values and the ratio of the test article-related findings relative to control means are listed.

- = Not test article related; AG = Albumin/globulin ratio; GLOB = Globulin; R = Recovery day.

Table 46: Test article-related clinical chemistry parameter effects (mean control values and ratio relative to control mean)

Other statistically significant or apparent differences between test article and control group clinical chemistry parameters were not test article related due small magnitude of the difference and general overlap in magnitude of individual values with controls.

### Hematology

HEMATOLOGY		
MEASUREMENT RELATED TO	END POINTS DIFFERENT THAN THE CONCURRENT CONTROL (LIST THE ENDPOINT, STUDY DAY (SD), SEX, DOSE GROUP (G), DIRECTION, FOLD CHANGE if great or less than 1.525, ie, $\geq 1.6$ or $\leq 1.6$ )	Not of NOTE
Red blood cells	HCT (%)* Mean Corp. Hb. (MCH)* Mean Corp. Hb. Conc. (MCHC)* Mean Corp. Hb. Conc. (MCHC)* RDW%* Reticulocyte*	Hemoglobin Conc. (Hb) Mean Corp. Volume (MCV) Total Erythrocyte Count (RBC)
White blood cells	Lymphocyte count SD17 F $\uparrow = 1.7$ G2 SD17 F $\uparrow = 1.8$ G3  WBC* Neutrophil* Monocyte* Eosinophil* Basophil* LUC*	Macrophage Leukocytes
Clotting potential	Fibrinogen*	Activated partial-thromboplastin time clotting time Prothrombin time Platelet count
Others		Bone marrow cytology

\* See table on page 16

Table 47: Hematology results for males and females

### Terminal phase

Hematology results showed an increase in lymphocyte levels in groups 2 and 3 females at study day 17.

Test article-related hematology and coagulation findings were similar in groups 2 and 3. However, higher mean white blood cell (WBC) counts and fibrinogen concentrations, lower (day 4) and higher (day 17) reticulocyte counts, and lower red blood cell mass (red blood cell count, hemoglobin and hematocrit) were reported in groups 2 and 3 when compared to group 1. Higher WBC primarily involved higher neutrophils, monocytes and large unstained cells. Higher

<sup>25</sup> With rounding up at the tenth decimal place. Therefore, 1.54 or less becomes 1.5 and is not reported and 1.55 or greater becomes 1.6 and is reported.

eosinophils and basophils were also reported. They were present on days 4 and 17, with higher counts on day 17 than day 4. On study day 17, there were also test article-related higher fibrinogen concentrations in both sexes. Hyper-segmented neutrophils were present on peripheral blood smears of test article-treated animals.

In addition, there were test article-related transiently lower reticulocyte counts on study day 4, and higher reticulocytes on study day 17 (females only). These changes were with attendant expected changes in RBC indices (higher mean cell hemoglobin concentration; males on day 4; lower mean cell hemoglobin [MCH] and higher red cell distribution width on day 17; both sexes). These were associated with lower RBC mass on days 4 and 17 (comparable on both days or slightly lower on day 17). Test article-related clinical chemistry findings were similar in groups 2 and 3. However, higher mean alpha-1 acid glycoprotein and alpha-2-macroglobulin and lower AG ratios (primarily due to lower albumin with slight contribution from higher globulins) were reported in males and females of both groups on days 4 and 17.

#### Recovery phase

After a 3-weeks recovery phase, all test article-related hematology and coagulation changes were fully reversed, with the exception of higher red cell distribution width.

There were no test article-related findings reported in urinalysis parameters in the dosing or recovery phase.

Parameter	Dose ( $\mu\text{g RNA/Dose Day}$ )					
	Males			Females		
Test Article	Vehicle	BNT162b2(V9)	BNT162b3c	Vehicle	BNT162b2(V9)	BNT162b3c
	0	30	30	0	30	30
HCT (%)						
4D	48.04	0.90x	0.91x	44.91	0.93x	0.93x
17D	42.61	0.90x	0.92x	41.67	0.91x	0.89x
MCH (pg)						
4D	18.51	-	-	18.37	-	-
17D	18.27	0.96x	-	18.62	0.97x	0.96x
MCHC (g/dL)						
4D	31.24	1.04x	1.03x	32.34	-	-
17D	32.46	-	-	33.18	-	-
RDW (%)						
4D	12.27	-	-	11.11	-	-
17D	11.63	1.21x	1.18x	11.33	1.18x	1.18x
RETIC (10e3/uL)						
4D	392.1	0.27x	0.27x	301.7	0.43x	0.44x
17D	178.8	-	-	168.9	1.31x	1.20x
WBC (10e3/uL)						
4D	7.60	1.41x	1.28x	6.01	1.30x	1.43x
17D	3.84	2.30x	2.24x	2.16	2.64x	2.95x
NEUT (10e3/uL)						
4D	1.083	2.28x	2.00x	0.920	2.51x	3.13x
17D	0.674	6.60x	6.46x	0.409	6.04x	7.04x

Dose ( $\mu\text{g RNA/Dose Day}$ )						
Parameter	Males			Females		
Test Article	Vehicle	BNT162b2(V9)	BNT162b3c	Vehicle	BNT162b2(V9)	BNT162b3c
	0	30	30	0	30	30
MONO (10e3/uL)						
4D	0.109	1.83x	1.96x	0.093	1.89x	2.52x
17D	0.071	3.30x	3.58x	0.056	2.75x	3.14x
EO (10e3/uL)						
4D	0.081	-	-	0.057	-	2.16x
17D	0.056	2.52x	2.18x	0.029	3.17x	3.34x
BASO (10e3/uL)						
4D	0.016	1.88x	2.31x	0.009	1.89x	2.67x
17D	0.003	5.67x	6.33x	0.001	8.00x	10.00x
LUC (10e3/uL)						
4D	0.046	4.07x	3.98x	0.030	4.20x	4.43x
17D	0.026	8.04x	12.42x	0.010	13.20x	19.00x
FIB (mg/dL)						
17D	253.1	2.36x	2.39x	217.2	2.49x	2.59x

Control mean values and the ratio of the test article-related findings relative to control means are listed.

- = Not test article related; BASO = Basophil, absolute; D = Day; EO = Eosinophil, absolute;

FIB = Fibrinogen; HCT = Hematocrit; LUC = Large unstained cells, absolute; MCH = Mean cell hemoglobin;

MCHC = Mean cell hemoglobin concentration; MONO = Monocyte, absolute; NEUT = Neutrophil, absolute;

RDW = Red cell distribution width; RETIC = Reticulocyte, absolute; WBC = White blood cells.

Table 48: Test article-related hematology and coagulation parameter effects at main sacrifice (mean control values and ratio relative to control mean)

Dose ( $\mu\text{g RNA/Dose Day}$ )						
Parameter	Males			Females		
Test Article	Vehicle	BNT162b2(V9)	BNT162b3c	Vehicle	BNT162b2(V9)	BNT162b3c
	0	30	30	0	30	30
RDW (%)						
R22	11.93	1.13x	1.12x	10.80	1.21x	1.23x

Control mean values and the ratio of the test article-related findings relative to control means are listed.

R = Recovery day; RDW = Red cell distribution width.

Table 49: Test article-related hematology and coagulation parameter effects at recovery phase (mean control values and ratio relative to control mean)

### Bone Marrow Assessment

Bone marrow smears were prepared for all animals and were not examined.

### Systemic toxicity:

No treatment-related, mortality, nor any toxicologically relevant changes in clinical signs, body weight, food consumption, body temperature, ophthalmic changes, or urinalysis were reported.

### Organ Weight:

In groups 2 and 3 males and females, test article-related organ weight differences included higher absolute and relative (to body and brain weight) spleen weights were reported.

No test article-related organ weight changes were reported at the end of the recovery phase.

Table of organ weights results for males

Group Number: Dose:		REF 0 µg/day				2 30 µg/day				3 30 µg/day					
		N	Mean	Ratio	SD	N	Mean	Ratio	SD	†	N	Mean	Ratio	SD	†
BWT	ABS	10	296.06	R REF	16.40	10	271.17	0.92	17.12	†	10	262.59	0.89	18.67	†
Brain	ABS	10	1.9061	R REF	0.0899	10	1.9159	1.01	0.1445		10	1.9082	1.00	0.0599	
	OW:BW	10	0.6449	R REF	0.0335	10	0.7087	1.10	0.0664	†	10	0.7294	1.13	0.0481	†
	OW:BRN	10	1.0000	R REF	0.0000	10	1.0000	1.00	0.0000		10	1.0000	1.00	0.0000	
Epididymis	ABS	10	1.1647	R REF	0.1713	10	1.0626	0.91	0.1281		10	1.0508	0.90	0.0665	
	OW:BW	10	0.3936	R REF	0.0536	10	0.3922	1.00	0.0428		10	0.4026	1.02	0.0442	
	OW:BRN	10	0.6112	R REF	0.0867	10	0.5570	0.91	0.0756		10	0.5512	0.90	0.0400	
Gland, Adrenal	ABS	10	0.0697	R REF	0.0068	10	0.0727	1.04	0.0149		10	0.0706	1.01	0.0107	
	OW:BW	10	0.0236	R REF	0.0021	10	0.0267	1.13	0.0045		10	0.0270	1.14	0.0044	
	OW:BRN	10	0.0366	R REF	0.0040	10	0.0383	1.04	0.0091		10	0.0371	1.01	0.0061	
Gland, Prostate	ABS	10	0.7215	R REF	0.1036	10	0.7324	1.02	0.2129		10	0.6755	0.94	0.1088	
	OW:BW	10	0.2439	R REF	0.0328	10	0.2699	1.11	0.0726		10	0.2575	1.06	0.0401	
	OW:BRN	10	0.3781	R REF	0.0476	10	0.3808	1.01	0.0941		10	0.3539	0.94	0.0556	
Heart	ABS	10	0.9152	R REF	0.0698	10	0.9242	1.01	0.1151		10	0.8795	0.96	0.1051	
	OW:BW	10	0.3097	R REF	0.0260	10	0.3405	1.10	0.0329	*	10	0.3346	1.08	0.0278	
	OW:BRN	10	0.4807	R REF	0.0388	10	0.4852	1.01	0.0758		10	0.4614	0.96	0.0583	
Kidney	ABS	10	2.1659	R REF	0.1836	10	2.2197	1.02	0.2229		10	2.0252	0.94	0.1974	
	OW:BW	10	0.7312	R REF	0.0411	10	0.8179	1.12	0.0507	†	10	0.7710	1.05	0.0495	
	OW:BRN	10	1.1356	R REF	0.0682	10	1.1600	1.02	0.0939		10	1.0607	0.93	0.0914	
Liver	ABS	10	8.3218	R REF	0.5205	10	7.7880	0.94	0.4860	*	10	7.5872	0.91	0.5920	†
	OW:BW	10	2.8131	R REF	0.1435	10	2.8771	1.02	0.1801		10	2.8905	1.03	0.1234	
	OW:BRN	10	4.3681	R REF	0.2325	10	4.0850	0.94	0.3960		10	3.9783	0.91	0.3168	*
Spleen	ABS	10	0.5951	R REF	0.0613	10	0.7700	1.29	0.1038	†	10	0.7984	1.34	0.0899	†
	OW:BW	10	0.2008	R REF	0.0147	10	0.2842	1.42	0.0352	†	10	0.3051	1.52	0.0373	†
	OW:BRN	10	0.3120	R REF	0.0264	10	0.4019	1.29	0.0431	†	10	0.4191	1.34	0.0521	†
Testis	ABS	N	Mean	Ratio	SD	N	Mean	Ratio	SD		N	Mean	Ratio	SD	
		10	3.2727	R REF	0.3106	10	3.4683	1.06	0.3109		10	3.2716	1.00	0.2275	
	OW:BW	10	1.1090	R REF	0.1254	10	1.2803	1.15	0.1001	*	10	1.2538	1.13	0.1447	*
Thymus	OW:BRN	10	1.7171	R REF	0.1440	10	1.8123	1.06	0.1262		10	1.7146	1.00	0.1080	
	ABS	10	0.5914	R REF	0.0676	10	0.4673	0.79	0.0934	†	10	0.4200	0.71	0.0907	†
	OW:BW	10	0.1999	R REF	0.0222	10	0.1718	0.86	0.0293	*	10	0.1591	0.80	0.0275	†
	OW:BRN	10	0.3098	R REF	0.0266	10	0.2448	0.79	0.0507	†	10	0.2199	0.71	0.0460	†

Table 50: Male's organ weight: Absolute weights are expressed as mean (grams). Entries in table are expressed as organ weight from animals taken at the end of the terminal phase.

Body weight was decreased 11% in group 3 males. Spleen weight was increased 29% and 34% in groups 2 and 3 males, respectively. Thymus weight was decreased 21% and 29% in groups 2 and 3 males, respectively.

Table of organ weights results for females

Group Number: Dose:		REF 0 µg/day				2 30 µg/day				3 30 µg/day			
		N	Mean	Ratio	SD	N	Mean	Ratio	SD	N	Mean	Ratio	SD
BWT	ABS	10	198.73	R REF	10.80	10	194.56	0.98	10.69	10	191.82	0.97	7.14
Brain	ABS	10	1.8610	R REF	0.0694	10	1.7868	0.96	0.0595	10	1.8407	0.99	0.0783
	OW:BW	10	0.9383	R REF	0.0507	10	0.9203	0.98	0.0467	10	0.9604	1.02	0.0451
	OW:BRN	10	1.0000	R REF	0.0000	10	1.0000	1.00	0.0000	10	1.0000	1.00	0.0000
Gland, Adrenal	ABS	10	0.0882	R REF	0.0162	10	0.0886	1.00	0.0156	9@	0.0907	1.03	0.0192
	OW:BW	10	0.0442	R REF	0.0068	10	0.0454	1.03	0.0065	9@	0.0471	1.07	0.0090
	OW:BRN	10	0.0474	R REF	0.0088	10	0.0496	1.05	0.0085	9@	0.0490	1.03	0.0100
Heart	ABS	10	0.7450	R REF	0.0803	10	0.7573	1.02	0.0866	10	0.7173	0.96	0.0860
	OW:BW	10	0.3749	R REF	0.0343	10	0.3893	1.04	0.0417	10	0.3736	1.00	0.0387
	OW:BRN	10	0.4004	R REF	0.0418	10	0.4248	1.06	0.0563	10	0.3903	0.97	0.0491
Kidney	ABS	10	1.5273	R REF	0.0808	10	1.6343	1.07	0.0778	* 10	1.6164	1.06	0.1416
	OW:BW	10	0.7696	R REF	0.0415	10	0.8412	1.09	0.0418	† 10	0.8417	1.09	0.0529
	OW:BRN	10	0.8216	R REF	0.0519	10	0.9153	1.11	0.0477	† 10	0.8787	1.07	0.0758
Liver	ABS	10	5.4571	R REF	0.3313	10	5.6490	1.04	0.5559	10	5.8104	1.06	0.4922
	OW:BW	10	2.7466	R REF	0.0920	10	2.9002	1.06	0.1853	* 10	3.0247	1.10	0.1541
	OW:BRN	10	2.9329	R REF	0.1468	10	3.1630	1.08	0.3132	10	3.1580	1.08	0.2526
Ovary	ABS	10	0.1167	R REF	0.0158	10	0.1053	0.90	0.0180	9@	0.1113	0.95	0.0170
	OW:BW	10	0.0588	R REF	0.0076	10	0.0542	0.92	0.0097	9@	0.0579	0.98	0.0073
	OW:BRN	10	0.0627	R REF	0.0079	10	0.0590	0.94	0.0101	9@	0.0601	0.96	0.0085
Spleen	ABS	10	0.4382	R REF	0.0669	10	0.6796	1.55	0.1031	† 10	0.6199	1.41	0.0555
	OW:BW	10	0.2202	R REF	0.0294	10	0.3492	1.59	0.0489	† 10	0.3231	1.47	0.0261
	OW:BRN	10	0.2353	R REF	0.0333	10	0.3803	1.62	0.0550	† 10	0.3374	1.43	0.0337
Thymus	ABS	10	0.4588	R REF	0.0700	10	0.3967	0.86	0.1131	10	0.3906	0.85	0.0582
	OW:BW	10	0.2310	R REF	0.0336	10	0.2031	0.88	0.0583	10	0.2036	0.88	0.0288
	OW:BRN	10	0.2469	R REF	0.0386	10	0.2221	0.90	0.0655	10	0.2127	0.86	0.0324

Table 51: Female's organ weight: Absolute weights are expressed as mean (grams). Entries in table are expressed as organ weight from animals taken at the end of the terminal phase.

Spleen weight was increased 55% and 41% in groups 2 and 3 females, respectively. Thymus weight was decreased 14% and 15% in groups 2 and 3 females, respectively.

### Gross pathology:

#### Dosing phase

In groups 2 and 3, large draining lymph nodes (abnormal size, enlarged) and dark/pale and/or firm injection sites (abnormal color, dark/pale and/or abnormal consistency, firm) were reported. In group 3 females, large spleen and inguinal lymph nodes (abnormal size, enlarged) were reported.

Group Number: Dose:	Male			Female		
	1 0 µg/day	2 30 µg/day	3 30 µg /day	1 0 µg/day	2 30 µg/day	3 30 µg /day
<b>Animals Examined:</b>	10	10	10	10	10	10
<b>LIVER</b> Abnormal surface	-	1	-	-	-	-
<b>LUNG</b> Abnormal color	1	1	-	-	-	-
<b>LYMPH NODE, DRAINING</b> Abnormal size	-	1	-	-	1	4
<b>LYMPH NODE, INGUINAL</b> Abnormal size	1	-	-	-	-	2
<b>SITE, INJECTION</b> Abnormal color Abnormal consistency	- -	2 2	1 2	1 -	3 4	- 7
<b>SPLEEN</b> Abnormal size	-	-	-	-	-	1

Table 52: Gross findings at dosing phase

Recovery phase

In one group 2 males and one group 3 females, large draining lymph nodes (abnormal size, enlarged) were reported. Large inguinal lymph nodes (abnormal size, enlarged) were reported in one group 3 females, indicating a partial recovery of these findings. In groups 2 and 3 males and females, pale/dark and/or firm injection sites and enlarged spleen were not reported at the end of recovery phase, indicating a complete recovery of these findings.

Group Number: Dose:	Male			Female		
	1 0 µg/day	2 30 µg/day	3 30 µg /day	1 0 µg/day	2 30 µg/day	3 30 µg /day
<b>Animals Examined:</b>	5	5	5	5	5	5
<b>LYMPH NODE, DRAINING</b> Abnormal size	-	1	-	-	-	1
<b>LYMPH NODE, INGUINAL</b> Abnormal size	-	-	-	-	-	1
<b>ADIPOSE TISSUE</b> Abnormal color Abnormal consistency	1 1	- -	- -	- -	1 -	- -

Table 53: Macroscopic findings at recovery phase

Microscopic findings:Terminal sacrifice

In groups 2 and 3 males and females, findings at the injection site (mixed cell inflammation and edema), draining and inguinal lymph nodes (increased cellularity, plasma cells and germinal centers), liver (hepatocellular vacuolation), spleen (increased cellularity, hematopoietic cells and germinal centers), and bone marrow (increased cellularity, hematopoietic cells) were reported.

	Group Number:	Male 1	2	3	Female 1	2	3	
		Dose:						
		0 µg/day	30 µg/day	30 µg/day	0 µg/day	30 µg/day	30 µg/day	
No. Animals Per Dose Group:		10	10	10	10	10	10	
<b>EYE</b>	Number Examined	10	10	10	10	10	10	
	Unremarkable	10	10	10	9	9	8	
	Mineralization, Cornea	-	-	-	-	1	-	
	Minimal	-	-	-	-	1	-	
	Rosettes retina	-	-	-	1	-	2	
	Minimal	-	-	-	1	-	2	
<b>GLAND, ADRENAL</b>	Number Examined	10	10	10	10	10	10	
	Unremarkable	10	10	10	10	10	9	
	Hypertrophy, Cortex	-	-	-	-	-	1	
	Present	-	-	-	-	-	1	
<b>GLAND, HARDERIAN</b>	Number Examined	10	10	10	10	10	10	
	Unremarkable	10	10	10	6	9	7	
	Degeneration/Necrosis	-	-	-	2	-	2	
	Minimal	-	-	-	2	-	2	
	Infiltration mononuclear cell	-	-	-	3	1	1	
	Minimal	-	-	-	3	1	1	
<b>GLAND, PITUITARY</b>	Number Examined	10	10	10	10	10	10	
	Unremarkable	10	9	8	9	10	8	
	Cyst	-	1	2	1	-	2	
	Minimal	-	1	2	1	-	2	
<b>GLAND, PROSTATE</b>	Number Examined	10	10	10	-	-	-	
	Unremarkable	10	10	9	-	-	-	
	Infiltration mononuclear cell	-	-	1	-	-	-	
	Minimal	-	-	1	-	-	-	

	Group Number:	Male 1	2	3	Female 1	2	3	
		Dose:						
		0 µg/day	30 µg/day	30 µg/day	0 µg/day	30 µg/day	30 µg/day	
No. Animals Per Dose Group:		10	10	10	10	10	10	
<b>GLAND, SALIVARY</b>	Number Examined	10	10	10	10	10	10	
	Unremarkable	10	10	10	9	10	10	
	Hypertrophy	-	-	-	1	-	-	
	Minimal	-	-	-	1	-	-	
<b>GUT-ASSOCIATED LYMPHOID TISSUE</b>	Number Examined	10	10	10	8	10	1	
	Unremarkable	10	10	10	8	9	10	
	Mineralization, Germinal center	-	-	-	-	1	-	
	Minimal	-	-	-	-	1	-	
<b>HEART</b>	Number Examined	10	10	10	10	10	10	
	Unremarkable	10	10	10	10	10	10	
<b>JOINT</b>	Number Examined	10	10	10	10	10	10	
	Unremarkable	10	7	10	9	8	7	
	Inflammation, Extra-capsular	-	3	-	-	2	3	
	Minimal	-	3	-	-	2	3	
Physeal dysplasia		-	-	-	1	-	-	
	Minimal	-	-	-	1	-	-	
<b>KIDNEY</b>	Number Examined	10	10	10	10	10	10	
	Unremarkable	9	9	9	8	6	10	
	Tubular basophilia	-	1	-	-	1	-	
	Minimal	-	1	-	-	1	-	
Infiltration mononuclear cell		-	-	1	2	3	-	
	Minimal	-	-	1	2	3	-	
Dilatation, Pelvis		1	-	-	-	-	-	
	Minimal	1	-	-	-	-	-	

		<b>Group Number:</b>		<b>Male 1</b>	<b>2</b>	<b>3</b>	<b>Female 1</b>		<b>2</b>	<b>3</b>
		<b>Dose:</b>		<b>0 µg/day</b>	<b>30 µg/day</b>	<b>30 µg /day</b>	<b>0 µg/day</b>	<b>30 µg/day</b>	<b>30 µg /day</b>	
<b>No. Animals Per Dose Group:</b>		<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	
<b>LARGE INTESTINE, COLON</b>	Number Examined	10	10	10	10	10	10	10	10	
	Unremarkable	10	10	10	10	10	10	10	9	
	Infiltration mixed cell, Mucosa	-	-	-	-	-	-	-	1	
	Minimal	-	-	-	-	-	-	-	1	
<b>LIVER</b>	Number Examined	10	10	10	10	10	10	10	10	
	Unremarkable	10	5	3	10	0	3			
	Vacuolation, Hepatocyte; Periportal	-	5	7	-	10	7			
	Minimal	-	5	7	-	10	7			
<b>LUNG</b>	Number Examined	10	10	10	10	10	10	10	10	
	Unremarkable	10	10	10	9	9	10			
	Infiltration mixed cell	-	-	-	1	1	-			
	Minimal	-	-	-	1	1	-			
<b>LYMPH NODE, DRAINING</b>	Number Examined	10	9	10	10	10	10	10	10	
	Unremarkable	8	1	1	8	1	1			
	Increased cellularity, Plasma cell	-	7	8	-	9	7			
	Minimal	-	1	4	-	1	1			
	Mild	-	4	3	-	1	5			
	Moderate	-	2	1	-	7	1			
	Increased cellularity, Germinal center	2	6	8	2	5	6			
	Minimal	1	2	2	1	3	4			
Mild	1	4	6	1	2	2				
<b>LYMPH NODE, INGUINAL</b>	Number Examined	9	10	10	10	10	10	10	10	
	Unremarkable	8	5	4	9	4	1			
	Increased cellularity, Germinal center	1	5	6	1	6	9			
	Minimal	-	1	1	1	3	6			
	Mild	1	4	5	-	3	3			
	Increased cellularity, Plasma cell	-	1	1	-	2	4			
Minimal	-	1	1	-	2	4				

		Group Number:	Male 1	2	3	Female 1	2	3
		Dose:	0 µg/day	30 µg/day	30 µg/day	0 µg/day	30 µg/day	30 µg/day
No. Animals Per Dose Group:			10	10	10	10	10	10
<b>PANCREAS</b>	Number Examined		10	10	10	10	10	10
	Unremarkable		10	10	10	10	6	10
	Atrophy, Acinar cell		-	-	-	-	4	-
	Minimal		-	-	-	-	4	-
	Infiltration mononuclear cell, Interstitium		-	-	-	-	1	-
	Minimal		-	-	-	-	1	-
<b>.SITE,INJECTION</b>	Number Examined		10	10	10	10	10	10
	Unremarkable		6	0	0	5	0	0
	Inflammation		4	10	10	5	10	10
	Minimal		4	-	-	5	-	-
	Mild		-	7	5	-	7	9
	Moderate		-	3	5	-	3	1
	Edema		-	9	9	-	10	10
	Mild		-	8	8	-	9	9
Moderate		-	1	1	-	1	1	
<b>SPLEEN</b>	Number Examined		10	10	10	10	10	10
	Unremarkable		10	0	0	10	0	0
	Increased cellularity, Germinal center		-	5	5	-	6	5
	Minimal		-	5	5	-	6	5
	Increased cellularity, Hematopoietic cell		-	10	10	-	9	10
Minimal		-	10	10	-	9	10	
<b>STOMACH</b>	Number Examined		10	10	10	10	10	10
	Unremarkable		10	10	9	10	9	10
	Infiltration mononuclear cell, Serosa		-	-	-	-	1	-
	Minimal		-	-	-	-	1	-
	Erosion		-	-	1	-	-	-
Minimal		-	-	1	-	-	-	

Table 54: Microscopic findings at terminal sacrifice

### Recovery sacrifice

A complete recovery of most of the findings reported at the terminal phase. Inflammation at the injection site was characterized by mostly lymphocytes and plasma cells with few neutrophils (indicating partial recovery) and no edema (full recovery). In groups 2 and 3 males and females, increased cellularity of the germinal centers in the spleen partially recovered, as the incidence and/or severity of these findings were lower in recovery phase animals as compared with dosing phase animals. At the end of recovery phase, mature plasma cells had replaced the plasma blasts identified in the inguinal and draining lymph nodes in the dosing phase animals. Infiltration of macrophages was reported in the draining lymph nodes (minimal to mild) in groups 2 and 3 males and females and in the inguinal lymph nodes (minimal) of group 2 males and females.

### **Dermal Assessment**

#### Dosing phase

In all group 2 (except animal #17) animals, related injection site edema grade 2 (slight, edges of area well defined by definite raising) or grade 3 (moderate, raised approximately 1 mm) were reported following dosing on days 1, 8 and/or 15. The edema was generally reported up to 72 hours post dose, and fully resolved prior to dose administration on days 8 and 15. In all group 2 (except animals 16-21 and 30) animals, erythema was also reported at the injection site, following each dose administration. However, it was only a grade 1 (very slight, barely perceptible) and fully resolved prior to the next dose administration.

In all group 3 animals, injection site edema grade 2 (slight, edges of area well defined by definite raising) or grade 3 (moderate, raised approximately 1 mm) were reported following dosing on days 1, 8 and/or 15. The edema was generally reported up to 72 hours post dose, and fully resolved prior to dose administration on days 8 and 15. In all group 3 (except animal 39) animals, erythema was also reported at the injection site, following each dose administration. However, it was only a grade 1 (very slight, barely perceptible) and fully resolved prior to the next dose administration.

Group mean dermal assessment data are listed in the table below:

Male

Parameter	Phase	Day	Group	N	Mean	Standard Deviation	Pairwise p-value
Edema - Left	Dosing	1	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	0.63	0.51	0.001 **
			3: BNT162b3c	15	0.80	0.55	0.001 **
	Dosing	8	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	1.19	0.51	0.001 **
			3: BNT162b3c	15	1.43	0.12	0.001 **
	Dosing	15	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	1.33	0.45	0.001 **
			3: BNT162b3c	15	1.54	0.46	0.001 **

Male

Parameter	Phase	Day	Group	N	Mean	Standard Deviation	Pairwise p-value
Erythema - Left	Dosing	1	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	0.03	0.13	0.682
			3: BNT162b3c	15	0.04	0.13	0.270
	Dosing	8	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	0.23	0.27	0.001 **
			3: BNT162b3c	15	0.41	0.17	0.001 **
	Dosing	15	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	0.00	0.00	0.999
			3: BNT162b3c	15	0.09	0.20	0.050 *

Table 55: Edema and erythema findings in males at study days 1, 8, and 15

## Female

Parameter	Phase	Day	Group	N	Mean	Standard Deviation	Pairwise p-value
Edema - Left	Dosing	1	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	1.28	0.57	0.001 **
			3: BNT162b3c	15	1.08	0.58	0.001 **
	Dosing	8	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	1.44	0.23	0.001 **
			3: BNT162b3c	15	1.47	0.28	0.001 **
	Dosing	15	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	1.64	0.34	0.001 **
			3: BNT162b3c	15	1.78	0.27	0.001 **

## Female

Parameter	Phase	Day	Group	N	Mean	Standard Deviation	Pairwise p-value
Erythema - Left	Dosing	1	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	0.56	0.38	0.001 **
			3: BNT162b3c	15	0.66	0.17	0.001 **
	Dosing	8	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	0.50	0.09	0.001 **
			3: BNT162b3c	15	0.58	0.11	0.001 **
	Dosing	15	1: Saline	15	0.00	0.00	REF
			2: BNT162b2 (V9)	15	0.33	0.22	0.001 **
			3: BNT162b3c	15	0.60	0.14	0.001 **

Table 56: Edema and erythema findings in females at study days 1, 8, and 15

Male					
Parameter	Phase	Group	N	Mean	Standard Deviation
Edema - Left	Recovery	2: BNT162b2 (V9)	4	1.08	0.17
		3: BNT162b3c	5	0.80	0.18
Erythema - Left	Recovery	2: BNT162b2 (V9)	4	0.00	0.00
		3: BNT162b3c	5	0.00	0.00
Female					
Parameter	Phase	Group	N	Mean	Standard Deviation
Edema - Left	Recovery	2: BNT162b2 (V9)	5	1.07	0.15
		3: BNT162b3c	5	1.13	0.18
Erythema - Left	Recovery	2: BNT162b2 (V9)	5	0.13	0.18
		3: BNT162b3c	5	0.33	0.24

Table 57: Edema and erythema findings in males and females at recovery phase

**Body temperature:**

No test article-related effects on body temperature was reported.

**Urinalysis:**

There were no test article-related findings on urinalysis. Due to small magnitude of the difference and general overlap in magnitude of individual values with controls, all statistically significant or apparent differences in urinalysis parameters between groups 2 and 3 and control group were not test article related.

Male								
	Phase	Day	Group Number:					
			REF	2	3			
			Dose :	0 µg/day	30 µg/day	30 µg/day		
pH (None)	Dosing	17	Mean (10)	7.10	(10) 6.75	(10) 6.60		
			SD	0.39	0.35	0.32		
	Recovery	22	Mean (5)	7.30	(5) 7.20	(5) 7.00		
			SD	0.45	0.27	0.35		
SG (None)	Dosing	17	Mean (10)	1.0322	(10) 1.0260	(10) 1.0282		
			SD	0.0205	0.0227	0.0183		
	Recovery	22	Mean (5)	1.0556	(5) 1.0340 *	(5) 1.0440		
			SD	0.0038	0.0146	0.0234		
VOLUME (mL)	Dosing	17	Mean (10)	14.90	(10) 17.80	(10) 11.60		
			SD	15.54	16.95	6.88		
	Recovery	22	Mean (5)	3.70	(5) 8.20	(5) 8.00		
			SD	0.97	5.50	10.68		

Table 58: Urinalysis for male groups

Female									
Group Number:			REF	2	3				
Phase	Day	Dose :	0 µg/day	30 µg/day	30 µg/day				
pH (None)	Dosing	17	Mean (10)	6.75	(10) 6.20 †	(10) 6.20 †			
			SD	0.26	0.26	0.35			
	Recovery	22	Mean (5)	7.00	(5) 6.60	(5) 6.50			
			SD	0.61	0.65	0.35			
SG (None)	Dosing	17	Mean (10)	1.0243	(10) 1.0288	(10) 1.0250			
			SD	0.0128	0.0164	0.0140			
	Recovery	22	Mean (5)	1.0240	(5) 1.0364	(5) 1.0276			
			SD	0.0174	0.0177	0.0198			
VOLUME (mL)	Dosing	17	Mean (10)	9.90	(10) 9.60	(10) 9.40			
			SD	7.03	9.05	6.98			
	Recovery	22	Mean (5)	11.00	(5) 6.00	(5) 9.00			
			SD	7.38	5.09	7.52			

Table 59: Urinalysis for male groups

**Serology:**

Microneutralization (MN) assay for serological detection of SARS-CoV-2 specific neutralizing antibodies in animal sera were used. This is relative to the "work order 4" agreed between VisMederi Sri and Pfizer. The MN-CPE (Microneutralization based on Cytopathic effect) method is a specific technique used for the identification of virus-specific neutralizing antibodies against live viruses which are able to prevent the virus infection. The following table shows geometric mean titers for grouped subjects by sex and for vaccine administered.

Study Day	Sex	saline	30µg BNT162b2(V9)	30µg BNT162b3c
PIO Day 8 (Day -5)	Male	5	5	5
	Female	5	5	5
Day 17	Male	5	1114	993
	Female	5	2501	1810
R:P Day 21 (Day 38)	Male	5	5120	3880
	Female	5	5120	3880

PIO = prior to dose initiation; RP = Recovery phase

Table 60: Geometric mean titers (GMTs) for each dose group by sampling day and sex

In groups 2 and 3, SARS-CoV-2 neutralizing antibody responses in males and females at the end of the dosing (day 17) and recovery phases (day 21) were reported. SARS-CoV-2 neutralizing antibody responses were not reported in animals prior to vaccine administration or in group 1 (control) animals.

**Test article related effects are listed in the table below:**

Test article related effects
↓ Albumin
↑ Globulin
↓ AG ratio

Test article related effects
↓ Reticulocytes
↑ Monocytes
↑ Neutrophils
↑ Eosinophils
↑ Basophils
↑ WBC
↑ LUC
↑ Fibrinogens
↑ Red cell distribution width (RDW%)
↑ Alpha1-acid glycoproteins
↑ Alpha2-macroglobulins
↑ Spleen weight
↓ Thymus weight for females
Injection site findings (mixed cell inflammation and edema)
Draining and inguinal lymph nodes findings (increased cellularity, plasma cells and germinal centers)
Liver findings (hepatocellular vacuolation)
Spleen findings (increased cellularity, hematopoietic cells and germinal centers)
Bone marrow (increased cellularity, hematopoietic cells)
Immune responses in groups 2 and 3

**Assessment:**

No treatment-related, mortality, nor any toxicologically relevant changes in clinical signs, body weight, food consumption, body temperature, ophthalmic changes, or urinalysis were reported.

Minimal decreases in globulin concentration was reported in both sexes from groups 2 and 3. Concurrently, minimally increased albumin was reported. Hence, the albumin to globulin ratio was lower in both males and females from groups 2 and 3. These changes indicate an acute phase response/inflammation. These changes were not reported in the recovery animals.

Reticulocytes are immature red blood cells (RBCs). In the process of erythropoiesis (red blood cell formation), reticulocytes develop and mature in the bone marrow and then circulate for about a day in the blood stream before developing into mature red blood cells. Like mature red blood cells, in mammals, reticulocytes do not have a cell nucleus.<sup>26</sup> Abnormally low numbers of reticulocytes can be attributed to chemotherapy, aplastic anemia, pernicious anemia, bone marrow malignancies, problems of erythropoietin production, various vitamin or mineral deficiencies (iron, vitamin B<sub>12</sub>, folic acid), disease states (anemia of chronic disease) and other causes of anemia due to poor RBC production.<sup>27</sup>

Monocytosis could be indicative of the intended immune response or could be secondary to muscle damage at the site of injection as an indication of inflammation and repair. The increases in the monocyte count might be related to test article treatment.

<sup>26</sup> <https://en.wikipedia.org/wiki/Reticulocyte>

<sup>27</sup> <https://www.uofmhealth.org/health-library/hw203366>

Neutrophils are key components in the system of defense against infection. An individual with absence or scarcity of neutrophils (neutropenia) is vulnerable to infection. The increase in neutrophils might be related to the immune responses initiated by the test article treatment.

Eosinophils are one of the immune system components responsible for combating multicellular parasites and certain infections in vertebrates. They are granulocytes that develop during hematopoiesis in the bone marrow before migrating into blood.

Basophils play a role in both parasitic infections and allergies. Basopenia has been reported in association with autoimmune urticaria.

White blood cells (WBCs) (also called leukocytes or leucocytes) are the cells of the immune system that are involved in protecting the body against both infectious disease and foreign invaders. All white blood cells are produced and derived from multipotent cells in the bone marrow known as hematopoietic stem cells. Leukocytes are found throughout the body, including the blood and lymphatic system.<sup>28</sup> The increase in WBC might be related to the immune response induced by the test article treatment.

LUC is a measurement of the large, peroxidase-negative cells which cannot be further characterized (i.e. as large lymphocytes, virocytes, or stem cells) present in a biological specimen. In LUC are found large lymphoid cells, more immature lymphocytes and other cells. If the value is higher than normal, blood counts should be checked under a microscope slide.

The increases in fibrinogen levels were not considered frank toxicity but rather an anticipated effect associated with an immunological response.

A red cell distribution width (RDW) test is a measurement of the range in the volume and size of red blood cells (erythrocytes). Red blood cells move oxygen from lungs to every cell in the body. The RDW blood test is often part of a complete blood count (CBC), a test that measures many different components of the blood, including red cells. The RDW test is commonly used to diagnose anemia, a condition in which the red blood cells can't carry enough oxygen to the rest of the body. The RDW test may also be used to diagnose<sup>29</sup>:

- 1- Other blood disorders such as thalassemia, an inherited disease that can cause severe anemia
- 2- Medical conditions such as heart disease, diabetes, liver disease, and cancer, especially colorectal cancer.

Alpha-1-acid glycoprotein ( $\alpha_1AGp$ ,<sup>30</sup> *AGP* or *AAG*), which is modulated by two polymorphic genes, is an acute phase (acute phase protein) plasma alpha-globulin glycoprotein. It has a

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<sup>28</sup> Maton, D., Hopkins, J., McLaughlin, Ch. W., Johnson, S., Warner, M. Q., LaHart, D., & Wright, J. D., Deep V. Kulkarni (1997). *Human Biology and Health*. Englewood Cliffs, New Jersey, US: Prentice Hall. [ISBN 0-13-981176-1](#).

<sup>29</sup> <https://medlineplus.gov/lab-tests/rdw-red-cell-distribution-width/>

<sup>30</sup> [https://en.wikipedia.org/wiki/Orosomucoid#cite\\_note-loganabbrev-1](https://en.wikipedia.org/wiki/Orosomucoid#cite_note-loganabbrev-1)

normal plasma concentration between 0.6-1.2 mg/mL (1-3% plasma protein) and is synthesized primarily in hepatocytes (5). Plasma levels are affected by pregnancy, burns, certain drugs, and certain diseases, particularly HIV (5). The function of alpha-1-acid glycoprotein is to act as a carrier of basic and neutrally charged lipophilic compounds. It is known as the primary carrier of basic (positively charged) drugs (whereas albumin carries acidic (negatively charged) and neutral drugs), steroids, and protease inhibitors (5, 6). AGP shows a complex interaction with thyroid homeostasis. Alpha-1-acid glycoprotein (in low concentrations) was reported to stimulate the thyrotropin (TSH) receptor and intracellular accumulation of cyclic AMP. However, high AGP concentrations inhibited TSH signaling (7, 8). Alpha-1-acid glycoprotein has been identified as one of four potentially useful circulating biomarkers for estimating the five-year risk of all-cause mortality (the other three are albumin, very low-density lipoprotein particle size, and citrate) (9). Alpha-1-acid glycoprotein increases in obstructive jaundices while diminishes in hepatocellular jaundice and in intestinal infections.<sup>31</sup>

Alpha-2-macroglobulin ( $\alpha$ 2M) is a large plasma protein found in the blood, mainly produced by the liver, and also locally synthesized by macrophages, fibroblasts, and adrenocortical cells. It acts as an antiprotease and is able to inactivate an enormous variety of proteinases. It functions as an inhibitor of fibrinolysis by inhibiting plasmin and kallikrein and as an inhibitor of coagulation by inhibiting thrombin. Because it also binds to numerous growth factors and cytokines, such as platelet-derived growth factor, basic fibroblast growth factor, TGF- $\beta$ , insulin, and IL-1 $\beta$ , it may act as a carrier protein. In the nephrotic syndrome when other lower molecular weight proteins are lost in the urine, the concentration of alpha-2-macroglobulin rises 10-fold or more<sup>32</sup>.

In groups 2 and 3, all clinical pathology findings (type and magnitude) were generally similar, and consistent with expected immune responses to vaccines or secondary to inflammation. In both sexes, the main findings were present on days 4 and/or 17 and included higher acute phase proteins (alpha-1 acid glycoprotein; 7.0x-42x controls], alpha-2-macroglobulin (3.3x-128x] and fibrinogen [2.4x-2.6x]) and white blood cell count (1.28x-2.95x; primarily involving neutrophils, monocytes and large unstained cells, which typically represent large mononuclear cells) and lower albumin:globulin (0.90x-0.82x). On peripheral blood smears, hyper-segmented neutrophils present and were considered to be secondary to the robust increases in neutrophil counts and likely related to mobilization of bone marrow storage neutrophils and prolonged neutrophil lifespan in circulation (10). These findings were consistent with the immune responses to vaccines.

Spleen weight increase might be related to the intended immune response. The spleen plays important roles in regard to red blood cells and the immune system<sup>33</sup>. It removes old red blood cells and holds a reserve of blood in case of hemorrhagic shock while also recycling iron. As a part of the mononuclear phagocyte system, it metabolizes hemoglobin removed from senescent erythrocytes. The globin portion of hemoglobin is degraded to its constitutive amino acids, and the heme portion is metabolized to bilirubin, which is subsequently shuttled to the liver for

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<sup>31</sup> <https://en.wikipedia.org/wiki/Orosomuroid>

<sup>32</sup> <https://en.wikipedia.org/wiki/Alpha-2-Macroglobulin>

<sup>33</sup> Spleen, Internet Encyclopedia of Science.

removal<sup>34</sup>. It synthesizes antibodies in its white pulp and removes antibody-coated bacteria along with antibody-coated blood cells by way of blood and lymph node circulation.

The thymus is a specialized primary lymphoid organ of the immune system. Within the thymus, T cells or T lymphocytes mature. T cells are critical to the adaptive immune system, where the body adapts specifically to foreign invaders. The thymus is composed of two identical lobes and is located anatomically in the anterior superior mediastinum, in front of the heart and behind the sternum.<sup>35</sup> One of the major characteristics of vertebrate immunology is thymic involution, the shrinking of the thymus with age, resulting in changes in the architecture of the thymus and a decrease in tissue mass.<sup>36</sup> T-cells are named for the thymus where T-lymphocytes migrate from the bone marrow to mature. Its regression has been linked to the reduction in immunosurveillance in the elderly.<sup>37</sup>

Test article-related injection site findings (mixed cell inflammation and edema) were reported. Inflammation is a relatively common occurrence as part of the acute phase response following administration of some vaccines.

The microscopic findings included minimally increased cellularity of hematopoietic cells (primarily myeloid) in the bone marrow and the spleen, minimal to moderate mixed cell inflammation at the injection site and increased cellularity in germinal centers of lymphoid organs. In addition, lower reticulocyte counts on day 4 (0.44x-0.27x), and higher reticulocytes on day 17 (1.20x-1.31x; females only), with minor lower red cell mass on days 4 and 17 (HCT; 0.93x-0.89x) were reported. Lower reticulocytes levels were interpreted to be a transient effect of innate immune responses (11-14).

At the terminal phase, test article-related findings in the lymph nodes (increased cellularity of plasma cells [minimal to moderate] and germinal centers [minimal to mild]), spleen (increased cellularity of hematopoietic cells [minimal] and germinal centers [minimal]), and the bone marrow (minimal increased cellularity of hematopoietic cells) were reported. This is considered secondary to immune activation and/or inflammation at the injection site. The presence of plasma cells (interpreted as plasma blasts) in the draining and inguinal lymph nodes was interpreted to reflect a robust immunological response to the vaccines. These findings correlated with macroscopic findings of abnormal size (enlarged) in the lymph nodes and spleen and increased spleen weights.

Minimal portal hepatocyte vacuolation finding was not associated with hepatic tissue damage or liver enzyme alterations. This change may be related to hepatic clearance of the pegylated lipid in the LNP (15). This finding was completely recovered at the end of 3-week recovery phase.

Test article-related immune responses in groups 2 and 3 were reported.

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<sup>34</sup> Mebius RE, Kraal G. (2005). Structure and function of the spleen. *Nat Rev Immunol.* 5(8):606-16.

<sup>35</sup> <https://en.wikipedia.org/wiki/Thymus>.

<sup>36</sup> Shanley D.P.; Danielle A.W.; Manley N.R.; Palmer D.B.; et al. (2009). "An evolutionary perspective on the mechanisms of immunosenescence". *Trends Immunol.* 30 (7): 374–381. doi:10.1016/j.it.2009.05.001. PMID 19541538

<sup>37</sup> Linton P.J.; Dorshkind K. (2004). "Age-related changes in lymphocyte development and function". *Nat. Immunol.* 5 (2): 133–139. doi:10.1038/ni1033. PMID 14749784

Based on the overall findings in this study, it can be concluded that in Wistar rats, repeat dose on study days 1, 8, and 15 had no adverse effects in terms of systemic toxicity at the dose level of 30 µg/animal. However, due to the significant decrease in the reticulocyte levels, hematology results should be closely monitored during any clinical trial.

**GLP study deviations or amendments:** No significant deviations have occurred during the study that could have impacted the generated results.

**Investigators Brochure:** Having read and evaluated the Investigators Brochure, is it a fair, objective and reasonable summary of the toxicology data – yes ( ) or no (X).

**Internal Communication:**

Due to the significant decreases in the reticulocyte's levels, close monitoring to the hematology data in any clinical trial is highly recommended.

**Communication to sponsor:**

Please add the finding of this study to your Investigators Brochure.

**Conclusions:**

Based on nonclinical toxicity assessments, there are no significant safety issues to preclude the IND from going into effect.

**Study number 3 (Reproductive Toxicology Study):**

**Title and study number:** A Combined Fertility and Developmental Study (Including Teratogenicity and Postnatal Investigations) of BNT162b1, BNT162b2 and BNT162b3 by the Intramuscular Administration in the Wistar Rat. Study number: 20256434.

**Performing laboratory:** Charles River Laboratories France Safety Assessment SAS 329 Impasse du Domaine Rozier Les Oncins 69210 Saint-Germain-Nuelles France.

**Study initiation date:** July 27, 2020

**Final Report date:** December 15, 2020

**Test article batch/lot:**

Test item identification			
	Test Item 1	Test Item 2	Test Item 3
<b>Identification:</b>	BNT162b1	BNT162b2	BNT162b3
<b>Alternate Identification:</b>	CoVAC	CoVAC	CorVac BNT162b3c
<b>Batch No.:</b>	RBP020.3 LNP	RBP020.2 LNP	RBP020.8 LNP
<b>Lot No.:</b>	CoVAC/100320	CoVAC/270320	BCV/040620
<b>Physical Description:</b>	White to off-white suspension	White to off-white suspension	White to off-white suspension
<b>Expiry Date:</b>	10 Jan 2021	27 Nov 2020	04 Dec 2020
<b>Correction Factor:</b>	None	None	None
<b>Concentration (RNA Content):</b>	508 µg/mL	508 µg/mL	531 µg/mL
<b>Storage Conditions:</b>	Temperature set to maintain -80°C		
<b>Provided by:</b>	Sponsor		

Table 61: Test item identification

Control item identification	
	Control Item
<b>Identification:</b>	Sterile physiological saline (0.9% NaCl)
<b>Alternate Identification:</b>	N/A
<b>Batch/Lot Nos.:</b>	905098 and 912642
<b>Expiry Dates:</b>	30 Apr 2022 and 30 Nov 2022 respectively
<b>Storage Conditions:</b>	Ambient temperature
<b>Provided by:</b>	Test Facility

N/A: Not Applicable.

Table 62: Control item identification

**Animal species and strain:** CRL:WI(Han) Wistar rat

**Breeder/supplier:** Charles River Laboratories France, 329 Impasse du Domaine Rozier, Les Oncins, 69210 Saint-Germain-Nuelles, France.

**Number of animals per group and sex:**

Caesarean subgroup: 88 virgin mated females.

Littering subgroup: 88 virgin mated females.

**Age:**

Females: 11 weeks old.

Males: 11 weeks old.

**Body weight range:**

Females: 179.3 - 265.4 g.

Males: 328.4 - 415.9 g.

**Route and site of administration:** Intramuscular injection into the quadriceps alternating on each dosing occasion.

**Volume of injection:** The dose volume was 0.06 mL per injection

**Frequency of administration and study duration:**

Pre-mating period: Study days 1 (21 days before mating, M-21) and 8 (14 days before mating, M-14) and on gestation days (GD's) 9 and 20.

**Dose:** 0.5 mg/mL

**Stability:** Analysis of stability, homogeneity and concentration of the test article under test conditions was not performed as part of the study. Stability studies were performed by the sponsor of the IND. Stability data will be reported in the final study report. The following stability data were reported:

- 1- Stable at a concentration of 0.5 mg/mL for 12 weeks at -80°C.
- 2- Stable at a concentration of 0.5 mg/mL for at least 1 month at room temperature (information provided by the study sponsor on 03 Dec 2020)
- 3- Homogenous for at least 6 hours following gentle inversion.

**Means of administration:** Intramuscular

**Report status:** Final report

**Experimental design:**

Animals were randomized and assigned to 4 different groups. Each group consisted of 22 females. Animals were administered 4 doses of saline or test article, study day 1 (21 days before mating, M-21) and day 8 (14 days before mating, M-14) and on gestation days 9 and 20.

Animals will be euthanized according to the following schedule:

F0 Females: Caesarean subset: On GD21.

Littering subset: After weaning of the F1 pups (females that fail to produce a viable litter by GD26 will be euthanized and necropsied).

Unmated Females: After completion of the mating period.

Pups: On PND4 (unselected pups) or on PND21.

The details of the study design are listed in the following table:

**Experimental design of the F0 generation**

Group No.	Test Material	Dose ( $\mu$ g mRNA)	Dose Volume (mL)	Dose Concentration (mg/mL)	Number and Identification of Animals	
					Caesarean Subgroup	Littering Subgroup
1	Control item	0	0.06	0	22 (1 to 22)	22 (201 to 222)

Group No.	Test Material	Dose ( $\mu\text{g}$ mRNA)	Dose Volume (mL)	Dose Concentration (mg/mL)	Number and Identification of Animals	
					Caesarean Subgroup	Littering Subgroup
2	BNT162b1	30	0.06	0.5	22 (23 to 44)	22 (223 to 244)
3	BNT162b2	30	0.06	0.5	22 (45 to 66)	22 (245 to 266)
4	BNT162b3	30 <sup>a</sup>	0.06	0.5	22 (67 to 88)	22 (267 to 288)

<sup>a</sup>: 30  $\mu\text{g}$  RNA/dosing day was the targeted dose level. However, based on the actual RNA concentration, this group received 32  $\mu\text{g}$  RNA/dosing day.

Identification of untreated males: 301 to 388.

Table 63: Experimental design of the F0 generation

### Methods:

**Randomization procedure:** Yes.

**Statistical analysis plan:** Yes.

### The following parameters will be evaluated:

#### In-life procedures, observations, and measurements

General in-life assessments – untreated males and F0 females

Parameter	Population(s)	Frequency (Minimum required)	Comments
<b>Mortality</b>	All animals	At least twice daily <sup>a</sup> (at beginning and end of working day) F1 pups will be counted daily during the preweaning phase	Animals will be observed within their cage unless necessary for identification or confirmation of possible findings
<b>Cageside Observations</b>	All animals	Before and at least once on dosing days For males, at least 1 observation will be recorded before mating At least once daily on non-dosing days	Animals will be observed within their cage unless necessary for identification or confirmation of possible findings
<b>Detailed Clinical Observations</b>	All animals	A full clinical examination will be performed weekly during the pre-mating period then on each weighing day during the gestation and lactation periods	Animals will be removed from the cage
<b>Individual Body Weights</b>	All F0 females	Each F0 female will be weighed at least weekly during pretest, <b>twice weekly</b> before mating and for the periods: GD0, GD6, GD9, GD12, GD15, GD18 and GD21 LD1, LD4, LD7, LD10, LD14, LD17 and LD21 During the lactation phase, offspring were weighed on PND1, PND4, PND7, PND10, PND14, PND17 and PND21.	Animals may be weighed more often if necessary, in order to monitor health status

Parameter	Population(s)	Frequency (Minimum required)	Comments
	All F0 males	Each F0 male will be weighed at least weekly	
<b>Food Consumption</b>	All F0 females	Food consumption of each animal will be recorded at least <b>once weekly</b> from Day 1 and for the periods: GD0 to GD6, GD6 to GD9, GD9 to GD12, GD12 to GD15, GD15 to GD18 and GD18 to GD21 LD1 to LD4, LD4 to LD7, LD7 to LD10, LD10 to LD14, LD14 to LD17 and LD17 to LD21	Quantitatively measured
<b>Estrous Cycles</b>	All F0 Females	Estrous cycles will be monitored pre-dosing (2 weeks), then for 2 weeks before mating and during cohabitation until confirmation of GDO	Animals are removed from the cage
<b>Mating</b>	Males and all F0 Females	Animals will be paired on the basis of 1 male and 1 female for a maximum of 14 days The day of mating will be confirmed by the presence of sperm in a vaginal smear or a vaginal plug and will be recorded and taken as day 0 of gestation (GD0) <b>The same untreated males will be used to mate both subgroups</b>	Mated females will be separated from the male once mating has been confirmed and smearing will cease or when the appearance of the female suggests pregnancy from an undetected mating

<sup>a</sup>: Except on days of receipt and necropsy where frequency will be at least once daily.

Table 64: General in-life assessments – untreated males and F0 females

Pregnancy and parturition (littering subset only)

For each F0 female, the following will be recorded:

- 1- Date of mating (GD0).
- 2- Date of parturition (LD0).
- 3- Duration of gestation.
- 4- Abnormalities of nesting or nursing behavior.
- 5- Number of implantation sites (at necropsy after staining with ammonium sulphide solution).

Litter data (littering subset only)

Litter data

Population(s)	Frequency/Comments
Each Litter	Number of pups born (live and dead)
	External abnormalities of the pups
	Number and sex of pups alive on PND1, PND4, PND7, PND10, PND14, PND17 and PND21
	Physical development of the offspring, as assessed by the intra-litter onset and duration of pinna unfolding from PND1 and eye opening from PND12. Pupillary reflex and auditory reflex on PND21.
	External and necropsy findings of dead pups

The size of each litter will be adjusted to 8 pups on PND4 by eliminating extra pups by random selection to yield where possible 4 male and 4 female pups per litter. Extra pups will be euthanized by an intraperitoneal injection of sodium pentobarbitone.

### Antibody evaluation

Antibody sample collection

Bioanalytical sample collection

Group Nos.	Number of Females	Pre-dose on Days of Dosing		Necropsy (GD21 or LD21/PND21) <sup>b</sup>
		Pretest	M0 <sup>a</sup>	
1 to 4	All F0 females	X	X	X
1 to 4	Selected fetuses from all litters of caesarean subset	-	-	X
1 to 4	Selected pups (1 male and 1 female if possible) from all litters of the lactation subset	-	-	X
Unscheduled euthanasia (when possible, <b>done in the animal facility</b> )		X		

X: Sample collected; -: Not collected.

M0: First day of pairing; GD: Gestation day; LD: Lactation day; PND: Post natal day.

a: Sample collected just before pairing.

b: The day of necropsy (i.e., Day 43 for failed to mate F43 (BNT162b1, 30 µg) and for mistimed pregnancy F277 (BNT162b3, 30 µg); on GD26 for not pregnant F254 (BNT162b2, 30 µg); on GD27 for not pregnant F226 (BNT162b1, 30 µg); on LD1 for euthanized moribund post-partum F276 (BNT162b3, 30 µg), for total litter death F236 (BNT162b1, 30 µg) and F279 (BNT162b3, 30 µg).

<b>Method/Comments:</b>	F0 females: Jugular vein Fetuses: Small incision after anesthesia Pups: Intracardiac
<b>Target Volume (mL):</b>	Target 0.5 mL for F0 females Target 0.3 mL pooled per litter for fetuses Targeted 0.5 mL pooled per litter from 2 pups (ideally 1 male and 1 female)
<b>Anticoagulant:</b>	None
<b>Special Requirements:</b>	None
<b>Processing</b>	Serum

### Unscheduled necropsy

Animals	Examination
Not Mated Females	Full macroscopic examination of the thoracic and abdominal cavities, <b>including the injection sites</b> . Any abnormalities observed will be sampled and preserved
Mated Females	Full macroscopic examination of the thoracic and abdominal cavities, <b>including the injection sites</b> , to determine their pregnancy status, number of corpora lutea and numbers and types of uterine implantations Any abnormalities observed will be sampled and preserved. Any fetuses from these females will <b>not be examined and discarded</b>

### Scheduled euthanasia

Surviving animals will euthanized by carbon dioxide inhalation and exsanguination (with the exception of the PND4 extra pups) and then necropsied according to the following schedule:

F0 females: Caesarean subgroup: On GD21.

F43 that failed to mate was euthanized after the mating period (on day 43).

Littering subgroup: On LD21, after weaning of the F1 pups. F226 and F254 that failed to produce a viable litter by GD26 or GD27 were euthanized and necropsied; F277 with a mistimed pregnancy (mating not detected) was euthanized and necropsied after the end of the mating period on day 43).  
 Culled F1 pups: On PND4.  
 Euthanized F1 pups: On PND21.

Necropsy

*Caesarean subset*

All animals will be submitted to a full macroscopic examination of the abdominal and thoracic cavities including the injection sites. Any abnormalities observed will be recorded and preserved but not examined further in first instance. For each female euthanized on GD21, the ovaries and uterus will be removed and examined including examination of the placentae. The following data will be recorded:

Necropsy data

Parameters	Comments
Pregnancy status	-
Gravid uterus weight	The uterus of apparently non-pregnant females was placed in ammonium sulphide solution in order to stain any previously undetected implantation sites
Number and distribution of intrauterine implantations	Classified as: Live fetuses, dead fetuses, early resorptions and late resorptions
Number of corpora lutea	-
Fetal weights	Individual weights were recorded
Fetal sex	-

-: No comment.

*Subgroup 2 (Natural delivery)*

The carcasses of PND21 pups were preserved for possible skeletal examinations. No further examination was performed.

For all F0 females, the number of implantation sites were recorded.

*Fetal examination*

Each fetus was examined for external defects and euthanized by oral administration of sodium pentobarbitone. Approximately one half of each litter was submitted to fresh visceral examination of the body (abdominal and thoracic cavities). The head was fixed in Harrison's fluid for subsequent examination by serial sectioning. The remaining carcass was retained and fixed in ethanol.

The remaining half of the fetuses in each litter was eviscerated and then processed for skeletal examination. The skeletal examinations were performed following maceration of the soft tissues with aqueous potassium hydroxide, staining of the skeleton with Alizarin red then passage into glycerol. Soft tissue and skeletal examinations were performed using a binocular microscope.

**Results:**

*Serum Antibody Analysis*

In groups 2, 3, and 4, administration of 4 doses (2 prior to mating and 2 during gestation) of the test article elicited SARS-CoV-2 neutralizing antibody responses in the majority of females just prior to mating (M-14), at the end of gestation (GD21), and at the end of lactation (LD21). In most offspring (fetuses on GD21 and pups on PND21), SARS-CoV-2 neutralizing titers were also detected. In animals prior to vaccine administration or in saline-administered control animals, SARS-CoV-2 neutralizing antibody titers were not reported.

The following table shows geometric mean titers (GMT) by time-point (Interval/Occasion) and by group of females or offspring (fetuses and pups).

Interval/Occasion	Saline	BNT162b1	BNT162b2	BNT162b3
Pretest	5.0	5.0	5.3	5.0
MO	5.0	525.6	3886.4	2422.7
GD21 (Dams)	5.0	987.0	3445.5	3092.7
LD21	5.0	3377.9	3620.4	3128.8
Fetuses (GD21)	5.0	293.4	640.0	919.5
Pups (PND21)	5.0	4017.1	4561.4	3163.9
<p><u>Time-point legend:</u>                      MO= just prior to mating                      GD21 = gestation day 21                      LD21= lactation day 21                      PND21= post-natal days</p> <p>These GMTs exclude values from no pregnant females and other intermittent sample time points . See Appendix 1 footnotes for list of all excluded samples, in data table they are marked (*) .</p>				

Table 65: Geometric mean titer by time-point and by group of females or offspring (fetuses and pups)

*Mortality*

No test article-related death was reported. One group 4 (littering subgroup) animal had parturition difficulties and was euthanized for ethical reasons on lactation day 1 (LD1). One group 2 animal incurred total litter death of 15 pups between birth and LD1 (9 stillborn, 3 cannibalized, 1 dead and 2 missing pups). One group 3 animal delivered 8 stillborn pups. All these findings were not different than that reported in the historical data. Such cases of total litter death at or shortly after birth are present in the historical control data (2 studies (A19 in 2019 and V17 in 2017) out of 18 between 2015 and 2019).

*Clinical observations*

No adverse clinical signs during the pre-mating and gestations periods related to any of the 3 vaccine candidates were reported.

Swelling (associated or not with limping and/or piloerection for 1 or 2 days after the second dose only) was reported at the injection site of groups 2, 3, and 4 animals on mating day 21 (M-21), M-14, gestation day 9 (GD9) and GD 20.

No adverse clinical signs during the lactation period related to any of the 3 vaccine candidates were reported.

Abnormal vocalization, chromodacryorrhea, desquamation, erythema, localized hair loss, malocclusion, long or missing teeth, red vaginal discharge, red stained fur, scab(s), sore(s) were reported sporadically across the groups. These findings were considered to be incidental, related to the method of dose administration or to the pregnancy status of the females.

#### *Body weight and food consumption*

No test article-related body weight changes or food consumption was reported.

In groups 2 and 4, mean body weight gain was lower (26 g and 30 g), compared with the control group (33 g) throughout the lactation phase. This was not considered vaccine-related, but due to an atypical high value in the control group compared with the historical control data range (from 10.9 g to 32.6 g).

#### *Estrous Cycle Data*

No test article-related effect on the estrous cycle was reported.

Parameter	Cycle length (days)	Irregularity index	Percentage of estrus days	Percentage of females acyclic or with acyclic period
Group 1, Control, 0 µg				
MEAN	4.02	0.19	26.95	
SD	0.19	0.30	6.14	0
N	44	44	44	
Group 2, BNT162b1, 30 µg				
MEAN	4.00	0.26	27.04	
SD	0.11	0.32	4.87	4.5
N	42	42	42	
Group 3, BNT162b2, 30 µg				
MEAN	4.00	0.18	26.70	
SD	0.11	0.30	5.00	4.5
N	42	42	42	
Group 4, BNT162b3, 30 µg				
MEAN	3.99	0.19	25.85	
SD	0.08	0.31	3.85	4.5
N	42	42	42	

Table 66: Mean estrous cycle data - Before dosing

Parameter	Cycle length (days)	Irregularity index	Percentage of estrus days	Percentage of females acyclic or with acyclic period
Group 1, Control, 0 µg				
MEAN	4.00	0.03	25.19	
SD	0.00	0.14	3.94	18.2
N	36	36	36	
Group 2, BNT162b1, 30 µg				
MEAN	4.08	0.07	25.16	
SD	0.20	0.14	3.32	29.5
N	31	31	31	
Group 3, BNT162b2, 30 µg				
MEAN	4.02	0.05	24.07	
SD	0.13	0.12	3.66	18.2
N	36	36	36	
Group 4, BNT162b3, 30 µg				
MEAN	4.11	0.06	25.00	
SD	0.37	0.16	3.79	27.3
N	32	32	32	

Table 67: Mean estrous cycle data - Pre-mating period

#### *Maternal Mating Performance and Fertility*

No test article-related effects on mating performance or fertility was reported.

In total (caesarean and littering subgroups combined), 44, 43, 44 and 44 (out of 44) females mated in groups 1, 2, 3, and 4, respectively (including F277, from group 4, not detected at the time of mating).

Therefore, the copulation index was 100, 97.7, 100, and 100% in groups 1, 2, 3, and 4, respectively.

Mated females (majority) were inseminated within the first 4 days of pairing (approximate duration of a normal estrous cycle). The mean pre-coital interval was consequently 3.0, 3.0, 2.8 and 2.7 days in groups 1, 2, 3, and 4, respectively. In total, there were 43, 41, 42, and 44 pregnant females out of 44 per group paired in groups 1, 2, 3, and 4, respectively. Therefore, the pregnancy rate was 98%, 93%, 95% and 100% in groups 1, 2, 3, and 4, respectively. In total, there were 43/44, 41/43, 42/44 and 44/44 pregnant/mated females in groups 1, 2, 3, and 4, respectively. Therefore, the fertility index was 98%, 95%, 95% and 100% in groups 1, 2, 3, and 4, respectively.

GROUP	1	2	3	4
DOSING	Control 0 µg	BNT162b1 30 µg	BNT162b2 30 µg	BNT162b3 30 µg
<b>LITTERING AND CAESAREAN SUBSETS:</b>				
<b>NUMBER OF FEMALES:</b>				
Paired	44	44	44	44
Failed to mate	0	1C	0	0
Inseminated	44	43	44	44
Not pregnant	1C	1C+1L	1C+1L	0
Mistimed pregnancy	0	0	0	1L
Pregnant	43	41	42	44
<b>PRE - COITAL INTERVAL - DAYS</b>				
MEAN	3.0	3.0	2.8	2.7
SD	2.2	1.9	1.7	1.2
N	44	43	44	43 <sup>(1)</sup>
COPULATION INDEX (%)	100	98	100	100
PREGNANCY RATE (%)	98	93	95	100
FERTILITY INDEX (%)	98	95	95	100
<b>Caesarean phase (Inseminated females)</b>				
- With viable fetuses	21	20	21	22
<b>Lactation phase (Inseminated females)</b>				
- Females with live pups <sup>(2)</sup>	22	21	21	20
- Euthanized moribund post-partum	0	0	0	1
- Total litter death post-partum	0	1	0	1
- Reared pups to weaning	22	20	21	19
GESTATION INDEX (%)	100	100	100	95

C: Caesarean phase

L: Lactation phase

<sup>(1)</sup> mistimed pregnancy for one pair of rats

<sup>(2)</sup> Including one euthanized moribund post-partum female from group 4

Table 68: Summary of cohabitation data and maternal performance in littering and Caesarean subsets

Caesarean data*Gravid uterus weight*

No test article-related effects on mean gravid uterus weight were reported.

## Mean gravid uterus weight and maternal body weight change

Day(s): G21 Relative to Mating (Litter: A)

Sex: Female		Control 0mg	BNT162b1 30mg	BNT162b2 30mg	BNT162b3 30mg
Gravid Uterus (g)	Mean	86.32 R,k <sup>1</sup>	91.78 d <sup>2</sup>	87.65	82.47
	SD	7.69	15.18	13.48	11.93
	N	21	20	21	22
	%D#	-	6.32	1.53	-4.46
Necropsy BW (g)	Mean	366.51 I,a <sup>3</sup>	368.68	351.47	352.54
	SD	24.72	28.34	26.24	19.30
	N	21	20	21	22
	%D#	-	0.59	-4.11	-3.81
Adjusted BW (g)	Mean	280.19 L <sup>4</sup>	276.90	263.82	270.07
	SD	22.08	26.67	15.75	19.29
	N	21	20	21	22
	%D#	-	-1.17	-5.84	-3.61
Net BWC from G6 (g)	Mean	104.25 <sup>*</sup>	103.80	93.20 dd <sup>6</sup>	91.73 ddd <sup>7</sup>
	SD	7.27	13.24	15.12	9.37
	N	21	20	21	22
	%D#	-	-0.44	-10.61	-12.01
Net BWC - Uterine Wt (g)	Mean	17.93 <sup>*</sup>	12.02	5.55 ddd <sup>7</sup>	9.26 d <sup>2</sup>
	SD	7.54	11.37	8.56	10.16
	N	21	20	21	22
	%D#	-	-32.98	-69.06	-48.36
Mean Foetal Wt (Both) (g)	Mean	4.89 I <sup>3</sup>	4.86	4.90	4.84
	SD	0.23	0.26	0.30	0.21
	N	21	20	21	21
	%D#	-	-0.55	0.25	-1.05
No. Live Foetuses	Mean	13.2 R,k <sup>1</sup>	14.1	13.1	12.5
	SD	1.6	2.6	2.1	1.9
	N	21	20	21	22
	%D#	-	6.9	-0.4	-5.2

+ [Footnote is displayed in the comments and markers page]

1 [R,k - Automatic transformation: Rank, (all groups) test: Kruskal-Wallis p < 0.05]

2 [d - Test: Dunnett Non-Parametric 2-sided p < 0.05]

3 [I,a - Automatic transformation: Identity (no transformation), (All groups) Test: Analysis of variance p < 0.05]

4 [L - Automatic transformation: Log]

5 [R,kkk - Automatic transformation: Rank, (all groups) Test: Kruskal-Wallis p < 0.001]

6 [dd - Test: Dunnett Non-Parametric 2-sided p < 0.01]

7 [ddd - Test: Dunnett Non-Parametric 2-sided p < 0.001]

8 [I,aaa - Automatic transformation: Identity (no transformation), (all groups) test: Analysis of variance p < 0.001]

9 [ddd - Test: Dunnett 2-sided p < 0.0 1]

0 [d - Test: Dunnett 2-sided  $p < 0.05$ ]

Table 69: Mean gravid uterus weight and maternal body weight change

*Pregnancy incidence*

No test article-related effects on pregnancy incidence were reported. At the terminal Caesarean examinations, there were 21/22, 20/21, 21/22, and 22/22 pregnant/mated females in groups 1, 2, 3, and 4, respectively. All of which had viable fetuses.

*Pre-implantation data*

No test article-related effects on the pre-implantation data were reported. The mean numbers of corpora lutea and implantation sites were comparable in all groups.

The mean percentage pre-implantation loss was higher in groups 3 and 4 (9.77% and 7.96%, respectively) compared with the control group (4.09%). However, the differences remained within the historical control data range (5.1% to 11.5%) for pivotal studies. Thus, the difference was considered to be incidental.

*Post-implantation data*

No test article-related effects on embryo-fetal survival were reported. The mean percentage post-implantation loss and the mean live litter size were comparable in all groups and consistent with the historical control data.

Fetal data

No test article-related effects on mean fetal weight or fetal sex ratio were reported.

Mean Caesarean section data

Sex: Female Day(s) Relative to Mating (Litter: A)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Females Pregnant [CHSQFS]	N+ve	21	20	21	22
Dams with Viable Foetuses		21	20	21	22
No. of Corpora Lutea [GEN AN]	Mean	14.7 I <sup>1</sup>	15.3	15.5	15.0
	SD	1.6	2.3	2.1	1.8
	Sum	309 I <sup>1</sup>	305	326	331
No. of Implantations [GEN AN]	Mean	14.1 R <sup>2</sup>	14.6	14.0	13.8
	SD	1.6	2.4	2.2	2.2
	Sum	296 R <sup>2</sup>	291	294	303
Pre-Implantation Loss [GEN AN]	Mean	0.6 R,k <sup>3</sup>	0.7	1.5 d <sup>4</sup>	1.3
	SD	1.0	0.9	1.3	2.2
	Sum	13 R,k <sup>3</sup>	14	32 d <sup>4</sup>	28
Pre-Implantation Loss (%) [KWLWCX]	Mean	4.09 k <sup>5</sup>	4.77	9.77 d <sup>4</sup>	7.96
	SD	6.56	6.54	8.09	12.38
	Sum				
No. of Early Resorptions [GEN AN]	Mean	0.8 R <sup>2</sup>	0.5	0.7	1.0
	SD	1.2	0.8	1.0	1.3
	Sum	16 R <sup>2</sup>	9	14	23
Early Resorptions (%) [KWLWCX]	Mean	5.04	3.36	4.62	7.09
	SD	7.23	6.74	6.12	8.72
	Sum				
No. of Late Resorptions [GEN AN]	Mean	0.1 R <sup>2</sup>	0.0	0.2	0.2
	SD	0.4	0.0	0.5	0.7
	Sum				

Sex: Female Day(s) Relative to Mating (Litter: A)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
	Sum	3 R <sup>2</sup>	0	4	5
Late Resorptions (%) [KWLWCX]	Mean	1.05	0.00	1.23	1.56
	SD	2.66	0.00	3.27	4.47
No. of Dead Foetuses [GEN AN]	Mean	0.0 R <sup>2</sup>	0.0	0.0	0.0
	SD	0.0	0.0	0.0	0.0
Post-Implantation Loss [GEN AN]	Sum	0 R <sup>2</sup>	0	0	0
	Mean	0.9 R <sup>2</sup>	0.5	0.9	1.3
	SD	1.2	0.8	1.2	1.4
	Sum	19 R <sup>2</sup>	9	18	28
Post-Implantation Loss (%) [KWLWCX]	Mean	6.10	3.36	5.85	8.64
	SD	7.64	6.74	7.28	9.08
No. of Live Foetuses [GEN AN]	Mean	13.2 R,k <sup>1</sup>	14.1	13.1	12.5
	SD	1.6	2.6	2.1	1.9
	Sum	277 R,k <sup>1</sup>	282	276	275
No. of Male Foetuses [GEN AN]	Mean	6.1 I <sup>2</sup>	6.8	6.7	6.2
	SD	1.7	2.1	2.0	1.7
	Sum	129 I <sup>2</sup>	136	141	137
No. of Female Foetuses [GEN AN]	Mean	7.0 I <sup>2</sup>	7.3	6.4	6.3
	SD	2.1	2.2	1.5	1.6
	Sum	148 I <sup>2</sup>	146	135	138
Male Foetuses (%) [KWLWCX]	Mean	46.96	48.09	50.66	49.84
	SD	14.27	12.44	10.69	11.04
Total Litter Weight (g) [GEN AN]	Mean	64.23 <sup>3</sup>	68.36 d <sup>4</sup>	64.32	60.57
	SD	5.91	12.20	10.53	9.49
	N	21	20	21	21
	%Diff	.	6.43	0.14	-5.70
Mean Foetal Weight (both) (g) [GEN AN]	Mean	4.89 I <sup>2</sup>	4.86	4.90	4.84
	SD	0.23	0.26	0.30	0.21
	N	21	20	21	21
	%Diff	.	-0.55	0.25	-1.05
Mean Foetal Weight (M) (g) [GEN AN]	Mean	5.00 I <sup>2</sup>	4.97	5.02	4.96
	SD	0.21	0.30	0.30	0.25
Mean Foetal Weight (F) (g) [GEN AN]	Mean	4.79 I <sup>2</sup>	4.76	4.77	4.71
	SD	0.24	0.24	0.32	0.20

[KWLWCX] - Kruskal Wallis & Wilcoxon

[GEN AN] - Generalised Anova/Ancova Test

1 [R,k - Automatic Transformation: Rank, (All Groups) Test: Kruskal-Wallis p < 0.05]

2 [I - Automatic Transformation: Identity (No Transformation)]

3 [R,kk - Automatic Transformation: Rank, (All Groups) Test: Kruskal-Wallis p < 0.01]

4 [d - Test: Dunnett Non-Parametric 2 Sided p < 0.05]

Table 70: Mean Caesarean section data

*Fetal examinations*

The numbers of fetuses (litters) submitted to the different examinations were as follows:

Group No.	1	2	3	4
External examination	277 (21)	282 (20)	276 (21)	275 (22)
Internal (visceral) examination (body)	133 (21)	135 (20)	132 (21)	132 (22)
Fixed head examination	133 (21)	135 (20)	132 (21)	132 (22)
Skeletal examination (head and body)	144 (21)	147 (20)	144 (21)	143 (22)

No test article-related effects on fetal morphology were reported. This is consistent with no corresponding malformations in pups.

*External observations*

No test article-related effects on fetal external morphology were reported. one fetus in group 2 had exencephaly, open eye and spina bifida in the cervical region. Exencephaly and open eye are part of the background data for this strain of rat (CRL:WI(Han)). Although not present in the test facility historical control data (HCD), neural tube defects such as spina bifida are part of the background of spontaneous abnormalities found in the rat (present in the HCD for the Sprague Dawley strain as well as other published HCD<sup>38</sup>). This malformation is an isolated incidence because it is reported in a single fetus.

In group 3, one fetus had gastroschisis and one fetus had a small mouth and agnathia. These malformations are part of the background data for this strain of rat (CRL:WI(Han)) and were considered incidental in view of their isolated and sporadic nature.

*Visceral observations*

No test article-related effects on fetal soft tissue morphology were reported. One fetus in group 2 had a narrowed ductus arteriosus. This finding is considered part of the normal process of postnatal respiratory development. This finding was considered incidental in view of its isolated incidence.

One fetus in group 2 had a retinal fold. This finding is part of the background of changes for this strain of rat (CRL:WI(Han)) and was considered incidental in view of its isolated incidence.

One fetus of group 3 was reported with a right-sided aortic arch and one fetus from group 4 was reported with a ventricular septum defect. These findings are also part of the background of findings for this strain of rat (CRL:WI(Han)) and were considered incidental in view of their isolated incidences.

The other less severe soft tissue anomalies and variations are part of the background data for this strain of rat and were also incidental.

<sup>38</sup> Kuwagata et al. Historical control data on developmental toxicity studies in rats. *Congenital anomalies*. 2018 59, 125-131.

*Skeletal observations*

No test article-related effects on fetal skeletal morphology were reported. One fetus from group 2 had acrania and multiple abnormalities of thoracic and cervical vertebrae. One fetus from group 3 had short and fused mandibles. These malformations associated with the abnormalities reported externally and were considered incidental in view of their isolated incidences.

As part of the background data for this strain of rat (and were considered incidental), other less severe skeletal anomalies and variations, such as supernumerary lumbar ribs, 7 lumbar vertebrae or incomplete ossification of thoracic centrum were reported.

Summary of Foetal External, Visceral and Skeletal Observations

Exam Type: Visceral Body (Rat)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Number of Fetuses Examined:		133	135	132	132
Number of Litters Examined:		21	20	21	22
<b>Heart</b>					
Heart, Ventricular septum defect - (M)	Fetuses N(%)	0(0.0)	0(0.0)	0(0.0)	1(0.8)
	Litters N(%)	0(0.0)	0(0.0)	0(0.0)	1(4.5)
<b>Liver</b>					
Liver, Abnormal lobation - (A)	Fetuses N(%)	1(0.8)	0(0.0)	0(0.0)	0(0.0)
	Litters N(%)	1(4.8)	0(0.0)	0(0.0)	0(0.0)
<b>Lung</b>					
Lobe, Absent - (A)	Fetuses N(%)	0(0.0)	0(0.0)	1(0.8)	1(0.8)
	Litters N(%)	0(0.0)	0(0.0)	1(4.8)	1(4.5)
Lobe, Supernumerary - (A)	Fetuses N(%)	0(0.0)	0(0.0)	0(0.0)	1(0.8)
	Litters N(%)	0(0.0)	0(0.0)	0(0.0)	1(4.5)
<b>Major blood vessel</b>					
Aortic arch, Right-sided - (M)	Fetuses N(%)	0(0.0)	0(0.0)	1(0.8)	0(0.0)
	Litters N(%)	0(0.0)	0(0.0)	1(4.8)	0(0.0)
Ductus arteriosus, Narrowed - (M)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Subclavian artery, Malpositioned - (A)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Umbilical artery, Transposed - (V)	Fetuses N(%)	7(5.3)	12(8.9)	13(9.8)	13(9.8)
	Litters N(%)	6(28.6)	9(45.0)	8(38.1)	9(40.9)
Exam Type: Skeletal Head (Rat-G21)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Number of Fetuses Examined:		144	147	144	143
Number of Litters Examined:		21	20	21	22
<b>Skull</b>					
Cranium, Acrania - (M)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Hyoid, Incomplete ossification - (A)	Fetuses N(%)	0(0.0)	1(0.7)	1(0.7)	1(0.7)
	Litters N(%)	0(0.0)	1(5.0)	1(4.8)	1(4.5)
Interparietal, Incomplete ossification - (V)	Fetuses N(%)	3(2.1)	1(0.7)	4(2.8)	6(4.2)
	Litters N(%)	3(14.3)	1(5.0)	3(14.3)	4(18.2)
Mandible, Fused - (M)	Fetuses N(%)	0(0.0)	0(0.0)	1(0.7)	0(0.0)
	Litters N(%)	0(0.0)	0(0.0)	1(4.8)	0(0.0)
Mandible, Misshapen - (A)	Fetuses N(%)	0(0.0)	0(0.0)	1(0.7)	0(0.0)
	Litters N(%)	0(0.0)	0(0.0)	1(4.8)	0(0.0)
Mandible, Short - (M)	Fetuses N(%)	0(0.0)	0(0.0)	1(0.7)	0(0.0)
	Litters N(%)	0(0.0)	0(0.0)	1(4.8)	0(0.0)
Parietal, Incomplete ossification - (V)	Fetuses N(%)	0(0.0)	0(0.0)	3(2.1)	0(0.0)
	Litters N(%)	0(0.0)	0(0.0)	3(14.3)	0(0.0)
Presphenoid, Incomplete ossification - (A)	Fetuses N(%)	1(0.7)	0(0.0)	0(0.0)	0(0.0)
	Litters N(%)	1(4.8)	0(0.0)	0(0.0)	0(0.0)
Squamosal, Incomplete ossification - (V)	Fetuses N(%)	0(0.0)	0(0.0)	1(0.7)	0(0.0)
	Litters N(%)	0(0.0)	0(0.0)	1(4.8)	0(0.0)
Supraoccipital, Incomplete ossification - (V)	Fetuses N(%)	0(0.0)	0(0.0)	2(1.4)	0(0.0)
	Litters N(%)	0(0.0)	0(0.0)	2(9.5)	0(0.0)

<sup>1</sup> [c - Group Factor Chi-Squared & Fisher's Exact Test: Chi-Squared p < 0.05]

Exam Type: Skeletal Body (Rat-G21)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Number of Fetuses Examined:		144	147	144	143
Number of Litters Examined:		21	20	21	22
<b>General</b>					
Vertebra, Presacral vertebral arches = 27 - (A)	Fetuses N(%)	0(0.0)	2(1.4)	1(0.7)	3(2.1)
	Litters N(%)	0(0.0)	2(10.0)	1(4.8)	3(13.6)
<b>Forepaw</b>					
Phalanx, Unossified - (A)	Fetuses N(%)	9(6.3)	2(1.4)	6(4.2)	6(4.2)
	Litters N(%)	7(33.3)	1(5.0)	3(14.3)	4(18.2)
<b>Hindpaw</b>					
Metatarsal, Unossified, 1st digit - (V)	Fetuses N(%)	3(2.1)	2(1.4)	3(2.1)	1(0.7)
	Litters N(%)	3(14.3)	1(5.0)	3(14.3)	1(4.5)
Phalanx, Unossified, proximal 2nd to 5th digits - (V)	Fetuses N(%)	46(31.9)	32(21.8)	22(15.3)	25(17.5)
	Litters N(%)	11(52.4)	8(40.0)	7(33.3)	9(40.9)
<b>Ribs</b>					
Ribs, Supernumerary cervical - (A)	Fetuses N(%)	3(2.1)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	3(14.3)	1(5.0)	0(0.0)	0(0.0)
Ribs, Supernumerary lumbar - (A)	Fetuses N(%)	3(2.1)	5(3.4)	12(8.3)	6(4.2)
	Litters N(%)	3(14.3)	3(15.0)	6(28.6)	4(18.2)
Ribs, Thick - (A)	Fetuses N(%)	2(1.4)	1(0.7)	4(2.8)	5(3.5)
	Litters N(%)	1(4.8)	1(5.0)	3(14.3)	3(13.6)
Ribs, Wavy - (A)	Fetuses N(%)	0(0.0)	1(0.7)	1(0.7)	3(2.1)
	Litters N(%)	0(0.0)	1(5.0)	1(4.8)	3(13.6)
Ribs, Supernumerary lumbar, short - (V)	Fetuses N(%)	57(39.6)	75(51.0)	71(49.3)	75(52.4)
Exam Type: Skeletal Body (Rat-G21)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Number of Fetuses Examined:		144	147	144	143
Number of Litters Examined:		21	20	21	22
<b>Ribs (Continued...)</b>					
Ribs, Supernumerary lumbar, short - (V)	Litters N(%)	17(81.0)	19(95.0)	18(85.7)	22(100.0)
<b>Sternebra</b>					
Sternebra, Asymmetric - (A)	Fetuses N(%)	1(0.7)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	1(4.8)	1(5.0)	0(0.0)	0(0.0)
Sternebra, Extra ossification site - (A)	Fetuses N(%)	0(0.0)	0(0.0)	0(0.0)	1(0.7)
	Litters N(%)	0(0.0)	0(0.0)	0(0.0)	1(4.5)
Sternebra, Incomplete ossification, 1st/3rd - (A)	Fetuses N(%)	1(0.7)	0(0.0)	1(0.7)	0(0.0)
	Litters N(%)	1(4.8)	0(0.0)	1(4.8)	0(0.0)
Sternebra, Incomplete ossification, 2nd/4th - (V)	Fetuses N(%)	1(0.7)	1(0.7)	2(1.4)	1(0.7)
	Litters N(%)	1(4.8)	1(5.0)	2(9.5)	1(4.5)
Sternebra, Incomplete ossification, 6th - (V)	Fetuses N(%)	0(0.0)	0(0.0)	0(0.0)	1(0.7)
	Litters N(%)	0(0.0)	0(0.0)	0(0.0)	1(4.5)
Sternebra, Minor fusion - (A)	Fetuses N(%)	1(0.7)	0(0.0)	0(0.0)	0(0.0)
	Litters N(%)	1(4.8)	0(0.0)	0(0.0)	0(0.0)
Sternebra, Misshapen - (A)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Sternebra, Unossified, 5th - (A)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
<b>Vertebra</b>					
Caudal, Number < 5 - (A)	Fetuses N(%)	0(0.0)	0(0.0)	2(1.4)	2(1.4)
Exam Type: Skeletal Body (Rat-G21)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Number of Fetuses Examined:		144	147	144	143
Number of Litters Examined:		21	20	21	22
<b>Vertebra (Continued...)</b>					
Caudal, Number < 5 - (A)	Litters N(%)	0(0.0)	0(0.0)	2(9.5)	2(9.1)
Cervical, Fused arch - (A)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Cervical, Incomplete ossification of arch - (A)	Fetuses N(%)	0(0.0)	0(0.0)	2(1.4)	0(0.0)
	Litters N(%)	0(0.0)	0(0.0)	2(9.5)	0(0.0)
Cervical, Multiple abnormalities - (M)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Cervical, Odontoid process unossified - (V)	Fetuses N(%)	9(6.3)	7(4.8)	6(4.2)	5(3.5)
	Litters N(%)	7(33.3)	4(20.0)	4(19.0)	5(22.7)
Cervical, Unossified centrum - (V)	Fetuses N(%)	3(2.1)	2(1.4)	2(1.4)	1(0.7)
	Litters N(%)	3(14.3)	2(10.0)	2(9.5)	1(4.5)
Lumbar, Number = 7 - (A)	Fetuses N(%)	1(0.7)	0(0.0)	3(2.1)	4(2.8)
	Litters N(%)	1(4.8)	0(0.0)	2(9.5)	4(18.2)
Sacral, Misshapen arch - (A)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Thoracic, Bipartite ossification of centrum - (A)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Thoracic, Incomplete ossification of centrum, 1st to 9th - (A)	Fetuses N(%)	1(0.7)	0(0.0)	3(2.1)	3(2.1)
	Litters N(%)	1(4.8)	0(0.0)	3(14.3)	3(13.6)
Thoracic, Incomplete ossification of centrum, 10th to 13th - (A)	Fetuses N(%)	6(4.2)	3(2.0)	9(6.3)	1(0.7)

Exam Type: Skeletal Body (Rat-G21)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Number of Fetuses Examined:		144	147	144	143
Number of Litters Examined:		21	20	21	22
<b>Vertebra (Continued...)</b>					
Thoracic, Incomplete ossification of centrum, 10th to 13th. - (A)	Litters N(%)	5(23.8) c <sup>1</sup>	3(15.0)	9(42.9)	1(4.5)
Thoracic, Multiple abnormalities - (M)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)
Thoracic, Number = 14 - (A)	Fetuses N(%)	0(0.0)	1(0.7)	0(0.0)	0(0.0)
	Litters N(%)	0(0.0)	1(5.0)	0(0.0)	0(0.0)

Table 71: Summary of Foetal External, Visceral and Skeletal Observations

Delivery and litter data*Parturition and gestation length*

No test article-related effects on parturition and gestation length were reported. In groups 1, 2, 3, and 4, there were 22, 21, 21 and 20 females that completed delivery and had liveborn pups giving a gestation index of 100%, 100%, 100% and 95%, respectively. This was consistent with the background data for this strain of rat.

In all groups, the mean duration of gestation (approximately 22 days) was comparable. In one group 4 animals (F276), that was euthanized due to clinical signs associated with parturition difficulties, did not affect the overall gestation length for that group.

*Pre-Birth Loss*

The mean percentage pre-birth loss was higher in group 2 (12.2%) and group 4 (13.8%) when compared with the control group (6.8%). However, the value remained consistent with the historical control data range (from 5.1% to 13.6%) for pivotal studies. Thus, the difference was considered to be incidental.

Consequently, the mean number of pups delivered was lower in groups 2 and 4 (11.9 and 11.4, respectively) compared with the control group (13.3). However, the values remained consistent with the historical control data range (from 9.9 to 11.8) for pivotal studies.

*Pup Viability and Litter Sizes*

No test article-related effects on pup viability and litter size were reported. The live birth index was lower in groups 2 and 4 (93.2 and 94.7%, respectively) compared with control group (98%). Also, in groups 2 and 4, 17 and 12 stillborn/dead pups (respectively) from 4 litters in each group, compared with 6 from 3 litters in group 1 were reported. This was due to 1 female in each of groups 2 and 4 (F236 and F279, respectively) that incurred total litter death.

In one group 2 females (F236), total litter death of 15 pups between birth and lactation day 1 (9 stillborn, 3 cannibalized, 1 dead and 2 missing pups) were reported. In one group 4 females (F279), 8 stillborn pups were delivered. This total litter death at or shortly after birth are reported in the historical control data (2 studies A19 and V17 in the HCD). The presence of the isolated case in each of groups 2 and 4, with no similar finding in group 3, suggested that they were incidental and not related to the vaccines. Consequently, the mean live litter size was marginally lower in groups 2 and 4 (11.0 and 11.3, respectively) compared with concurrent control group (13.0). However, the values were consistent with the background data for this strain of rat (from

9.8 to 11.6). In all groups, the viability index (PND0 through to PND4) and weaning index (PND4 through to PND21) were comparable and consistent with the historical control data.

Sex: Female		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Day(s) Relative to Littering (Litter: A)					
Females Completing Delivery [CHSQFS]	N+ve	22	21	21	20
with Liveborn Pups [CHSQFS]	N+ve	22	21	21	19
with Stillborn Pups [CHSQFS]	N+ve	3	4	2	2
with all Stillborn Pups [CHSQFS]	N+ve	0	0	0	1
with all Dead PND 21 [CHSQFS]	N+ve	0	1	0	1
Gestation Length (Days) [GEN AN]	Mean	22.1 <sup>1</sup>	22.3	22.0	22.6 <sup>dd<sup>2</sup></sup>
	SD	0.4	0.6	0.7	0.6
	N	22	21	21	20
Number of Implantation Sites [GEN AN]	Mean	14.3 <sup>1<sup>3</sup></sup>	13.4	14.2	13.2
	SD	2.2	2.4	2.2	1.5
	N	22	21	21	20
	Sum	314 <sup>1<sup>3</sup></sup>	281	298	264
Pre-Birth Loss (%) [GEN AN]	Mean	6.80 <sup>R,k<sup>4</sup></sup>	12.22	8.22	13.76 <sup>d<sup>5</sup></sup>
	SD	8.75	16.42	15.51	10.39
	N	22	21	21	20
Pups Delivered/Litter [GEN AN]	Mean	13.3 <sup>R,k<sup>4</sup></sup>	11.9	13.1	11.4 <sup>d<sup>5</sup></sup>
	SD	2.5	3.2	3.1	1.7
	N	22	21	21	20
	Sum	293 <sup>R,k<sup>4</sup></sup>	249	276	227 <sup>d<sup>5</sup></sup>
Live Pups PND 0 [GEN AN]	Mean	13.0 <sup>R,k<sup>1</sup></sup>	11.0	13.0	11.3 <sup>d<sup>2</sup></sup>
	SD	2.5	3.6	3.1	1.6
	N	22	21	21	19
	Sum	287 <sup>R,k<sup>1</sup></sup>	232	274	215 <sup>d<sup>2</sup></sup>
Live Pups PND 1 [GEN AN]	Mean	13.0 <sup>R,k<sup>1</sup></sup>	11.4	13.0	11.2 <sup>d<sup>2</sup></sup>
	SD	2.4	3.1	3.0	1.6
	N	22	20	21	19
	Sum	285 <sup>R,k<sup>1</sup></sup>	228	273	213 <sup>d<sup>2</sup></sup>
Live Pups Precull [GEN AN]	Mean	12.9 <sup>R,k<sup>1</sup></sup>	11.4	12.9	11.2 <sup>d<sup>2</sup></sup>
	SD	2.3	3.1	2.9	1.6
	N	22	20	21	19
	Sum	284 <sup>R,k<sup>1</sup></sup>	228	271	213 <sup>d<sup>2</sup></sup>
Live Pups Postcull [GEN AN]	Mean	8.0 <sup>R<sup>3</sup></sup>	7.7	7.8	8.0
	SD	0.0	1.1	1.1	0.0
	N	22	20	21	19
	Sum	176 <sup>R<sup>3</sup></sup>	154	163	152
Live Pups PND 7 [GEN AN]	Mean	8.0 <sup>R<sup>3</sup></sup>	7.7	7.8	8.0
	SD	0.0	1.1	1.1	0.0
	N	22	20	21	19
	Sum	176 <sup>R<sup>3</sup></sup>	154	163	152
Live Pups PND 10 [GEN AN]	Mean	8.0 <sup>R<sup>1</sup></sup>	7.7	7.8	8.0
	SD	0.0	1.1	1.1	0.0
	N	22	20	21	19
	Sum	176 <sup>R<sup>1</sup></sup>	154	163	152
Live Pups PND 14 [GEN AN]	Mean	8.0 <sup>R<sup>1</sup></sup>	7.7	7.8	8.0
	SD	0.0	1.1	1.1	0.0
	N	22	20	21	19

Sex: Female		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Day(s) Relative to Littering (Litter: A)					
Live Pups PND 17 [GEN AN]	Sum	176 R <sup>1</sup>	154	163	152
	Mean	8.0 R <sup>1</sup>	7.7	7.8	8.0
	SD	0.0	1.1	1.1	0.0
	N	22	20	21	19
Live Pups PND 21 [GEN AN]	Sum	176 R <sup>1</sup>	154	163	152
	Mean	8.0 R <sup>1</sup>	7.7	7.8	8.0
	SD	0.2	1.1	1.1	0.0
	N	22	20	21	19
Dead, Miss., Cannib. PND 0 [CHSQFS]	Sum	175 R <sup>1</sup>	154	163	152
Dead, Miss., Cannib. PND 1-4 [CHSQFS]	Sum	6	17	2	12
Dead, Miss., Cannib. PND 5-21 [CHSQFS]	Sum	3	4	3	2
Dead, Miss., Cannib. PND 0-21 [CHSQFS]	Sum	1	0	0	0
Dead, Miss., Cannib. PND 0-21 [CHSQFS]	Sum	10	21	5	14
Live Birth Index (%)	Mean	98.0	93.2	99.3	94.7
Viability Index (PND 0-4) (%)		99.0	98.3	98.9	99.1
Weaning Index (PND 4-21) (%)		99.4	100.0	100.0	100.0
Sex Ratio PND 1 - % Males [CHSQFS]		51.0	47.1	48.0	49.7
Sex Ratio PND 21 - % Males [CHSQFS]		49.7	50.6	47.6	49.3

[CHSQFS] - Chi-Squared & Fisher's Exact

1 [R,kk - Automatic Transformation: Rank, (All Groups) Test: Kruskal-Wallis p < 0.01]

3 [I - Automatic Transformation: Identity (No Transformation)]

5 [d - Test: Dunnett Non-Parametric 2 Sided p < 0.05]

[GEN AN] - Generalised Anova/Ancova Test

2 [dd - Test: Dunnett Non-Parametric 2 Sided p < 0.01]

4 [R,k - Automatic Transformation: Rank, (All Groups) Test: Kruskal-Wallis p < 0.05]

Table 72: Delivery and litter data

*Pup Clinical Observations*

No test article-related effects on pup clinical observations or external abnormalities were reported.

*Pup Weights*

No test article-related effects on mean pup weight throughout the pre-weaning period were reported.

Mean pup body weights (g) - Males

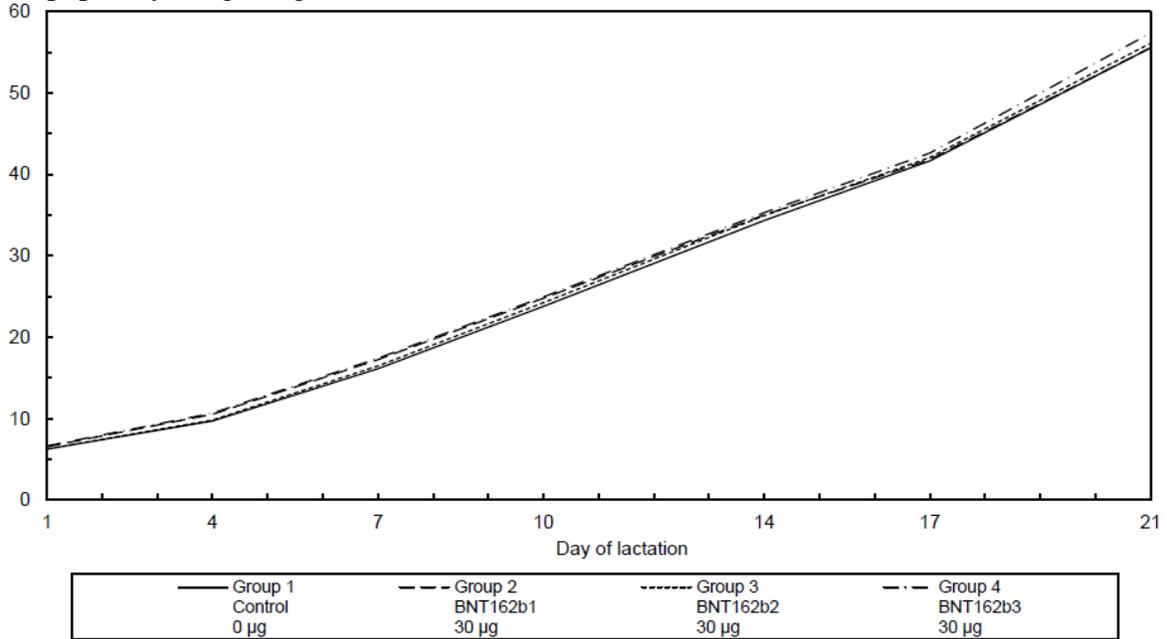


Figure 16: Mean pup bod weights (g)-Males

Mean pup body weights (g) - Females

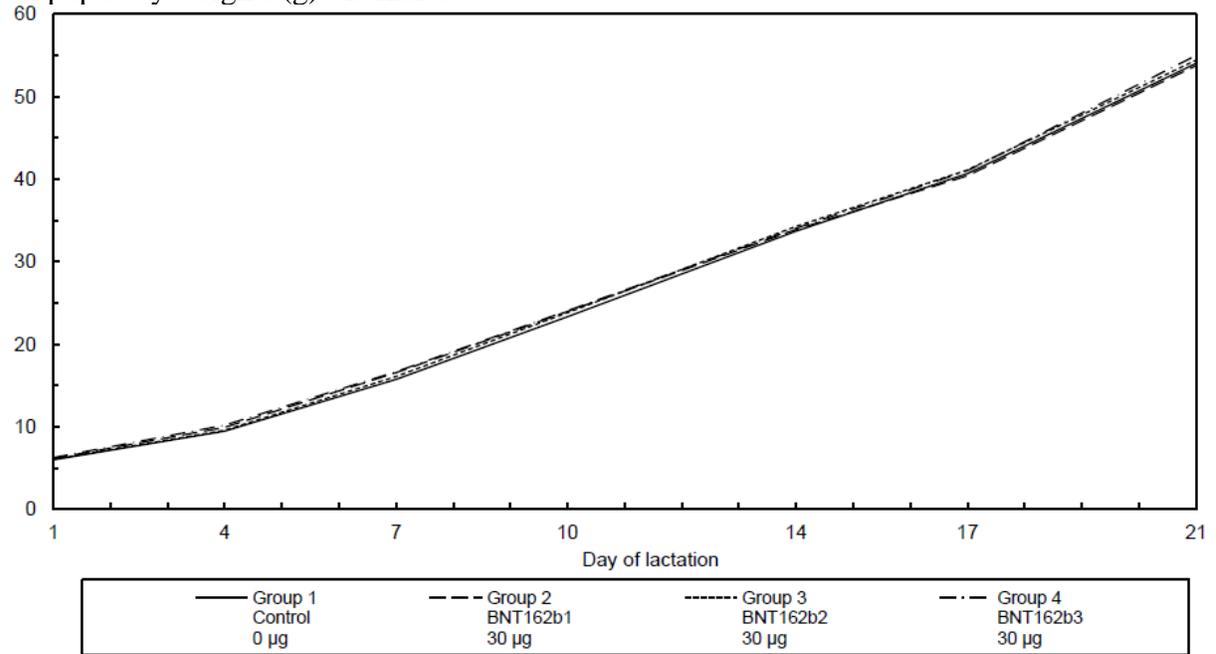


Figure 17: Mean pup body weights (g)-Females

Mean pup body weight (grams)

Sex: Female		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Day(s) Relative to Littering (Litter: A)					
Mean Pup BW - Males d1 [GEN AN]	Mean	6.25 R <sup>1</sup>	6.54	6.27	6.64
	SD	0.82	0.45	0.73	0.99
	N	22	20	20	20
	%Diff	.	4.55	0.23	6.27
Mean Pup BW - Males d4 [GEN AN]	Mean	9.71 I <sup>2</sup>	10.53	9.81	10.66 w <sup>3</sup>
	SD	1.26	1.19	1.21	1.70
	N	22	20	20	19
	%Diff	.	8.41	1.00	9.75
Mean Pup BW - Males d7 [GEN AN]	Mean	16.14 R <sup>1</sup>	17.23	16.47	17.40 S <sup>4</sup>
	SD	1.76	1.61	1.74	2.01
	N	22	20	20	19
	%Diff	.	6.80	2.07	7.82
Mean Pup BW - Males d10 [GEN AN]	Mean	23.79 R <sup>1</sup>	24.79	24.24	24.96 S <sup>4</sup>
	SD	2.17	1.93	1.87	2.37
	N	22	20	20	19
	%Diff	.	4.17	1.87	4.90
Mean Pup BW - Males d14 [GEN AN]	Mean	34.35 I <sup>2</sup>	35.01	34.93	35.31
	SD	2.76	2.36	2.13	2.71
	N	22	20	20	19
	%Diff	.	1.93	1.69	2.81
Mean Pup BW - Males d17 [GEN AN]	Mean	41.64 I <sup>1</sup>	41.82	42.07	42.63
	SD	3.10	2.77	2.36	2.95
	N	22	20	20	19
	%Diff	.	0.42	1.04	2.37
Mean Pup BW - Males d21 [GEN AN]	Mean	55.53 I <sup>1</sup>	55.55	56.10	57.35
	SD	4.02	3.93	3.22	4.41
	N	22	20	20	19
	%Diff	.	0.04	1.03	3.28
Mean Pup BW - Males d4 Postculling [GEN AN]	Mean	9.71 I <sup>1</sup>	10.51	9.78	10.66 w <sup>2</sup>
	SD	1.31	1.18	1.24	1.72
	N	22	20	20	19
	%Diff	.	8.14	0.66	9.73
Mean Pup BW - Females d1 [GEN AN]	Mean	6.00 I <sup>1</sup>	6.16	6.06	6.27
	SD	0.82	0.52	0.73	0.98
	N	22	20	21	20
	%Diff	.	2.65	0.97	4.59
Mean Pup BW - Females d4 [GEN AN]	Mean	9.47 I <sup>1</sup>	9.95	9.58	10.21
	SD	1.25	1.13	1.33	1.66
	N	22	20	21	19
	%Diff	.	5.10	1.25	7.87
Mean Pup BW - Females d7 [GEN AN]	Mean	15.77 R <sup>1</sup>	16.56	16.10	16.69 S <sup>3</sup>
	SD	1.72	1.01	1.75	2.03
	N	22	20	21	19
	%Diff	.	5.00	2.14	5.83
Mean Pup BW - Females d10 [GEN AN]	Mean	23.35 R <sup>1</sup>	23.99	23.82	24.07
	SD	2.21	0.98	1.85	2.37
	N	22	20	21	19

Sex: Female Day(s) Relative to Littering (Litter: A)		Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Mean Pup BW - Females d14 [GEN AN]	%Diff	.	2.73	1.99	3.05
	Mean	33.71 I <sup>2</sup>	33.91	34.28	34.04
	SD	2.88	1.72	2.04	2.51
Mean Pup BW - Females d17 [GEN AN]	N	22	20	21	19
	%Diff	.	0.59	1.70	0.98
	Mean	40.69 I <sup>2</sup>	40.42	41.10	41.03
Mean Pup BW - Females d21 [GEN AN]	SD	3.16	2.18	2.26	2.49
	N	22	20	21	19
	%Diff	.	-0.66	1.00	0.83
Mean Pup BW - Females d4 Postculling [GEN AN]	Mean	54.02 I <sup>2</sup>	53.74	54.42	54.98
	SD	4.18	3.05	2.66	3.71
	N	22	20	21	19
Mean Pup Body Weight d1 [GEN AN]	%Diff	.	-0.51	0.73	1.77
	Mean	9.49 I <sup>1</sup>	10.07	9.59	10.24
	SD	1.25	1.08	1.37	1.68
Mean Pup Body Weight d4 [GEN AN]	N	22	20	21	19
	%Diff	.	6.16	1.09	7.92
	Mean	6.13 R <sup>2</sup>	6.34	6.19	6.45
Mean Pup Body Weight d7 [GEN AN]	SD	0.82	0.49	0.74	0.97
	N	22	20	21	20
	%Diff	.	3.50	1.06	5.30
Mean Pup Body Weight d10 [GEN AN]	Mean	9.60 I <sup>1</sup>	10.26	9.75	10.44
	SD	1.25	1.12	1.31	1.66
	N	22	20	21	19
Mean Pup Body Weight d14 [GEN AN]	%Diff	.	6.91	1.65	8.80
	Mean	15.95 R <sup>2</sup>	16.94 S <sup>3</sup>	16.34 S <sup>3</sup>	17.05 S <sup>3</sup>
	SD	1.71	1.30	1.73	1.92
Mean Pup Body Weight d17 [GEN AN]	N	22	20	21	19
	%Diff	.	6.18	2.46	6.90
	Mean	23.57 R <sup>2</sup>	24.44	24.07	24.52 S <sup>3</sup>
Mean Pup Body Weight d21 [GEN AN]	SD	2.15	1.53	1.81	2.27
	N	22	20	21	19
	%Diff	.	3.66	2.10	4.00
Mean Pup BW d4 Postculling [GEN AN]	Mean	34.03 I <sup>1</sup>	34.50	34.63	34.67
	SD	2.78	2.12	2.00	2.50
	N	22	20	21	19
Mean Pup Body Weight d14 [GEN AN]	%Diff	.	1.39	1.77	1.90
	Mean	41.16 I <sup>1</sup>	41.17	41.59	41.83
	SD	3.11	2.54	2.19	2.59
Mean Pup Body Weight d17 [GEN AN]	N	22	20	21	19
	%Diff	.	0.02	1.06	1.62
	Mean	54.75 I <sup>1</sup>	54.71	55.23	56.13
Mean Pup Body Weight d21 [GEN AN]	SD	4.07	3.55	2.71	3.82
	N	22	20	21	19
	%Diff	.	-0.06	0.87	2.51
Mean Pup BW d4 Postculling [GEN AN]	Mean	9.60 I <sup>1</sup>	10.32	9.75	10.45
	SD	1.26	1.06	1.34	1.66
	N	22	20	21	19

Sex: Female	Control 0mcg	BNT162b1 30mcg	BNT162b2 30mcg	BNT162b3 30mcg
Day(s) Relative to Littering (Litter: A)				
	%Diff	.	7.51	1.51
			8.88	

Table 73: Mean pup body weight (grams)

Pup Physical and Functional Development

No test article-related effects on pre-weaning physical (pinna unfolding and eye opening) and functional (pupil and auditory reflexes) development were reported.

Summary of reflex and physical development

Group	1	2	3	4
Dose level	Control 0 µg	BNT162b1 30µg	BNT162b2 30µg	BNT162b3 30µg
<b>PINNA UNFOLDING</b>				
- % of pups positive:				
day 1 <i>post-partum</i>	5	5	6	24***
day 2 <i>post-partum</i>	51	66	51	68*
day 3 <i>post-partum</i>	98	100 <sup>(1)</sup>	99	100
day 4 <i>post-partum</i>	100	100	100 <sup>(3)</sup>	
<b>EYE OPENING</b>				
- % of pups positive:				
day 12 <i>post-partum</i>	0	2	3	3
day 13 <i>post-partum</i>	19	22	9	29
day 14 <i>post-partum</i>	83	85	79	82
day 15 <i>post-partum</i>	99	99 <sup>(2)</sup>	96	99
day 16 <i>post-partum</i>	100	100 <sup>(2)</sup>	100	100
day 17 <i>post-partum</i>				
<b>PUPILLARY REFLEX - day 21 <i>post-partum</i></b>				
- % of pups positive:	100	99	100	100
<b>AUDITORY REFLEX - day 21 <i>post-partum</i></b>				
- % of pups positive:	100	100	100	100

<sup>(1)</sup>: 99.6%

<sup>(2)</sup>: values excluded for three pups that were not observed after PND14 in error

<sup>(3)</sup>: 99.7%, one unselected pup for culling was not observed after PND4

\*:  $p \leq 0.05$ ; \*\*\*  $p \leq 0.001$

Table 74: Summary of reflex and physical development

Pup Necropsy Findings

No test article-related effects on pup macroscopic observations or malformations were reported.

Necropsy Findings of Adult Females

Test article-related macroscopic findings were reported at the injection sites (firm area, enlarged, edematous area and/or pale). These findings were consistent with the administration of the vaccine and an inflammatory/immune response localized to the injection site.

Across all groups (including controls), abnormalities of the liver (diaphragmatic hernia, mottled surface, abnormal shape or adherent mass) were reported for isolated females and were considered incidental.

Across all groups (including controls), alopecia and/or sores/crusts were also reported for isolated females and were considered incidental.

Summary of maternal macroscopic observations

Removal Reason: TERMINAL SACRIFICE	----- FEMALES -----			
	Control	BNT162b1	BNT162b2	BNT162b3
	0mcg	30mcg	30mcg	30mcg
	Number of Animals on Study :			
	Number of Animals Completed:			
	(44)	(41)	(43)	(41)
<b>LIVER;</b>				
Submitted.....	(2)	(0)	(1)	(2)
No Visible Lesions.....	0	0	0	0
Hernia; diaphragm; between right and left median lobes .....	2	0	0	0
Mottled surface; all lobes .....	0	0	0	2
Abnormal shape; left median lobe .....	0	0	0	1
Small; left median lobe .....	0	0	0	1
Mass a; adherent to surrounding tissue; papillary process; solid; dark; heterogeneous .....	0	0	1	0
<b>IDENTIFICATION;</b>				
Submitted.....	(3)	(8)	(12)	(15)
No Visible Lesions.....	3	8	12	15
<b>SKIN/SUBCUTIS;</b>				
Submitted.....	(2)	(3)	(6)	(1)
No Visible Lesions.....	0	0	0	0
Alopecia; single; forelimb; right; left .....	0	1	3	0
Alopecia; single; forelimb; left .....	1	1	0	0
Alopecia; single; abdominal region; thoracic region .....	0	1	0	0
Alopecia; single; thoracic region .....	0	0	1	0
Alopecia; single; thoracic region; abdominal .....	0	0	1	0
Alopecia; right; forepaw; abdominal; left .....	0	0	0	1
Sore/crust; many; back; head .....	0	0	1	0
Sore/crust; many; forelimb; left .....	0	1	0	0
Sore/crust; single; right .....	0	1	0	0
Sore/crust; single; forelimb; right .....	0	0	1	0
Sore/crust; single; hindlimb; left .....	1	0	0	0
Sore/crust; single; abdominal region .....	2	0	0	0
<b>NO CORRELATE;</b>				
Submitted.....	(9)	(6)	(5)	(3)
<b>NO CORRELATE; (continued)</b>				
No Visible Lesions.....	0	0	0	0
No correlate .....	9	6	6	3
<b>INJECTION SITE 1;</b>				
Submitted.....	(0)	(8)	(9)	(15)
No Visible Lesions.....	0	8	9	14
Pale .....	0	0	0	1
<b>INJECTION SITE 2;</b>				
Submitted.....	(0)	(8)	(10)	(15)
No Visible Lesions.....	0	0	0	0
Firm area .....	0	7	9	14
Enlarged .....	0	7	8	14
Oedematous area .....	0	0	1	0
Pale .....	0	2	4	10
<b>NO CORRELATE;</b>				
Submitted.....	(0)	(0)	(0)	(0)
No Visible Lesions.....	0	0	0	0
No correlate .....	0	0	0	1
<b>LIVER;</b>				
Submitted.....	(0)	(0)	(0)	(1)
No Visible Lesions.....	0	0	0	0
Pale; all lobes .....	0	0	0	1
<b>SPLEEN;</b>				
Submitted.....	(0)	(0)	(0)	(1)
No Visible Lesions.....	0	0	0	0
Enlarged .....	0	0	0	1
<b>IDENTIFICATION;</b>				
Submitted.....	(0)	(1)	(0)	(1)
No Visible Lesions.....	0	1	0	1
<b>SKIN/SUBCUTIS;</b>				
Submitted.....	(0)	(1)	(0)	(0)
No Visible Lesions.....	0	0	0	0
Alopecia; single; forelimb; abdominal region; left .....	0	1	0	0

Table 75: Summary of maternal macroscopic observations

### **Summary**

Test articles (BNT162b1, BNT162b2 and BNT162b3) resulted in clinical signs and macroscopic findings localized to the injection site as well as transient body weight and food consumption effects after each dose administration. These maternal findings might be related to the administration of the vaccine and an inflammatory/immune response.

No test article-related effects on estrous cycles, pre-coital interval, mating, fertility and pregnancy index, or on any ovarian, uterine, or litter parameters, including F1 survival, growth, external, visceral, and skeletal morphology, or effects on pre-weaning physical and functional development of the F1 pups were reported.

Four doses (2 prior to mating and 2 during gestation) administration of the test articles (BNT162b1, BNT162b2, or BNT162b3) elicited SARS-CoV-2 neutralizing antibody responses in the majority of females just prior to mating (M-14), at the end of gestation (GD21), and at the end of lactation (LD21). Also, SARS-CoV-2 neutralizing titers were detected in most offspring (fetuses on GD21 and pups on PND21). Prior to vaccine administration or in saline-administered control animals, SARS-CoV-2 neutralizing antibody titers were not reported.

### **Conclusion**

Test article-related effects on body weight, food consumption, and effects localized to the injection site after each dose administration were reported. No test article-related effects on mating performance or fertility in F0 female rats or on embryo-fetal or postnatal survival, growth, or development of the F1 offspring were reported.

Test article-related immune responses were confirmed in F0 female rats following administration of each vaccine candidate and these responses were also detectable in the F1 offspring (fetuses and pups).

**Historical data:**

**Rat Wistar: Crl-WI**

Caesarean data collected on day 21 of gestation - page 1/2

Study	Year	Number of females			Number of corpora lutea		Number of uterine implants		Pre-implantation loss %		Early resorptions per dam		Late resorptions per dam		Post-implantation loss %	
		Mated	Pregnant	With live foetuses	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
		A18	2018	22	21	21	13.2	1.6	12.1	1.6	8.5	8.5	1.0	1.2	0.0	0.0
B18	2018	9	9	9	13.0	1.5	12.1	1.9	7.0	7.2	1.4	1.3	0.0	0.0	12.8	13.0
C18	2018	22	21	21	13.4	1.6	12.7	1.9	5.5	8.6	1.2	1.1	0.0	0.0	9.5	8.6
D18	2018	6	6	6	12.8	2.7	11.3	1.9	10.5	13.0	1.8	1.3	0.2	0.4	17.3	12.8
E18	2018	22	22	22	13.0	1.1	12.2	1.6	6.8	7.2	0.9	0.7	0.0	0.2	7.7	5.7
F18	2018	6	6	6	13.2	2.8	11.5	2.8	13.1	10.3	1.0	0.9	0.5	0.5	15.7	15.0
G18	2018	20	18	18	12.9	1.3	11.5	1.7	10.8	9.6	0.6	0.8	0.0	0.0	5.2	7.3
H18	2018	6	5	5	11.6	2.3	10.4	3.9	13.3	21.7	1.0	1.0	0.0	0.0	7.9	7.5
A17	2017	22	22	22	13.1	2.1	11.8	2.9	10.8	16.4	0.9	1.0	0.1	0.3	8.2	9.7
B17	2017	6	5	5	12.4	2.1	10.8	4.4	16.2	28.4	0.8	0.8	0.2	0.4	7.7	7.7
C17	2017	22	22	22	13.3	1.9	12.2	2.7	8.1	15.8	0.8	0.9	0.0	0.2	6.3	7.3
D17	2017	6	6	6	13.2	1.9	12.8	1.7	2.3	3.6	0.3	0.8	0.2	0.4	4.0	6.5
E17	2017	9	9	9	13.4	1.2	12.4	1.2	7.2	7.2	0.6	1.0	0.0	0.0	4.1	7.0
F17	2017	22	21	21	13.8	1.5	12.2	1.5	11.0	9.8	1.0	1.3	0.1	0.4	9.9	11.8
G17	2017	8	8	8	12.9	4.0	12.1	4.7	9.1	17.0	1.4	1.1	0.0	0.0	14.1	11.8
H17	2017	6	6	6	13.2	2.8	11.5	2.8	13.1	10.3	1.0	0.9	0.5	0.5	15.7	15.0
I17	2017	24	24	24	13.0	1.7	11.8	1.8	8.6	9.0	1.1	1.1	0.0	0.2	9.9	9.8
J17	2017	24	24	24	13.3	2.2	12.6	1.7	5.1	6.8	1.1	1.2	0.0	0.2	8.7	8.6
K17	2017	6	6	6	13.7	1.0	13.0	1.3	5.0	3.9	0.3	0.8	0.0	0.0	2.4	5.8
L17	2017	22	22	22	13.6	1.8	12.5	1.6	8.2	9.4	1.0	1.6	0.0	0.0	8.1	12.3
M17	2017	6	6	6	13.5	1.4	13.3	1.6	1.4	3.4	0.8	1.2	0.0	0.0	6.5	9.0
N17	2017	22	22	22	12.3	1.8	10.9	2.1	11.5	11.9	0.8	1.0	0.0	0.2	8.2	9.4
O17	2017	6	6	6	14.3	1.9	13.8	0.8	2.8	6.8	1.2	1.0	0.0	0.0	8.5	7.3
P17	2017	22	22	22	13.2	1.6	12.3	2.0	7.0	8.9	1.2	1.0	0.1	0.4	11.0	9.7
<i>Total</i>		<i>346</i>	<i>339</i> <i>98%</i>	<i>339</i> <i>98%</i>												
<i>Mean</i>					<i>13.2</i>		<i>12.1</i>		<i>8.4</i>		<i>1.0</i>		<i>0.0</i>		<i>8.8</i>	
<i>SD</i>						<i>1.8</i>		<i>2.2</i>		<i>11.0</i>		<i>1.1</i>		<i>0.3</i>		<i>9.7</i>
<b>2014 to 2016</b>		<b>536</b>	<b>514</b> <b>96%</b>	<b>508</b> <b>95%</b>	<b>12.8</b>	<b>1.9</b>	<b>11.7</b>	<b>2.6</b>	<b>7.8</b>	<b>12.5</b>	<b>0.9</b>	<b>1.2</b>	<b>0.1</b>	<b>0.5</b>	<b>9.0</b>	<b>13.4</b>

Table 76: Historical data; Caesarean data collected on day 21 of gestation - page 1/2

**Rat Wistar: Crl-WI**Caesarean data collected on day 21 of gestation - page 2/2

Study	Year	Number of females			Dead foetuses	Live litter size		Litter weight (g) on GD 21		Foetal weight (g) on GD 21		Uterus weight (g) on GD 21		Sex ratio % males
		Mated	Pregnant	With live foetuses		Total	Mean	SD	Mean	SD	Mean	SD	Mean	
A18	2018	22	21	21	0	11.0	2.1							53.2
B18	2018	9	9	9	0	10.7	2.7	52.6	12.9	4.94	0.31	72.9	16.2	42.7
C18	2018	22	21	21	0	11.4	1.9							47.1
D18	2018	6	6	6	0	9.3	2.0	46.5	10.3	4.98	0.23	64.6	13.0	52.1
E18	2018	22	22	22	0	11.2	1.5	57.2	6.5	5.11	0.24	77.1	8.6	45.0
F18	2018	6	6	6	0	10.0	3.6							58.9
G18	2018	20	18	18	0	10.9	1.7	54.8	8.8	5.04	0.19	74.9	10.7	51.1
H18	2018	6	5	5	0	9.4	3.2	48.2	17.5	5.08	0.27	65.0	22.1	45.7
A17	2017	22	22	22	0	10.8	3.1	54.0	14.8	5.03	0.31	74.4	19.3	48.5
B17	2017	6	5	5	0	9.8	3.8	50.7	21.0	5.07	0.38	70.4	27.5	55.0
C17	2017	22	22	22	0	11.4	2.6							52.5
D17	2017	6	6	6	0	12.3	2.0							48.0
E17	2017	9	9	9	0	11.9	0.9							43.5
F17	2017	22	21	21	0	11.0	2.0							47.5
G17	2017	8	8	8	0	10.8	4.8							40.6
H17	2017	6	6	6	0	10.0	3.6							58.9
I17	2017	24	24	24	0	10.7	2.0	55.8	10.4	5.24	0.25	77.1	13.2	53.8
J17	2017	24	24	24	0	11.5	1.7							45.6
K17	2017	6	6	6	0	12.7	1.2	64.4	5.6	5.09	0.33	86.8	7.4	49.5
L17	2017	22	22	22	0	11.4	1.9	58.7	8.8	5.17	0.24	79.4	11.0	49.2
M17	2017	6	6	6	0	12.5	2.2	60.9	10.7	4.87	0.35	82.2	13.3	52.9
N17	2017	22	22	22	0	10.0	2.2	51.5	10.9	5.15	0.32	71.4	14.2	48.8
O17	2017	6	6	6	0	12.7	1.4	64.5	6.1	5.10	0.13	85.7	7.9	56.1
P17	2017	22	22	22	0	11.0	2.4	55.1	11.0	5.03	0.30	75.0	14.3	54.4
<i>Total</i>		<i>346</i>	<i>339</i> <i>98%</i>	<i>339</i> <i>98%</i>	<i>0</i>									
<i>Mean</i>						<i>11.0</i>		<i>55.3</i>		<i>5.09</i>		<i>75.6</i>		<i>49.6</i>
<i>SD</i>							<i>2.3</i>		<i>11.2</i>		<i>0.28</i>		<i>14.3</i>	
<b>2014 to 2016</b>		<i>536</i>	<i>514</i> <i>96%</i>	<i>508</i> <i>95%</i>	<i>0</i>	<i>10.8</i>	<i>2.5</i>	<i>54.4</i>	<i>11.4</i>	<i>5.02</i>	<i>0.25</i>	<i>74.9</i>	<i>15.1</i>	<i>51.2</i>

Table 77: Historical data; Caesarean data collected on day 21 of gestation - page 2/2

**Rat Wistar: Crl-WI****Malformations (external, internal and skeletal)**

Study	Year	Number of litters examined	Number of foetuses examined	Number of litters with malformed foetuses	Litter incidence %	Number of malformed foetuses	Foetal incidence %	Type of malformation by foetus
A18	2018	21	232	1	4.76	1	0.43	Malformed cervical and thoracic vertebrae, and ribs
B18	2018	9	96	1	11.11	1	1.04	Narrowed aortic arch
C18	2018	21	240	0	-	0	-	
D18	2018	6	56	0	-	0	-	
E18	2018	22	247	0	-	0	-	
F18	2018	6	60	0	-	0	-	
G18	2018	18	196	0	-	0	-	
H18	2018	5	47	0	-	0	-	
A17	2017	22	238	2	9.09	2	0.84	1st: Situs inversus, abnormal lobation of lung 2nd: Situs inversus, abnormal lobation of lung, transposed great vessels
B17	2017	5	49	0	-	0	-	
C17	2017	22	251	2	9.09	2	0.80	1st: Cleft lip and palate; 2nd: Malformed thoracic vertebrae
D17	2017	6	74	1	16.67	1	1.35	Agnathia
E17	2017	9	107	0	-	0	-	
F17	2017	21	231	0	-	0	-	
G17	2017	8	86	0	-	0	-	
H17	2017	6	60	0	-	0	-	
I17	2017	24	256	0	-	0	-	
J17	2017	24	275	2	8.33	2	0.73	1st: Microphthalmia; 2nd: Proboscis (malformed skull)
K17	2017	6	76	0	-	0	-	
L17	2017	22	251	1	4.55	1	0.40	Proboscis
M17	2017	6	75	0	-	0	-	
N17	2017	22	221	0	-	0	-	
O17	2017	6	76	0	-	0	-	
P17	2017	22	242	0	-	0	-	
<b>Total 2017 and 201</b>		<b>339</b>	<b>3742</b>	<b>10</b>	<b>2.95%</b>	<b>10</b>	<b>0.27%</b>	
<b>Total 2014 to 2016</b>		<b>508</b>	<b>5539</b>	<b>25</b>	<b>4.92%</b>	<b>25</b>	<b>0.45%</b>	

Study nos. B17, D17, H17, Q17, S17, U17, D18, F18 and H18: Dose Range Finding studies with external examination only

Table 78: Historical data; Malformations (external, internal and skeletal)

**Rat Wistar: Crl-WI****FOETAL EXAMINATION - FRESH VISCERAL EXAMINATION OF BODY ON DAY 20 OR 21 OF GESTATION**

<b>PERIOD</b>	<b>2013-2015</b>		<b>2016-2018</b>	
Number of studies included	17		23	
Number of foetuses examined	1857		2716	
<b>OBSERVATION</b>	<b>N</b>	<b>%</b>	<b>N</b>	<b>%</b>
Situs inversus	4	0.22	1	0.04
Great blood vessels: malformed	1	0.05	2	0.07
Great blood vessels: transposition	0	0.00	1	0.04
Carotid artery: narrowed	1	0.05	0	0.00
Pulmonary artery: malpositioned	1	0.05	0	0.00
Subclavian artery: retroesophageal	1	0.05	0	0.00
Umbilical artery: transposed	239	12.87	345	12.70
Azygos vein: transposed	0	0.00	1	0.04
Aortic arch: narrowed	0	0.00	1	0.04
Lungs: abnormal lobation	0	0.00	2	0.07
Lungs: lobe absent	1	0.05	1	0.04
Thyroid gland: small	0	0.00	1	0.04
Thorax: tissue-mass	0	0.00	1	0.04
Diaphragm: absent	1	0.05	0	0.00
Intestine: distended	0	0.00	1	0.04
Stomach: narrowed	0	0.00	1	0.04
Pancreas: large	0	0.00	1	0.04
Pancreas: malpositioned	0	0.00	1	0.04
Spleen: small	0	0.00	1	0.04
Spleen: discolored	0	0.00	1	0.04
Liver: discolored lobe	1	0.05	4	0.15
Liver: abnormal lobation	1	0.05	1	0.04
Adrenal gland(s): discolored	1	0.05	0	0.00
Kidney(s): renal pelvic dilatation	0	0.00	1	0.04
Kidney(s): malpositioned	1	0.05	0	0.00
Kidney(s): large	2	0.11	0	0.00
Kidney: absent	1	0.05	0	0.00
Ureter(s): dilated	59	3.18	24	0.88
Ureter(s): convoluted	20	1.08	9	0.33
Testis: malpositioned	2	0.11	0	0.00
Testis: cyst	1	0.05	9	0.33

Table 79: Historical data; Foetal examination- Fresh visceral examination of body on day 20 or 21 of gestation

**Rat Wistar: Crl-WI**

## FOETAL EXAMINATION - SKELETAL EXAMINATION OF BODY ON DAY 21 OF GESTATION - Page 1/2

<b>PERIOD</b>	<b>2013-2015</b>		<b>2016-2018</b>	
Number of studies included	2		9	
Number of foetuses examined	233		1096	
<b>OBSERVATION</b>	<b>N</b>	<b>%</b>	<b>N</b>	<b>%</b>
Metacarpals: incomplete ossification (5th digit)	1	0.43	7	0.64
Metatarsals: unossified, 1st digit	7	3.00	11	1.00
Phalanx: unossified (2nd to 5th digits), forepaws	21	9.01	0	0.00
Phalanx: unossified, forepaws	0	0.00	110	10.04
Phalanx: unossified, hindpaws	0	0.00	30	2.74
Phalanx: unossified (2nd to 5th digits), hindpaws	54	23.18	236	21.53
Rib: supernumerary cervical	4	1.72	21	1.92
Rib: supernumerary lumbar	2	0.86	39	3.56
Rib: supernumerary lumbar (short)	121	51.93	491	44.80
Rib: short	0	0.00	1	0.09
Rib: wavy	17	7.30	11	1.00
Rib: thick	8	3.43	39	3.56
Sternebrae: incomplete ossification of 1st/3rd	1	0.43	2	0.18
Sternebrae: incomplete ossification of 2nd/4th	2	0.86	12	1.09
Sternebrae: incomplete ossification of 6th	2	0.86	2	0.18
Sternebrae: unossified 5th	1	0.43	1	0.09
Sternebrae: unossified 6th	1	0.43	0	0.00
Sternebrae: extra ossification site	0	0.00	2	0.18
Sternebrae: asymmetric	5	2.15	7	0.64
Sternebrae: split	1	0.43	0	0.00
Sternebrae: misshapen	0	0.00	1	0.09

Table 80: Historical data; Foetal examination – Skeletal examination of body on day 21 of gestation - Page 1/2

**Rat Wistar: Crl-WI**

## FOETAL EXAMINATION - SKELETAL EXAMINATION OF BODY ON DAY 21 OF GESTATION - page 2/2

<b>PERIOD</b>	<b>2013-2015</b>		<b>2016-2018</b>	
Number of studies included	2		9	
Number of foetuses examined	233		1096	
<b>OBSERVATION</b>	<b>N</b>	<b>%</b>	<b>N</b>	<b>%</b>
Vertebrae, presacral arches = 27	2	0.86	3	0.27
Vertebrae, cervical: incomplete ossification of arch	0	0.00	2	0.18
Vertebrae, cervical: unossified odontoid process	21	9.01	153	13.96
Vertebrae, cervical: unossified centrum	57	24.46	344	31.39
Vertebrae, cervical: bipartite centrum	0	0.00	27	2.46
Vertebrae, thoracic: incomplete ossification of centrum	0	0.00	3	0.27
Vertebrae, thoracic: incomplete ossification of 1-9th centrum	3	1.29	7	0.64
Vertebrae, thoracic: incomplete ossification of 10-13th centrum	14	6.01	24	2.19
Vertebrae, thoracic: fused arch	0	0.00	1	0.09
Vertebrae, thoracic: bipartite ossification of centrum	6	2.58	3	0.27
Vertebrae, thoracic: centrum hemicentric	0	0.00	1	0.09
Vertebrae, thoracic: misaligned centrum	0	0.00	1	0.09
Vertebrae, thoracic: absent centrum	0	0.00	1	0.09
Vertebrae, thoracic: small centrum	0	0.00	1	0.09
Vertebrae, lumbar: number = 5	0	0.00	1	0.09
Vertebrae, lumbar: number = 7	2	0.86	9	0.82
Vertebrae, lumbar: incomplete ossification of centrum	0	0.00	1	0.09
Vertebrae, sacral: incomplete ossification of arches	1	0.43	0	0.00
Vertebrae, caudal number < 5	7	3.00	39	3.56

Table 81: Historical data; Foetal examination – Skeletal examination of body on day 21 of gestation - Page 2/2

**Rat Wistar: Crl-WI****FOETAL EXAMINATION - SKELETAL EXAMINATION OF HEAD ON DAY 21 OF GESTATION**

<b>PERIOD</b>	<b>2013-2015</b>		<b>2016-2018</b>	
Number of studies included	2		9	
Number of foetuses examined	233		1050	
<b>OBSERVATION</b>	<b>N</b>	<b>%</b>	<b>N</b>	<b>%</b>
Parietal: incomplete ossification	9	3.86	38	3.62
Interparietal: incomplete ossification	23	9.87	80	7.62
Supraoccipital: incomplete ossification	6	2.58	8	0.76
Cranium: Sutural bone	2	0.86	2	0.19
Squamosal: incomplete ossification	4	1.72	7	0.67
Zygomatic arch: incomplete ossification	2	0.86	9	0.86
Hyoid: incomplete ossification	0	0.00	7	0.67
Mandible: incomplete ossification	0	0.00	15	1.43

Table 82: Historical data; Foetal examination – Skeletal examination of head on day 21 of gestation

For complete historical data, please visit appendix 29 on page 1084 of the study report submitted in amendment number 165.

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